



## Czech-Romanian Seismology Workshop: AdriaArray local experiment in Vrancea (Romania)

December 5<sup>th</sup> - 6<sup>th</sup> 2023, Conference centre of IRSM CAS, Prague

### Programme:

#### Tuesday 5th December 2023

- 09:30 – 09:35 Welcome and Opening  
(Lucia Fojtíková, Renata Lukešová, IRSM CAS)
- 09:35 – 10:45 Felix Borleanu (INFP): Investigations and new insights on earthquake generation mechanisms, seismic activity and lithospheric structure at the bending of the Southeastern Carpathians Vrancea region
- 10:45 – 11:00 Coffee break
- 11:00 – 12:00 Václav Vavryčuk (IG CAS): Tectonic stress from focal mechanisms (Part 1 - Theory)
- 12:00 – 13:30 Lunch break
- 13:30 – 13:45 Renata Lukešová (IRSM CAS): First preliminary result from new AdriaArray data in Vrancea
- 13:45 – 15:00 Václav Vavryčuk (IG CAS): Tectonic stress from focal mechanisms (Part 2 - Applications I)
- 15:00 – 15:15 Coffee break
- 15:15 – 16:30 Václav Vavryčuk (IG CAS): Tectonic stress from focal mechanisms (Part 3 - Applications II)

#### Wednesday 6th December 2023

- 09:30 – 09:50 Lucia Fojtíková, Kristian Csicsay (SAV): Earthquake near Humenné on October 9, 2023
- 09:50 – 10:35 Jiří Vackář (IRSM CAS) – BayesISOLA
- 10:35 – 10:50 Coffee break
- 11:00 – 12:00 Jiří Málek, Lucia Fojtíková (IRSM CAS): Attenuation of seismic waves in Reykjanes peninsula (Iceland)
- 12:00 – 13:30 Lunch break
- 13:30 – 14:15 Jiří Zahradník (FMP CUNI): Earthquake complexity studied with multiple point-source ISOLA
- 14:15 – 15:00 František Gallovič (FMP CUNI): Present and future applications of dynamic rupture modeling in earthquake research
- 15:00 – 15:15 Coffee break
- 15:15 – 16:30 Vladimír Plicka (FMP CUNI): Empirical Green's function (EGF) method to calculate Apparent Source Time Functions (ASTFs)

This workshop is part of the Dynamic Planet Earth research program Strategy AV21



Institute of Rock Structure and Mechanics of the CAS  
and National Institute for Earth Physics, Măgurele, Romania  
invite to

## Czech-Romanian seismology workshop:

**ADRIA-ARRAY  
LOCAL EXPERIMENT  
IN VRANCEA (ROMANIA)**



**December 5<sup>th</sup> - 6<sup>th</sup> 2023**

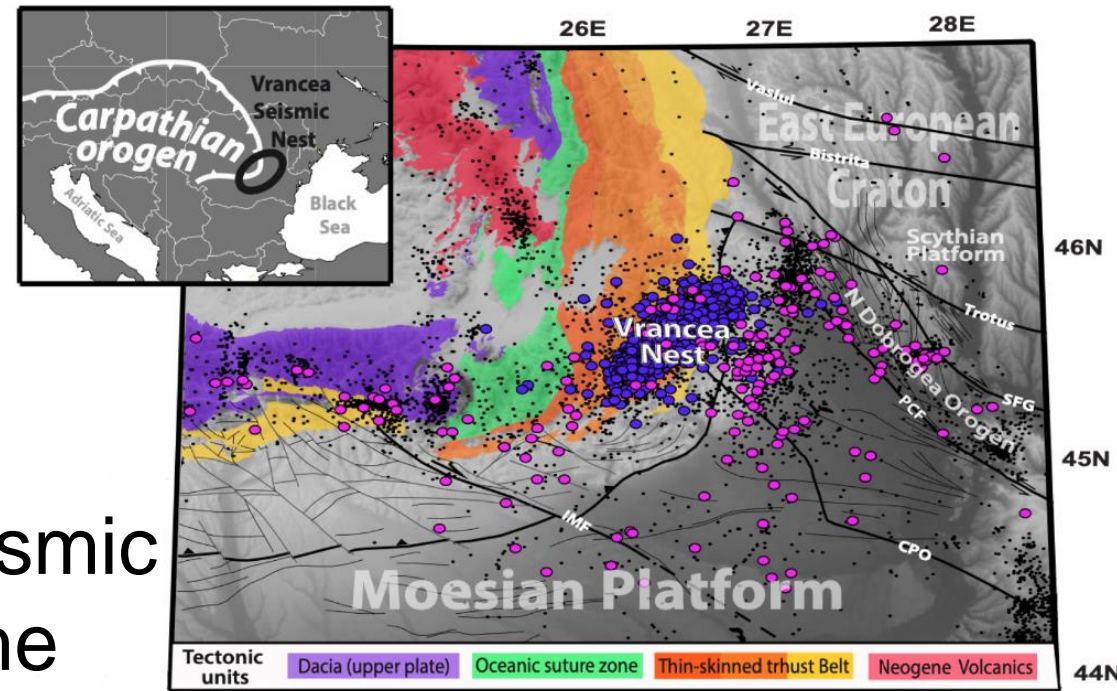
Conference hall of IRSM CAS  
Prague, V Holesovickach 94/41

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[www.irsm.cas.cz](http://www.irsm.cas.cz)

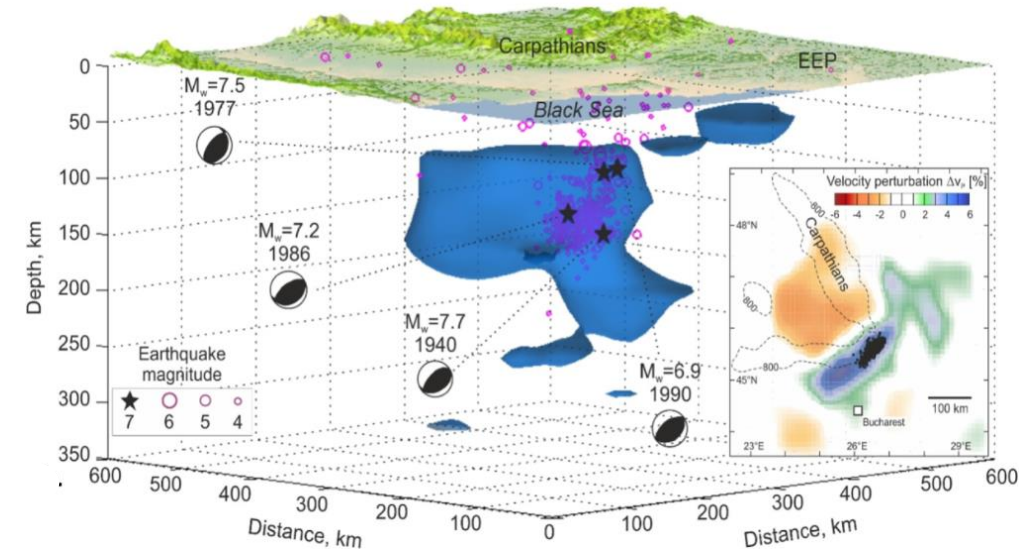


**STRATEGYAV21**  
Top research in the public interest

WORKSHOP IS PART  
OF THE RESEARCH PROGRAM  
**DYNAMIC PLANET EARTH - STRATEGY AV21**



# Investigations and new insights on earthquake generation mechanisms, seismic activity and lithospheric structure at the bending of the Southeastern Carpathians (Vrancea region)

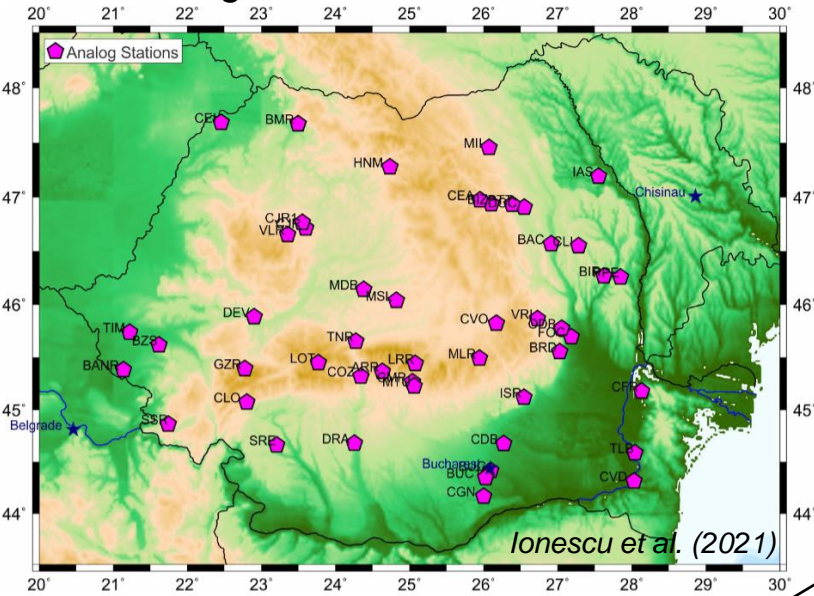


Felix Borleanu<sup>(1)</sup> & co-authors

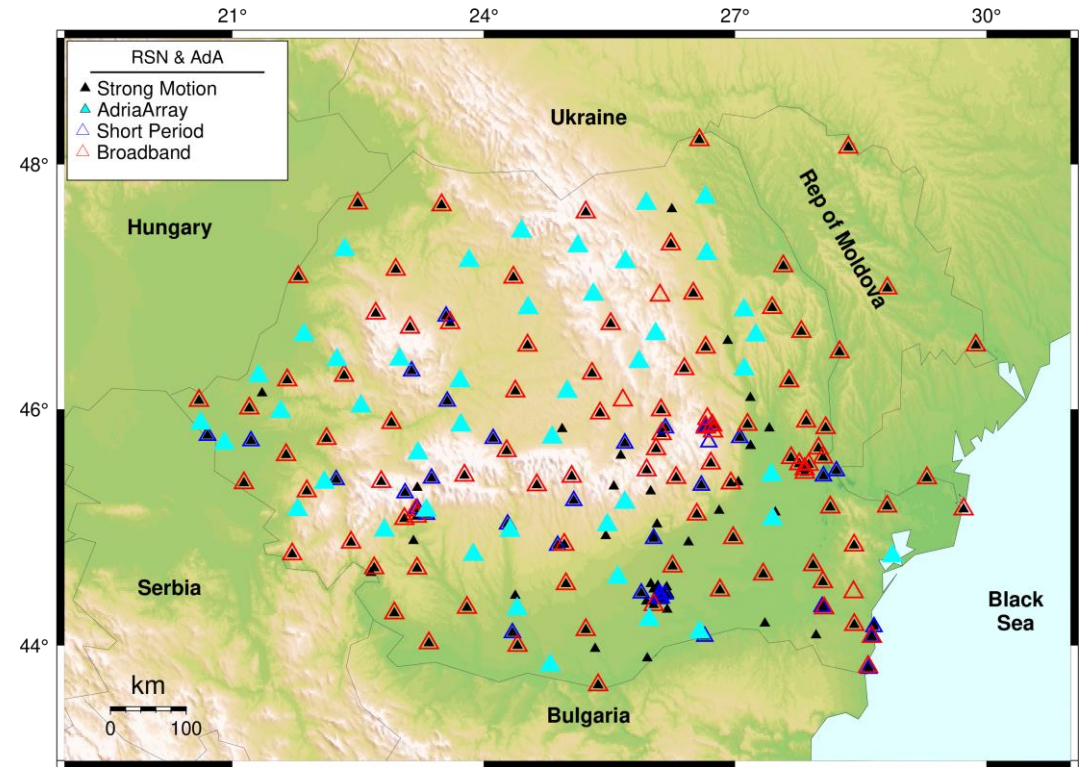
1) National Institute for Earth Physics, Măgurele, Romania (NIEP - felix@infp.ro)

# Romanian Seismic Network – past to present

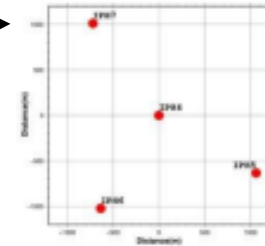
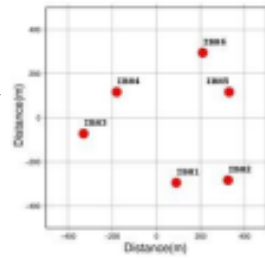
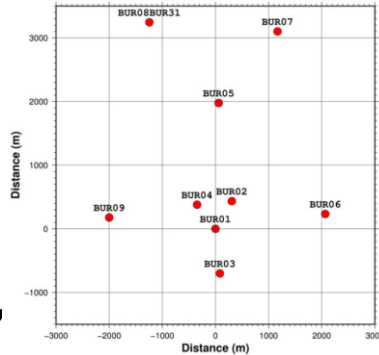
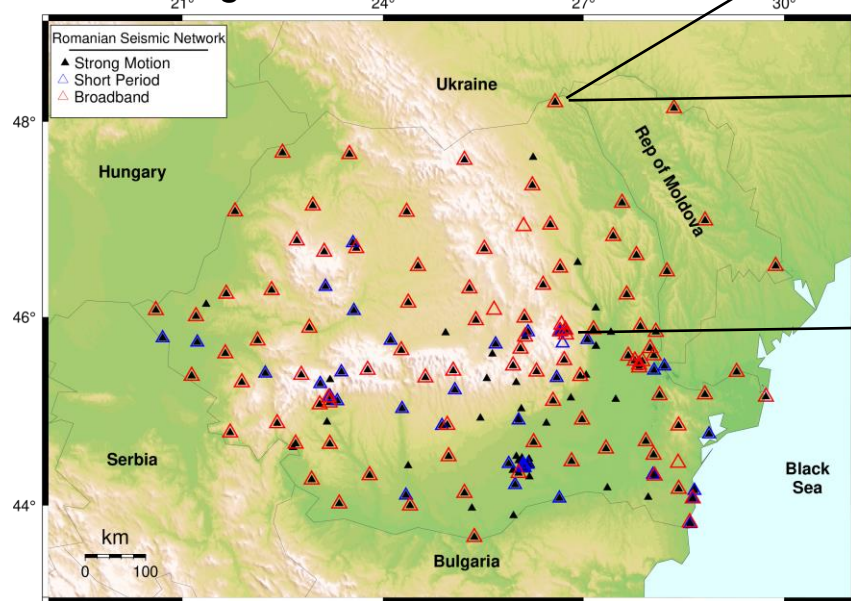
Analog Seismic Network 1977-1995



Romanian Seismic Network & AdriaArray 2023



Digital Seismic Network 2023

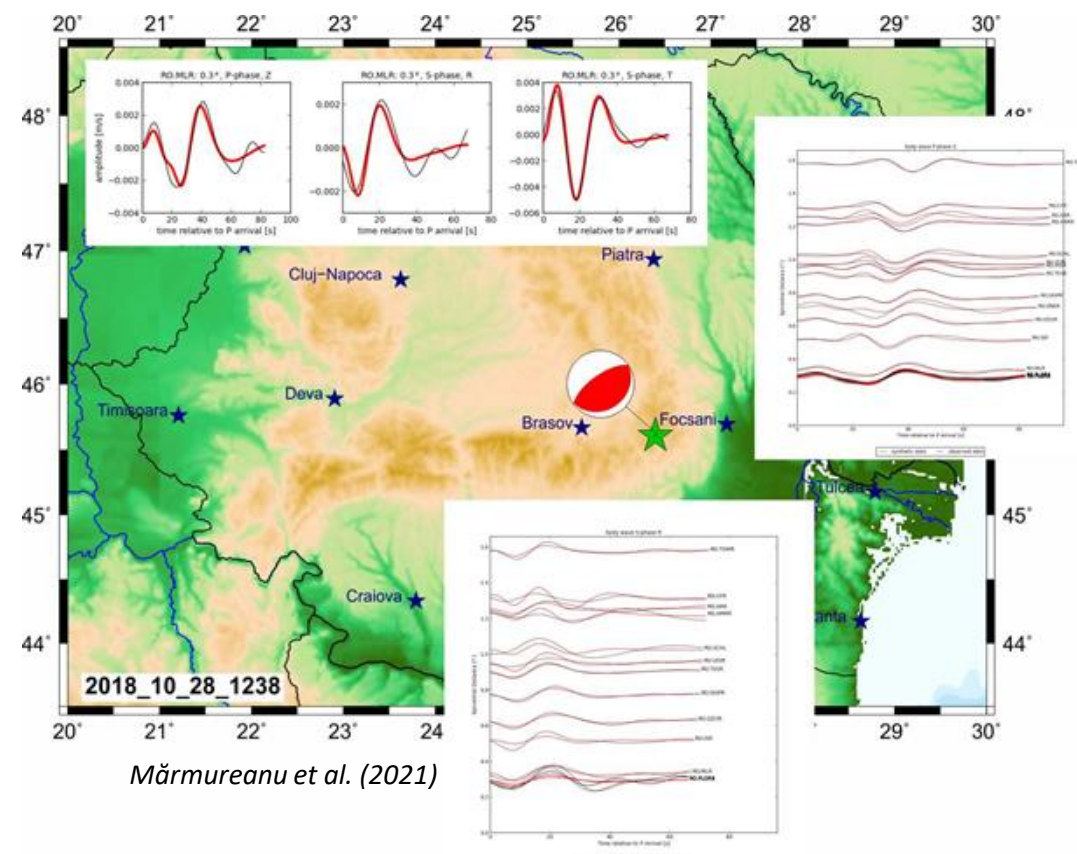
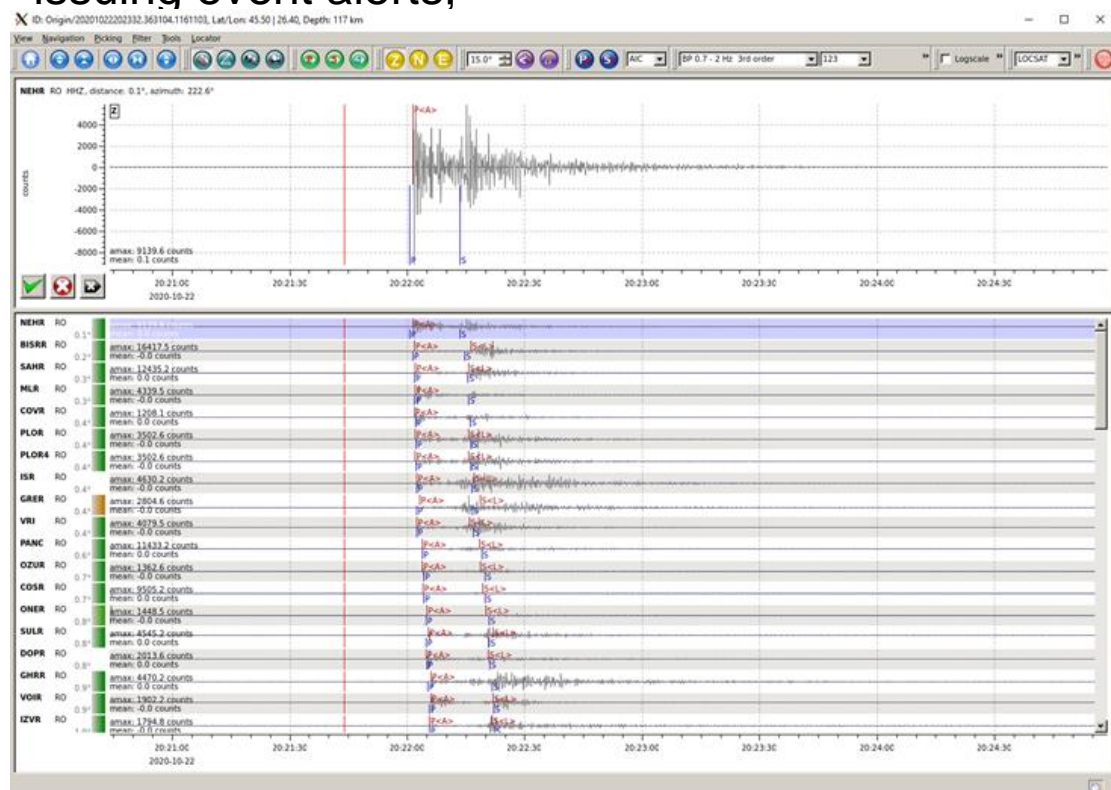


- **154** single broadband and short-period stations;
- **175** stations (EpiSensor-2g full scale) with 21 stations deployed in the Bucharest area;
- **1** seismic array – BURAR;
- **2** infrasound arrays (BURARI and PLORI);
- **44** temporary stations deployed within AdriaArray;

## Romanian Seismic Network – Data Acquisition & Processing - **Seiscomp3**

- data acquisition;
- data quality control;
- real-time data exchange;
- network status monitoring;
- real-time data processing;
- easy access to relevant information stations, waveforms and recent earthquakes;
- issuing event alerts;

- waveform archiving;
- waveform data distribution;
- automatic event detection and location;
- interactive event detection and location;
- event parameter archiving;
- real time Moment Tensor estimation;



# Romanian Seismic Network – Data Acquisition & Processing - Antelope 5.7

- P-wave picking;
- event association;
- event location;
- computation of magnitude;
- sending e-mail / SMS alerts;

Automatic processing:

Offline processing:

- P & S-waves picking;
- event association;
- computation of magnitude;
- creation of database;
- sending reports/ bulletins

ML 2.3 ROMANIA pref\_lat: 45.10 pref\_lon: 23.15 pref\_depth: 5 km T + 4:33 hours

Current Time: 2023-326 (22 Nov) 13:27:53 GMT

Displayed Origin: Preference: Preferred, Lat: 45.0956°, Lon: 23.1468°, Depth: 5.0000 km, Region: ROMANIA, Magnitudes: 2.3 ML, Uncertainties: Origin Time (GMT): 2023-326 (22 Nov) 08:54:33 UTC, Origin Time (Local): 2023-326 (22 Nov) 10:54:33 EET, Database IDs: evid 67789, orid 68056, pref 68056, Phases: 8 defining, 8 associated, Author: oIMI

Latest Magnitudes: author ML, oIMI 2.28 ± 0.32

Best Moment Tensor: Scalar Moment, Percent Double-Couple, Strike, Dip, Rake 1, Strike, Dip, Rake 2, Derived Mag, MT Author

pref	author	orid	depth	ndef	mt	mags	latency	auth	lat	lon
oIMI	68056	5 km	8	2.3 ML	1:50 minutes	oIMI	45.0956 1468			
oIMI	68055	5 km	6	2.3 ML	47.887 seconds	oIMI	45.0956 1468			

origin time	evid	ndef	R	prefauth	mag	region
2023-326 (22 Nov) 08:54:33 UTC	67789	8	*	oIMI	2.3 ML	ROMANIA
2023-326 (22 Nov) 04:47:33 UTC	67785	10	*	otuMb	6.1 Mb	VANUATU ISLANDS
2023-326 (22 Nov) 02:48:51 UTC	67778	18	*	otuMb	5.8 Mb	HALMAHERA, INDONESIA
2023-326 (22 Nov) 01:55:00 UTC	67775	17	*	oIMI	3.1 ML	ROMANIA
2023-325 (21 Nov) 22:41:07 UTC	67774	6	*	orMI	1.7 ML	UKRAINE-MOLDOVA-SW RUSSIA REGION
2023-325 (21 Nov) 15:32:19 UTC	67772	7	*	otuMb		FIJI ISLANDS REGION
2023-325 (21 Nov) 14:01:39 UTC	67769	8	*	orMI	3.3 ML	SOUTHERN ITALY
2023-325 (21 Nov) 05:10:31 UTC	67768	6	*	otuMb	5.6 Mb	CENTRAL MID-ATLANTIC RIDGE
2023-324 (20 Nov) 06:46:21 UTC	67767	8	*	or		TYRRHENIAN SEA
2023-324 (20 Nov) 06:44:19 UTC	67761	26	*	otuMb	5.6 Mb	NORTHERN ALGERIA
2023-324 (20 Nov) 04:57:57 UTC	67755	17	*	otuMb	5.4 Mb	SAMAR, PHILIPPINES
2023-323 (19 Nov) 23:15:35 UTC	67753	7	*	otu		SAMOA ISLANDS REGION
2023-323 (19 Nov) 21:01:35 UTC	67745	41	*	otuMb	5.7 Mb	NEAR EAST COAST OF HONSHU, JAPAN
2023-323 (19 Nov) 19:44:18 UTC	67744	6	*	orMI		TURKEY
2023-323 (19 Nov) 04:01:37 UTC	67730	15	*	otuMb	5.7 Mb	MARIANA ISLANDS
2023-322 (18 Nov) 09:21:38 UTC	67729	6	*	orMI		NORTHWESTERN BALKAN REGION
2023-321 (17 Nov) 19:13:49 UTC	67726	7	*	otuMb	5.3 Mb	GULF OF ALASKA
2023-321 (17 Nov) 08:14:09 UTC	67711	33	*	otuMb	6.4 Mb	MINDANAO, PHILIPPINES
2023-321 (17 Nov) 01:37:12 UTC	67699	24	*	otuMb	5.8 Mb	MYANMAR-CHINA BORDER REGION
2023-320 (16 Nov) 02:49:16 UTC	67694	8	*	otuMb	4.9 Mb	SOUTHEAST OF HONSHU, JAPAN

Status: Database updated 4:30 hours ago (origin table)

Traces: NEHR HHZ, NEHR HHN, NEHR HHE, MLR HHZ, MLR HHN, MLR HHE, SAHR HHZ, SAHR HHN, SAHR HHE, ISR HHZ, ISR HHN, ISR HHE, FLOR HHZ, FLOR HHN, FLOR HHE, GRER HHZ, GRER HHN, GRER HHE, VRI HHZ, VRI HHN, VRI HHE, ODBI HHZ, ODBI HHN, ODBI HHE, PANC HHZ, PANC HHN, PANC HHE, OZUR HHZ, OZUR HHN, OZUR HHE, BOSR HHZ, BOSR HHN, BOSR HHE, TUDR HHZ, TUDR HHN, TUDR HHE, GHRR HHZ, GHRR HHN, GHRR HHE

Origins: Mark reviewed, Leave as-is, Mark NOT reviewed

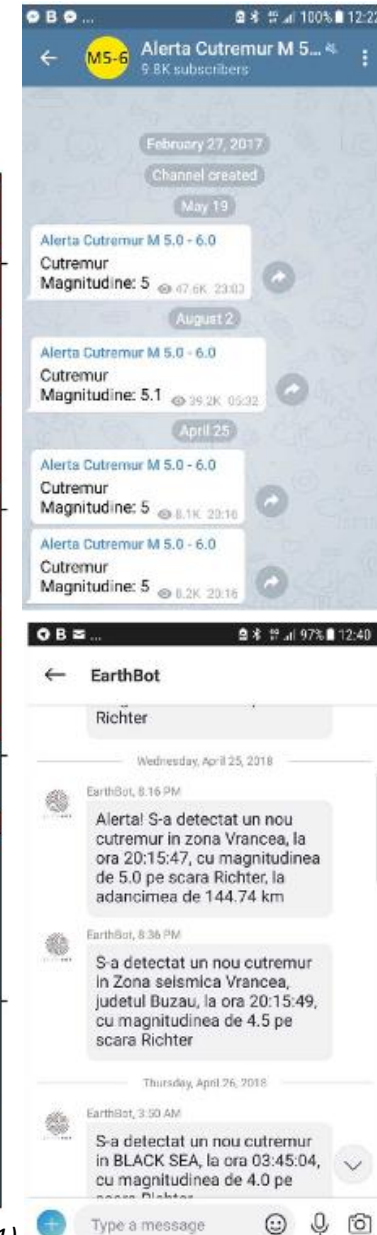
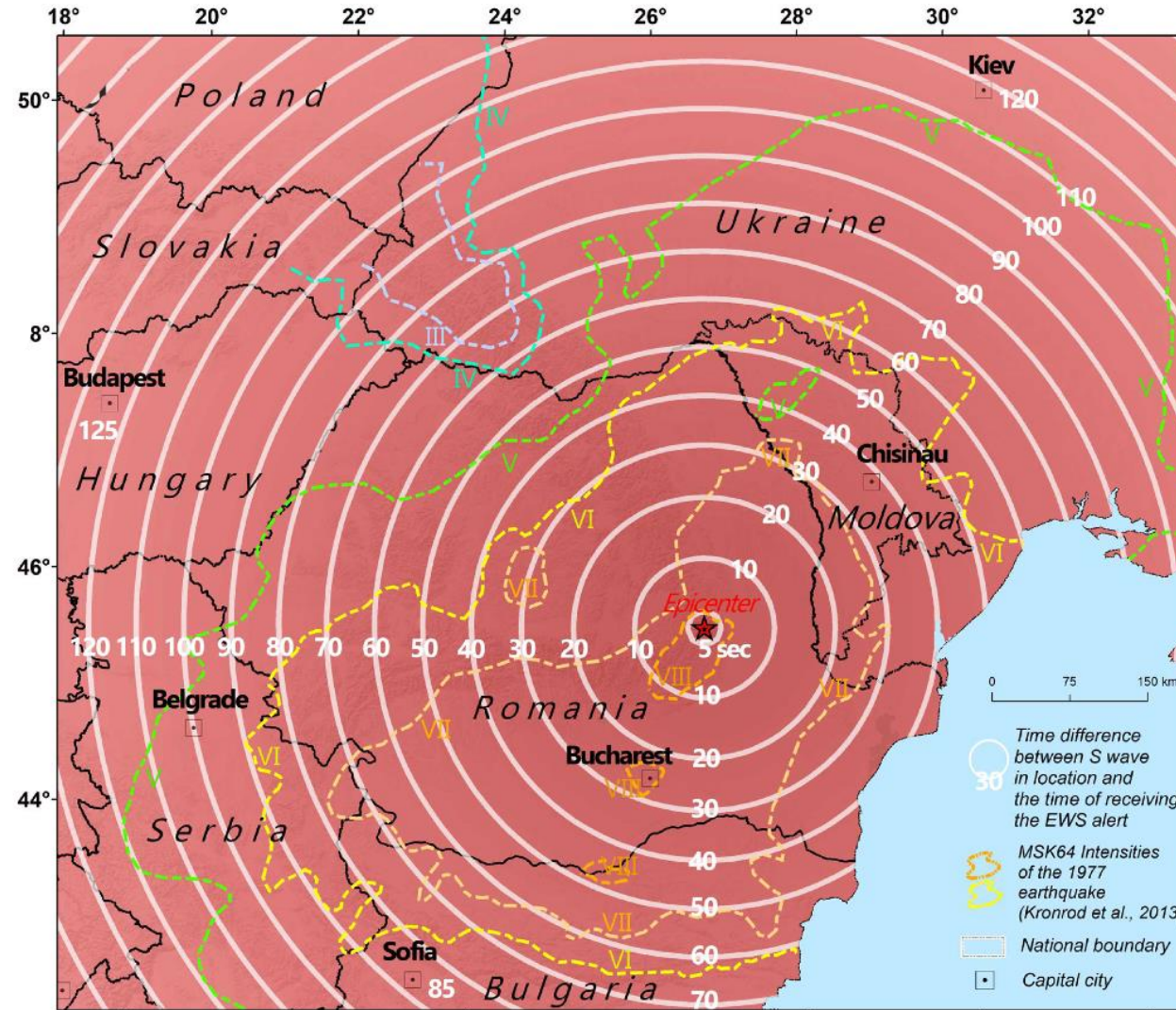
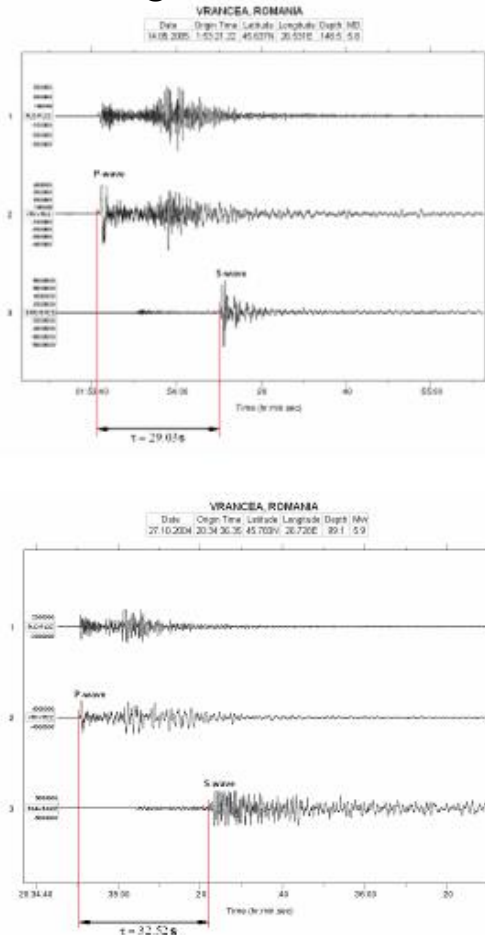
orid	Keep	Prefer	Etype	evid	lat	lon	time	depth	dtype	sdobs	auth	nass	ndef	ml	nb	algorithm
84	keep			22202143	45.4768	26.3894	10/22/2020 (296) 20:21:43.68774	123.0821	F	0.6731	NIEP:rtM1-reass	129	129	4.03		locsat:iasp91
86	keep			22202143	45.4780	26.3895	10/22/2020 (296) 20:21:43.71507	122.9080	F	0.6696	NIEP:rtM1	132	132	4.04		locsat:iasp91

Locate: dblecst2, iasp91, Station: 446102, Latitude: 45.4768, Longitude: 26.3894, Depth: 10, Fix Depth, Maximum Iterations: 40, View results, View magnitude results

Waveforms: Arrivals, Detections, Predicted, Synchronize, Channels: 1, 40, Further, All channels, Show waveforms, Hide waveforms

## Romanian Seismic Network – Earthquake early warning (EEW)

- Current Operational EEW System (since 2013) uses a network of 35 stations centered on Vrancea providing location and magnitude focusing only on the intermediate-depth events;
- 25 – 35 s warning for Bucharest;



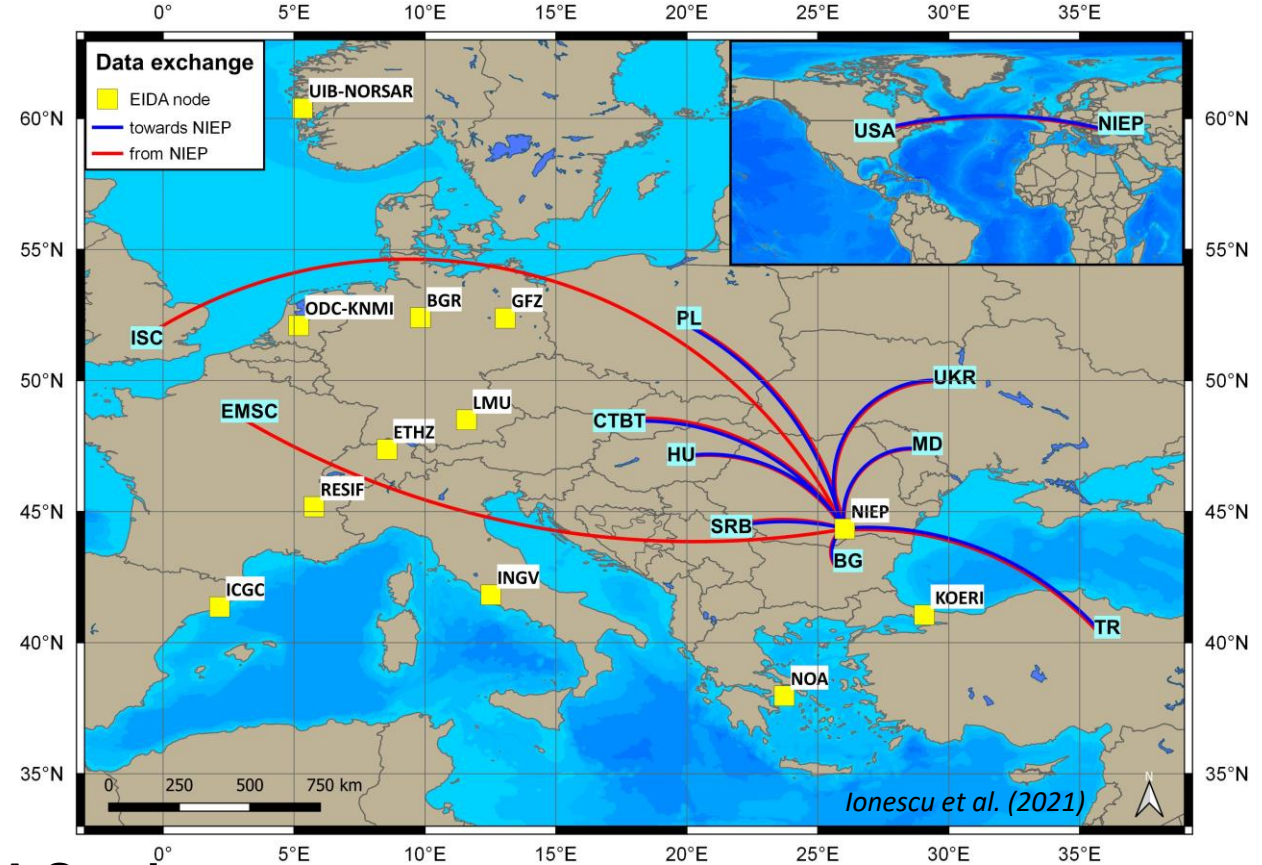
Mărmureanu et al. (2021)

## Romanian Seismic Network - Data Exchange & Services – EIDA NODE

- NIEP has been an EIDA primary node since 2014;
- NIEP has a seismic data archive of around 25 TB;

### Seismic networks within the EIDA node:

- RO - NIEP, National Seismic Network from Romania;
- MD - Digital Seismic Network from Moldova;
- BS - The Seismic Network from Bulgaria;
- UD – The Seismic Network from Ukraine;

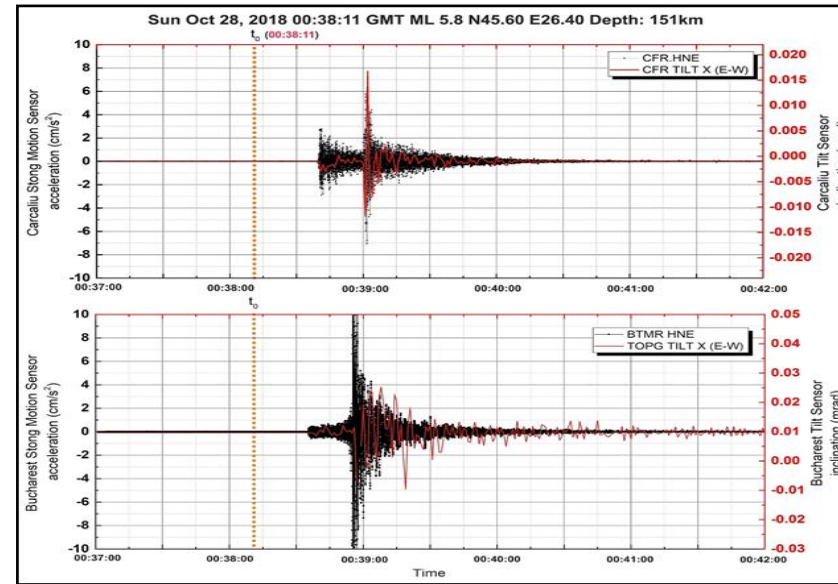
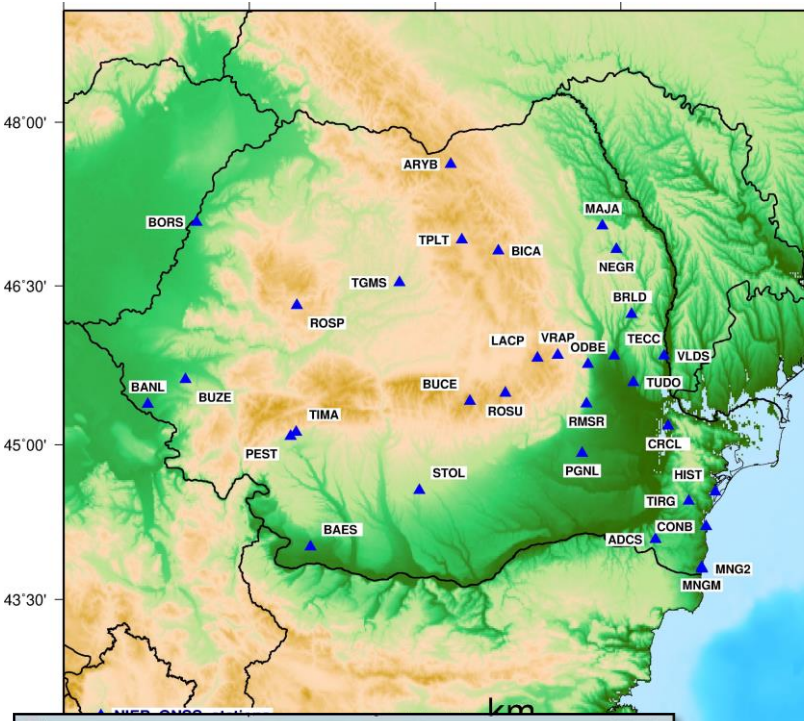


### NIEP – EIDA Services:

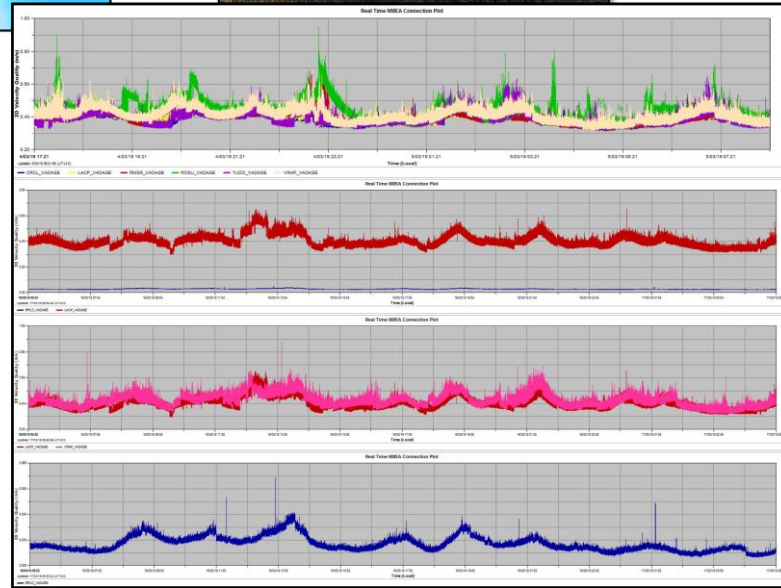
- FDSNWS - Dataselect (miniSEED): <http://eida-sc3.infp.ro/fdsnws/dataselect/1/>
- FDSNWS Station (station metadata): <http://eida-sc3.infp.ro/fdsnws/station/1/>
- Routing service: <http://eida-sc3.infp.ro/eidaws/routing/1/>
- WFCatalog: <http://eida-sc3.infp.ro/eidaws/wfcatalog/1/>



# GNSS Network



Management	Site Name	Site C...	Comm Activ...	Data...
Local Site Server	PGNL	PGNL	receive data	100.0
	ADCS	ADCS	receive data	100.0
	BRLD	BRLD	receive data	100.0
	TECC	TECC	downloading	100.0
	HIST	HIST	downloading	100.0
	RMSR	RMSR	downloading	100.0
	MAJA	MAJA	receive data	100.0
	STOL	STOL	receive data	100.0
	ARYB	ARYB	receive data	100.0
	BAES	BAES	receive data	100.0
	VRAP	VRAP	receive data	100.0
	CONB	CONB	downloading	100.0
	BUZE	BUZE	receive data	100.0
	ROSU	ROSU	receive data	99.9
	VLDS	VLDS	downloading	99.9
	TGMS	TGMS	receive data	99.9
	BUCE	BUCE	downloading	99.9
	MNGM	MNGM	receive data	99.9
	ROSP	ROSP	downloading	99.9
	BANL	BANL	receive data	99.7
	TIRG	TIRG	receive data	99.7
	BICA	BICA	receive data	99.7
	TUDO	TUDO	downloading	99.2
	CRCL	CRCL	receive data	97.9
	LACP	LACP	receive data	97.9
	BORS	BORS	receive data	96.7
	TIMA	TIMA	receive data	82.7
	TPLT	TPLT	receive data	78.8



- **33** Real-time **GNSS** stations;
- **4** Real-time **TILT** Sensors;
- **12 VADASE 10Hz** Real-time velocity recordings (*Variometric Approach for Displacements Analysis Stand-alone Engine*);
- **4** National **MoU** data exchange collaborations;
- **> 140** National GNSS stations processed;

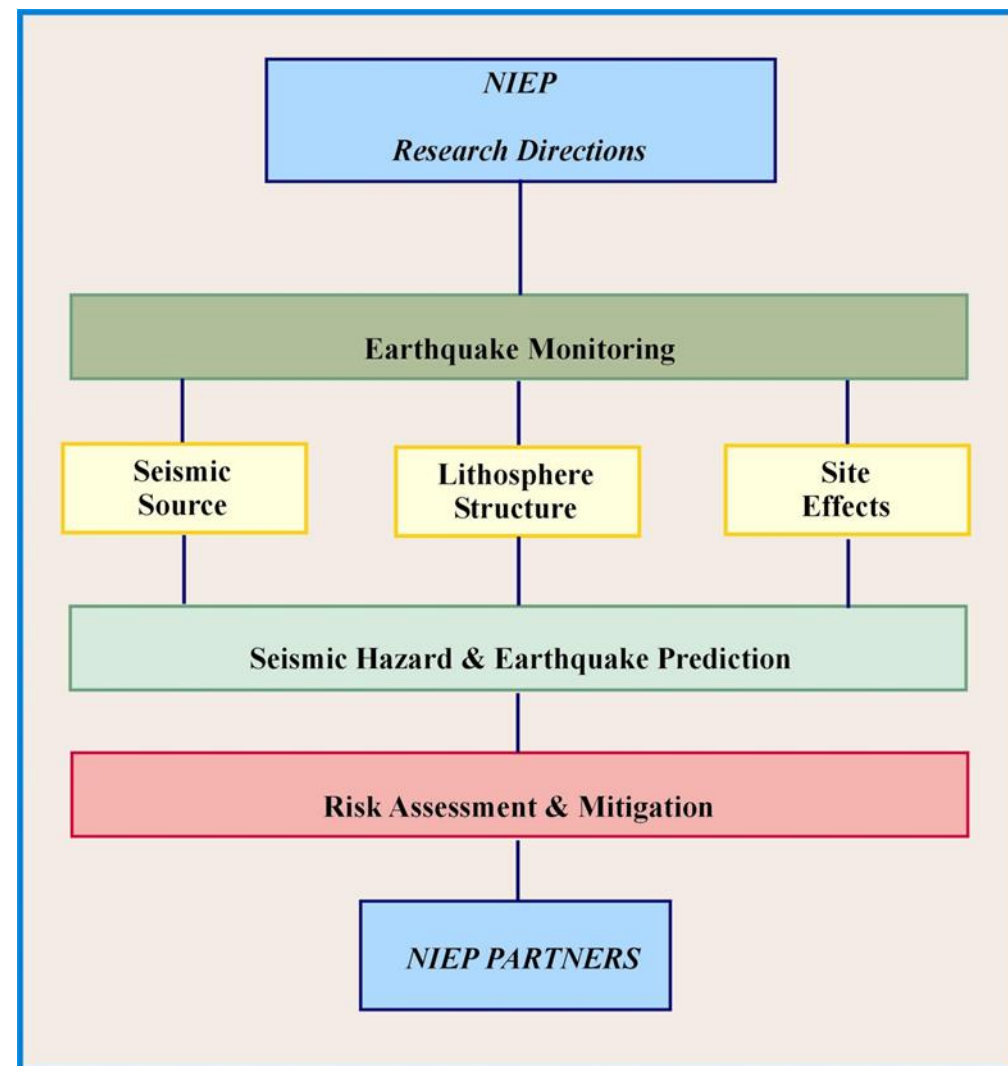
## Mobile Geophysical Instrument Pool

- Seismic (Surface vibrator source Elvis VII, Geode Exploration Seismograph, ATOM 3C wireless units, Nanometrics seismic stations, Raspberry Shake 3D);
- Georadar - Akula 9000C (GCB 100/300/700 MHz antennas);
- Magnetometers (G-862RBS, G-864 );
- A10 absolute gravimeter;
- Interferometers (Hydra-G, IBIS-FM EVO);
- Resistivity imaging instruments (Syscal Pro, FullWaver);



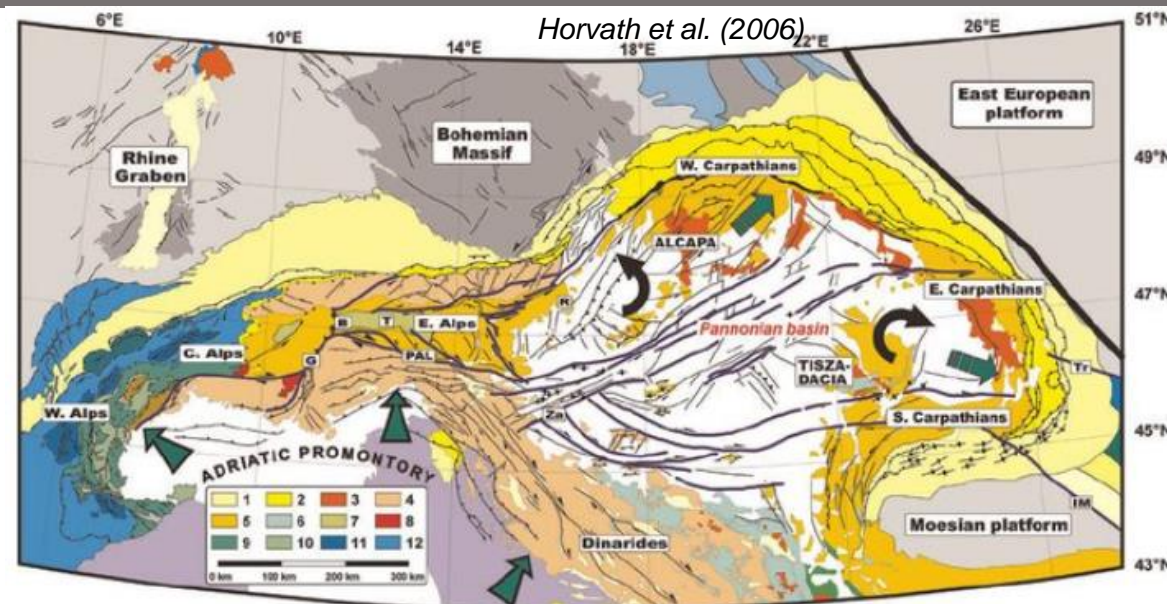
## Research interests &amp; cooperation

- Information, knowledge and technologies sharing;
- Establish co operations and scientific exchange;
- Capacity building;
- Scholarship, education and training;



## Complex tectonic factors shape the region of Eastern Europe

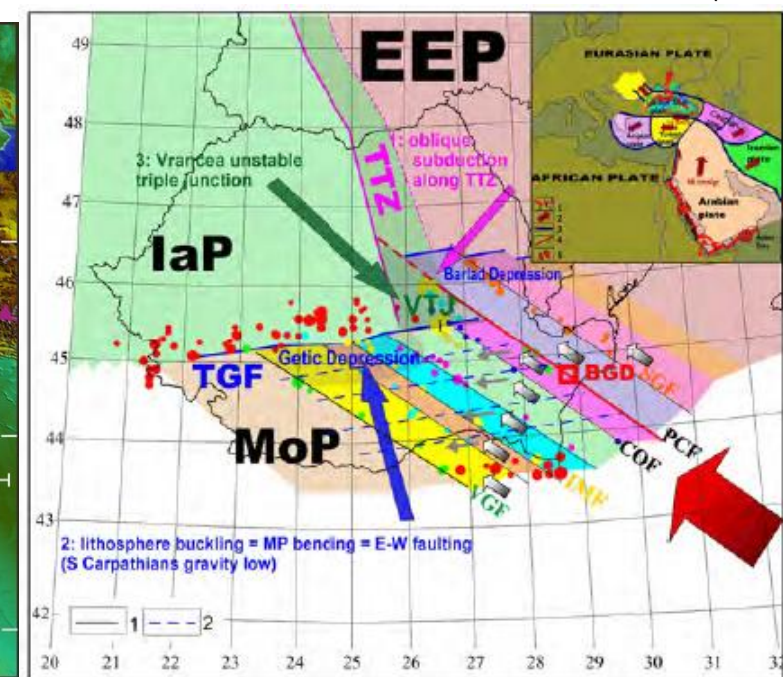
- Movement towards north of the Adria Plate leads to a transfer of deformations to the west and east;
- The evolution of Carpathians orogen and Pannonian Basin in Neogene is characterized by the relative movement of two independently-moving microplates;
- The effects of the Black Sea opening split the NW inland into several slivers by creating major faults trending NW-SE;

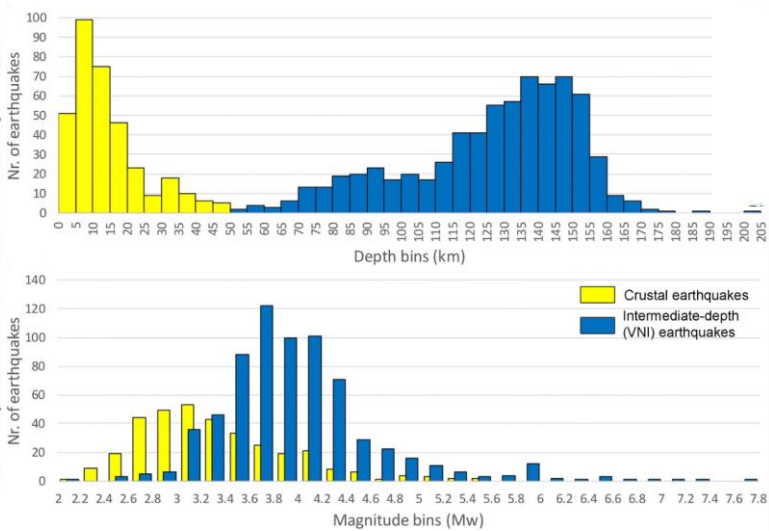
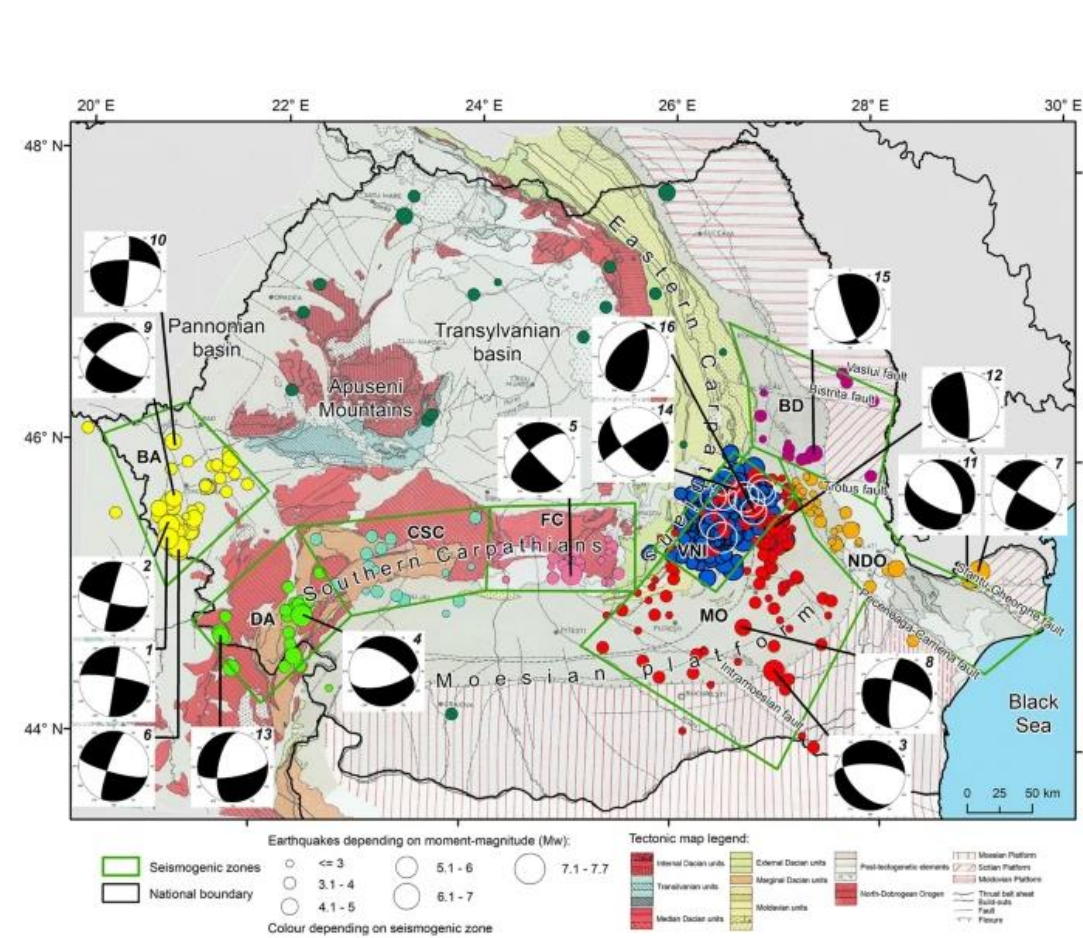


*Meng et al. (2021)*

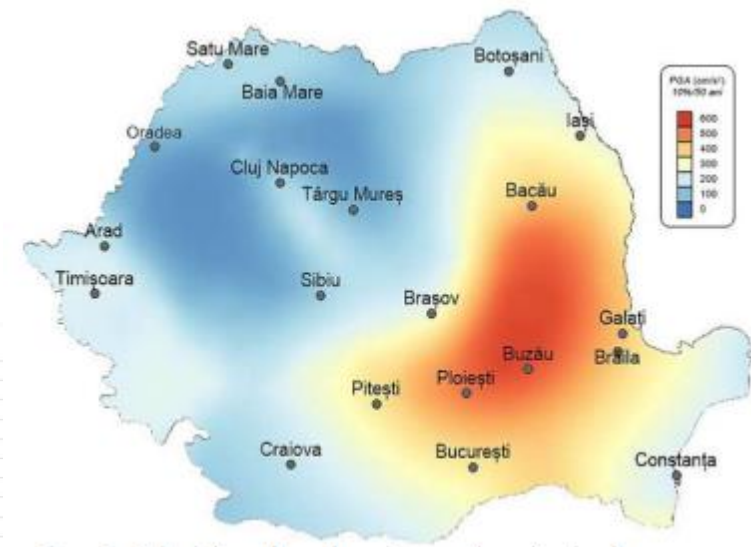


*Besutiu (2013)*





Bala et al. (2019)



Pavel et al. (2016)

- Romania has a significant seismic activity, especially in the Vrancea seismic zone, which is characterized by the occurrence of earthquakes with magnitudes greater than 7;
- The crustal earthquakes are smaller and more sporadic, spreading along the South Carpathians orogeny, Carpathians foreland and Pannonian depression;

## Seismic activity in the Vrancea region

- Vrancea - the most concentrated seismic area in Europe;

~ 30 x 70 x 130 km<sup>3</sup>

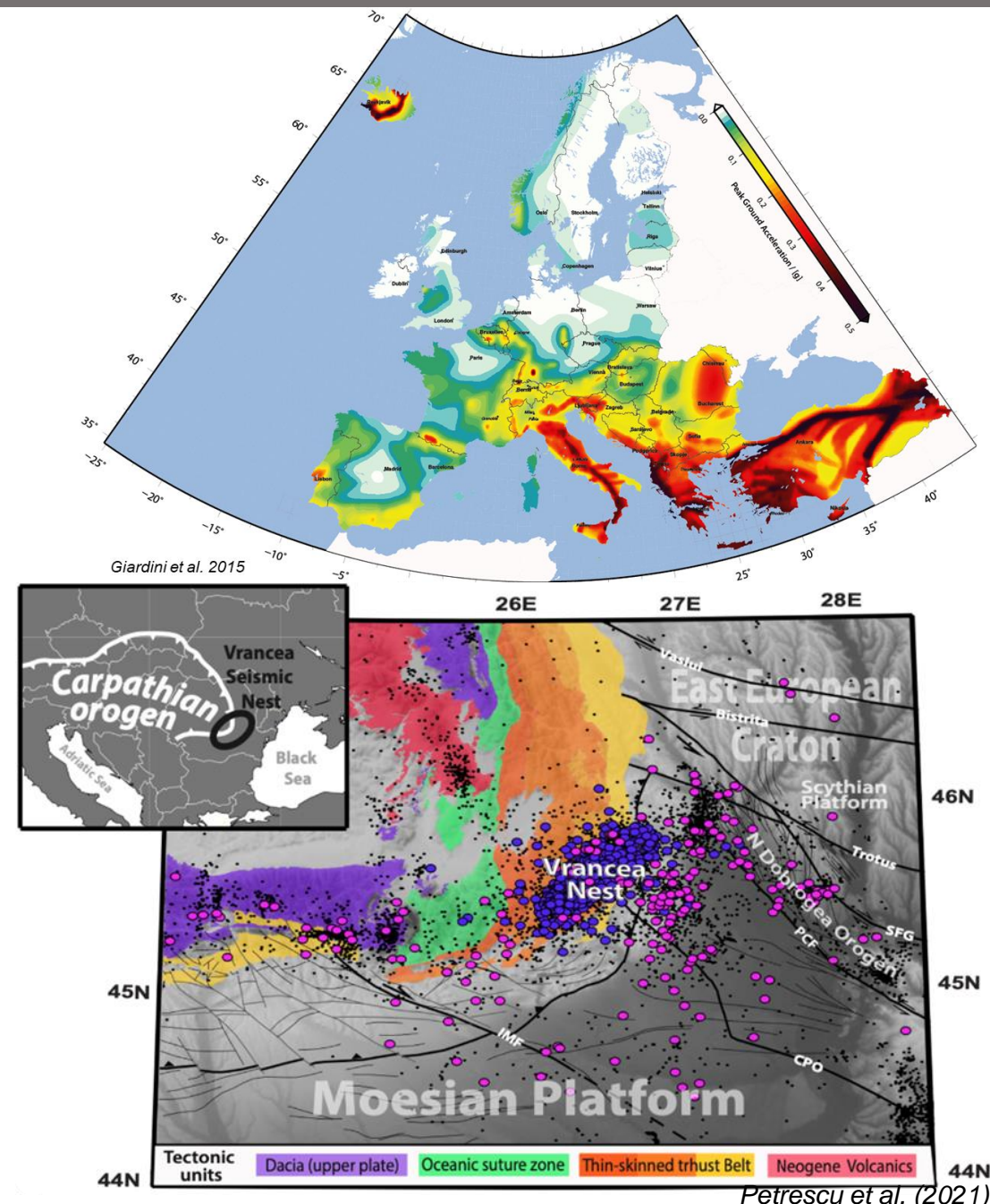
- The moment release rate is as high as the moment release rate of Southern California (Wenzel et al., 1998);

~1.2 x 10<sup>19</sup> Nm/year - (60 – 180 km);

~5.3 x 10<sup>15</sup> Nm/year - (1 – 60 km);

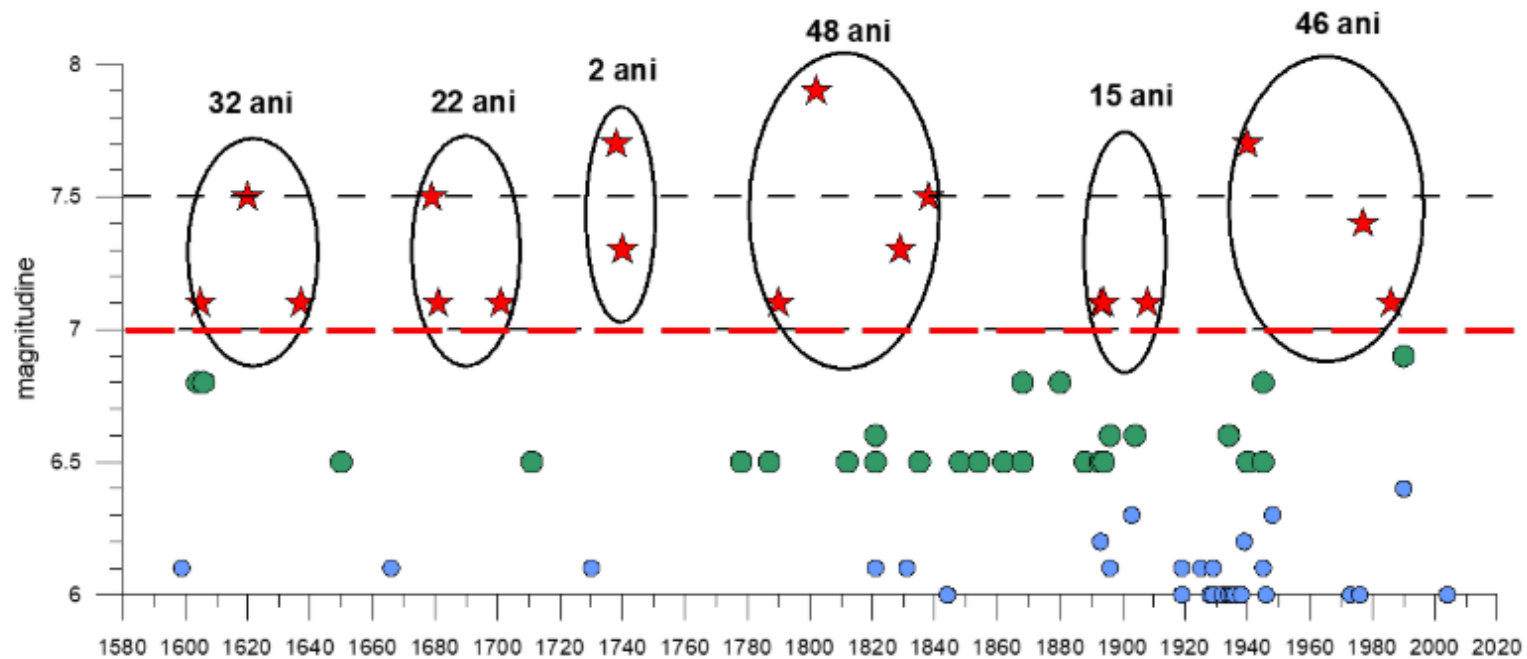
- The strong earthquakes are exclusively located at intermediate depths (60-170 km), while the shallow events are below magnitude Mw 5.5;

- The rate of seismicity indicated the occurrence of 2-4 shocks with magnitude Mw ≥ 7.0 per century;



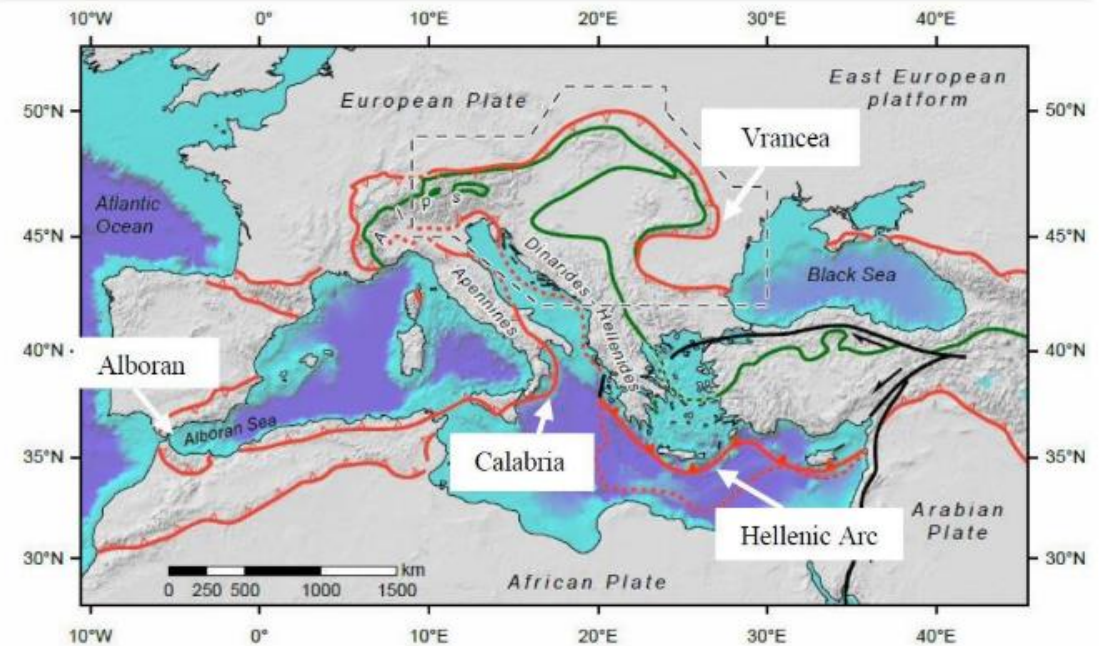
## Seismic activity in the Vrancea region (cont.)

- The tendency of big earthquakes  $M > 7$  to occur in clusters: periods of intense activity interrupted by intervals of low seismic activity.

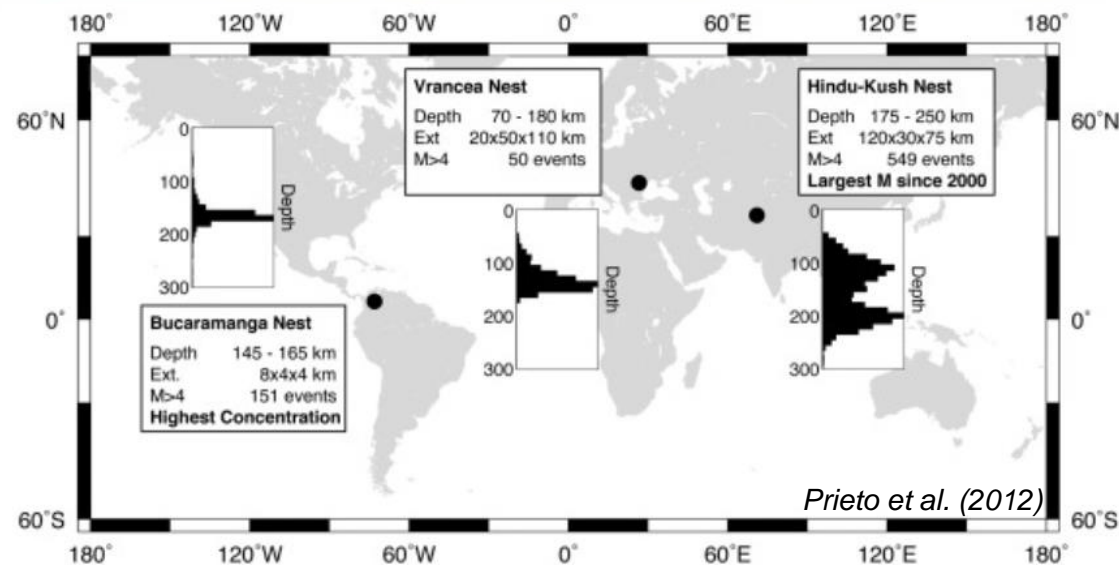
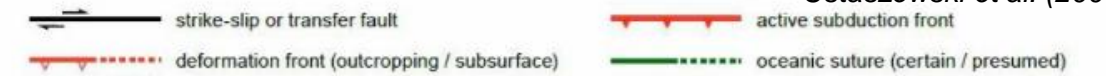


*Radulian et al. (2023)*

- In the framework of the Mediterranean Basin area, the Vrancea source belongs to the type of sources of intermediate depth located in arch-type structures;
- The subcrustal seismicity in the Vrancea region is confined to a narrow  $\sim 100\text{km}$  (height)  $\times 70 \times 30\text{km}$  volume in the upper mantle beneath the SE Carpathians ;
- Three remarkable nests in the world are located in Bucaramanga (Colombia), Hindu Kush (Afghanistan), and Vrancea (Romania);
- Earthquake nests are anomalous clusters because they are not necessarily located in or related to classic oceanic subduction systems at active plate margins;



*Ustaszewski et al. (2008)*

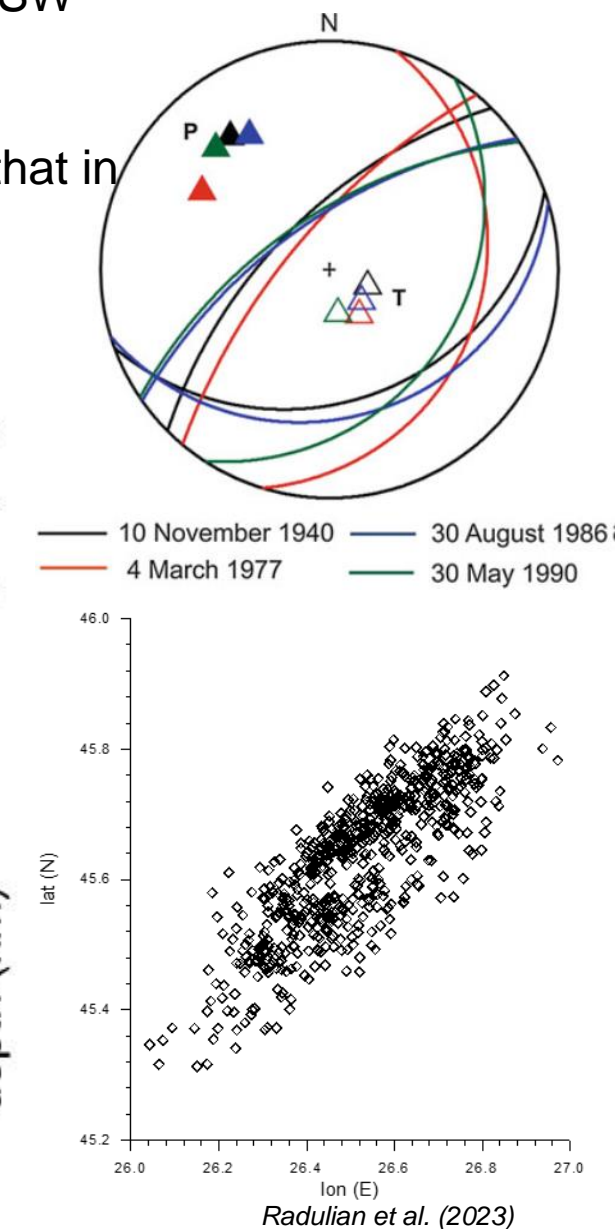
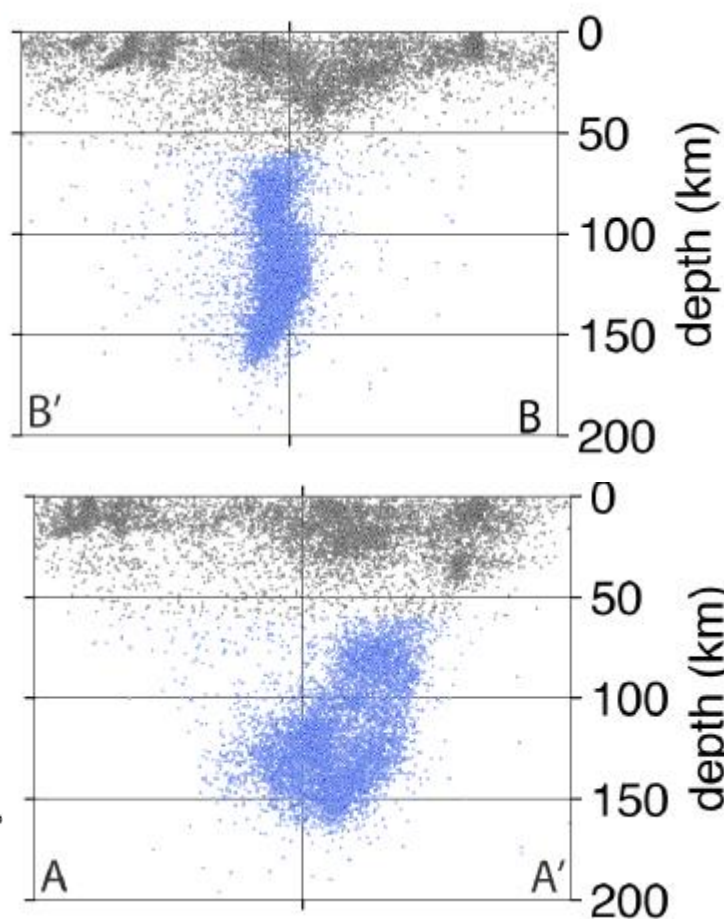
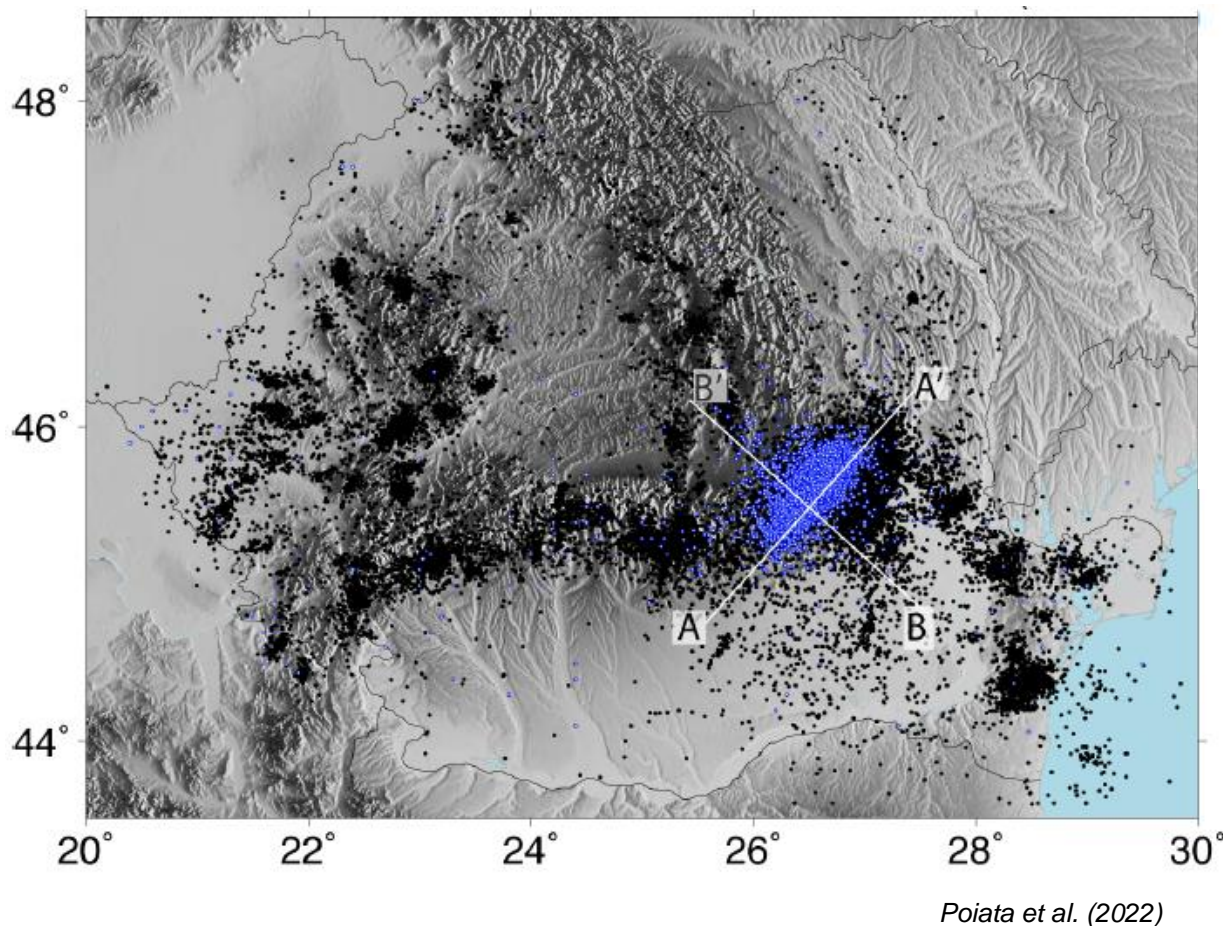


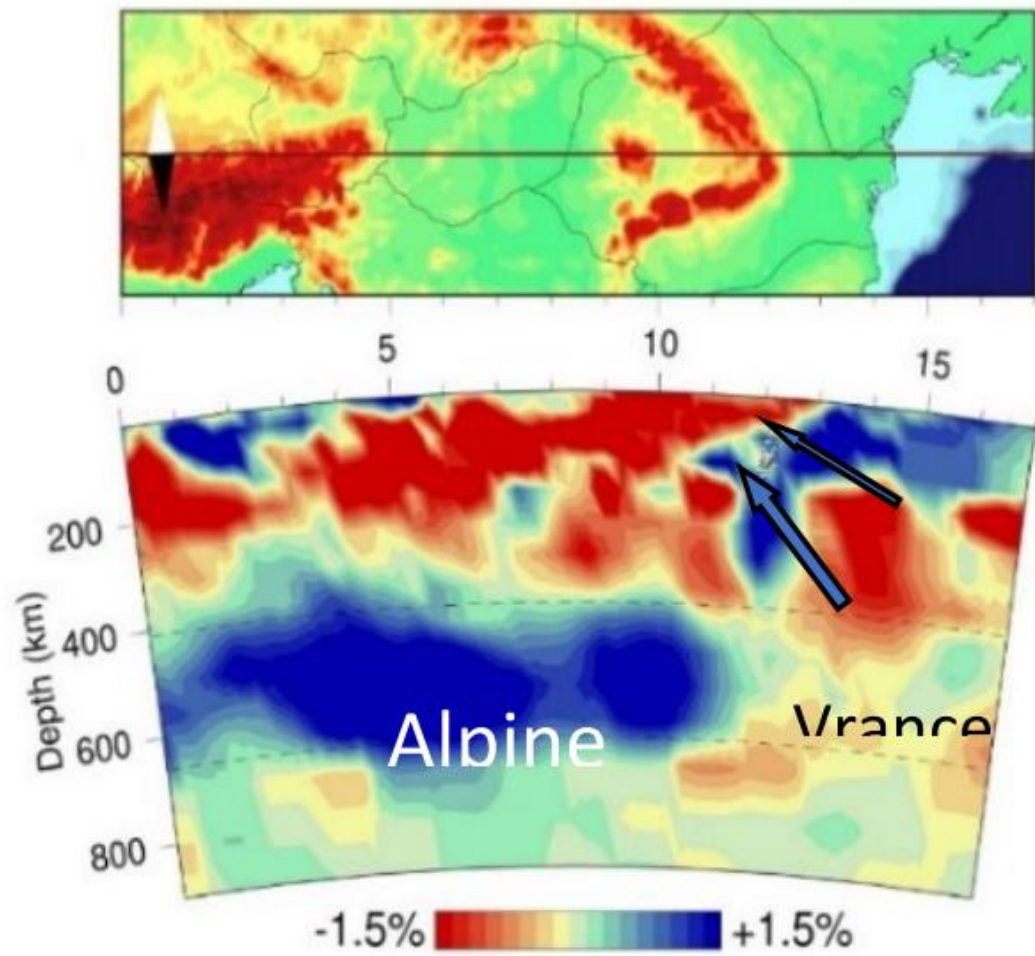
*Prieto et al. (2012)*



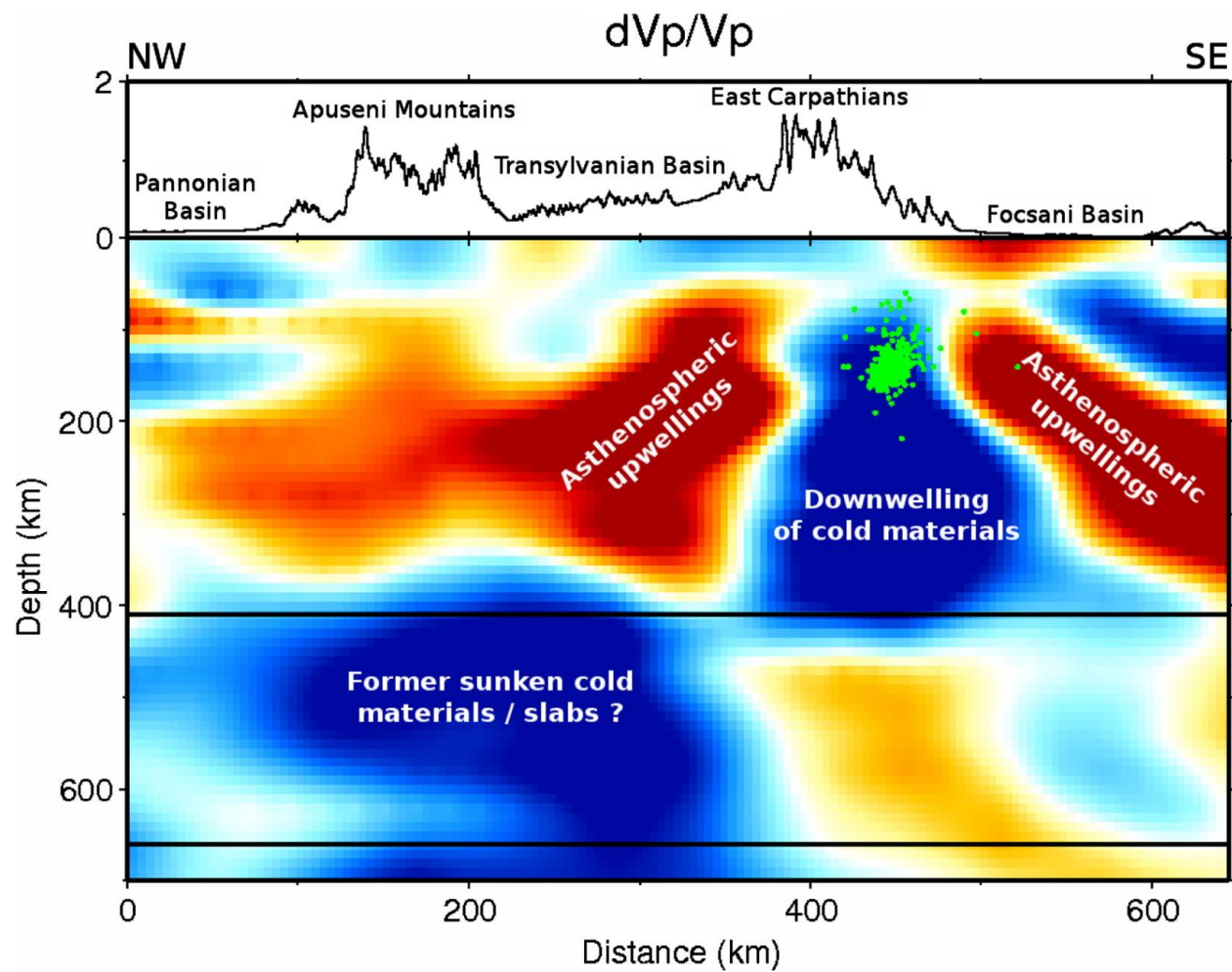
# Depth variation of seismicity in Vrancea

- The seismicity distribution is clustered in a narrow epicentral area elongated along NE-SW direction;
- The seismicity in the subducting slab is significantly more abundant and stronger than that in the overriding crust;

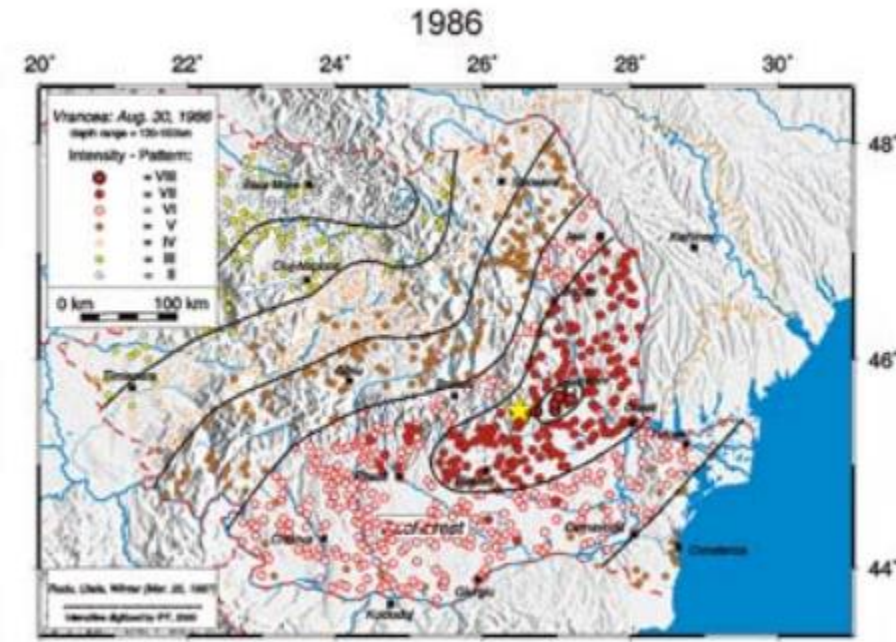
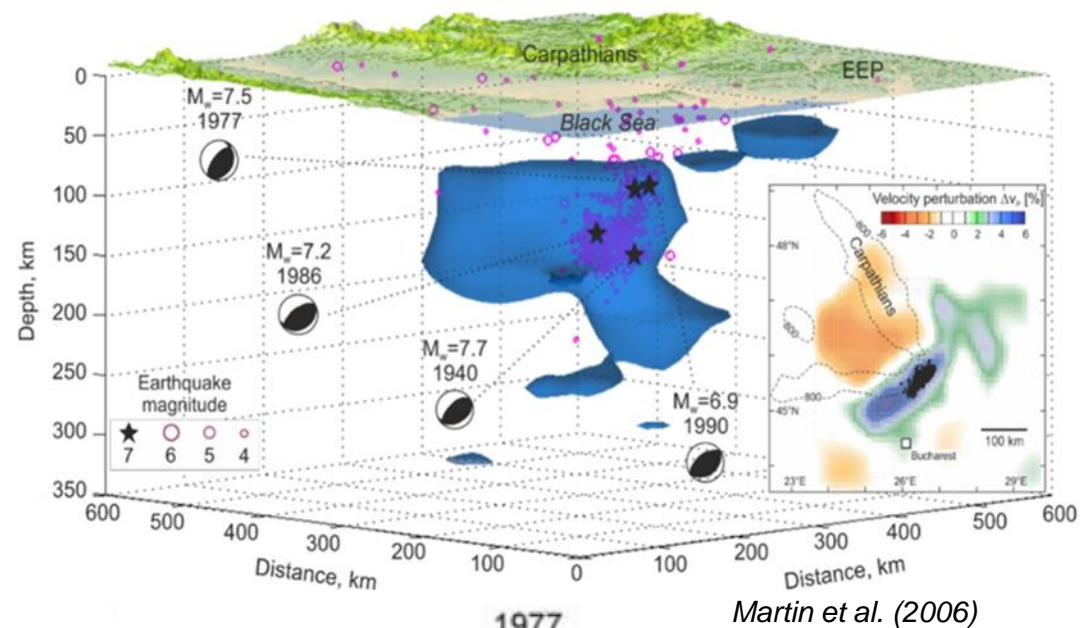




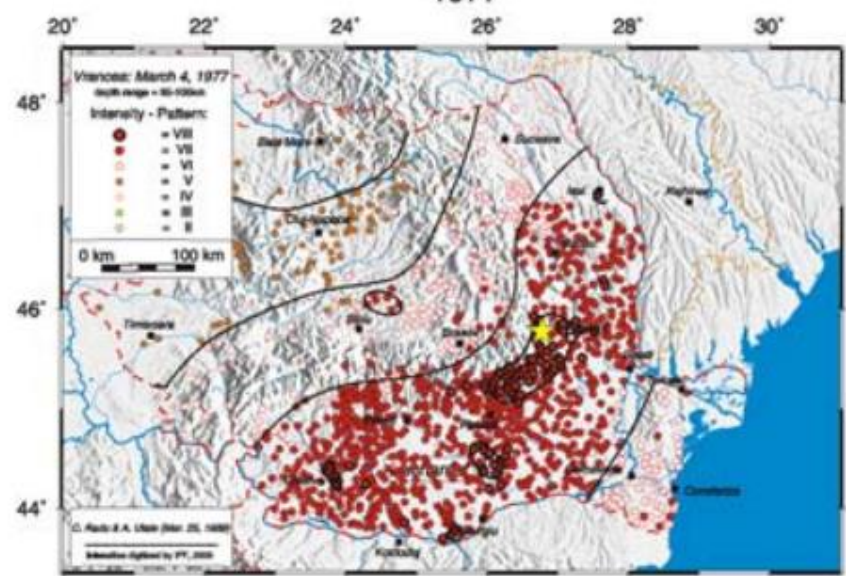
Wortel and Spakman, (2000)



Ren et al. (2012)

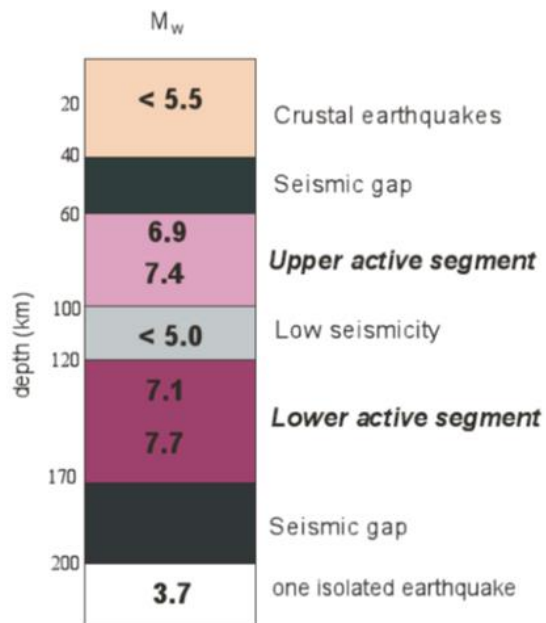


**08/30/1986; H = 131 km; Mw = 7.1**

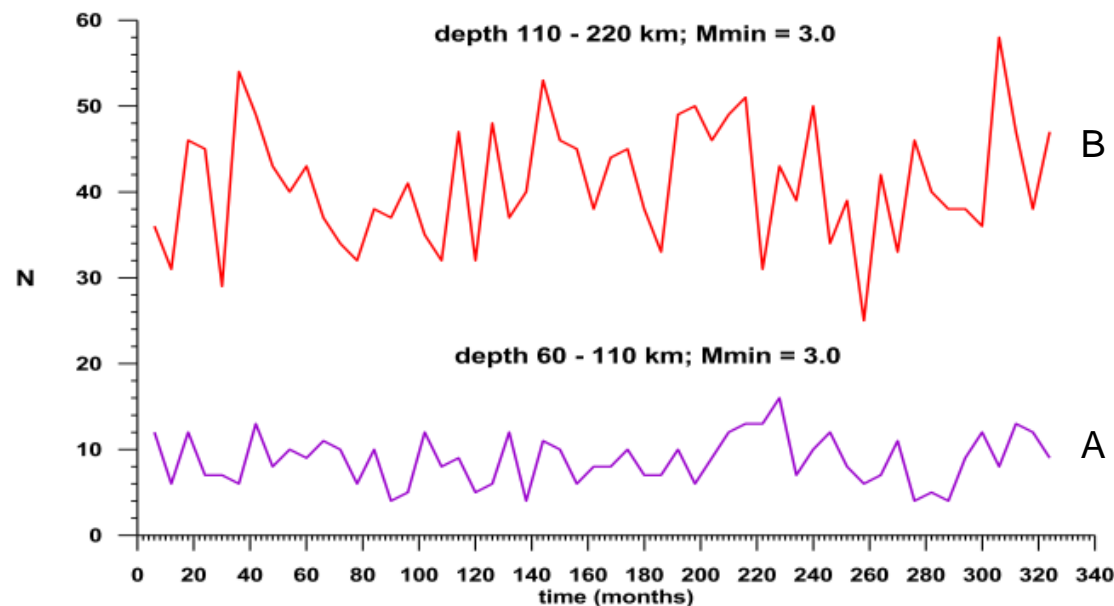
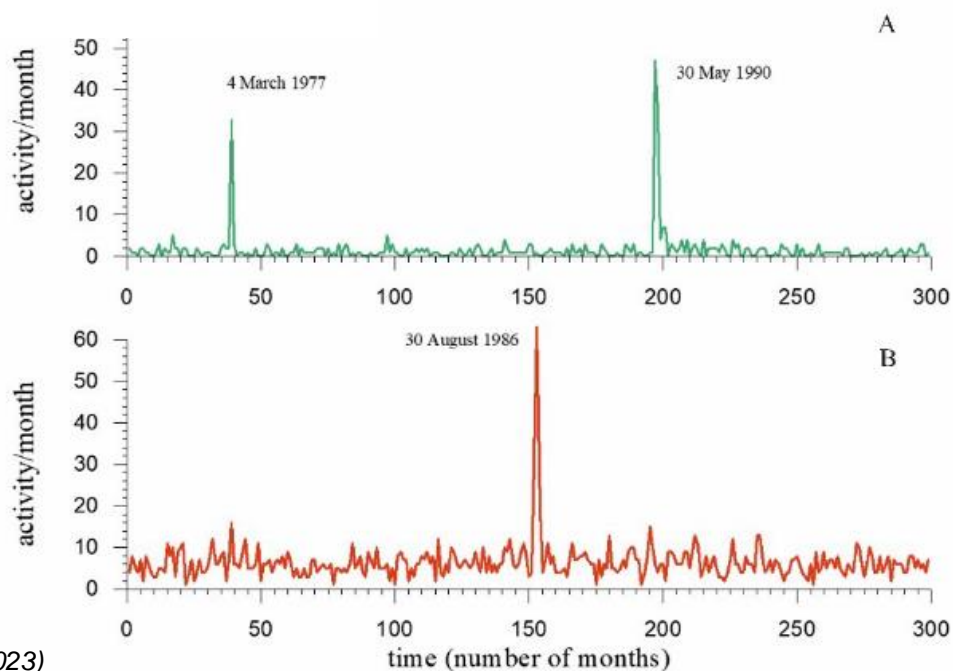


**03/04/1977; H = 94km; Mw = 7.4** *Sokolov et al. (2006)*

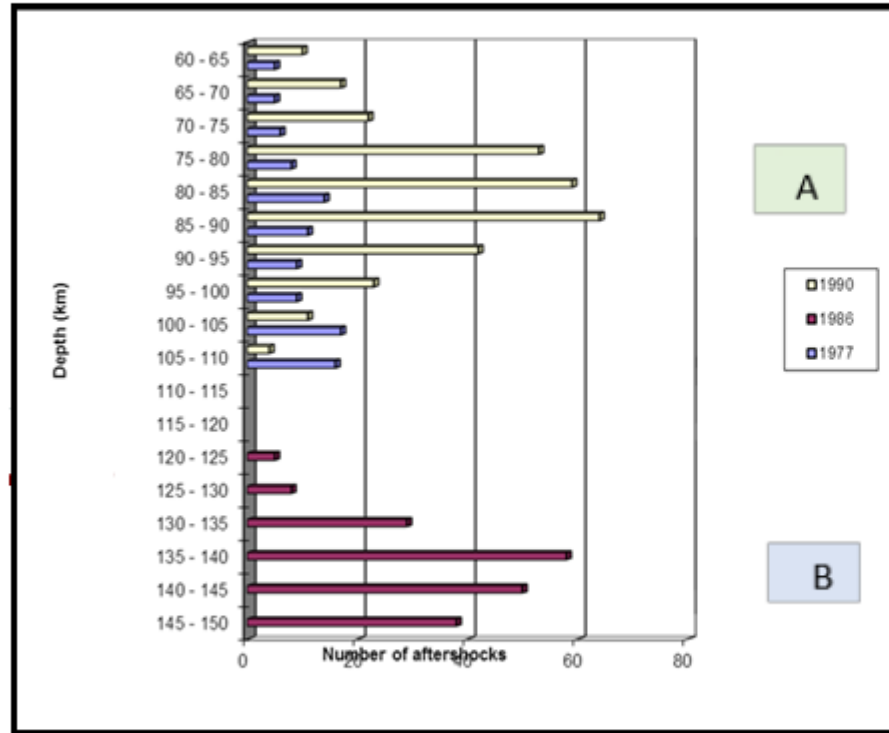
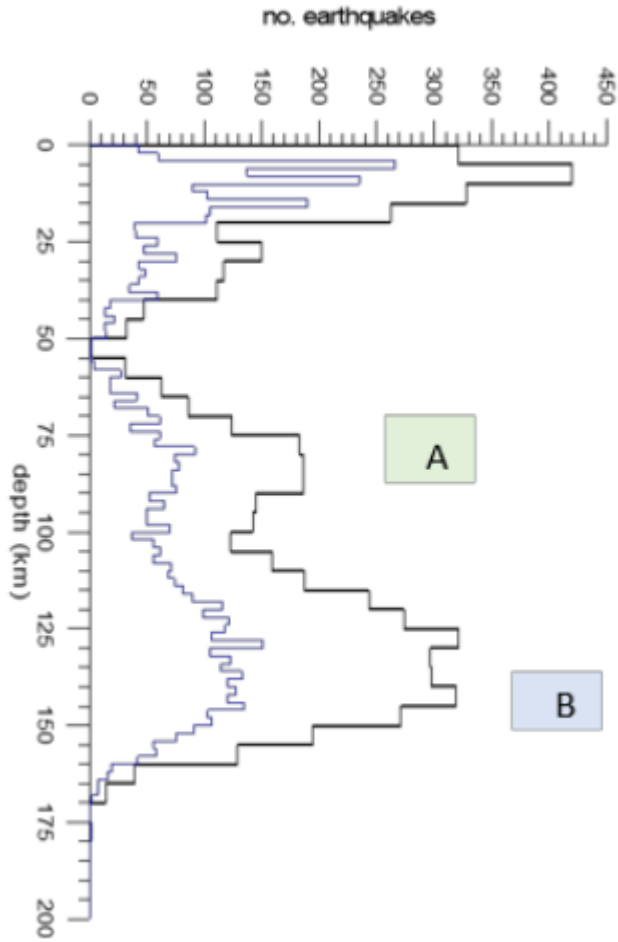
- The earthquakes affect very large areas with a predominant NE-SW orientation;
- NE-SW enhancement of effects coincides with the geometry of seismicity and of the fault-plane solutions;
- The radiation of seismic waves seems to be influenced by the depth of earthquake



- Seismic activity history in time indicate two active segments;
- Decoupling hypothesis between the two segments and that the processes that trigger major earthquakes;



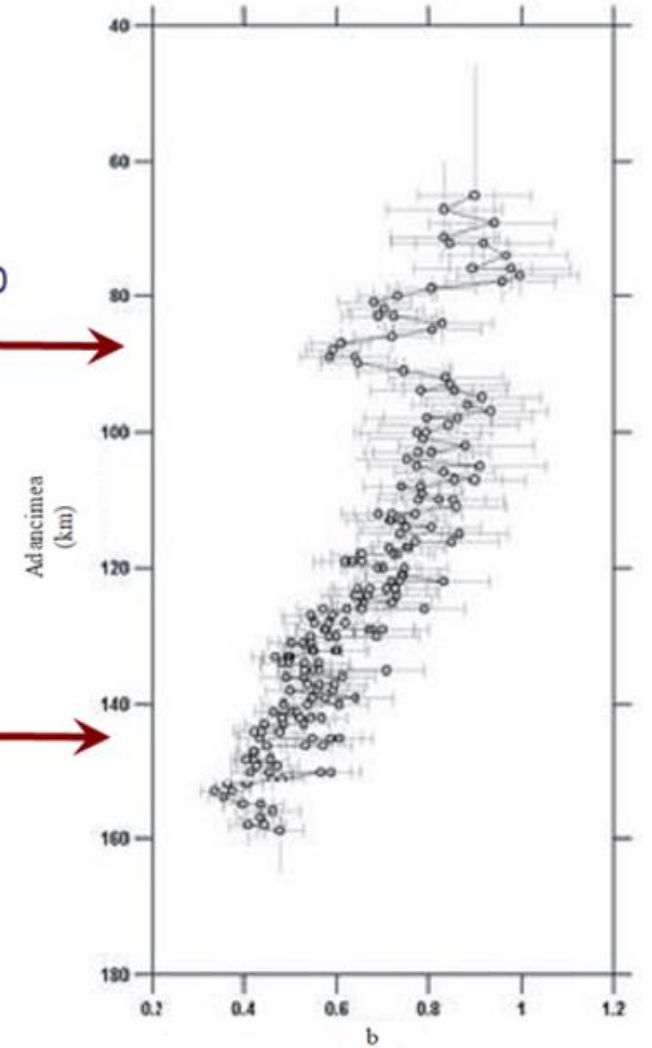
Resistant or not resistant material?



1977 1990  
2004



1940  
1986



## MOMENT TENSOR SOLUTION

### HYPOCENTER LOCATION (RONDC)

Origin time 20210525 21:30:37.20  
 Lat 45.5321 Lon 26.5226 Depth 131

### CENTROID

Trial source number : 5 (Fixed Epicenter inversion)  
 Centroid Lat (N) 45.5321 Lon (E) 26.5226  
 Centroid Depth (km) : 130  
 Centroid time : +0.45 (sec) relative to origin time



Moment (Nm) : 2.990e+15

Mw : 4.3

VOL% : 0

DC% : 90.7

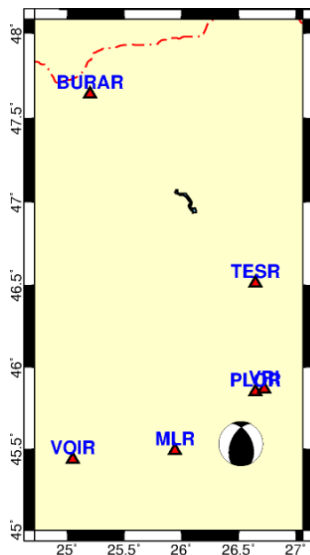
CLVD% : 9.3

Quality : A1

Var.red. (for stations used in inversion) : 0.6    NaN    3.7    3±2    0.17

Var.red. (for all stations) : 0.6

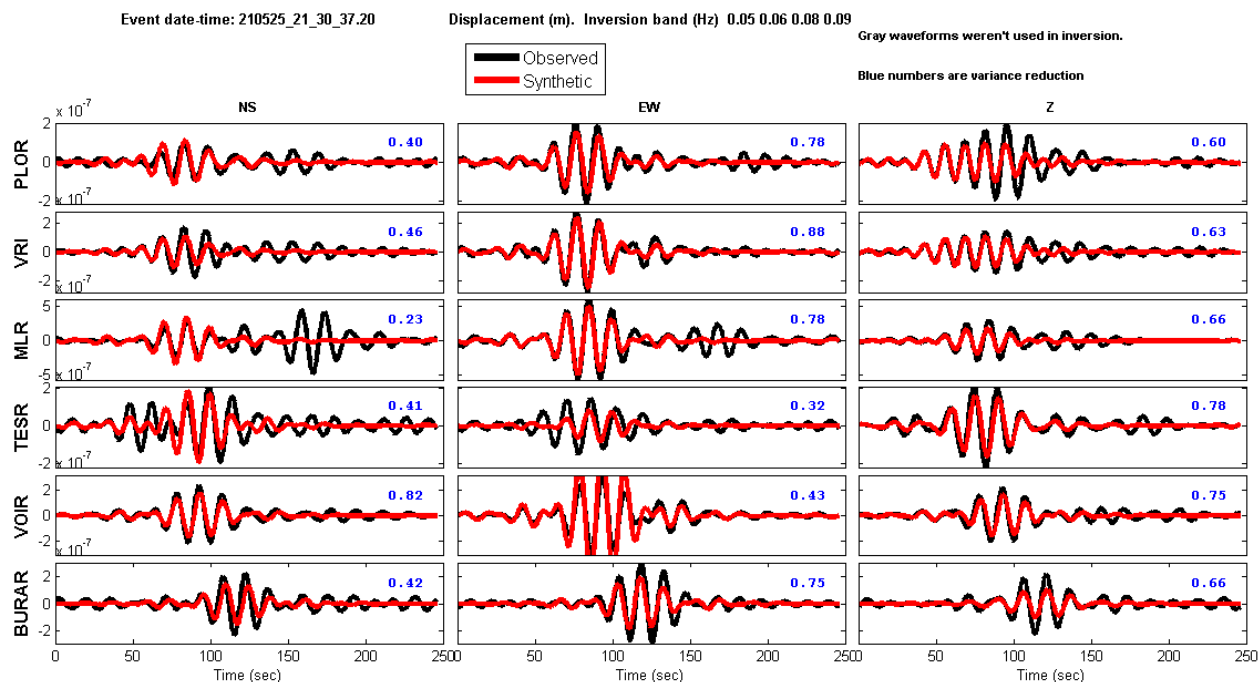
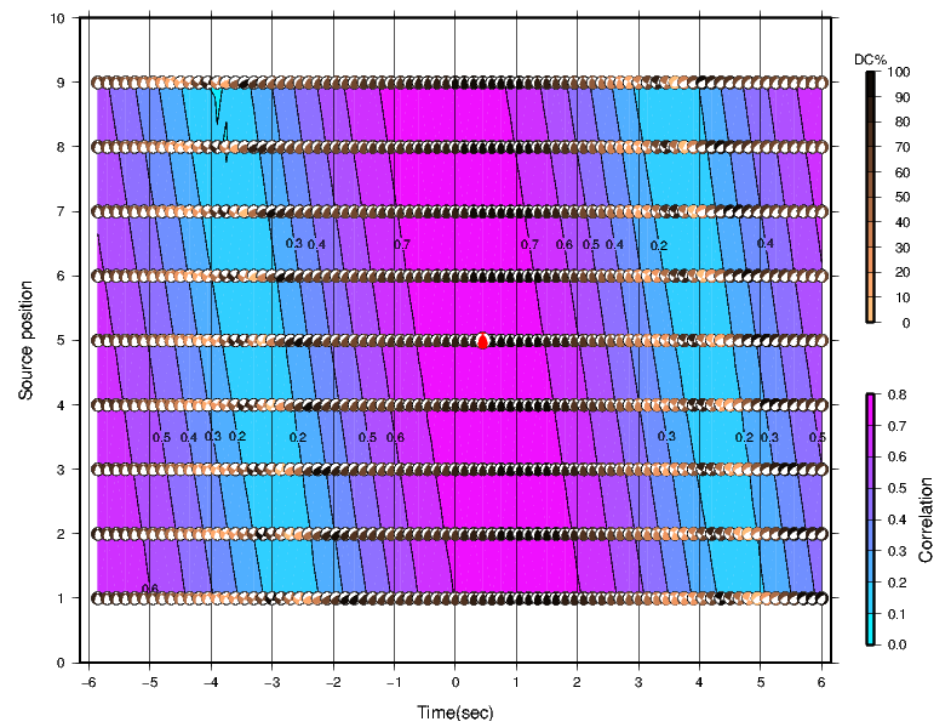
SNR    CN    FMVAR    STVAR



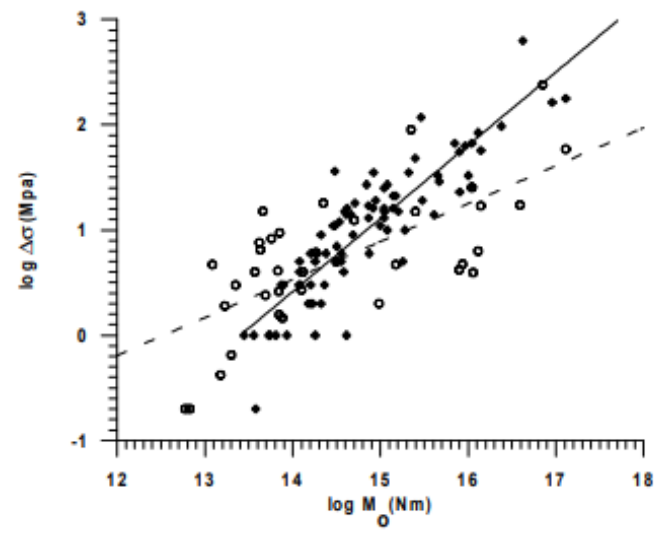
- REFMC catalogue comprising 193 focal mechanisms from the 1952–2012 (Radulian et al., 2019);

- RSN catalogue comprises 437 solutions, for events with magnitude ranges of  $2.7 \leq M_w \leq 5.6$  and depth interval of  $60 \leq H(\text{km}) \leq 162$ , that occurred from 2005 to 2020 (Craiu et al., 2022);

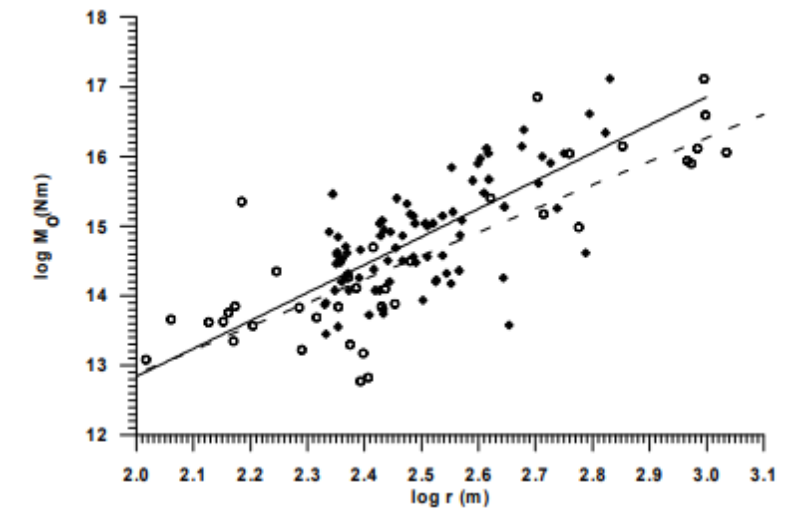
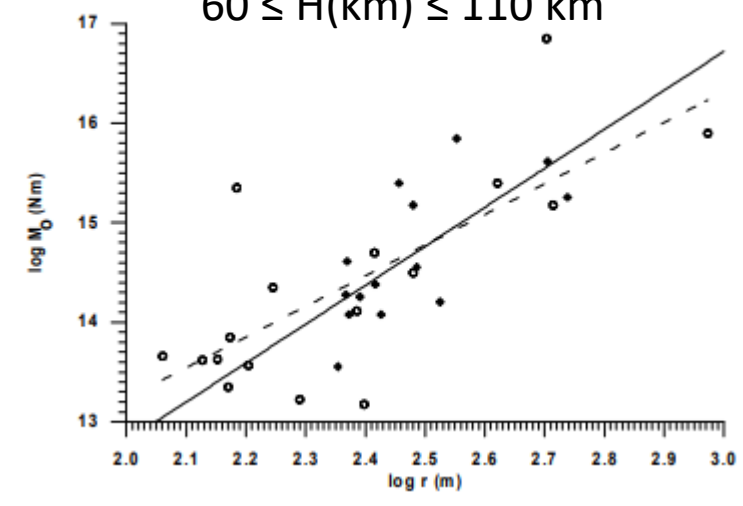
- FWC catalogue comprises 46 solutions for events that occurred from 2014 to 2023 ISOLA –www.infp.ro;



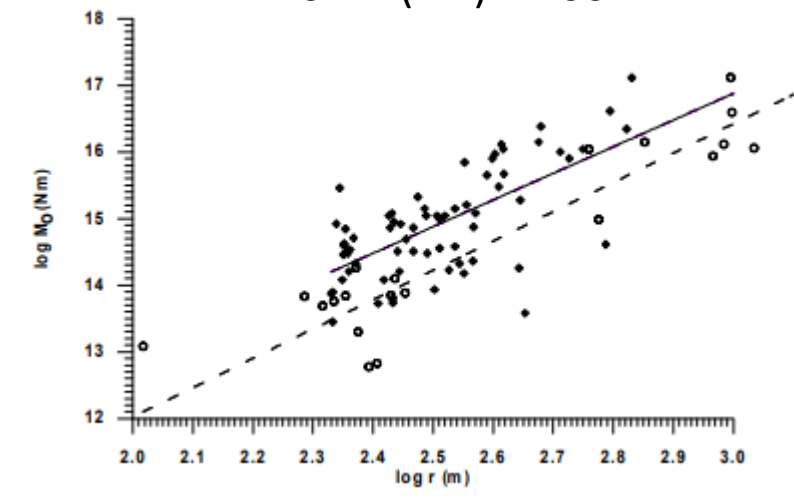
- Source parameters of 81 intermediate-depth earthquakes ( $2.9 \leq M_W \leq 5.3$ ) were determined based on the inversion of the P-wave displacement spectra and spectral ratios method;



$60 \leq H(\text{km}) \leq 110 \text{ km}$



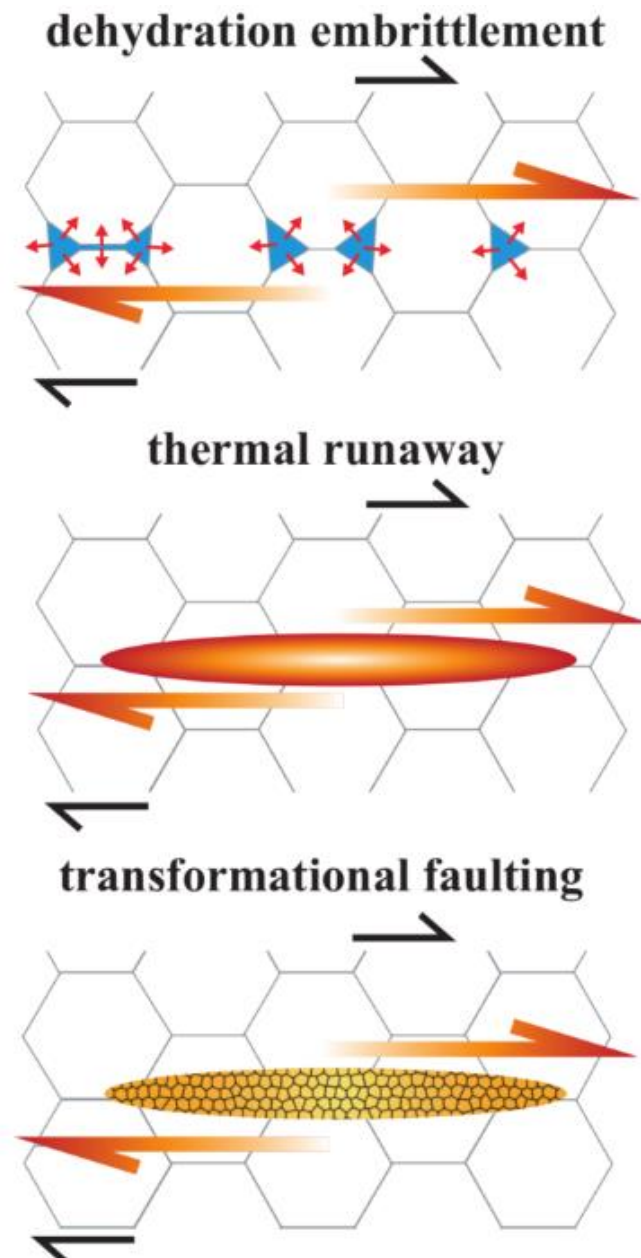
$110 \leq H(\text{km}) \leq 200 \text{ km}$



**Dehydration embrittlement** – Hydrated minerals expel water into rock pores increasing pore fluid pressure. If the permeability is insufficient to relieve increasing fluid pressure rocks undergo weakening and embrittlement leading to shear fracturing and a sudden stress drop (Frohlich 2006);

**Thermal runaway** –If heat generation inside a deforming rock is faster than its transport the rock becomes unstable and prone to brittle failure (Ogawa, 1987);

**Transformational faulting** – Minerals can undergo phase transformation resulting in fine grained rock that is easier to deform (Ferrand et al., 2017);



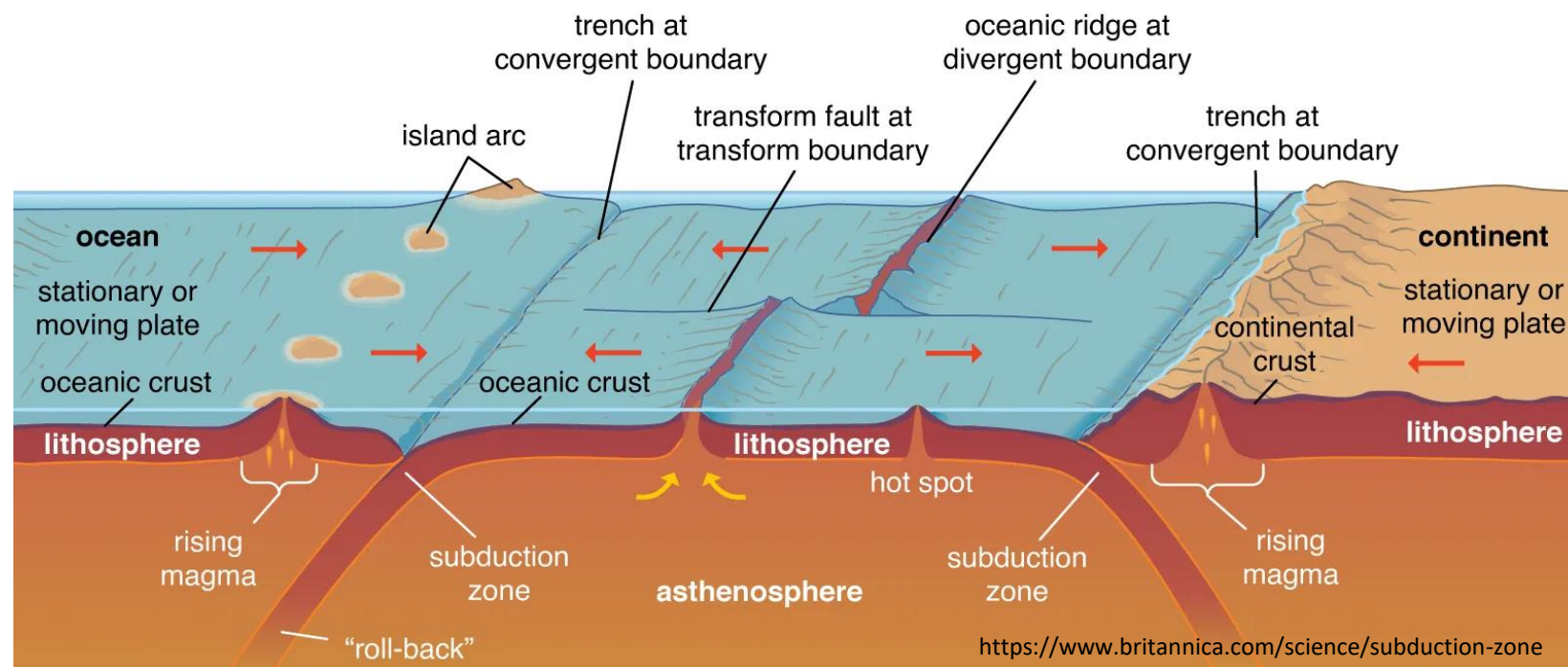


## Dehydration embrittlement

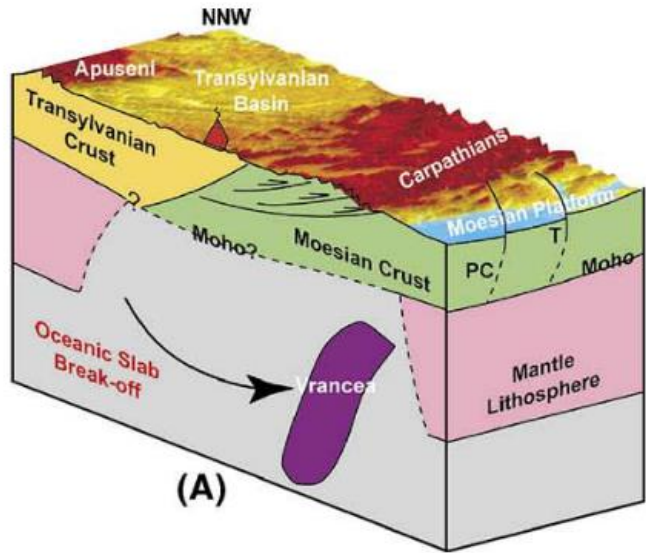
Water enters the oceanic lithosphere especially along bending faults and reworked transform faults and it captured inside minerals;

The subducted slab is a subject of high pressure and temperatures causing hydrated minerals to release water;

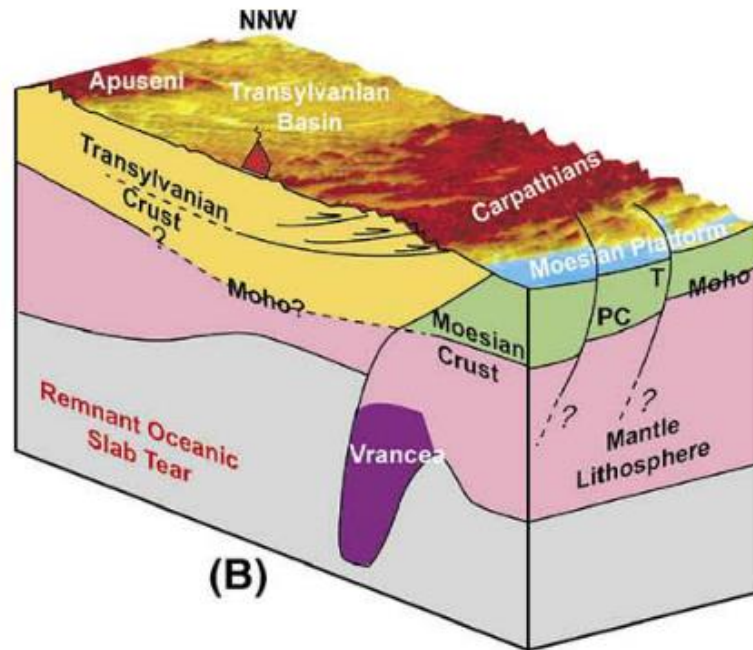
The presence of fluids in Vrancea could imply that the slab is oceanic in origin;



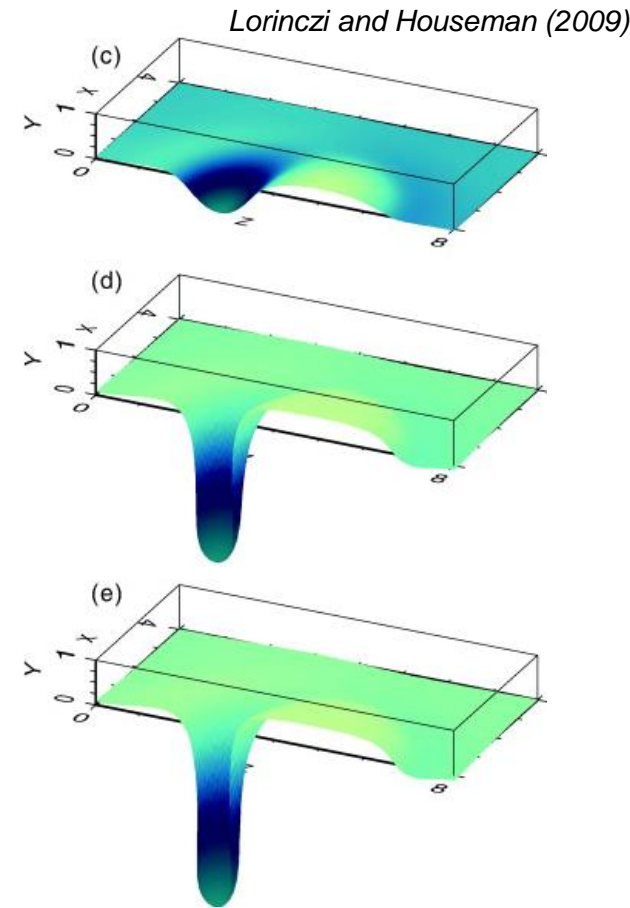
Oceanic slab subduction and break-off



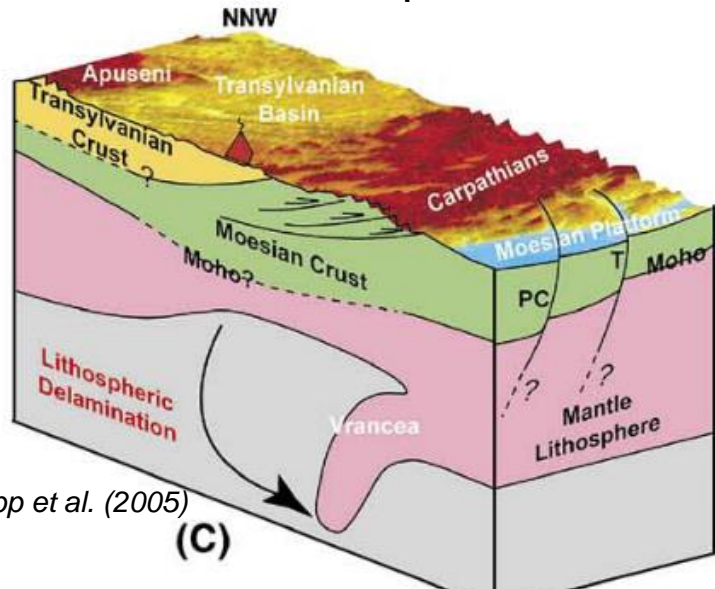
Oceanic slab subduction and progressive tear



Lithospheric drip

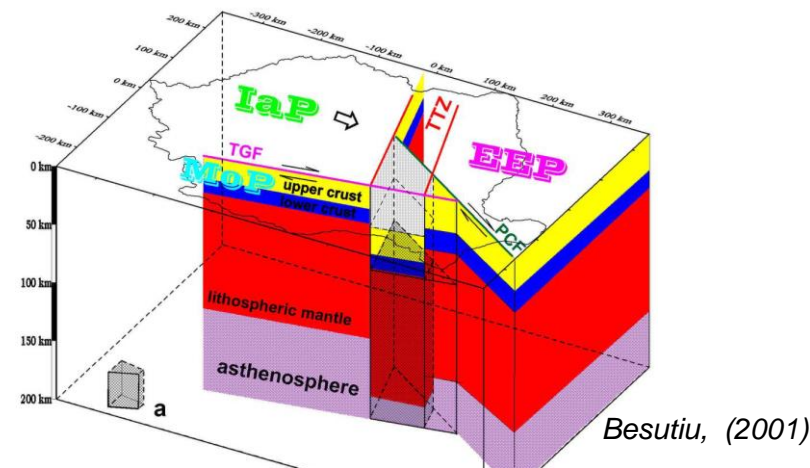


Continental lithospheric delamination



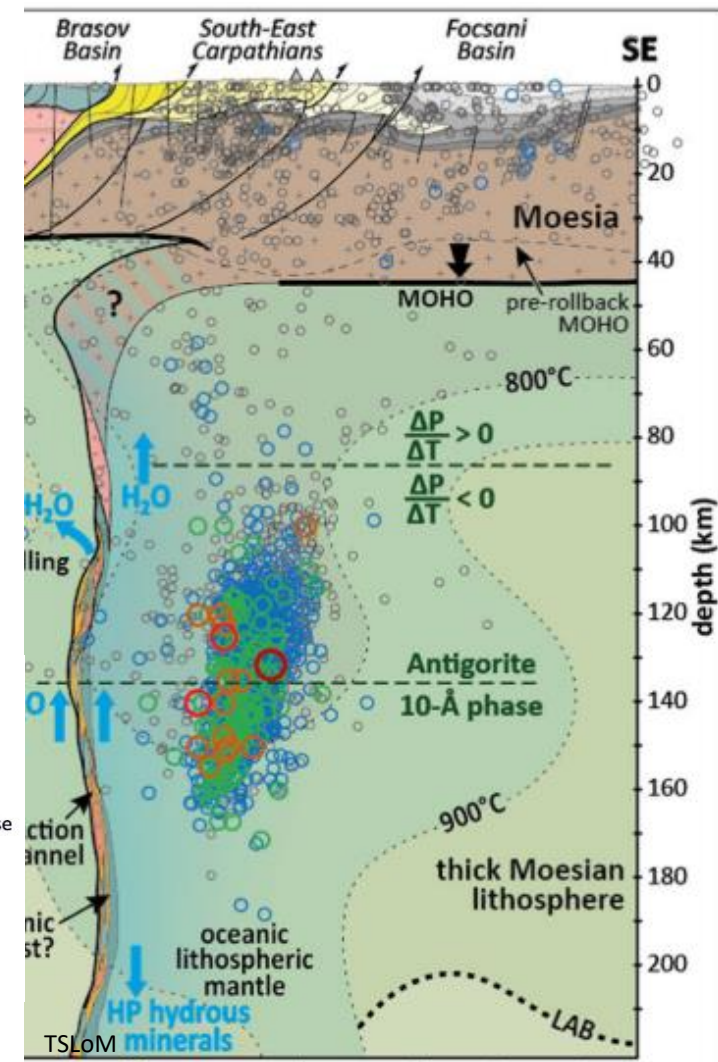
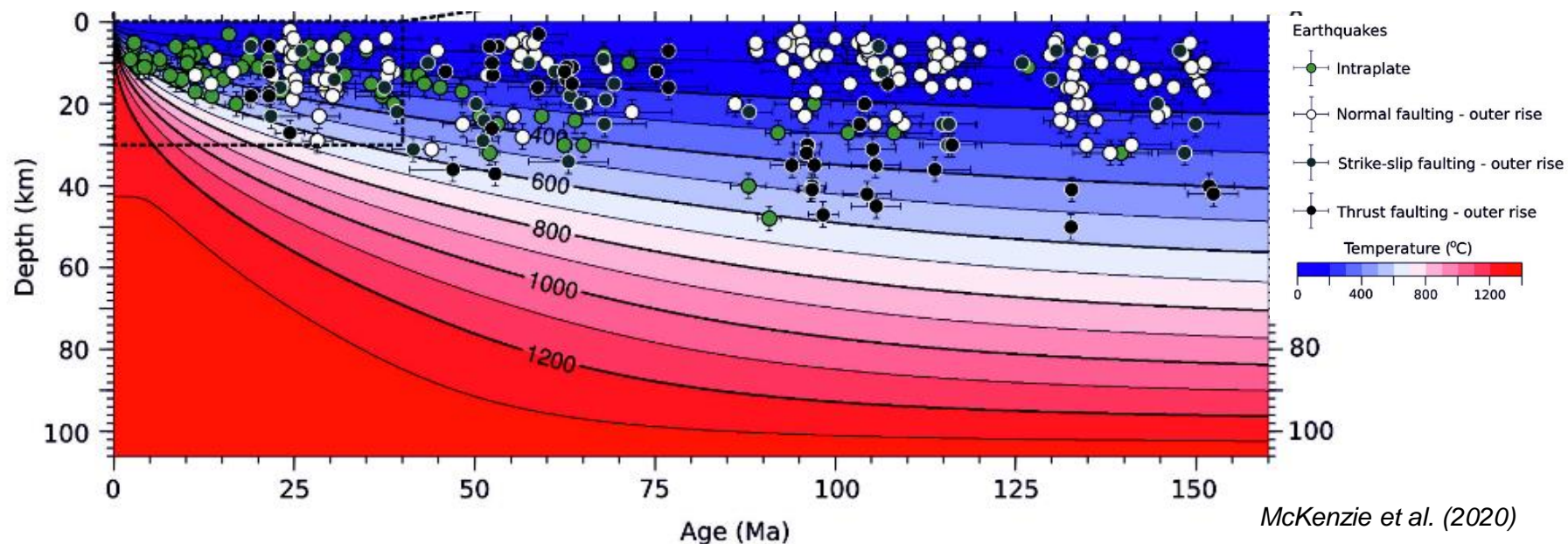
Knapp et al. (2005)

Unstable triple junction

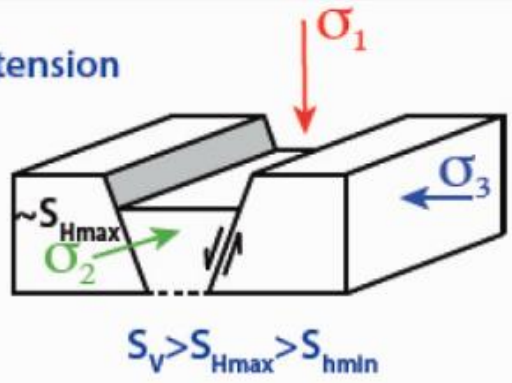


## Dehydration embrittlement

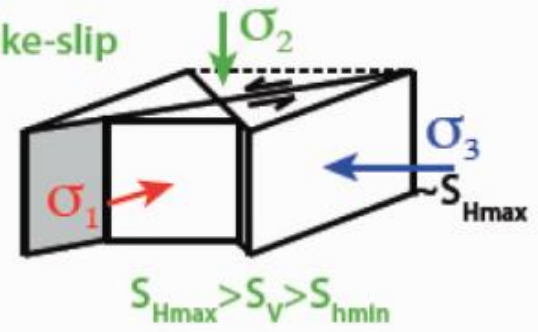
- Earthquakes distribution is controlled by temperature with material hotter than 600°C being aseismic;
- A delaminated continental lithosphere root would still be aseismic at lower depths unless brittle failure is assisted;
- Ferrand & Manea (2021) modelled the thermodynamic stability limits for the minerals typical of the uppermost mantle oceanic crust and lower continental and found a good correlation between Vrancea subcrustal earthquakes and antigorite dehydration;



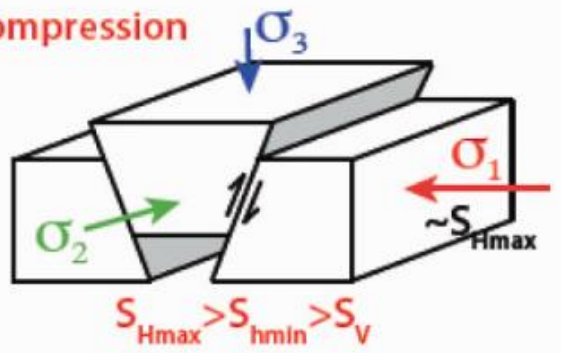
Extension



Strike-slip

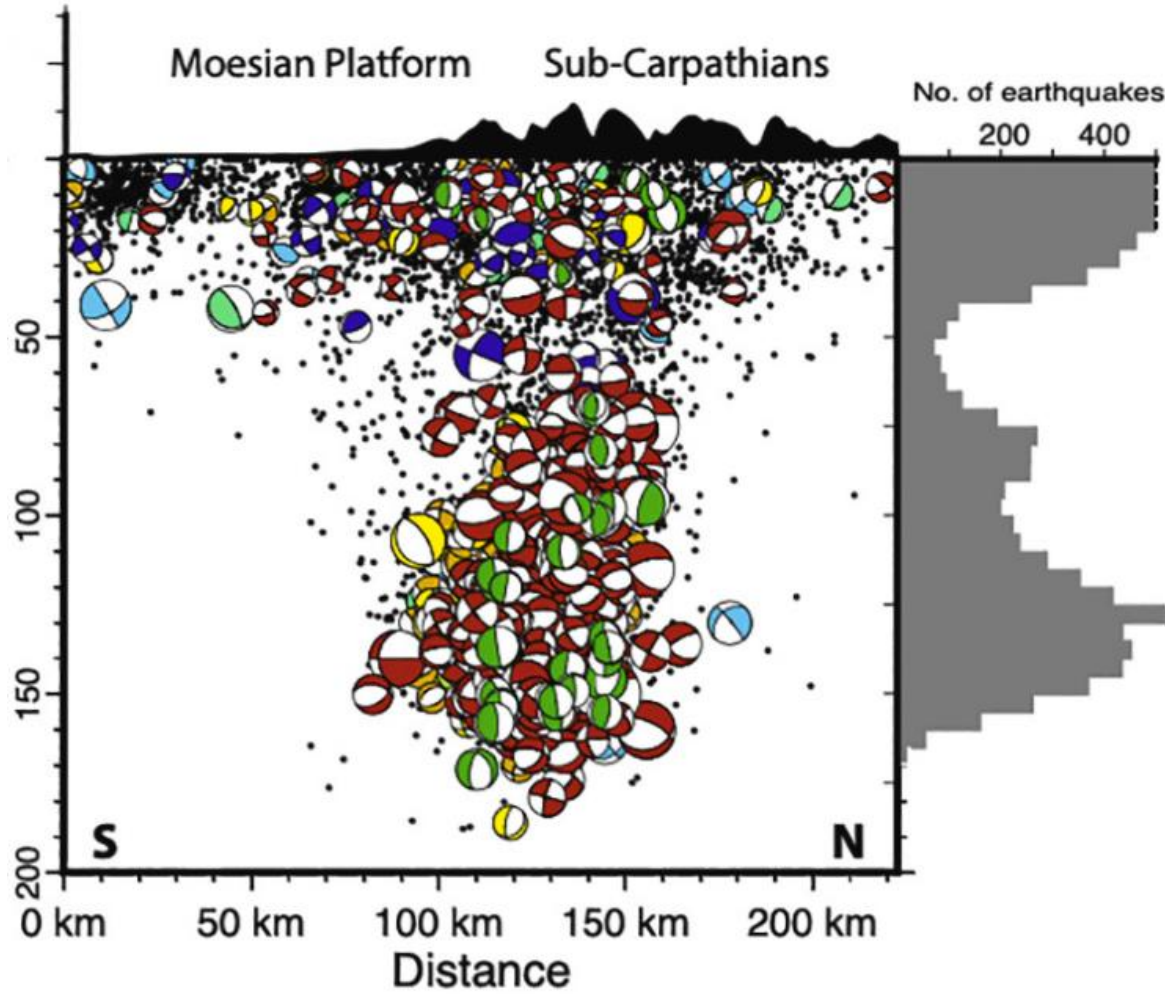


Compression



$$R = \frac{\sigma_1 - \sigma_2}{\sigma_1 - \sigma_3}$$

R low - extension  
R high - compression

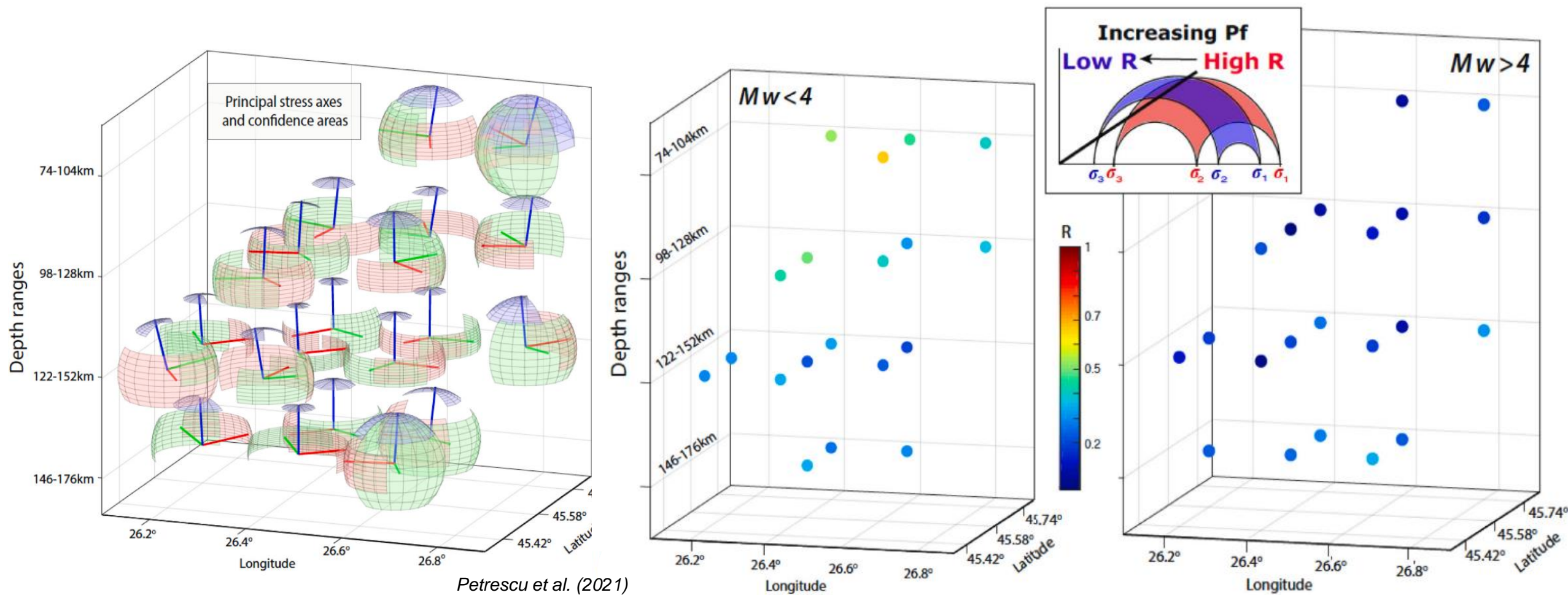


- Relative stress ratio changes may be used as an indicator of fluid presence in seismic zones;

- Subcrustal earthquakes were divided into a 3D cubic grid  $0.2^\circ \times 0.2^\circ \times 30\text{km}$  with 20% depth overlap;

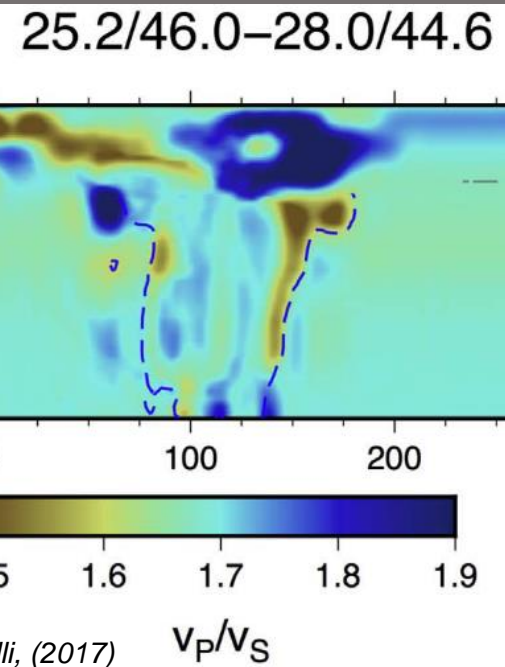
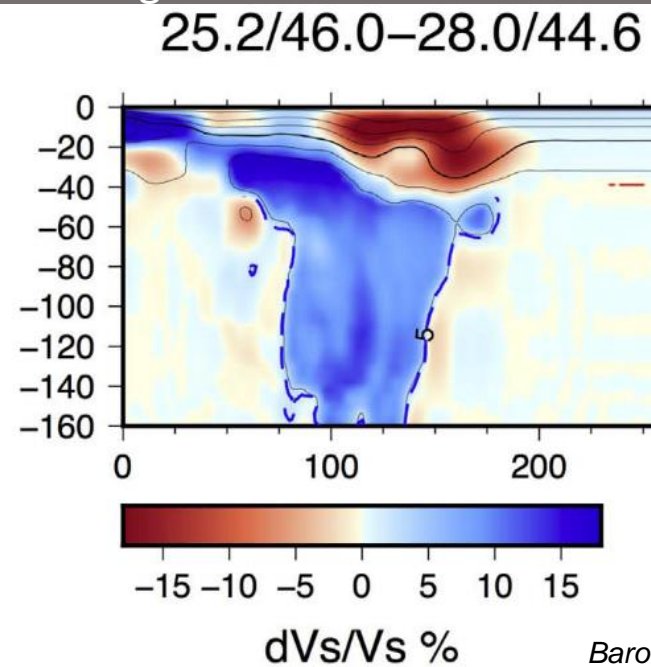
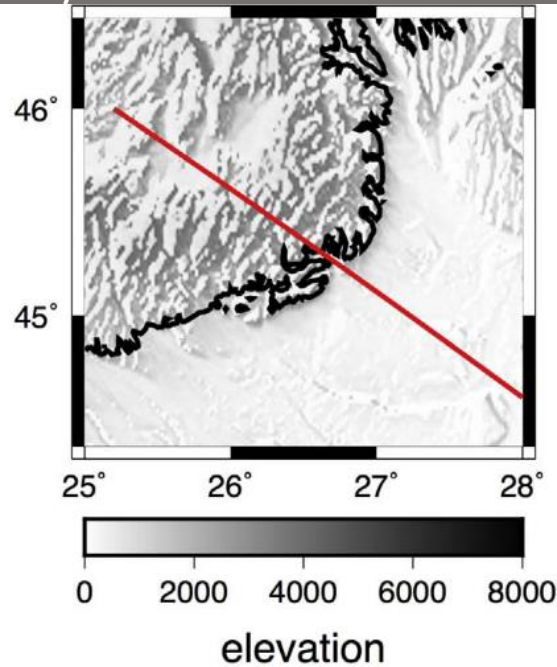
- Invert clusters of focal mechanisms to obtain  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$  and R using MSATSI software (Martinez Garcon et al., 2014);

Petrescu et al. (2021)

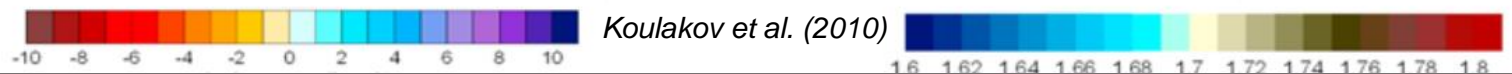
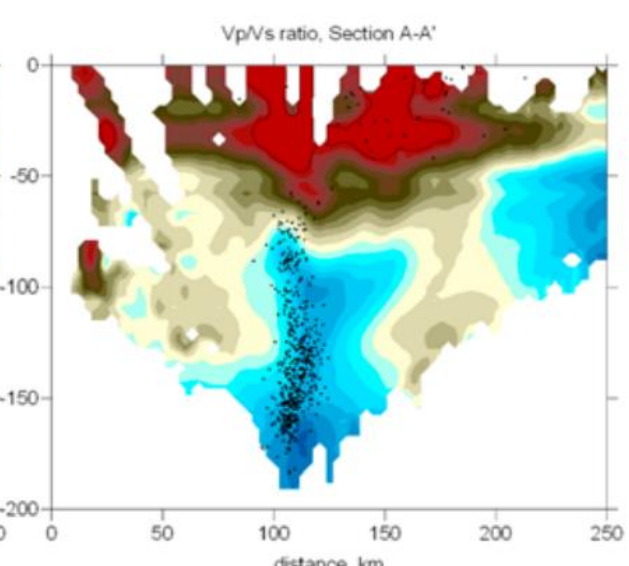
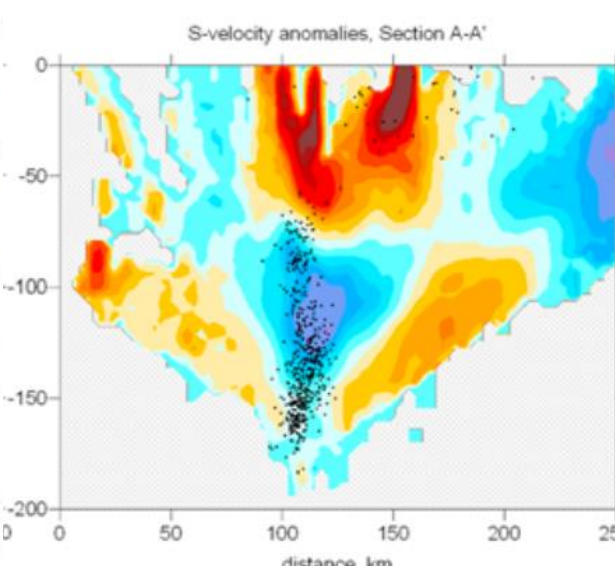
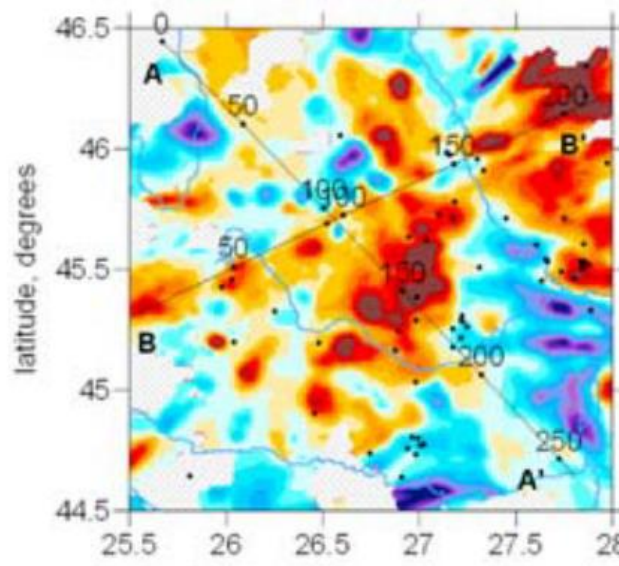


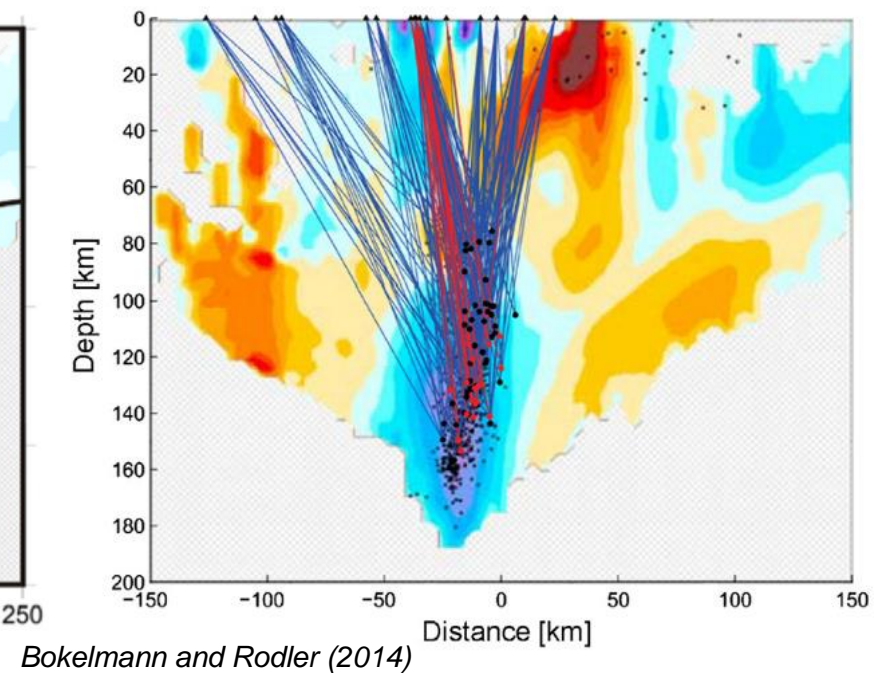
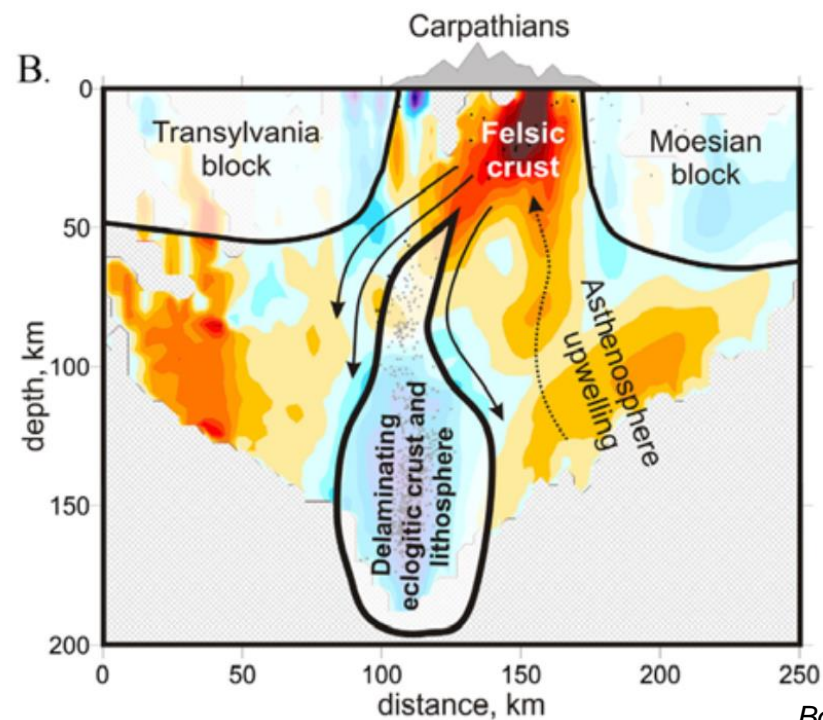
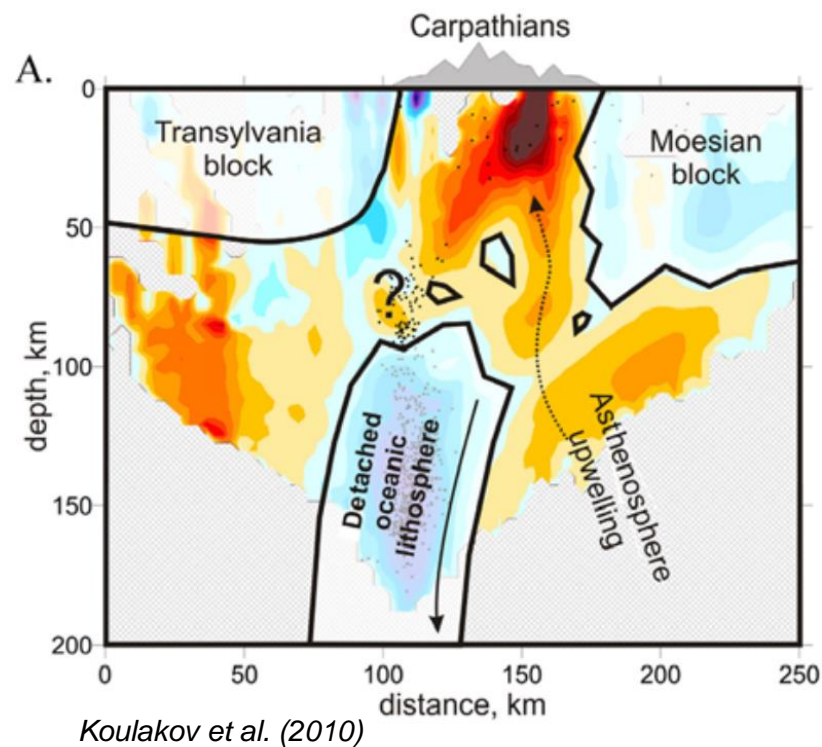
- $R$  changes from 0.3 to 0.7 for  $M_w < 4$  and  $M_w > 4$  earthquakes suggest that small earthquakes are more clearly associated with preferential vertical elongation in the slab, while larger earthquakes could be generated by other mechanisms such as dehydration embrittlement;

# Velocity structure beneath the Vrancea region

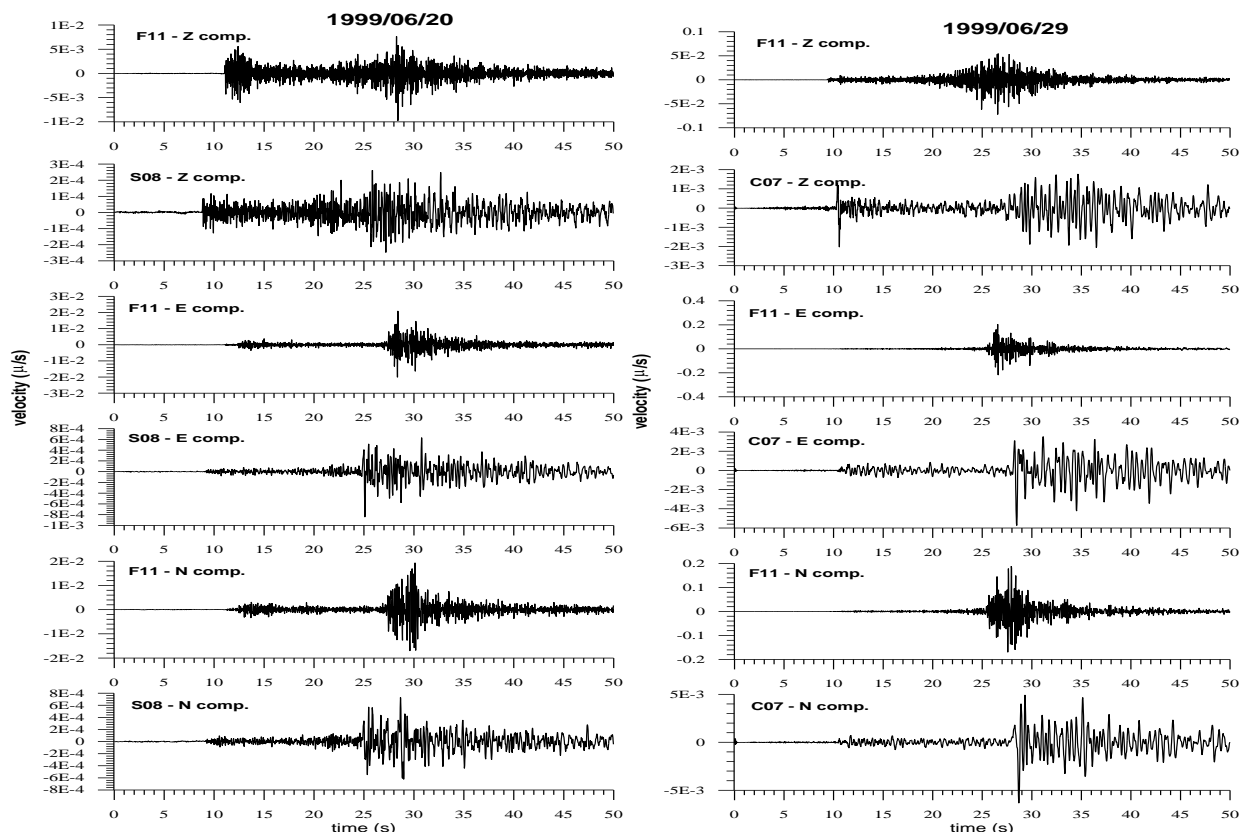


Baron and Morelli, (2017)



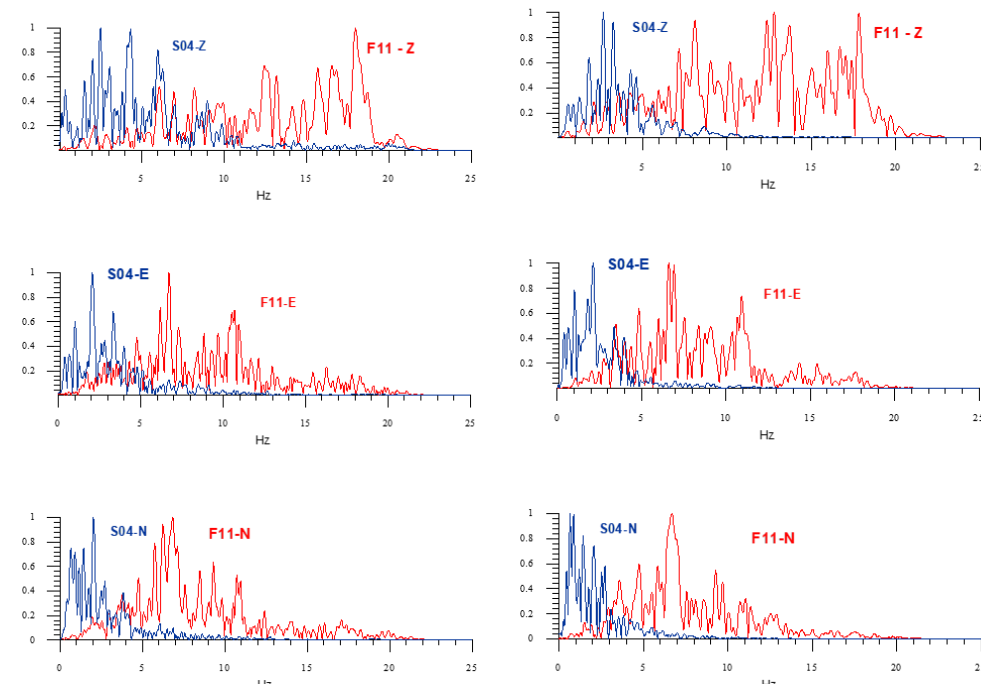
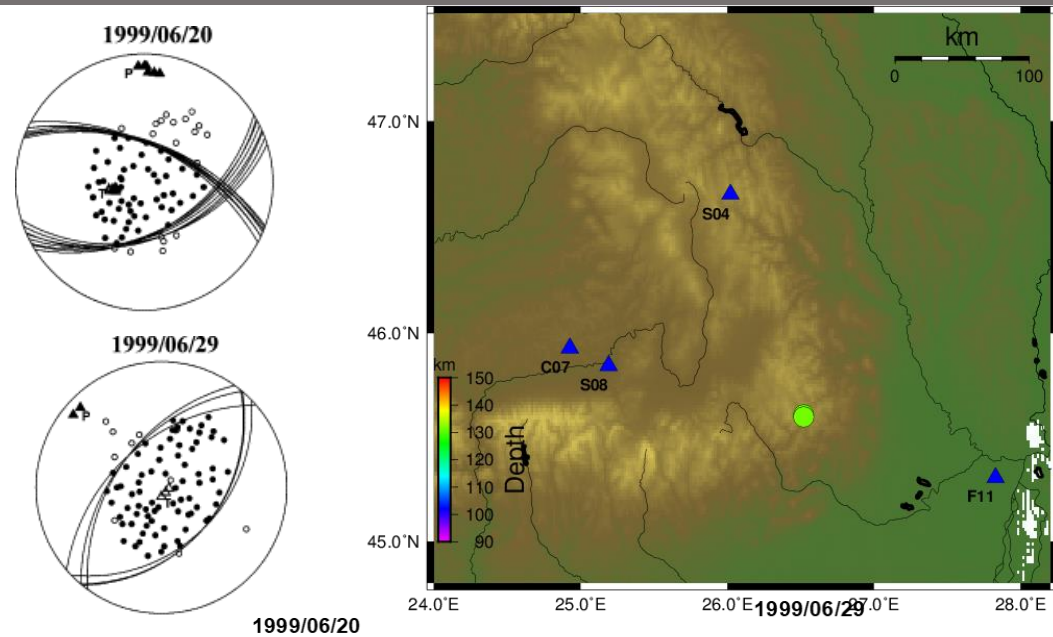


# Transylvanian Basin vs. Romanian Plain

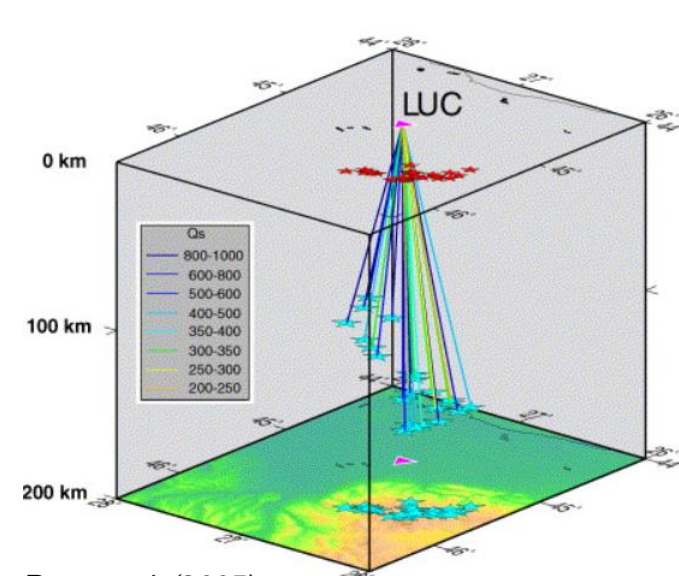
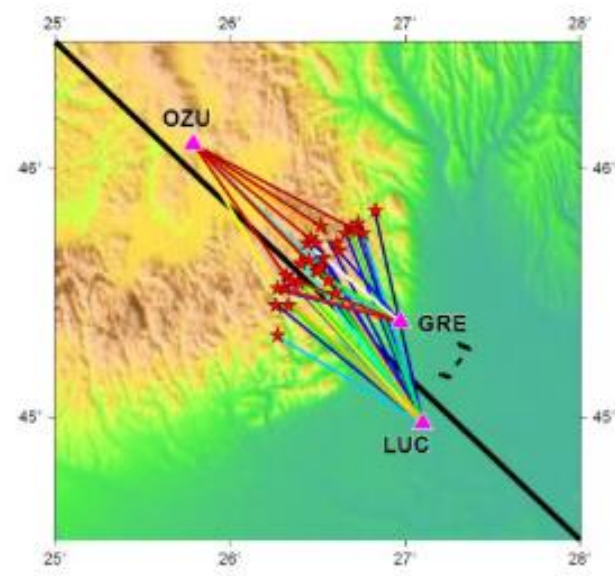
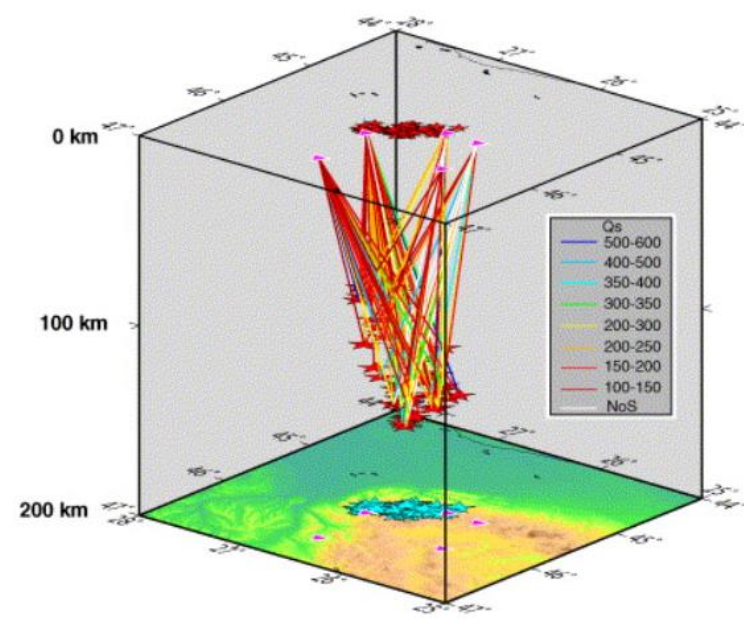
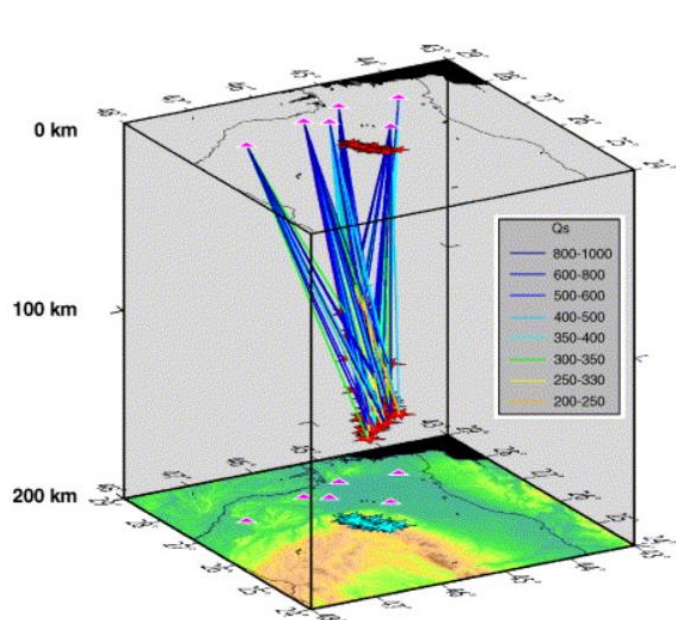


Radulian et al. 2006

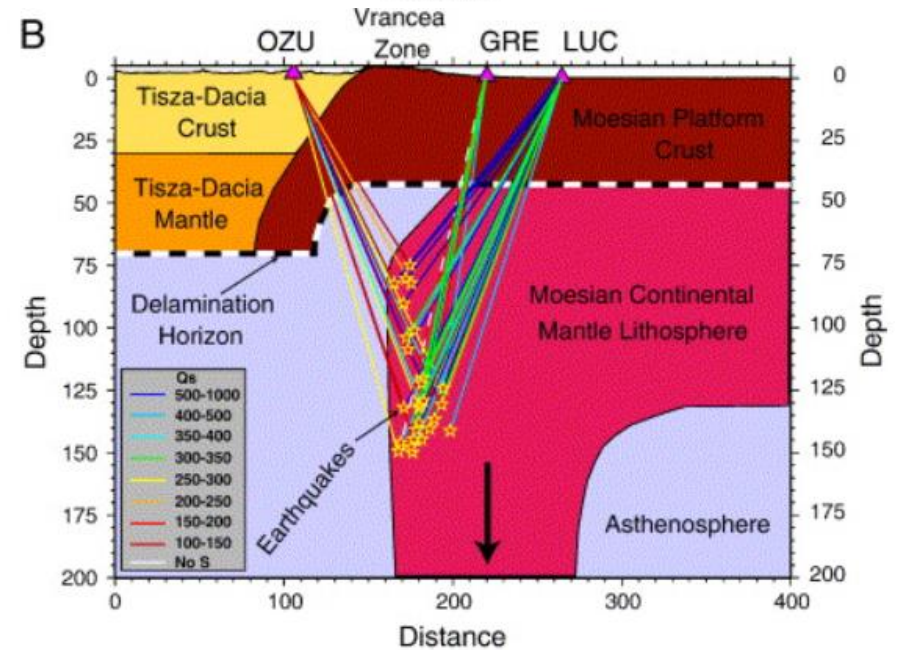
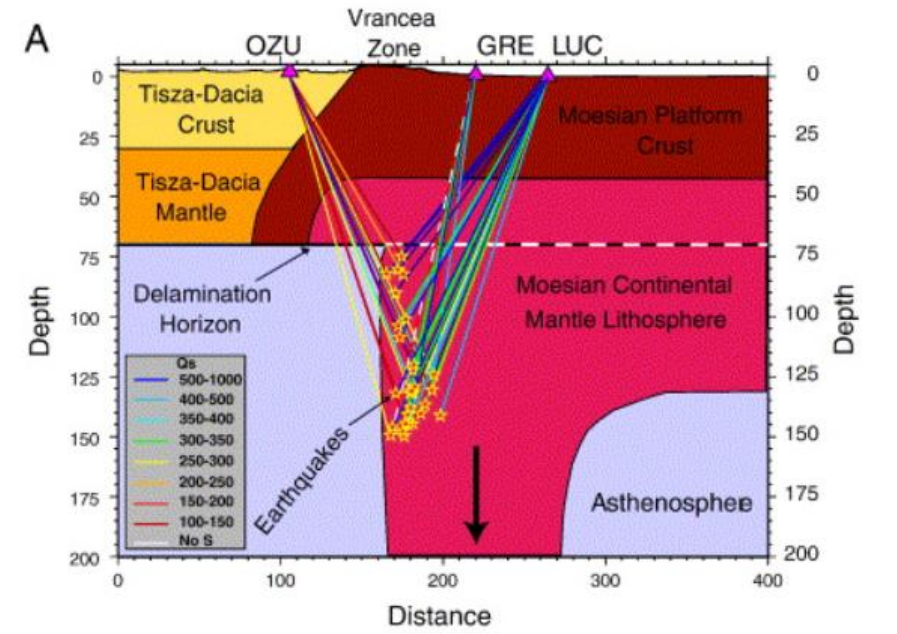
○ In the Transylvanian Basin and the Eastern Carpathians amplitudes decrease significantly and high frequencies are also attenuated in contrast to the foreland platform;

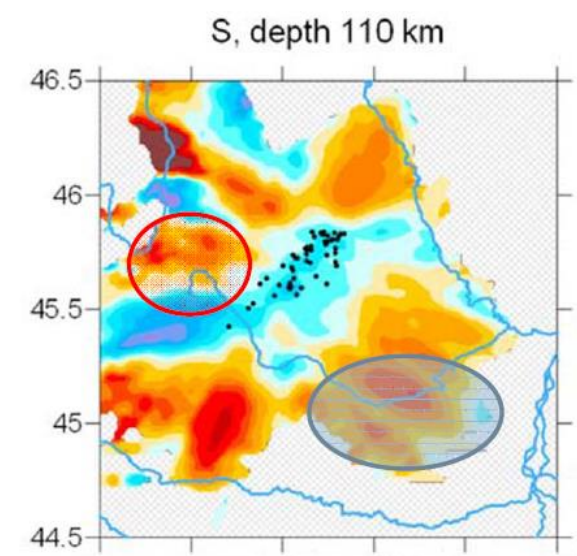
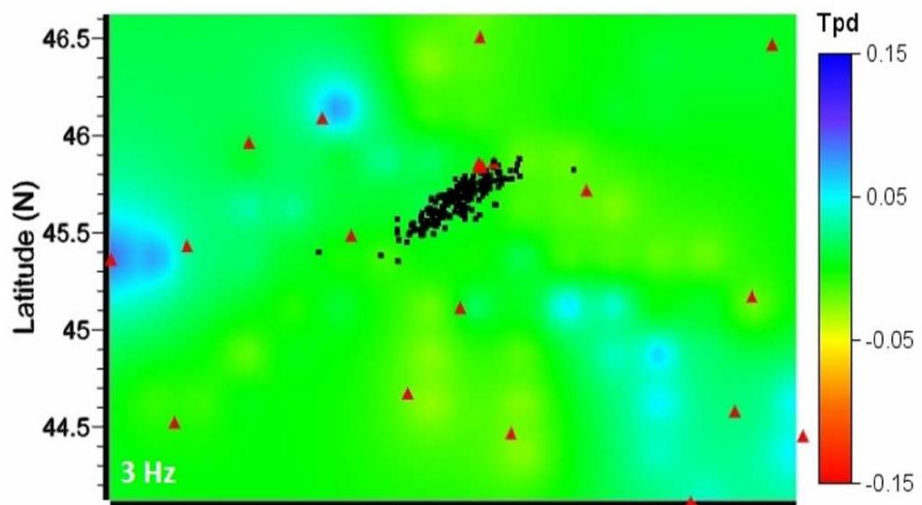
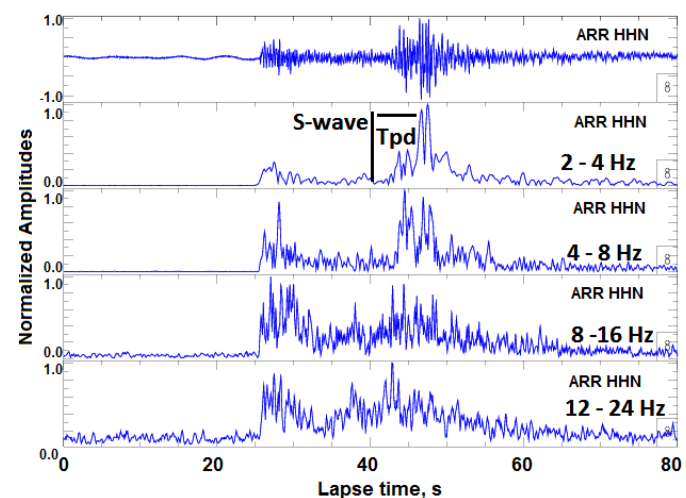




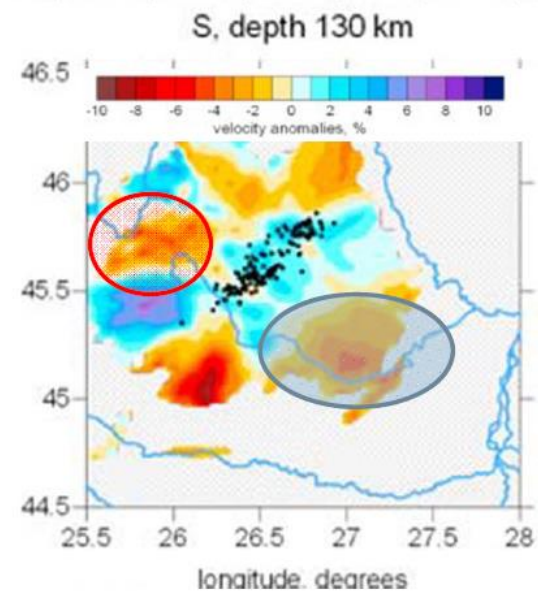
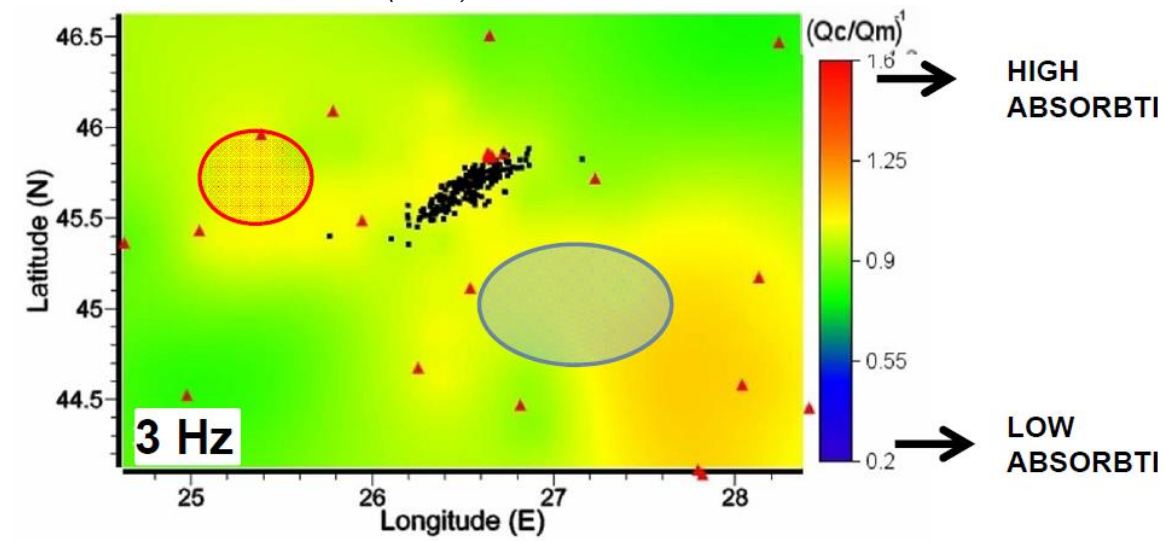
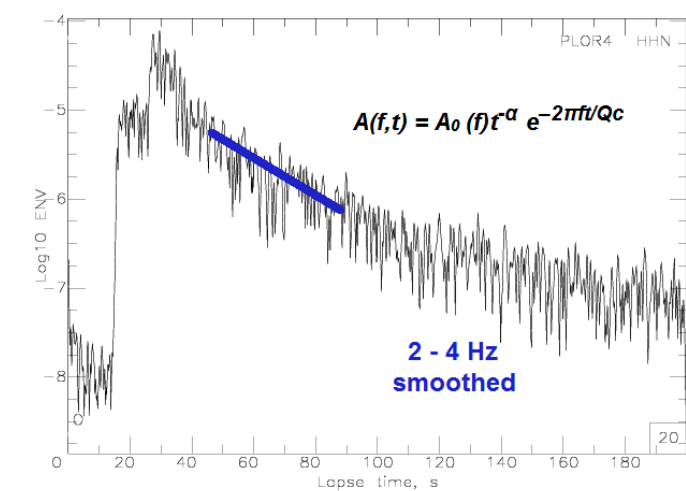


Ruso et al. (2005)

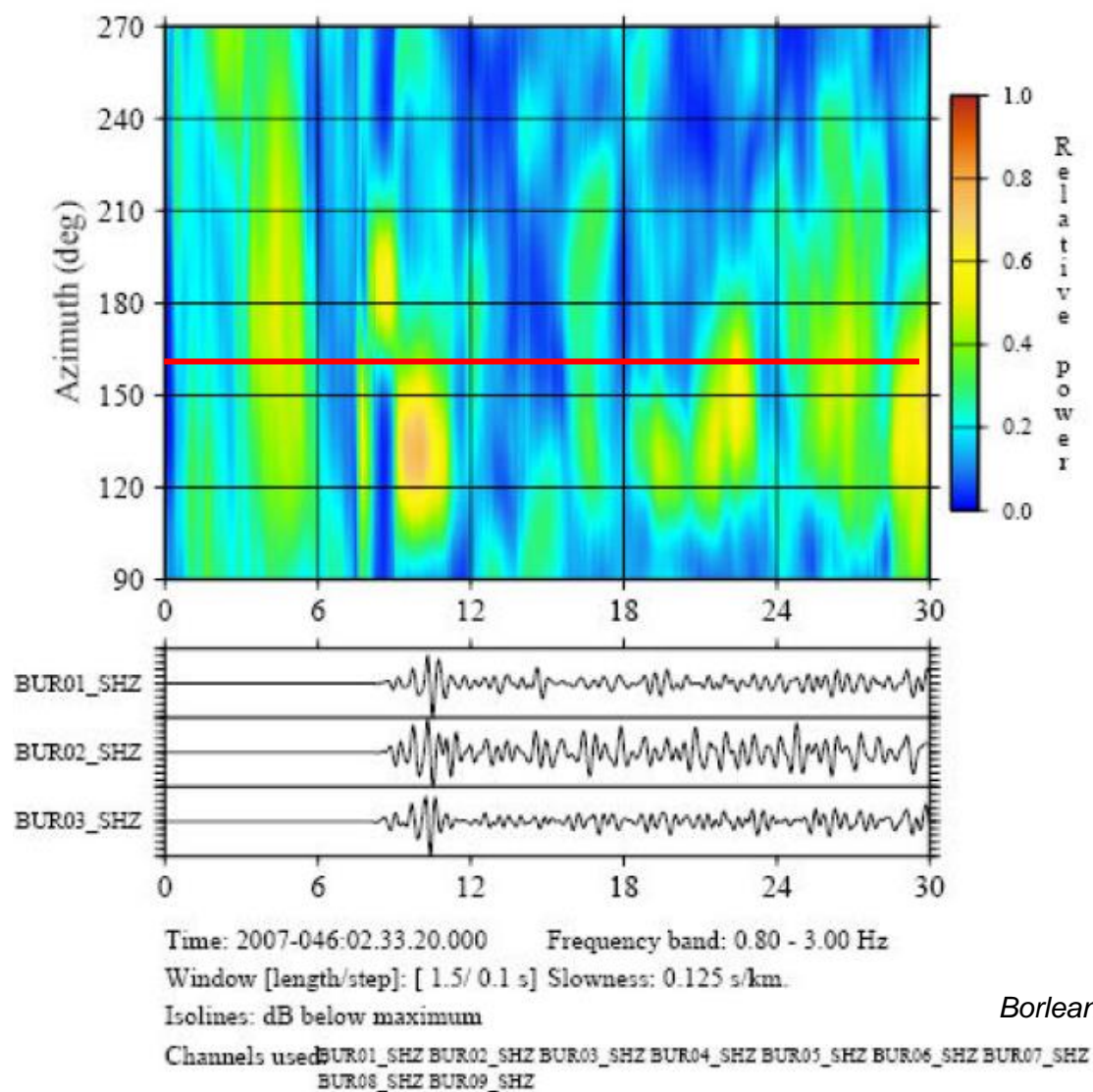




Borleanu et al. (2017)

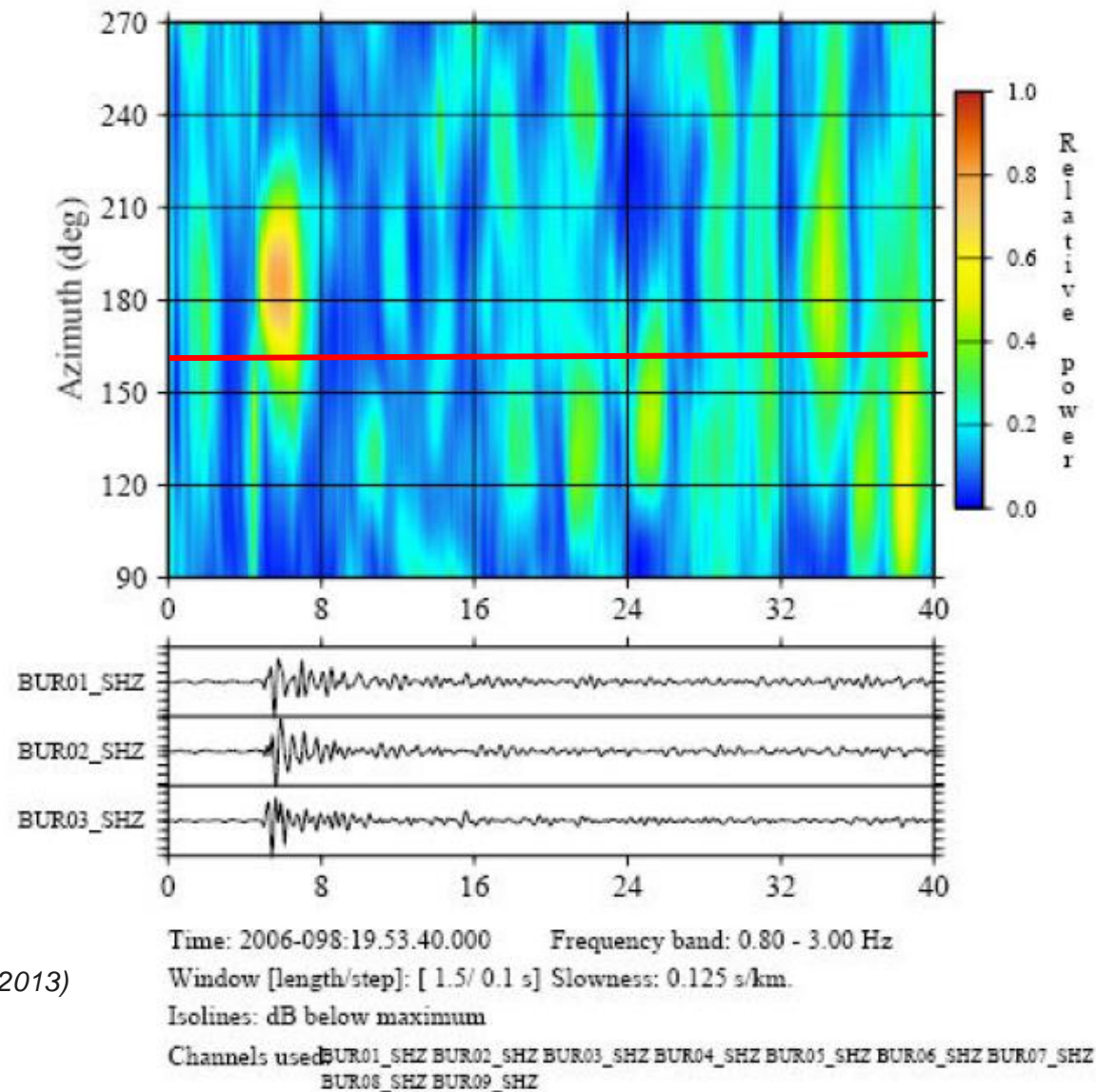


- The high absorption regions match to the low velocity areas found in the previous tomographic images;

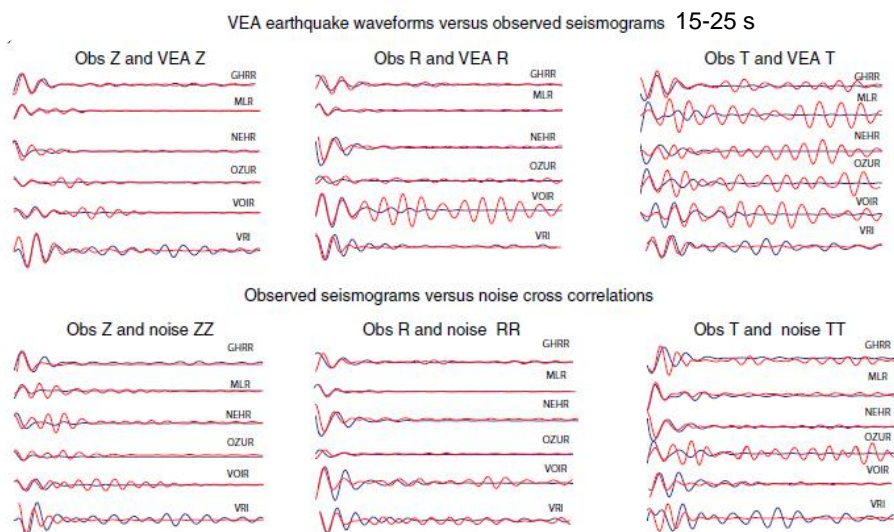
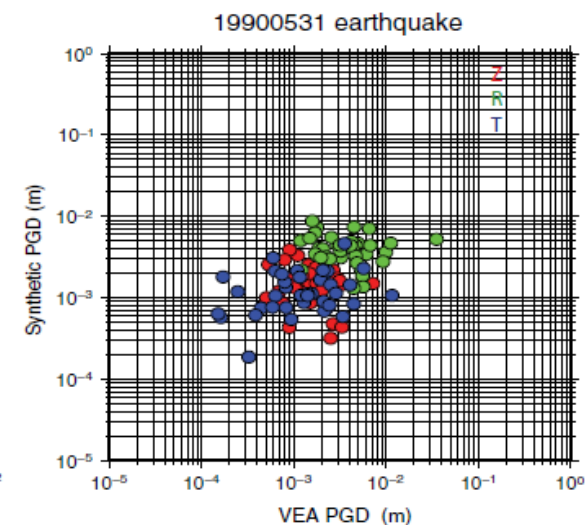
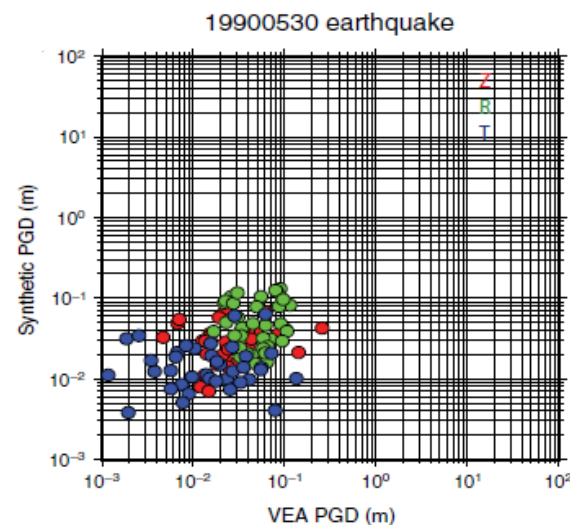
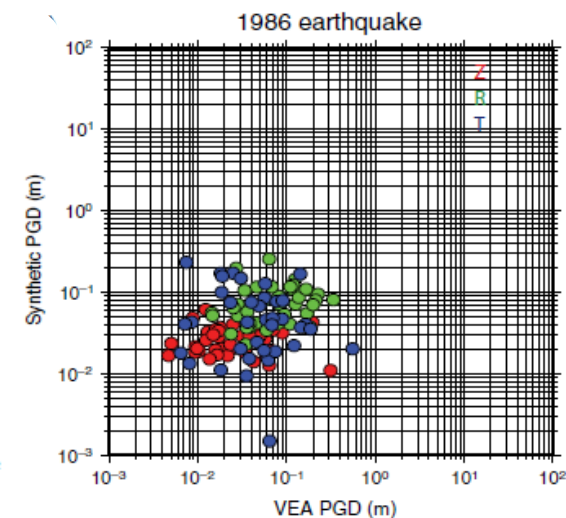
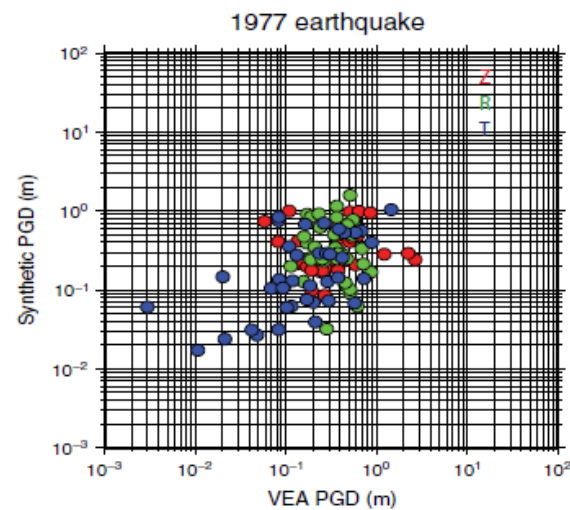
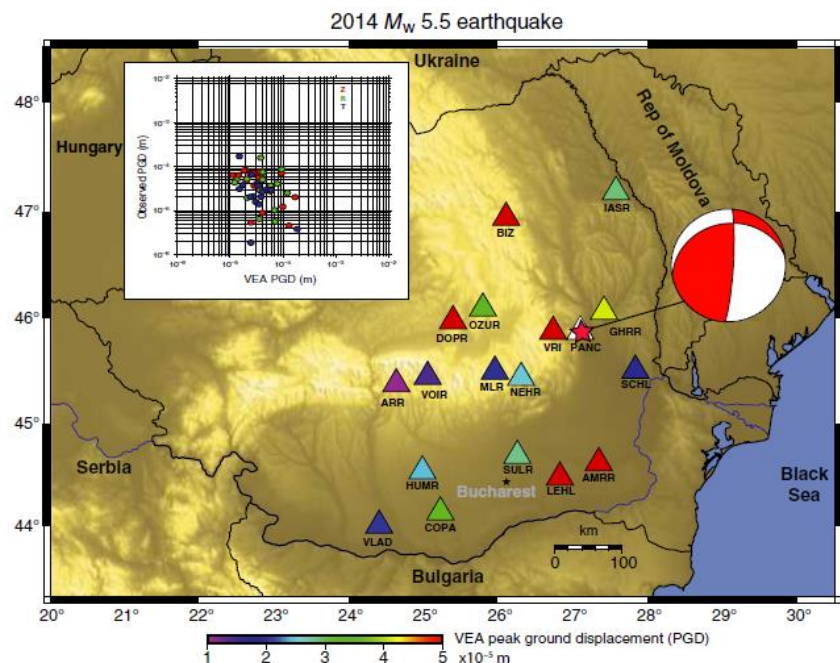


15/02/2007:02:32, H=100km

*Borleanu et al. (2013)*



08/04/2006:19:53, H=150km



Petrescu et al. (2023)

- Gaining a more comprehensive insight into the unique earthquake threat is an important research objective. Substantial progress in comprehending the challenges we encounter is achievable within the framework of AdriaArray;
- The Vrancea area functions as a natural laboratory, with each new study adding another piece to the puzzle. These collective research efforts aim to construct a holistic picture of the continuous processes that shape this complex region;
- The seismic energy release increases significantly with depth, which appears to be the primary source controlling the geodynamics of the entire system;
- The tomography results, independent of the data employed emphasize the presence of a high-velocity lithospheric body in the mantle, but do not provide enough information on its origin;
- The attenuation characteristics highlight significant perturbations beneath the Vrancea region. Examining the reasons behind these perturbances and enhancing the resolution of their spatial-temporal distributions will improve our capacity to model intricate processes, including retreat, break-off, and rotation. This, in turn, will contribute to a better understanding of recent events at the bend of the South-Eastern Carpathians arc and enhance our ability to predict seismic hazards and risks resulting from strong earthquakes in the Vrancea area.

# BayesSOLA: full waveform MT inversion in Bayesian framework

RNDr. Jiří Vackář, Ph.D.

December 6, 2023  
Czech-Romanian Workshop

- 1 Key features of BayesISOLA
  - Purpose and target of BayesISOLA
  - Bayesian formulation of the problem
  - Covariance matrix of the noise
- 2 User interface
- 3 Applications
  - Switzerland
  - Apparent Non-Double-Couple Components as Artifacts of Moment Tensor Inversion
  - A New Automated Procedure to Improve Moment Tensor Solution and Its Application for Light-Moderate Earthquake ( $M \leq 5.5$ ) in the North Banda Arc Region

# Purpose of BayesISOLA

*BayesISOLA* is a tool for **fully automated MT inversion**.

## WAVEFORMS



Target applications:

- large data sets of previously recorded events,
- various tests and sensitivity analysis, and
- component for other software.

Reference paper:

J. Vackář, J. Burjánek, F. Gallovič, J. Zahradník, and J. Clinton (2017). Bayesian ISOLA: New Tool for Automated Centroid Moment Tensor Inversion, *Geophys. J. Int.*, 210(2), 693—705.



# Input data and method

## input data

(filtered) waveforms

Green's functions (options described later)

## method

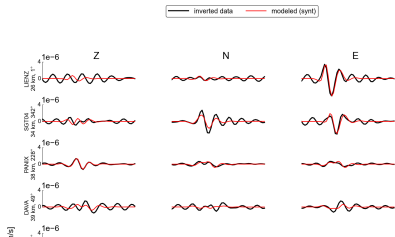
full waveform inversion in L-2 norm

weighting by covariance matrix of noise / Green's functions

## output

full or deviatoric (iso = 0) moment tensor

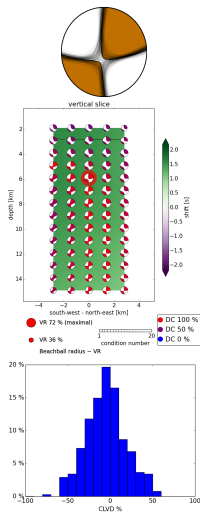
posterior probability density function



# Key features of BayesISOLA

Key features of BayesISOLA code:

- Automated data retrieval (files / fdsnws)
- Green's functions using Axitra or IRIS Syngine web service
- Disturbance detection: MouseTrap code [Vackář et al., 2015]
- Automated frequency ranges
- Full-waveform inversion in space-time grid around hypocenter
- MT results accompanied by their uncertainties
- Extensive output: various figures are automatically plotted



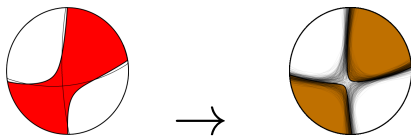
## Technical solution and availability

- Programmed in Python, using ObsPy, matplotlib etc.
- object-oriented and well documented code
- parallelized
- open-source (GNU/GPL licence)
- code at GitHub:  
<https://github.com/vackar/BayesISOLA>
- documentation:  
<http://geo.mff.cuni.cz/~vackar/BayesISOLA/>



## Bayesian formulation

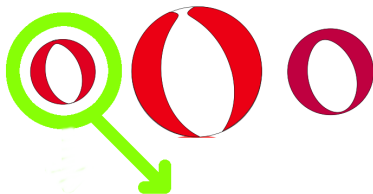
Bayesian formulation is used to get full probability distribution of the result.



Bayesian formulation of mixed linear – non-linear problem:

- Grid search over space and time (4 variables; non-linear problem)
- Least-square solution of MT components in each grid point (6 variables; linear problem)
- **Faster and/or more accurate** than Monte Carlo and gradient methods

# Least-square solution in a grid point



Solution in a grid point  $i$  ( $x_i, y_i, z_i, t_i$ )

Inverse problem with no a priori information [Tarantola, 2005]:

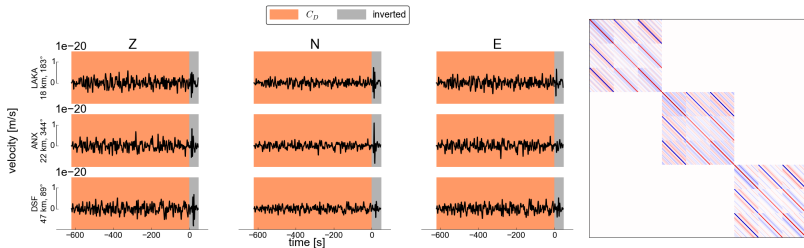
$$\tilde{\mathbf{m}} = \left( \mathbf{G}^T \mathbf{C}_D^{-1} \mathbf{G} \right)^{-1} \mathbf{G}^T \mathbf{C}_D^{-1} \mathbf{d}_{obs}$$

- model parameters (result)
- data vector
- forward problem matrix (Green's functions)
- data covariance matrix (will be described later)

# Noise covariance matrix

The **data covariance matrix** is calculated from auto-/cross-covariance of before-event noise.

The **data covariance matrix** works as automated frequency filter and station weighting to emphasize the high-SNR data.



# Covariance matrix of Green's functions uncertainty

Geophysical Journal International



*Geophys. J. Int.* (2016) **207**, 1012–1029  
Advance Access publication 2016 August 25  
GJI Seismology

doi: 10.1093/gji/ggw320

## Fast and cheap approximation of Green function uncertainty for waveform-based earthquake source inversions

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*Faculty of Mathematics and Physics, Charles University, Prague, Czech Republic. E-mail: [hallo@karel.troja.mff.cuni.cz](mailto:hallo@karel.troja.mff.cuni.cz)*

# command line / script usage

BayesISOLA code example. To use it in your own project you need just lines setting necessary inputs and parameters.

---

```
import BayesISOLA
inputs = BayesISOLA.load_data(outdir = 'output/example_2_fdsnws')
inputs.read_event_info('input/example_2_fdsnws/event.isl')
inputs.set_source_time_function('step')
inputs.read_network_coordinates('input/example_2_fdsnws/network.stn')
inputs.read_crust('input/example_2_fdsnws/crustal.dat')
inputs.load_streams_fdsnws(['http://eida.ethz.ch/fdsnws/'], t_before=360, t_after=100)
grid = BayesISOLA.grid(
    inputs,
    location_unc = 1000, depth_unc = 3000, # m
    time_unc = 1, # s
    step_x = 200, step_z = 200, # m
    max_points = 500,)
data = BayesISOLA.process_data(
    inputs, grid,
    threads = 8,
    use_precalculated_Green = 'auto',
    fmax = 0.15, fmin = 0.02)
cova = BayesISOLA.covariance_matrix(data)
cova.covariance_matrix_noise(crosscovariance=True)
solution = BayesISOLA.resolve_MT(data, cova, deviatoric=False)
plot = BayesISOLA.plot(solution)
```

---



# BayesISOLA as a module of Integrated Seismic Program

Roberto Cabieces Diaz (Spanish Navy Observatory) included BayesISOLA in ISP, a GUI for seismology.

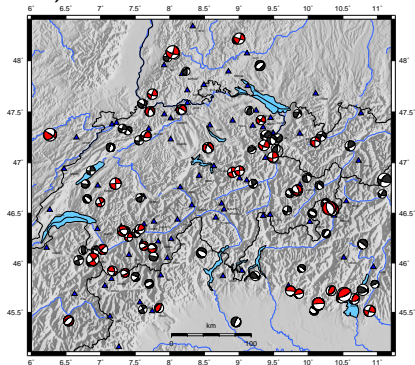
[https://projectisp.github.io/ISP\\_tutorial.github.io/](https://projectisp.github.io/ISP_tutorial.github.io/)

Reference paper:

R. Cabieces, A. Olivar-Castaño, T. C. Junqueira, J. Relinque, L. Fernandez-Prieto, J. Vackář, B. Rösler, J. Barco, A. Pazos, and L. García-Martínez (2022). Integrated Seismic Program (ISP): A New Python GUI-Based Software for Earthquake Seismology and Seismic Signal Processing. *Seis. Res. Lett.* 93 (3), 1895–1908.

## Real test: 16 years of Swiss data

Analyzed **16 years** of  $M > 3$  events from Swiss Digital Seismic Network (113 events)



- Quality comparable to manual processing
- Capable to invert slightly weaker events

## Apparent Non-Double-Couple Components as Artifacts of Moment Tensor Inversion

Boris Rösler<sup>1\*</sup>, Seth Stein<sup>1,2</sup>, Adam Ringler<sup>3</sup>, Jiří Vackár<sup>4</sup>

<sup>1</sup>Department of Earth and Planetary Sciences, Northwestern University, Evanston IL 60208 USA

<sup>2</sup>Institute for Policy Research, Northwestern University, Evanston IL 60208 USA

<sup>3</sup>United States Geological Survey, Albuquerque, NM, USA

<sup>4</sup>The Czech Academy of Sciences, Prague, Czech Republic.

\*Corresponding author: [boris@earth.northwestern.edu](mailto:boris@earth.northwestern.edu)

Submitted to SRL

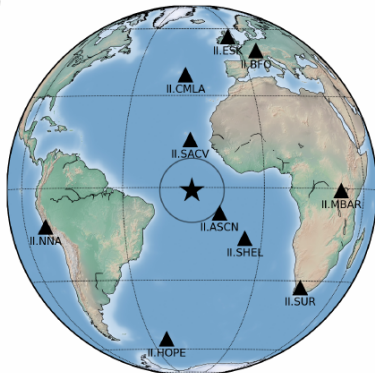
**Main question:** Are non-DC components in GCMT catalog realistic or just artefact of the inversion?

## Mid-Atlantic ridge earthquake

### Seismogram at II.CMLA (LHZ component)

#### Stations for moment tensor inversion

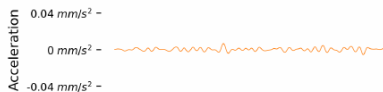
a)



#### b) Synthetic seismogram for AK135



#### c) Noise time series



#### d) Input seismogram



#### e) Inversion result for PREM



Origin Time      30min      60min

## A New Automated Procedure to Improve Moment Tensor Solution and Its Application for Light-Moderate Earthquake ( $M \leq 5.5$ ) in the North Banda Arc Region

Yehezkiel Halauwet<sup>1,2</sup>, Afnimar<sup>3\*</sup>, Wahyu Triyoso<sup>3</sup>, Jiří Vackář<sup>4</sup>, Gatut Daniarsyad<sup>1,5</sup>, Daryono<sup>5</sup>, Herlina A. A. M. Narwadan<sup>2</sup>, M. Luqman Hakim<sup>5</sup>

<sup>1</sup>Faculty of Earth Sciences and Technology, Bandung Institute of Technology (ITB), Ganesha 10 Street, Bandung, West Java 40132, Indonesia

<sup>2</sup>Agency for Meteorology, Climatology, and Geophysics (BMKG), Ambon 97122, Indonesia

<sup>3</sup>Seismology & Geodynamic Laboratory, Faculty of Mining and Petroleum Engineering, Bandung Institute of Technology (ITB), Ganesha 10 Street, Bandung, West Java 40132, Indonesia

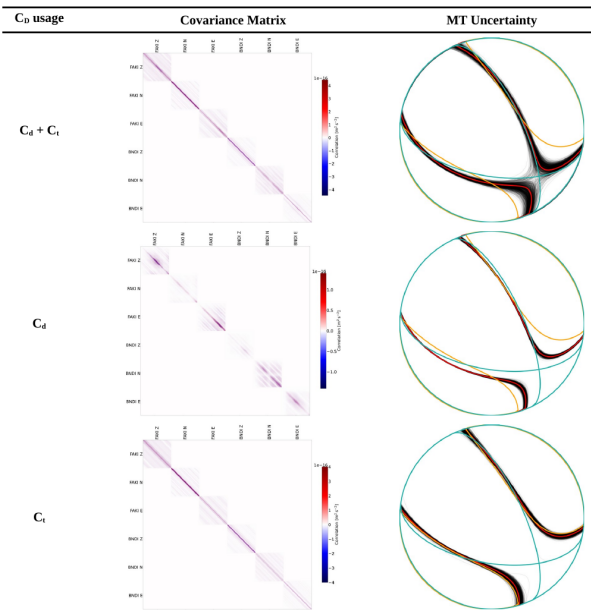
<sup>4</sup>Institute of Rock Structure and Mechanics, Czech Academy of Sciences, Prague, Czechia

<sup>5</sup>Agency for Meteorology, Climatology, and Geophysics (BMKG), Jakarta 10610, Indonesia

*\*Corresponding author's email: [afnimar@itb.ac.id](mailto:afnimar@itb.ac.id)*

Submitted to GJI

**Main goal:** Moment tensor solutions of a large dataset from tectonically complicated region in Indonesia



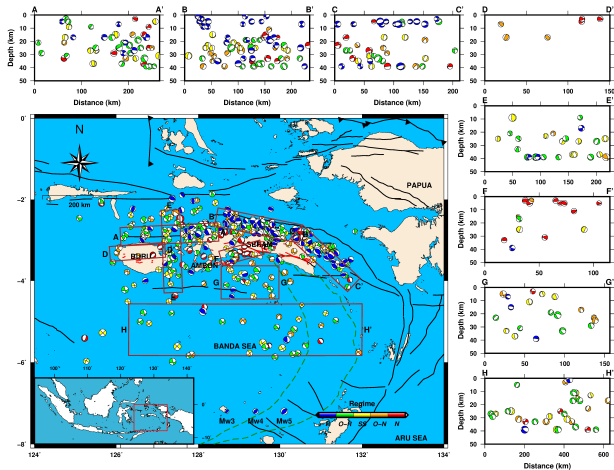


Figure 17. Distribution of quality A and B focal mechanism solutions and cross-sections on several large structures and focal mechanism clusters. Focal mechanism color indicates fault regime: reverse (blue), oblique-reverse (green), strike-slip (yellow), oblique-normal (orange), and normal (red).

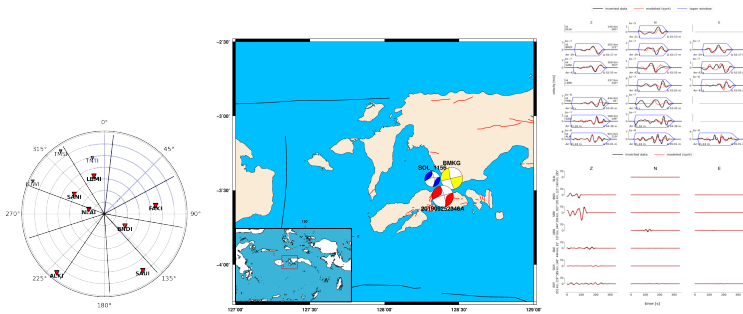


Figure 19. Details of the solution of the 11 October 2013 earthquake, Mw 4.7 (blue focal mechanism), and the focal mechanism of the Ambon-Kairatu earthquake (Mw 6.5, 26 September 2019) from GCMT (red) and BMKG (yellow). The polar plot depicts the azimuth coverage of the observation stations. Red and black waveforms represent the synthetic and observation data on the original waveform fittings (right-top) and standardized data (right-bottom).



# Conclusions

- BayesISOLA is automated tool for MT inversion
- It is quite unique in the following:
  - Bayesian formulation of inverse problem is used: we get the posterior probability density function
  - The uncertainty of data is quantified by a covariance matrix
  - Covariance matrix from before-event noise or Green's function covariance matrix can be used
  - Grid-search is combined with least-square solution
- It can be used from ISP GUI or Python script

<http://geo.mff.cuni.cz/~vackar/BayesISOLA/>

# Earthquake complexity studied with multiple point-source ISOLA – a review

**Jiří Zahradník**

**Charles University, Prague, Czech Republic**

# Long-lasting cooperation

The presentation is based on articles of the last 6 years published with co-authors from Greece, Turkey, France, China, and the Czech Republic.

# Part 1:

## Moment tensors in theory and practice

An example of a non-DC representing  
real (=true, genuine) departure from shear faulting.

# Seismic records (ground motion) and description of fault-process with a point-source moment tensor, MT

Ground  
motion

Response  
of the Earth

Fault(source)  
MT

$$u_i = G_{ip,q} * \left( \int_{\Sigma} m_{pq} d\Sigma \right) = G_{ip,q} * M_{pq}$$

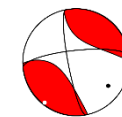
MTs can be dominated by double-couple forces,  
i.e., DC component (=shear faulting)



or

MTs display some (real or apparent) departures from  
shear faulting

characterized by non-DC components (CLVD and ISO)



# Moment-tensor uncertainty (for a fixed time and position of centroid)

$$d = Gm$$

$$\tilde{m} = (G^T C_d^{-1} G)^{-1} G^T C_d^{-1} d$$

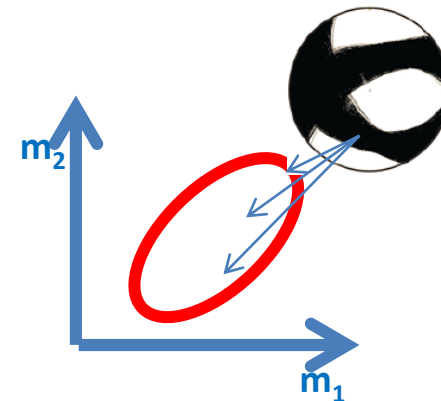
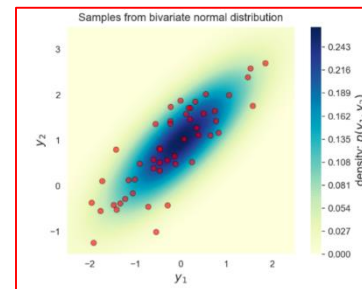
$$C_m = (G^T C_d^{-1} G)^{-1}$$

$$PDF(m) = \frac{1}{c} \exp\left(-\frac{1}{2} (d - G\tilde{m})^T C_d^{-1} (d - G\tilde{m})\right)$$

Knowing  $C_d$ , we can estimate  $C_m$ .  
Knowing  $C_m$ , we can draw samples from the PDF, i.e. group of plausible solutions near the best-fitting solution.

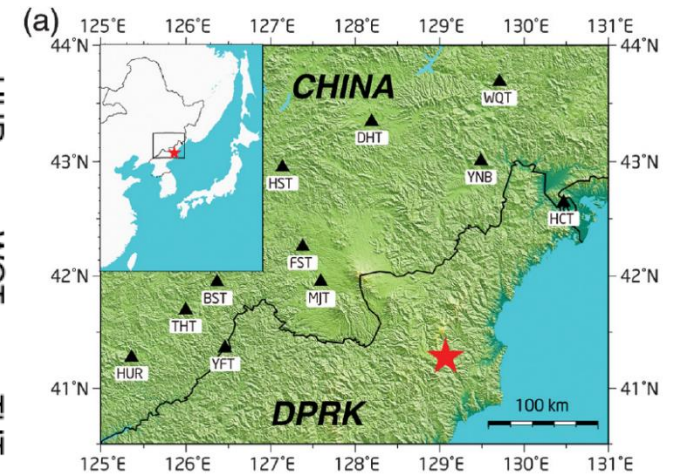
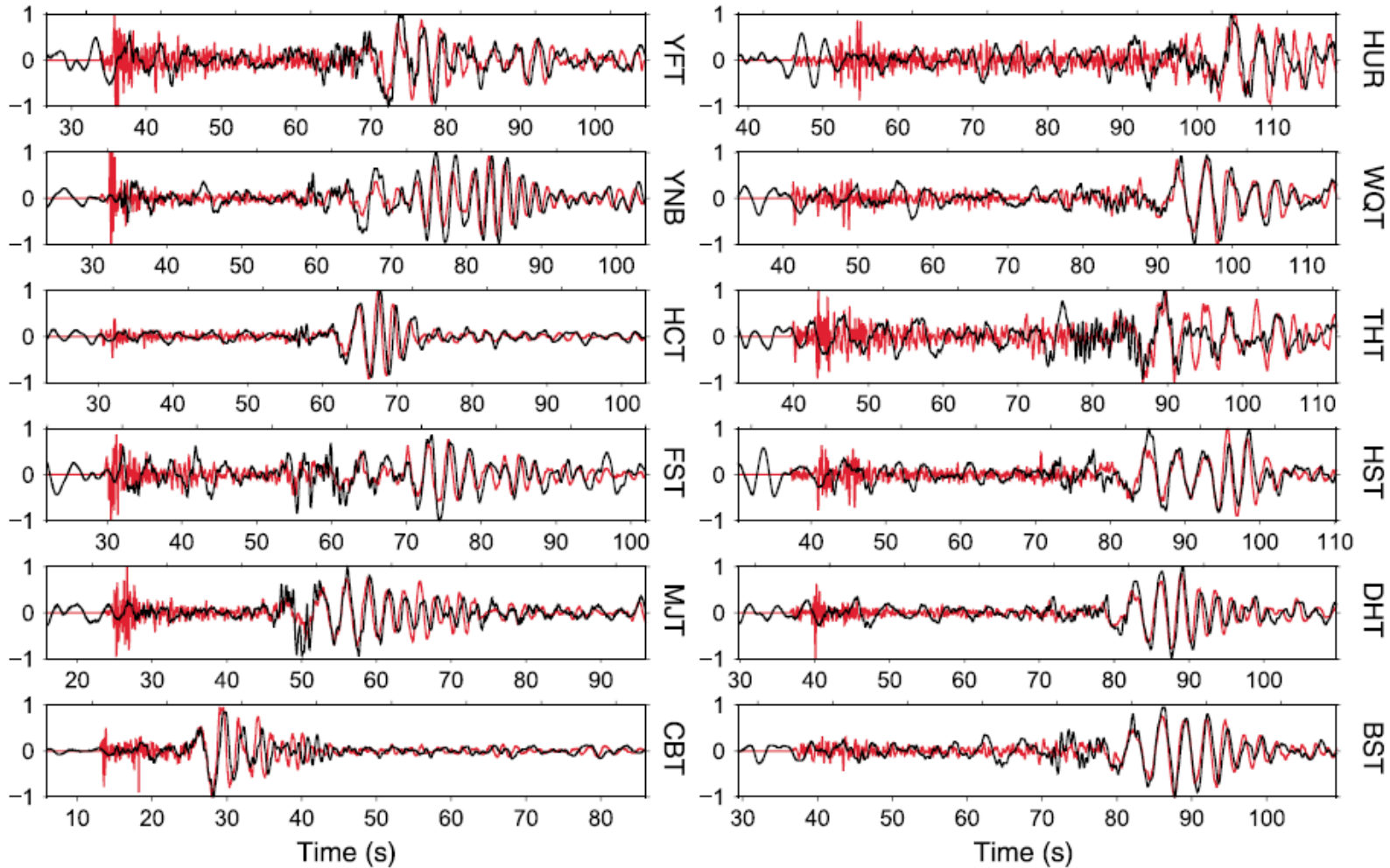
(=analogy of 'clouds' in NonLinLoc)

$d$  = data,  $m$  = MT parameters  
 $G$  = Green's function  
 $C_d$  y  $C_m$  = covariance matrices  
PDF = probability density function  
(6-dimensional Gaussian for full MT)



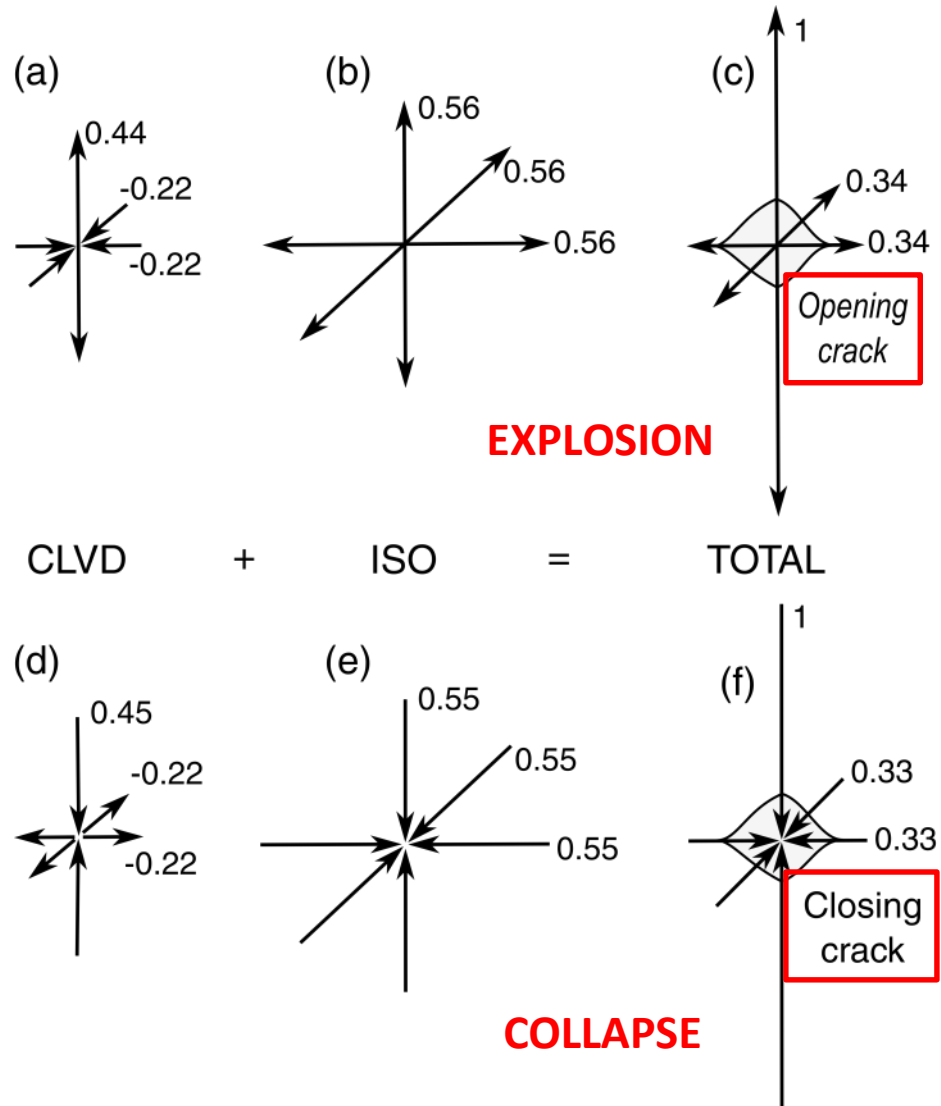
Knowing the 'cloud', we construct histograms ...

# Korea nuclear test 2017, mainshock and aftershock (8 minutes later): RAW DATA with “mirror symmetry”

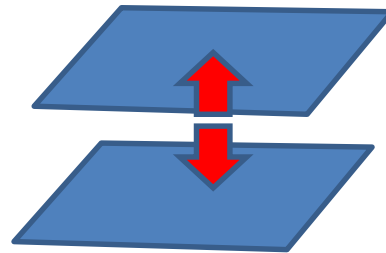


Mainshock (red) and  
aftershock (black),  
NORMALIZED  
to the same amplitude  
and plotted  
with OPPOSITE SIGN

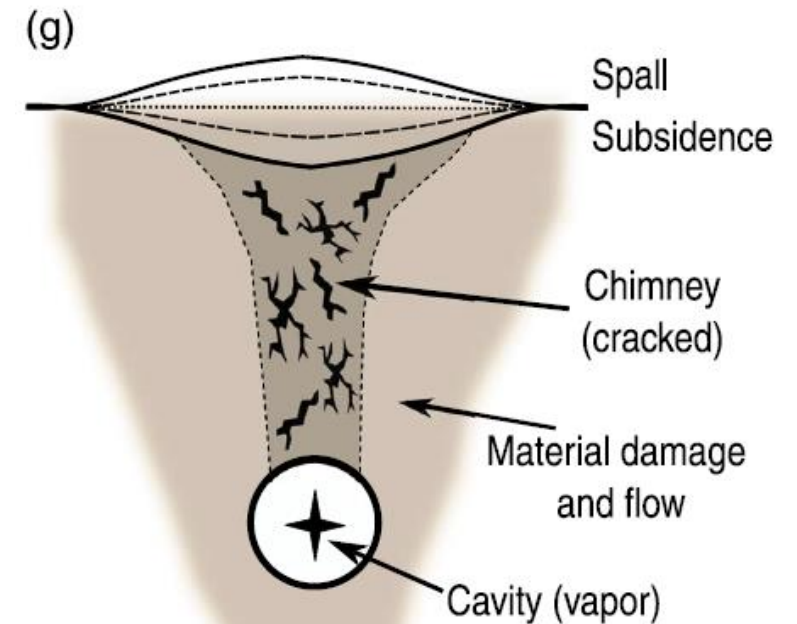
# Interpretation: mainshock = detonation, aftershock = collapse of cavity



BODY-FORCE EQUIVALENTS OF SEISMIC RADIATION



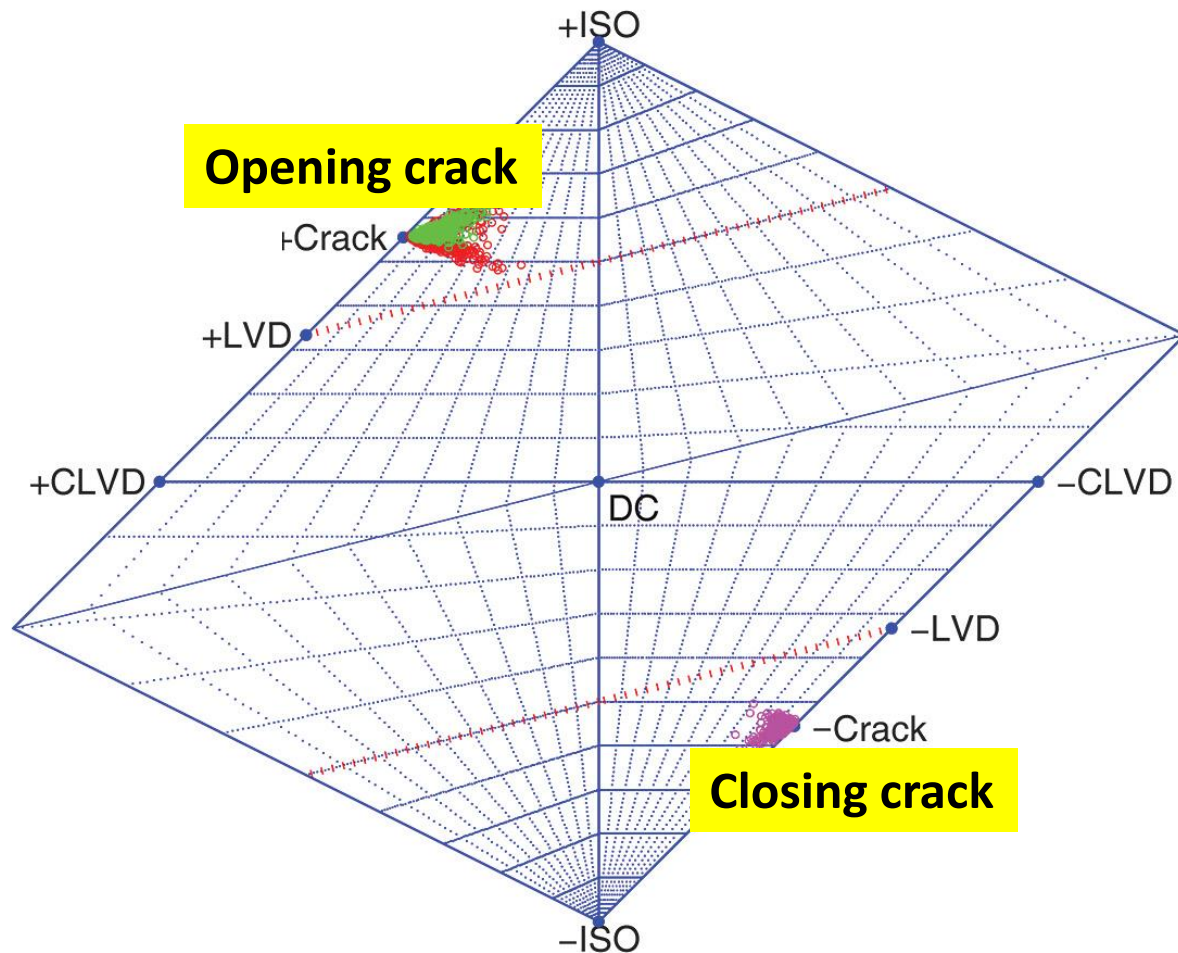
*Model explains the mirror symmetry of seismograms for the two stages*



**Liu J, Li L., Zahradník J, Sokos E, Liu C., Tian X. (2018): North Korea's 2017 test and its nontectonic aftershock. *Geophys Res Lett* 45.**

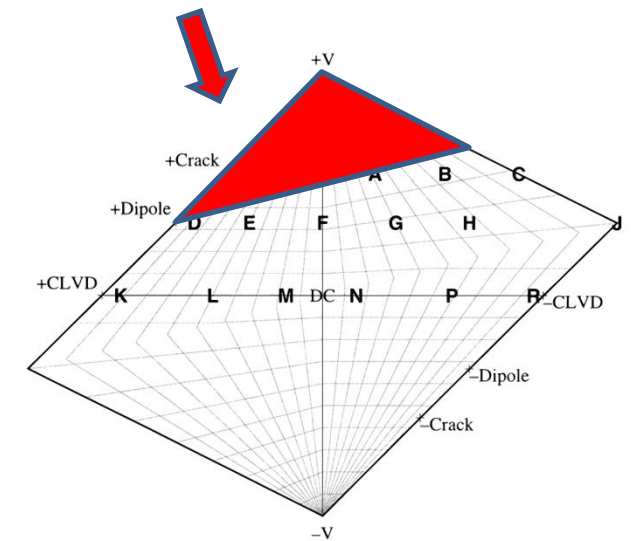


# Source-type plots: Korea nuclear test 2017



**Beachball**  
03:30 mb 6.3

All P-polarities  
with these MT's  
are compressions  
(not only pure explosion)

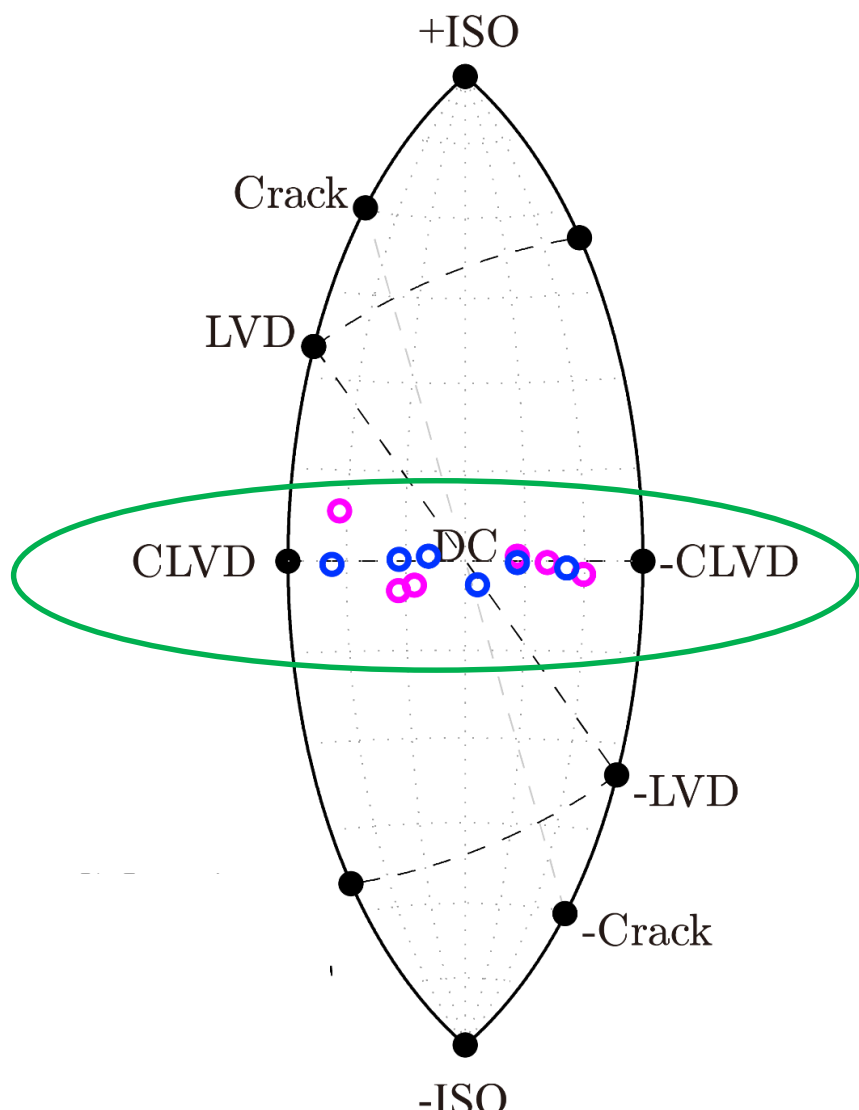


Hudson et al. (1989), Julian et al. (1998)

## Part 2: Deviatoric earthquakes

Are they possible? What is their physical  
meaning?

# Source-type plot: Deviatoric earthquakes (ISO $\sim 0$ )



Alternative explanations:

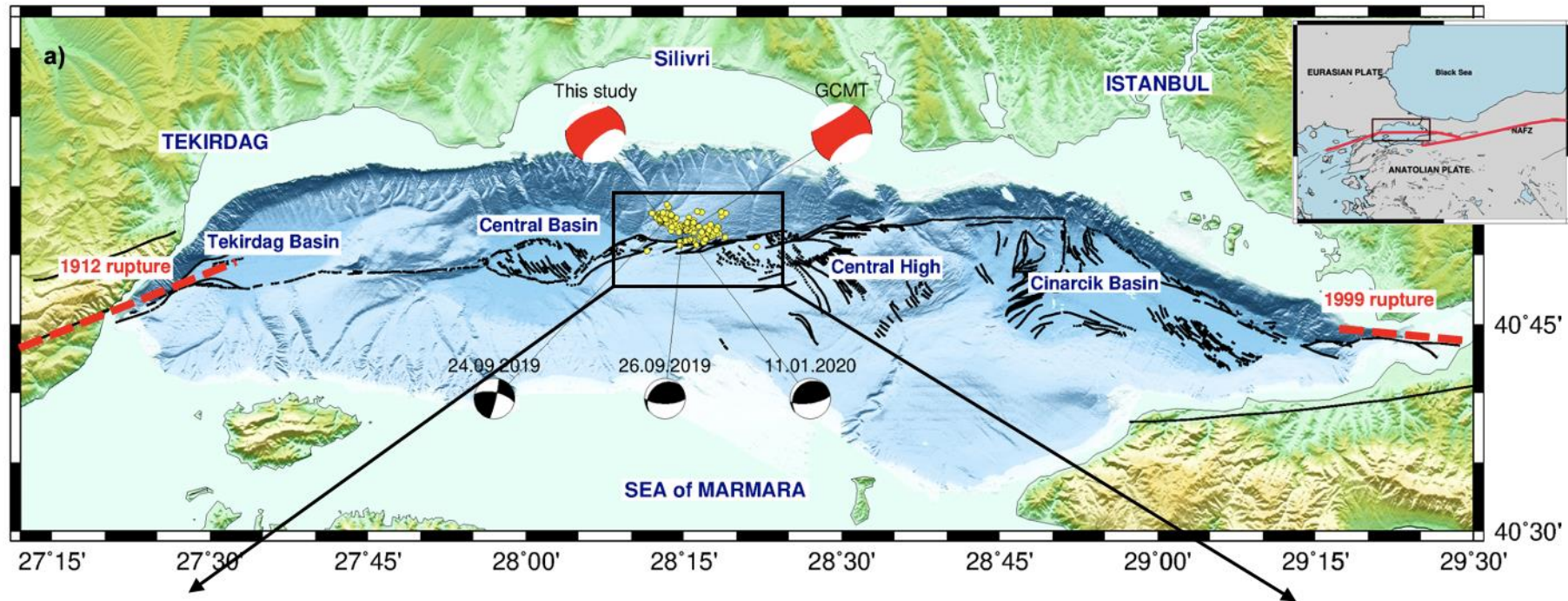
0. Noise in results, all is in fact DC
1. Pure DC in anisotropic medium
2. Mixed-type DC's
3. Classical non-DC model with auxetic near-fault material
4. A new source model  
e.g. X. Markenscoff, explains CLVD (+ DC) in isotropic media with standard Poisson's ratio

## Part 3a: Multi-type faulting (example in Marmara Sea)

ISO~0 and large CLVD as an apparent ( $\neq$  true) departure from shear faulting.

The non-DC% can be explained as a 100% shear faulting on fault segments with different mechanisms.

# The 2019 Mw 5.7 Silivri earthquake in the Marmara Sea seismic gap



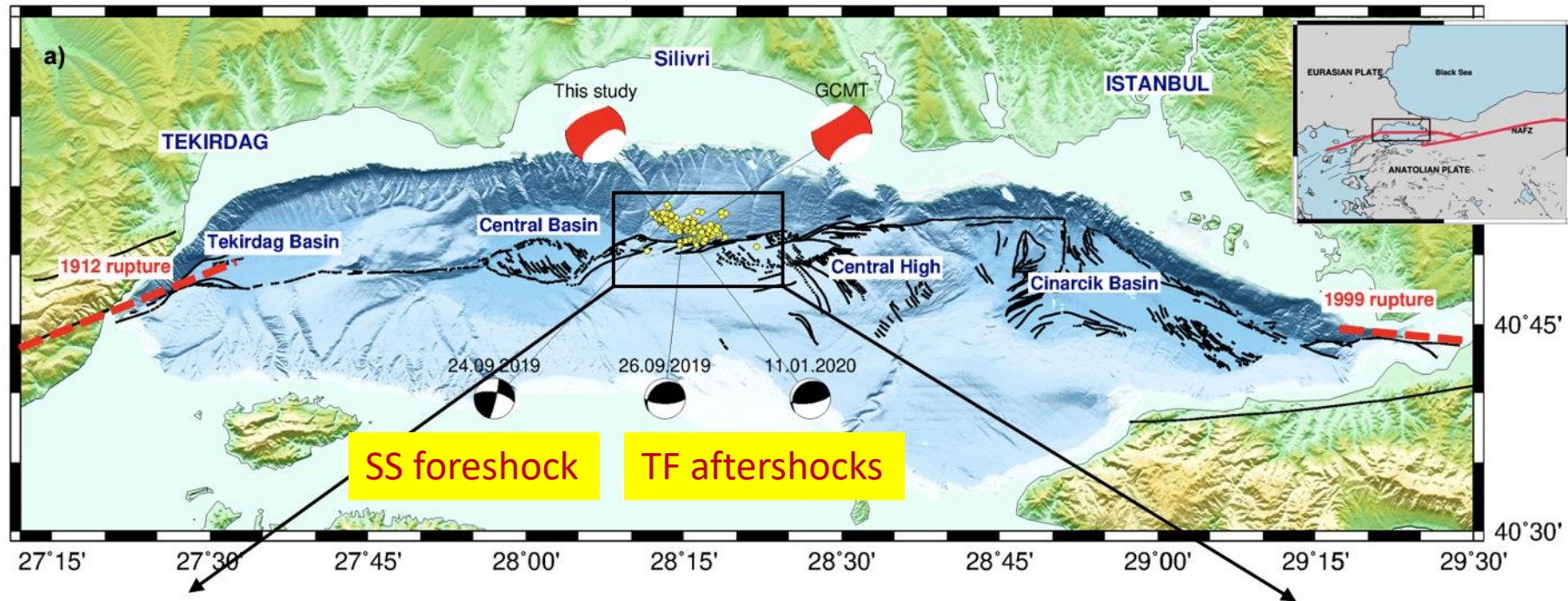
**Turhan, F., D. Acarel, V. Plicka, M. Bohnhoff,  
R. Polat, J. Zahradník:**

Coseismic faulting complexity of the 2019 Mw5.7 Silivri earthquake in the central Marmara seismic gap, offshore Istanbul

*Seismological Research Letters*, 2022

<https://doi.org/10.1785/0220220111>

# The 2019 Mw 5.7 Silivri earthquake in the Marmara Sea seismic gap



**Turhan, F., D. Acarel, V. Plicka, M. Bohnhoff,  
R. Polat, J. Zahradník:**

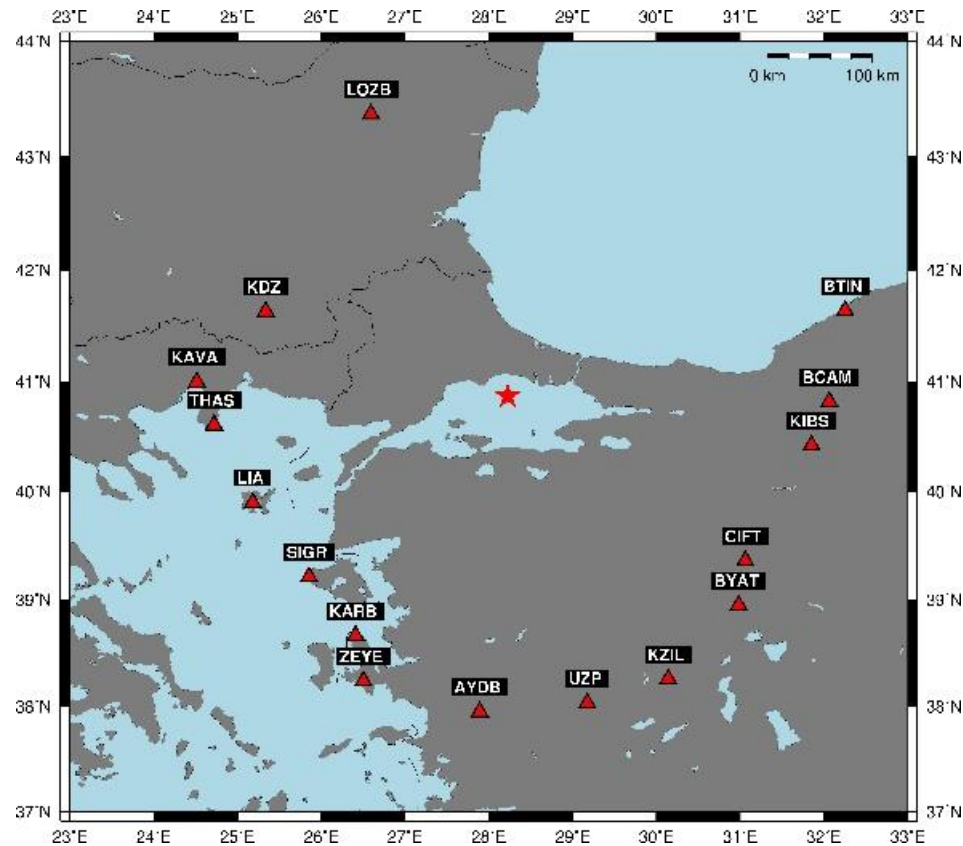
Coseismic faulting complexity of the 2019 Mw5.7 Silivri earthquake in the central Marmara seismic gap, offshore Istanbul

*Seismological Research Letters*, 2022

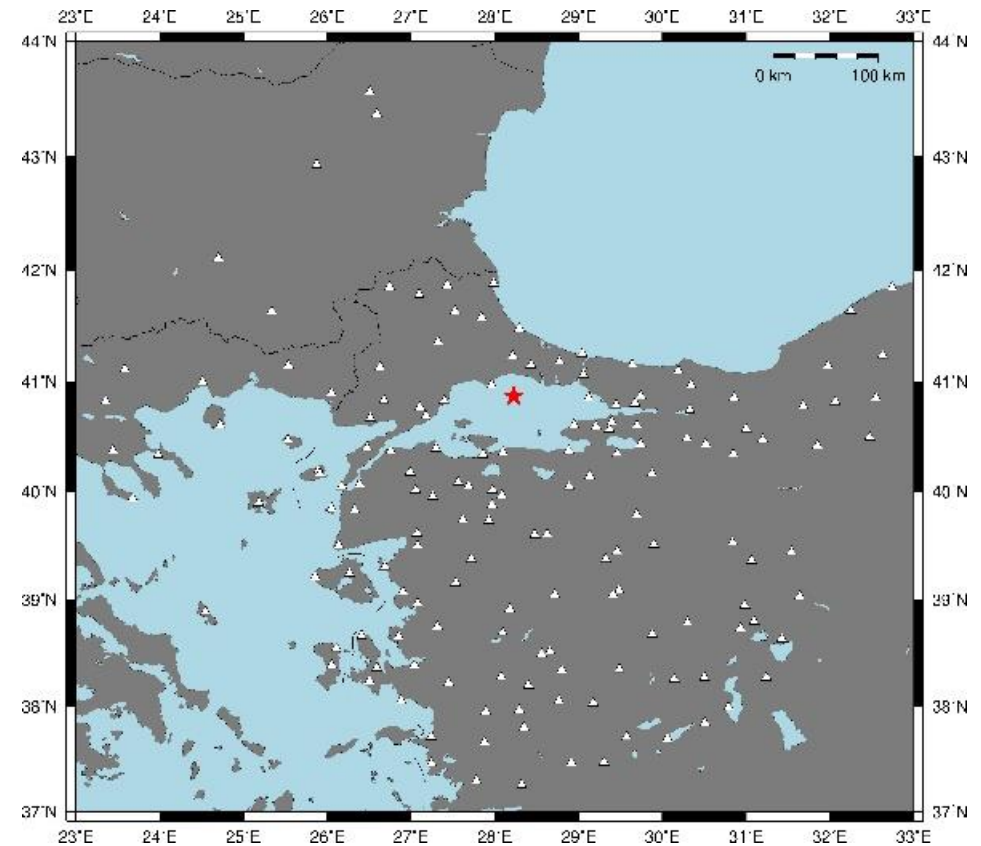
<https://doi.org/10.1785/0220220111>

# Prerequisite: High-quality networks

Stations for complete **waveform inversion**

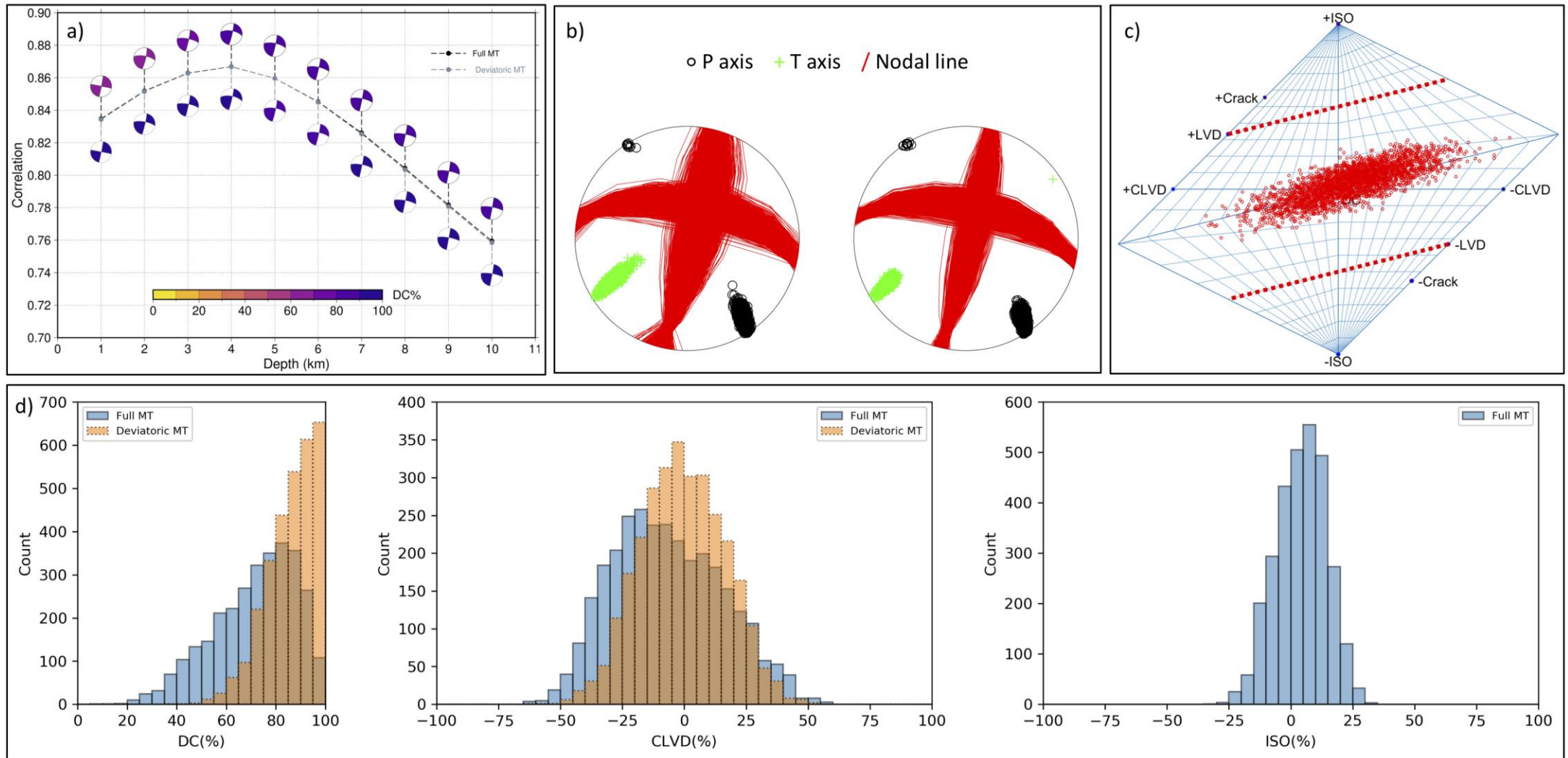


and for P-wave **polarity reading**.



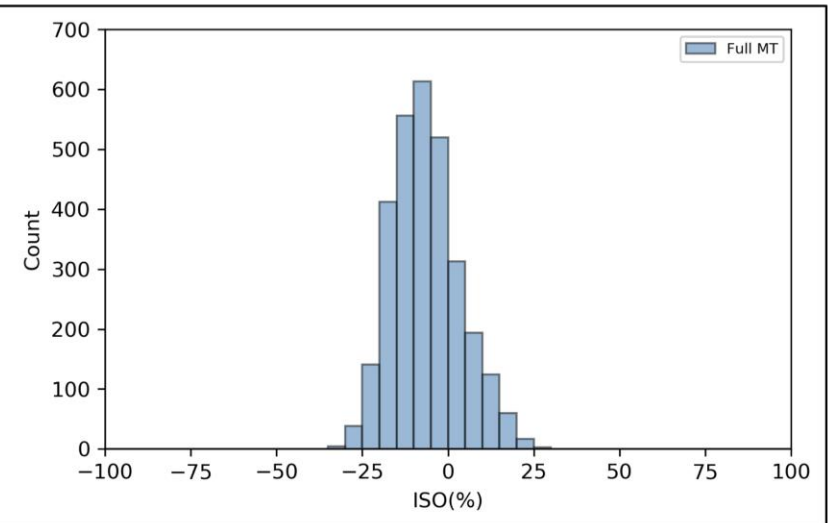
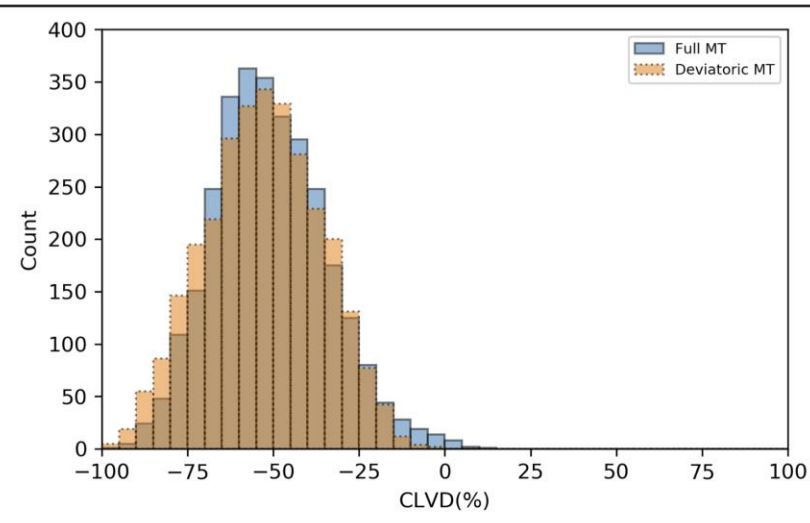
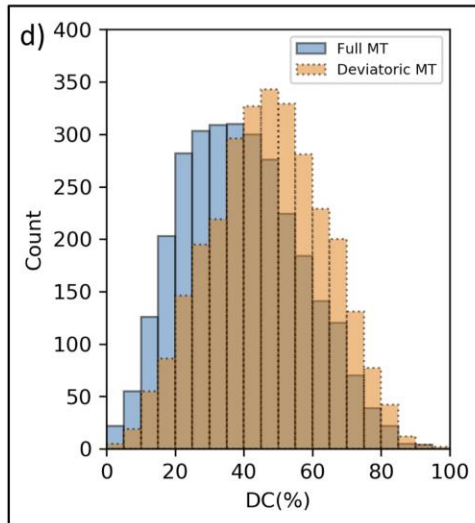
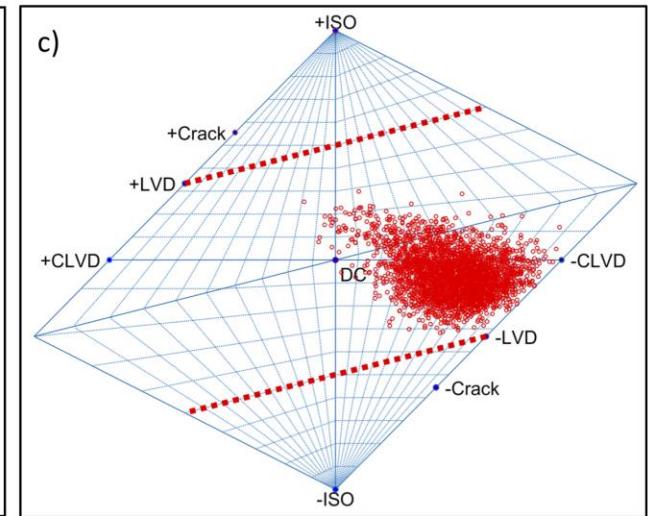
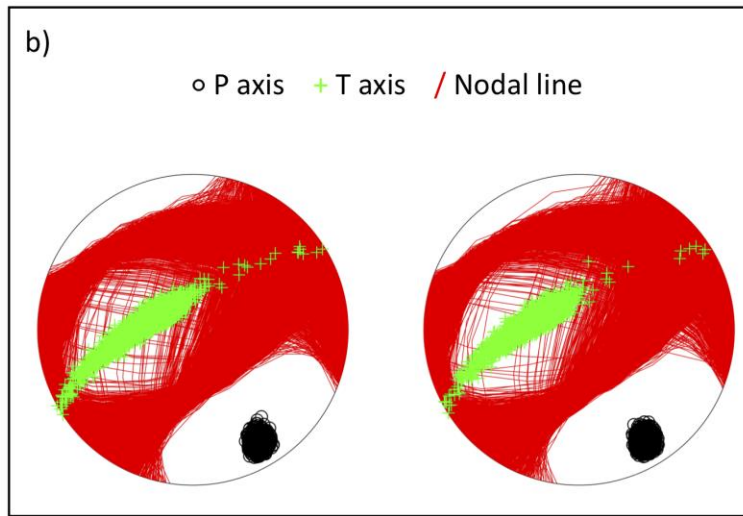
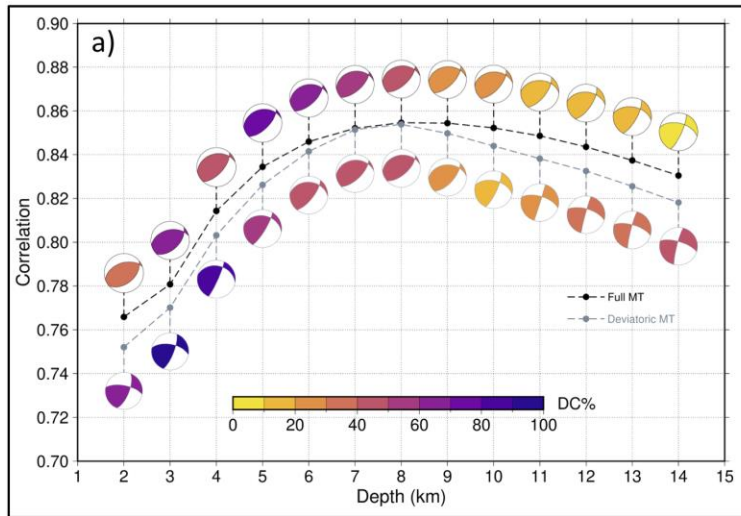
# A nearby calibration event:

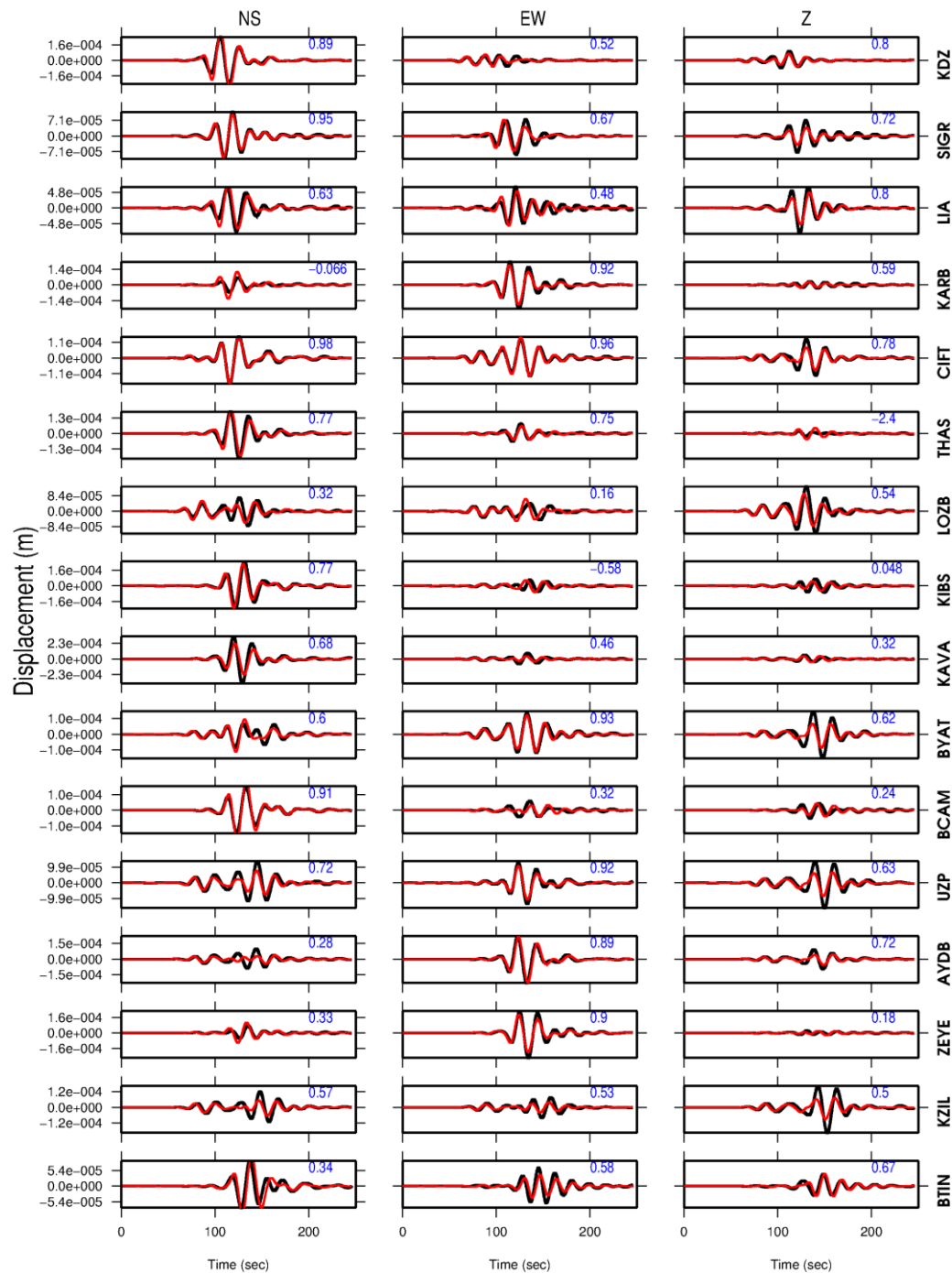
## Foreshock Mw 4.4 is an almost 100% DC event





# Mainshock has a large non-DC part (a large negative CLVD and ISO $\approx 0$ )

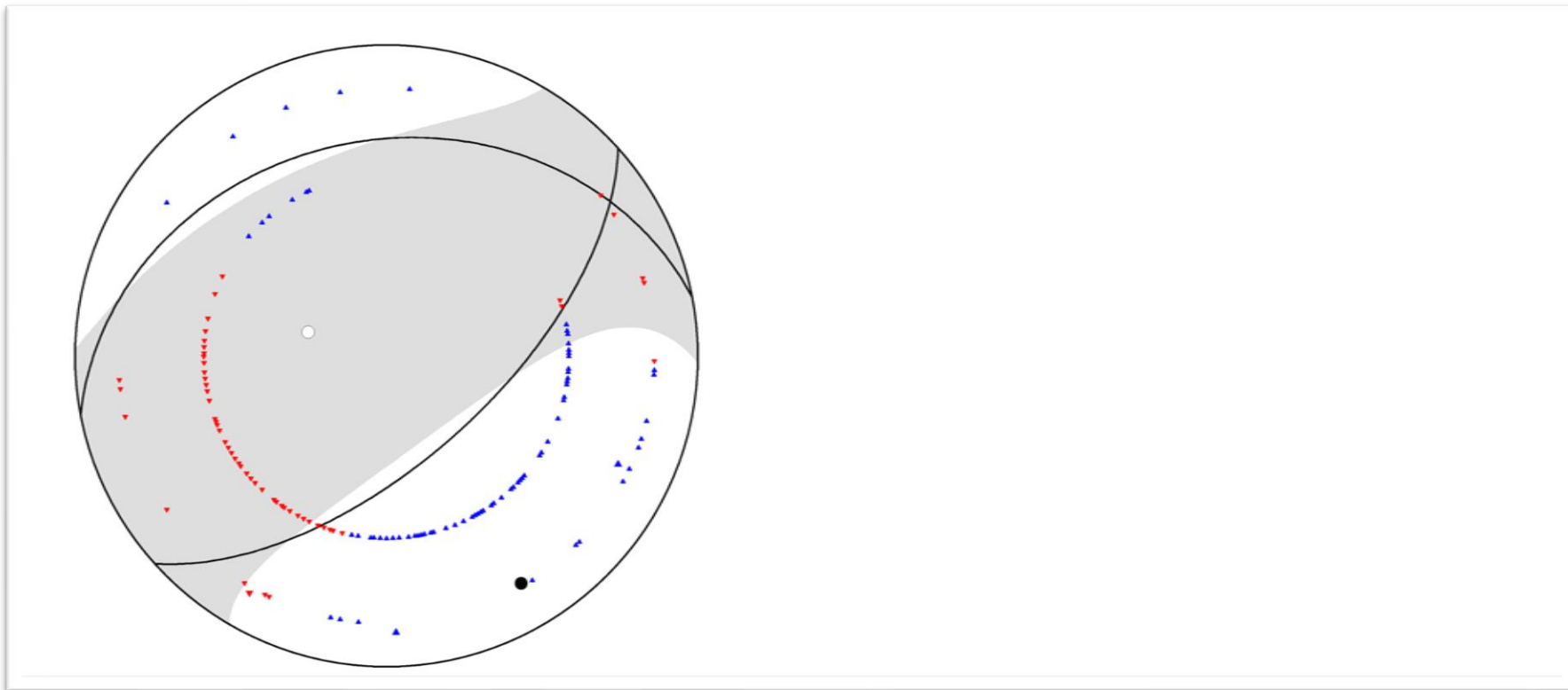




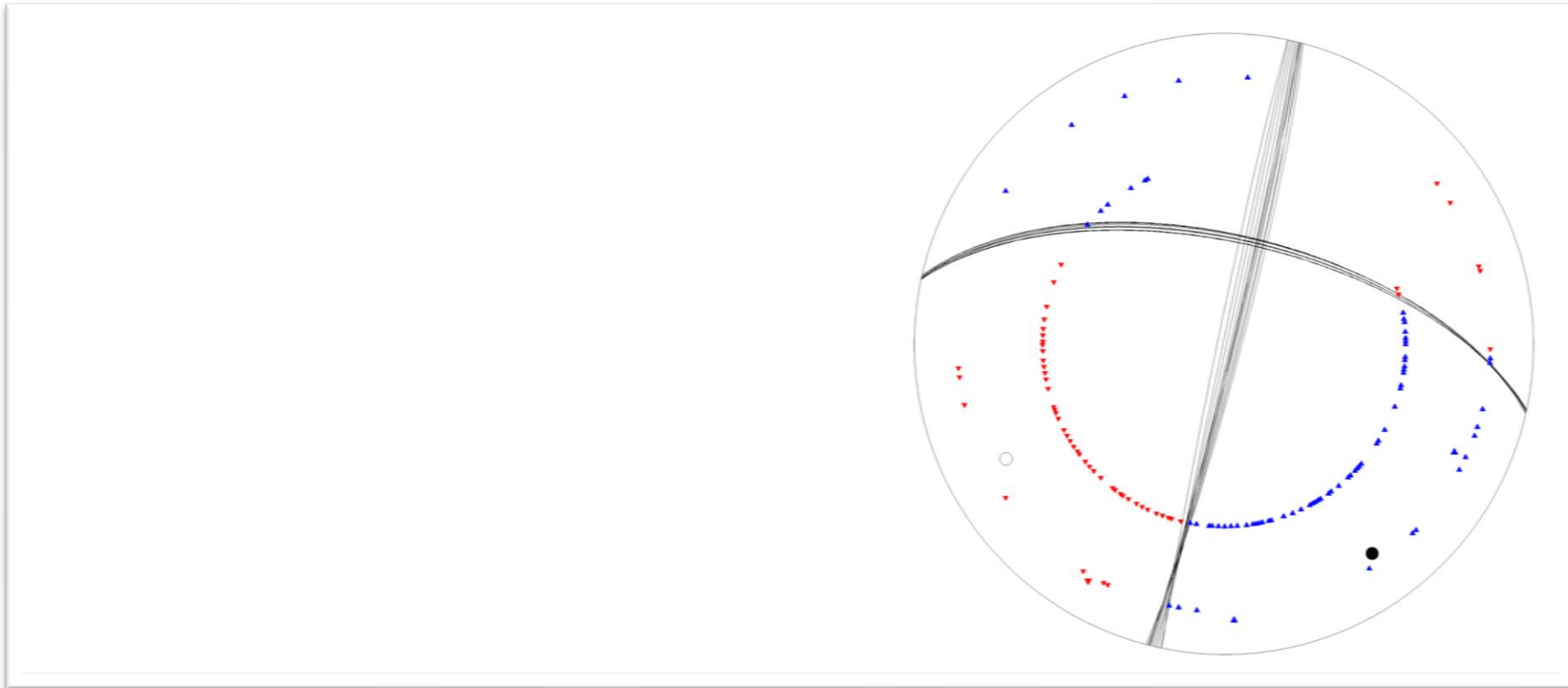
Mainshock  
 waveform  
 modeling  
 (here 0.03-0.06 Hz  
 with VR=0.73  
 without any artificial  
 time alignment)

Such a perfect fit  
 at 16 stations  
 is a necessary condition  
 for reliable non-DC.

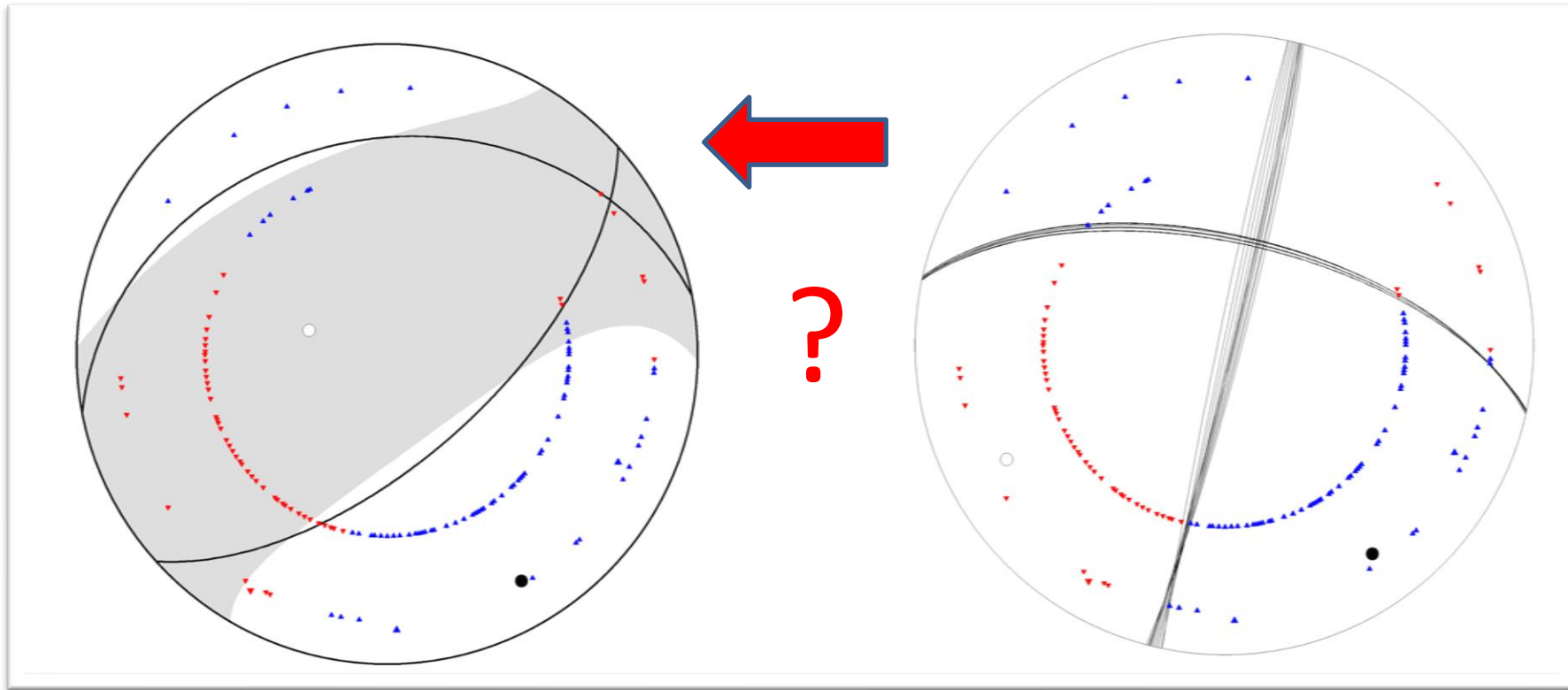
First-motion polarities do NOT agree with full MT.



First-motion polarities do NOT agree with full MT.  
However, they inform that the earthquake started as strike-slip  
(in agreement with the Main Marmara Fault)



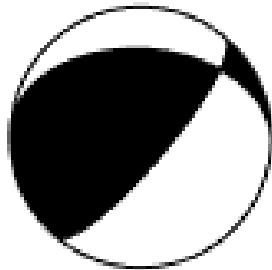
First-motion polarities do NOT agree with full MT.  
However, they inform that the earthquake started as strike-slip  
(in agreement with the Main Marmara Fault)



Then how the rupture continued after the initial SS to produce the full MT?

# A “hint”: Formal decomposition of MT into the “major” and “minor” DC

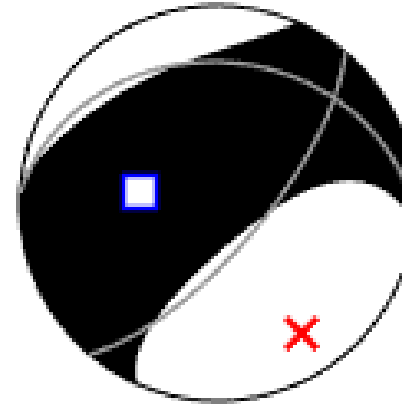
Major DC



Minor DC



Deviatoric non-DC



Thrust fault + Strike-slip fault = Apparent non-DC full MT

# Multi-point approximation by “iterative deconvolution”

Observed ground motion  $o(t)$

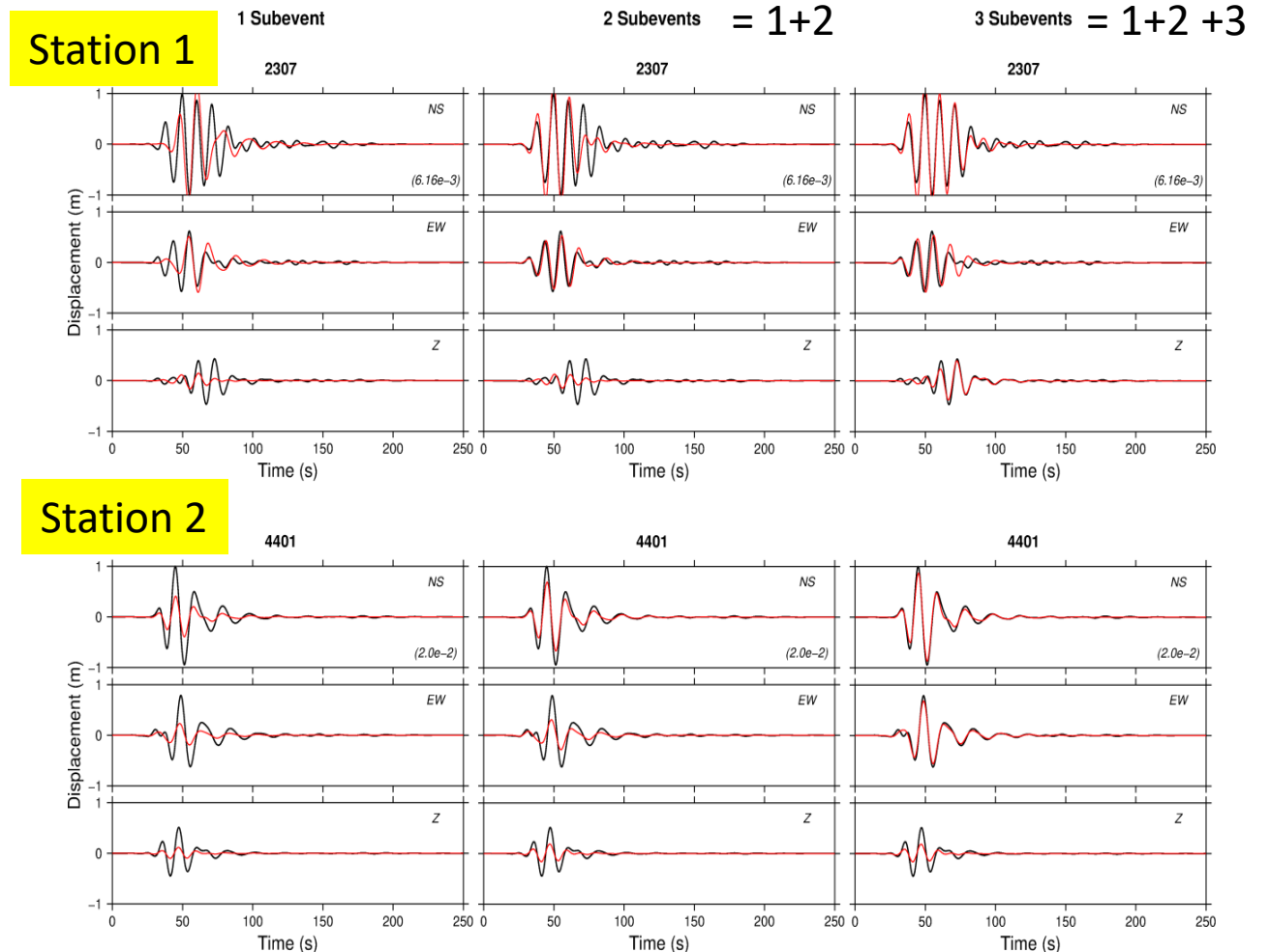
Invert  $o(t)$  for the 1<sup>st</sup> subevent  $s_1(t)$ ,  
create residual data  $o(t)-s_1(t)$

Invert residual data for the 2<sup>nd</sup> subevent  
 $s_2(t)$ , ... etc.

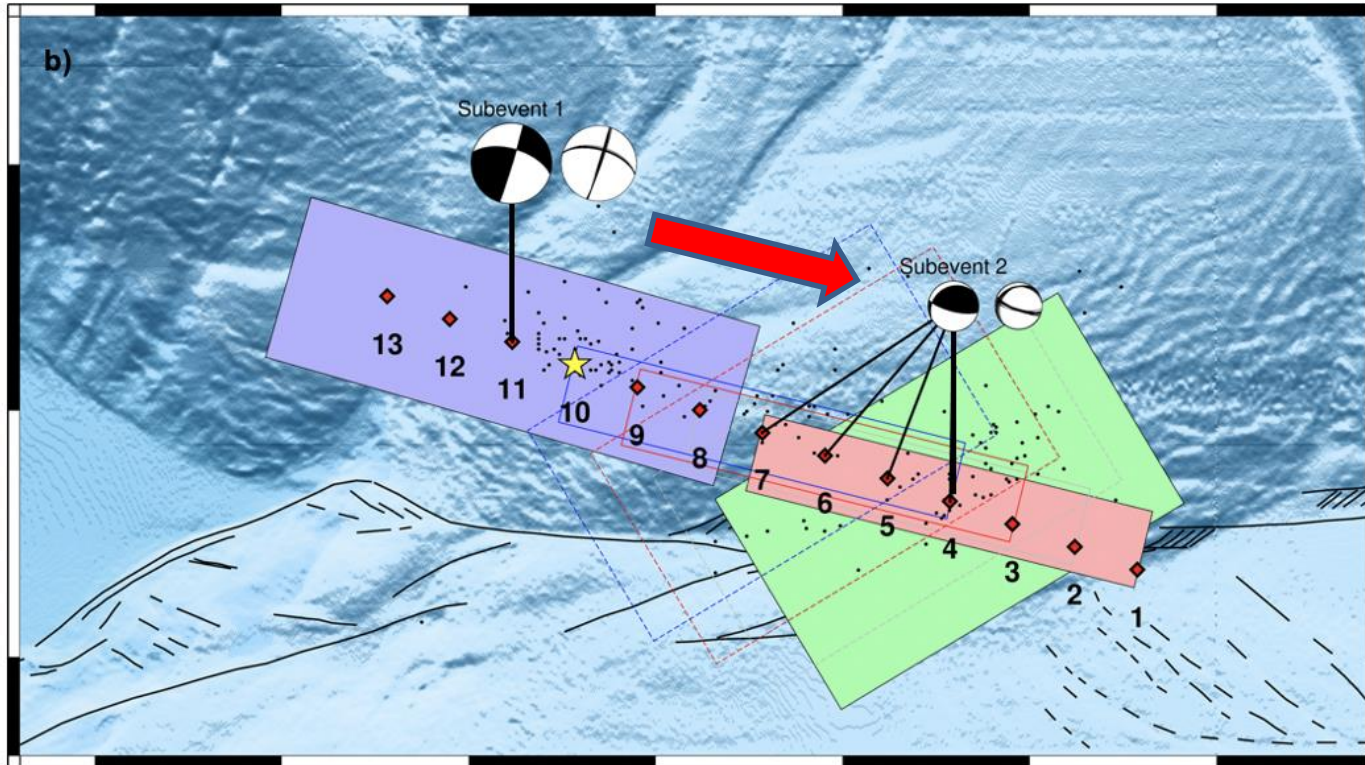
Final source model =

1<sup>st</sup> subevent + 2<sup>nd</sup> subevent + ...

Final synthetic data  $s_1(t) + s_2(t) + \dots$



Instead of relying on formal decomposition we inverted waveforms for two DC subevents (applying a DC-constraint)



We confirmed that after a strike-slip fault, a secondary (weaker and later) thrust-fault subevent occurred during the mainshock.

Two fault segments activated within  $\sim 6$  km and  $\sim 2$  seconds.

Rupture propagation to SE.

TF important for tsunami generation of a future M 7 event.



# Analogy from Japan, transpression

Hallo et al. *Earth, Planets and Space* (2019) 71:34  
<https://doi.org/10.1186/s40623-019-1016-8>

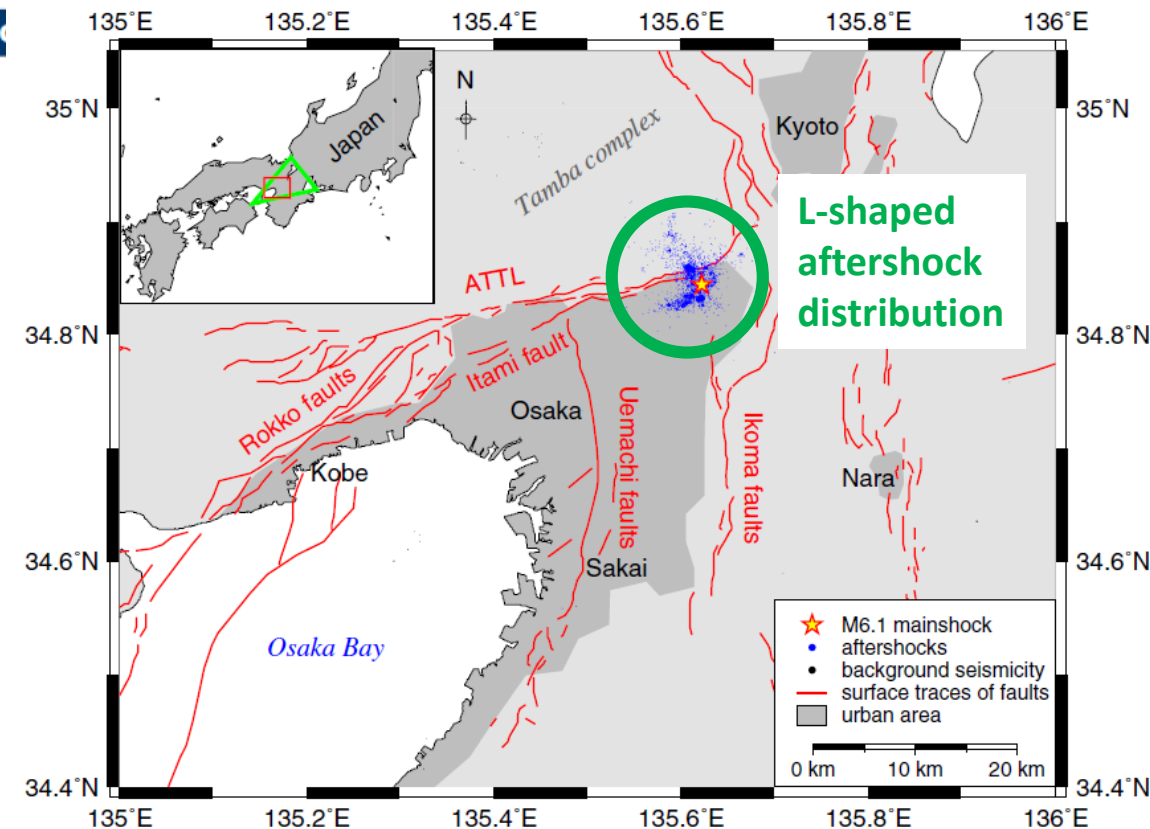
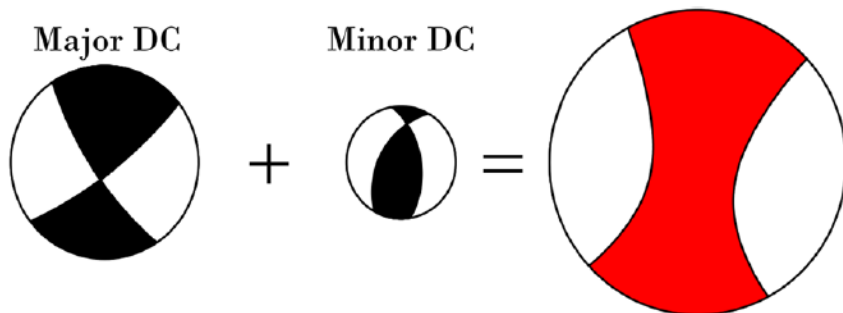
Earth, Planets and Space

FULL PAPER

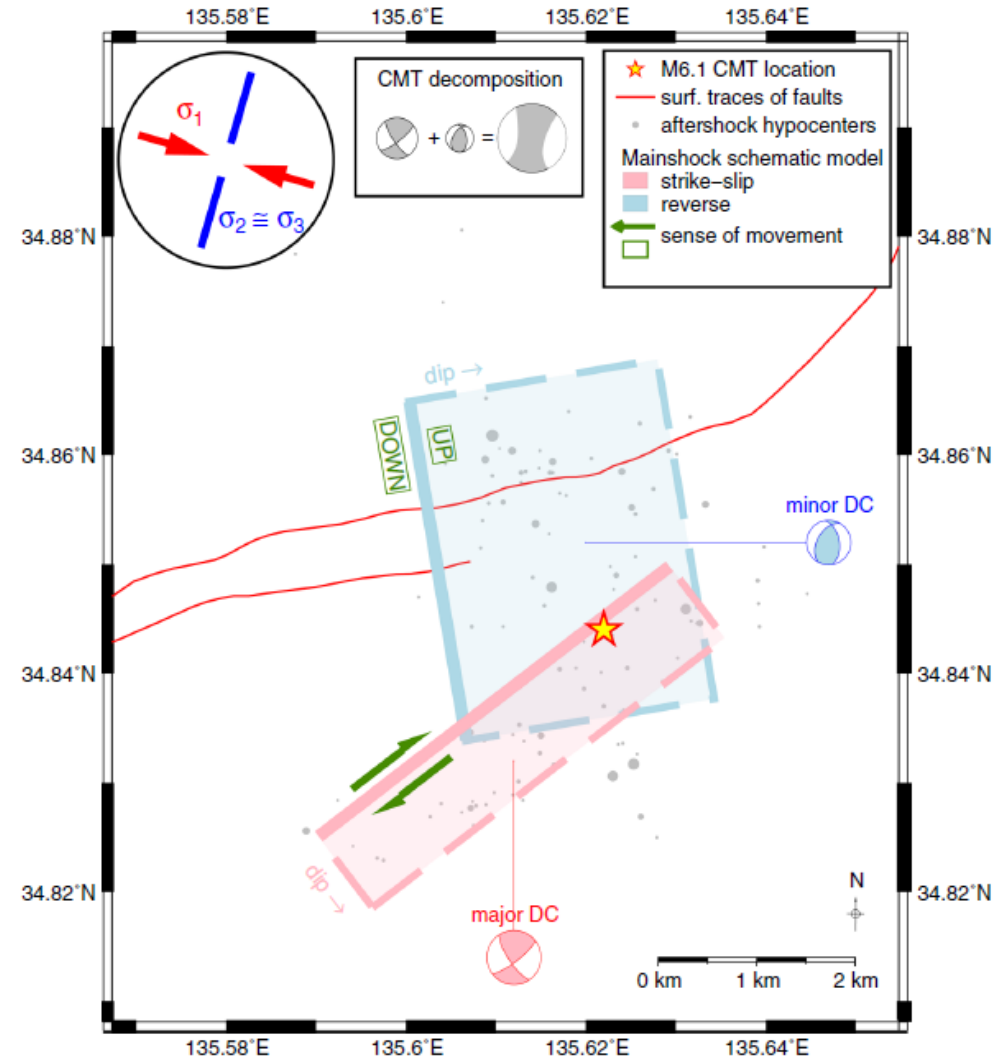
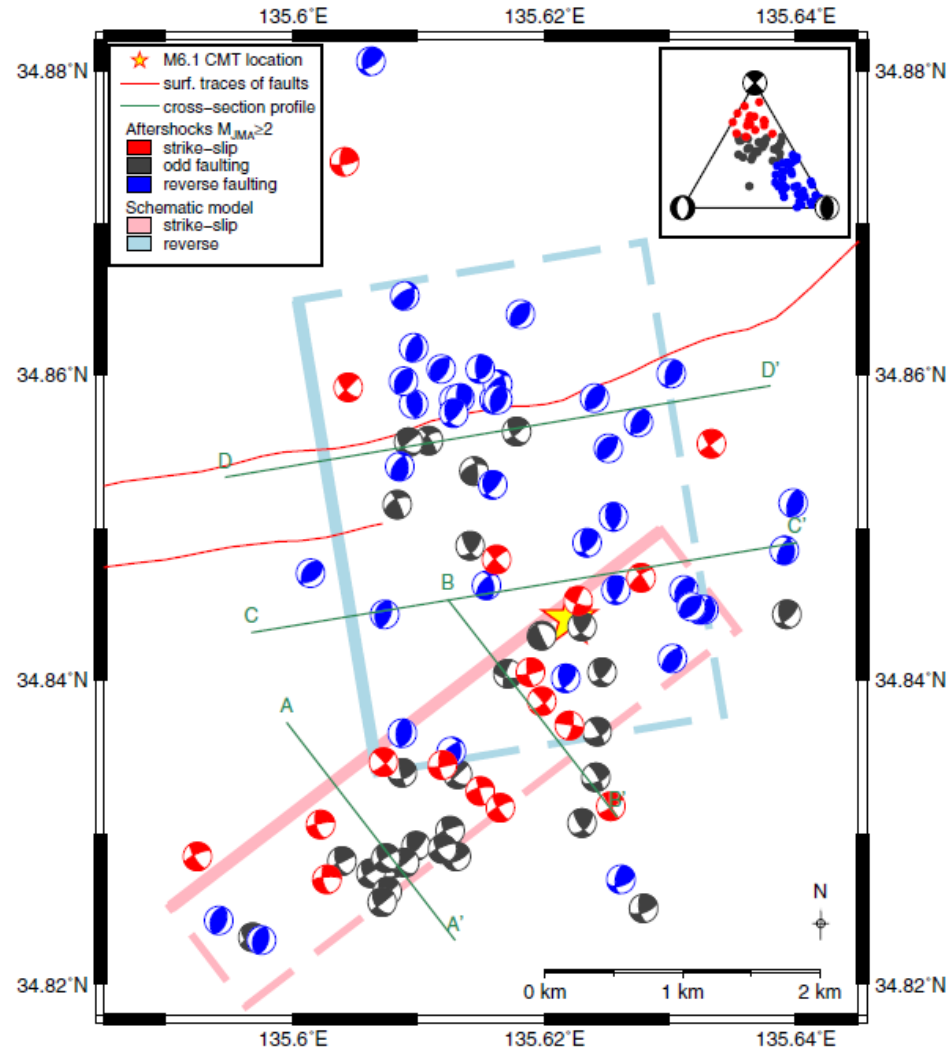
Open Access

## Seismotectonics of the 2018 northern Osaka M6.1 earthquake and its aftershocks: joint movements on strike-slip and reverse faults in inland Japan

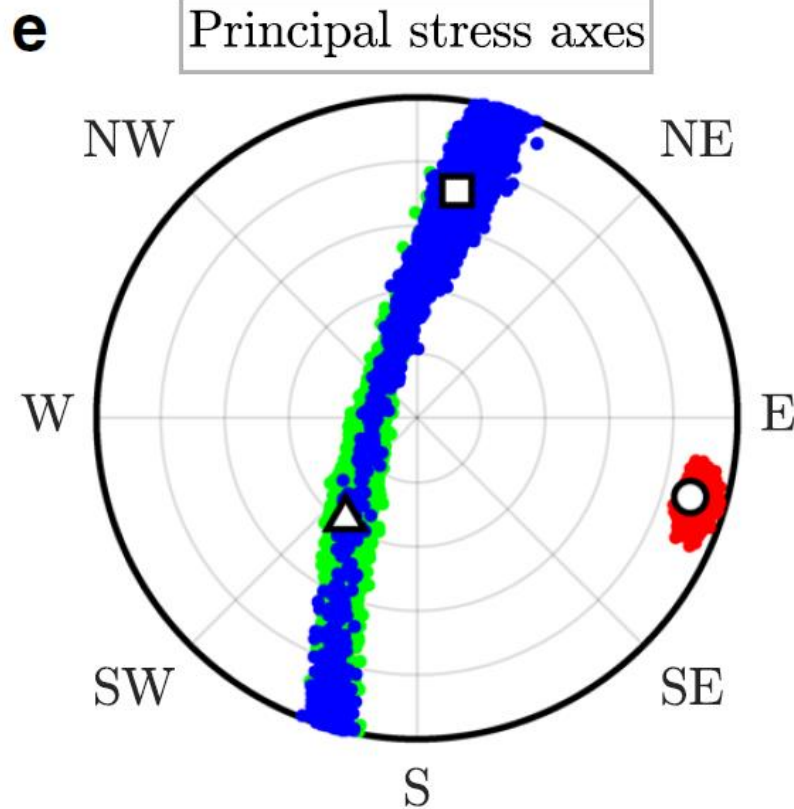
Miroslav Hallo<sup>1\*</sup>, Ivo Opršal<sup>2</sup>, Kimiyuki Asano<sup>3</sup> and František Gallovič<sup>1</sup>



# Mixing SS and TF, L-shape = two faults

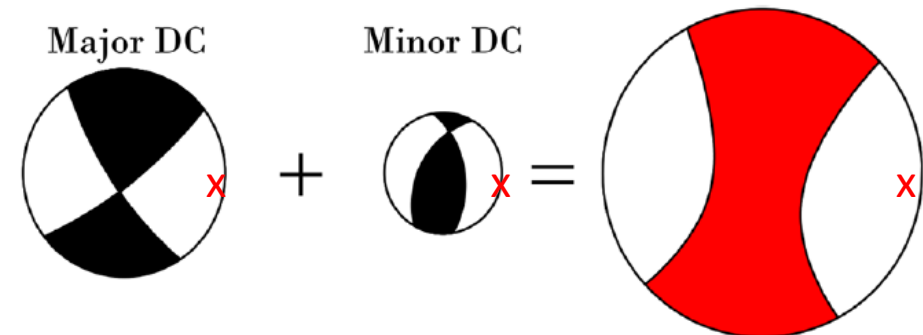


# Only one principal stress axes is stable ( $\sigma 1$ )



Two almost same eigenvalues = **indeterminacy** of two principal stress axes  $\sigma 2$  (blue),  $\sigma 3$  (green)

$\sigma 1$  ... well constrained ... common P axis of Maj/Min DC  
x

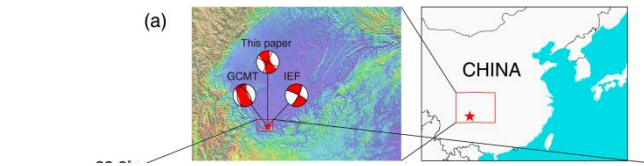


# Part 3b: Multi-type faulting in salt-mine district Sichuan Basin, China

Another Non-DC as an apparent ( $\neq$  true) departure  
from shear faulting.

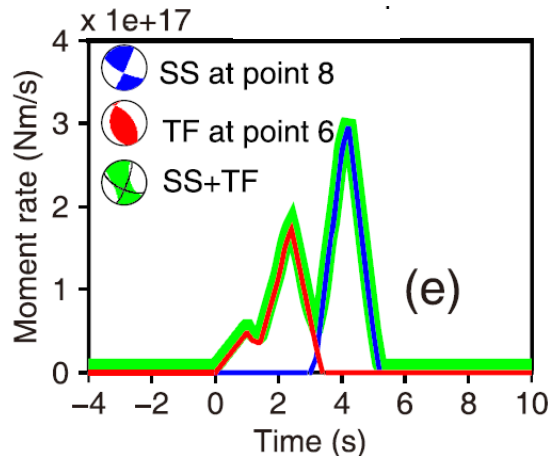
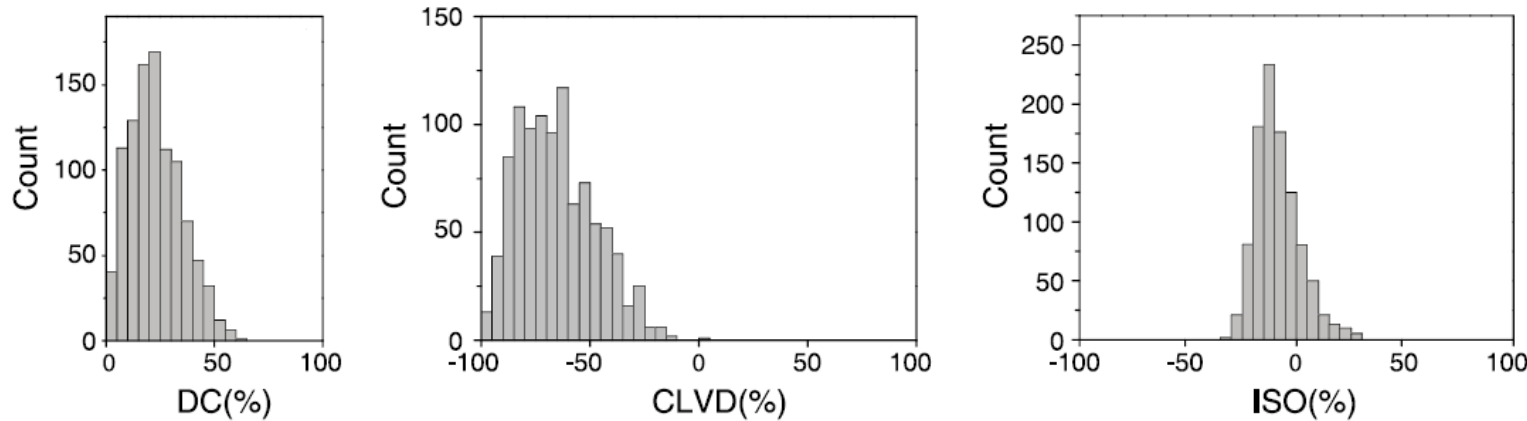
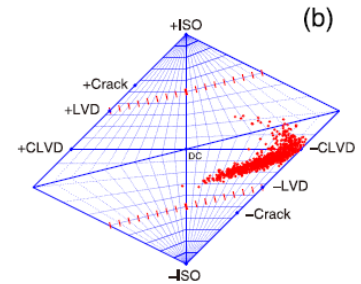
An application with importance in anthropogenic  
earthquake triggering.

# The 2019 Mw 5.7 earthquake in Sichuan - huge non-DC explained as a mixed-type doublet

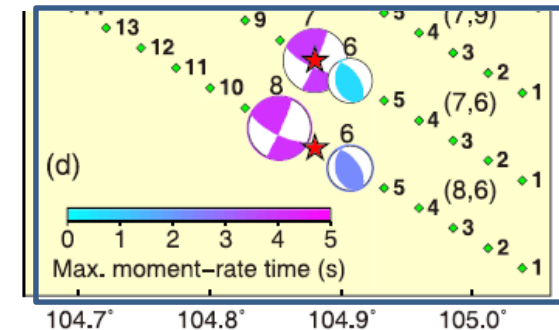


**GCMT reported the DC part as low as DC=2%**

We calculated full MT and obtained a large (negative) CLVD and an almost zero ISO=VOL.

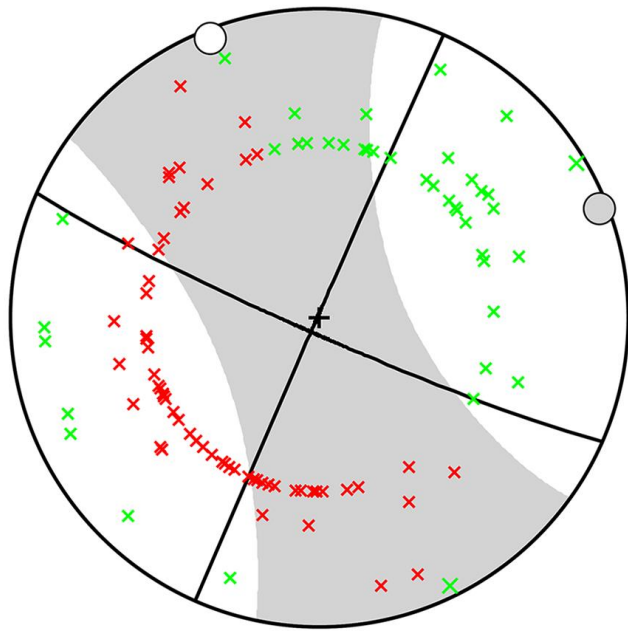


We explained the event as *two different 100% DC faults, Strike-Slip and Thrust-Fault*, approximately ~3-9 km and ~3 s from each other

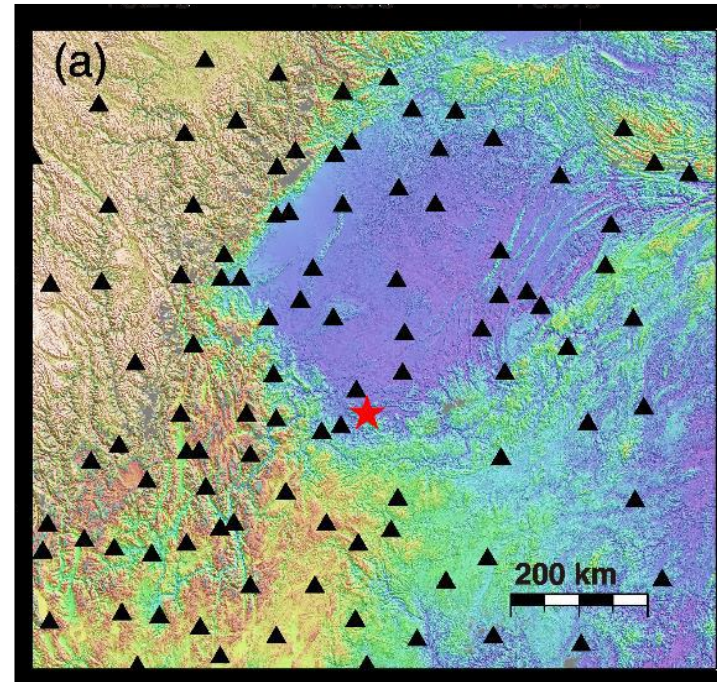


**Liu, J. and Zahradník, J. (2020).  
Geophys. Res. Lett., 47, e2019GL085408**

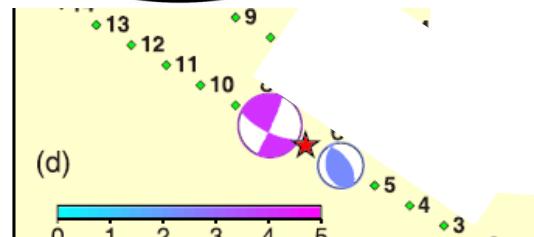
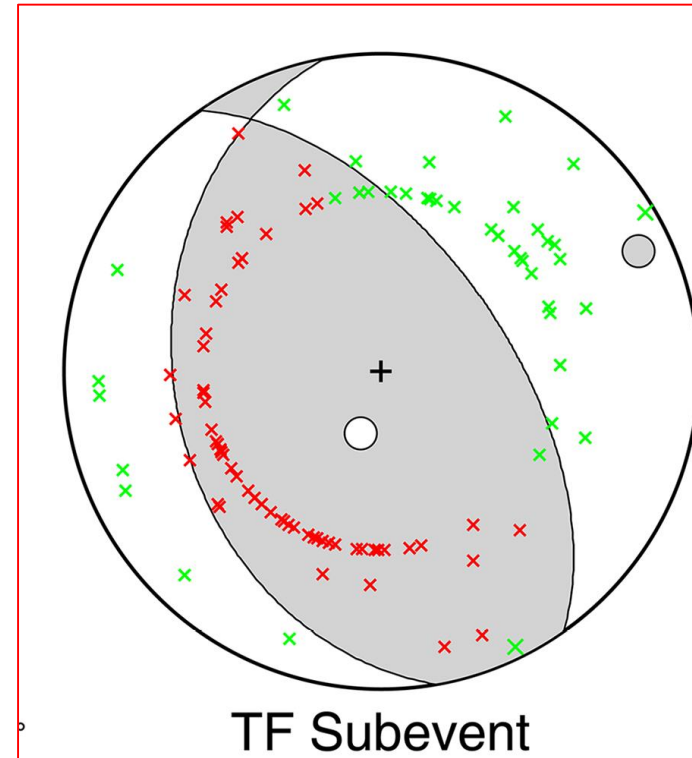
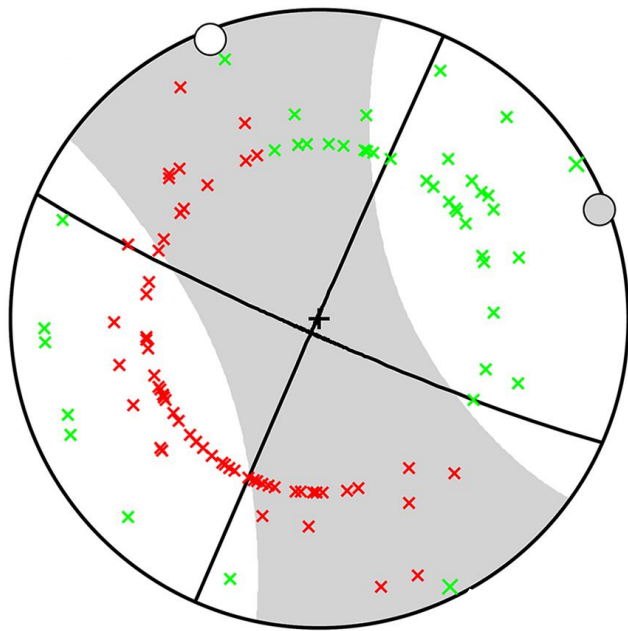
First-motion polarities provide a perfect coverage but they disagree with full MT ....



Full MT+DC

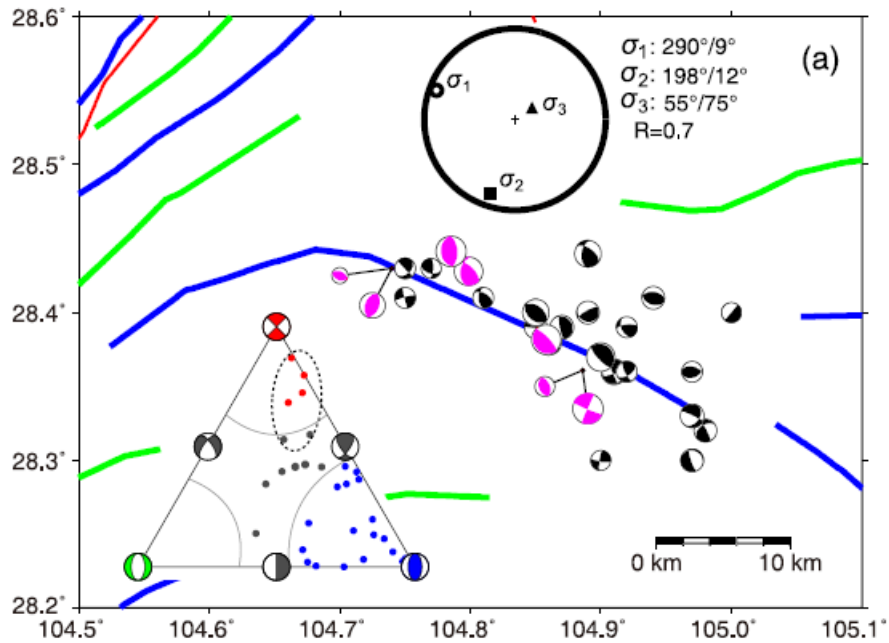


First-motion polarities disagree with full MT but confirmed that the earthquake started as a thrust fault

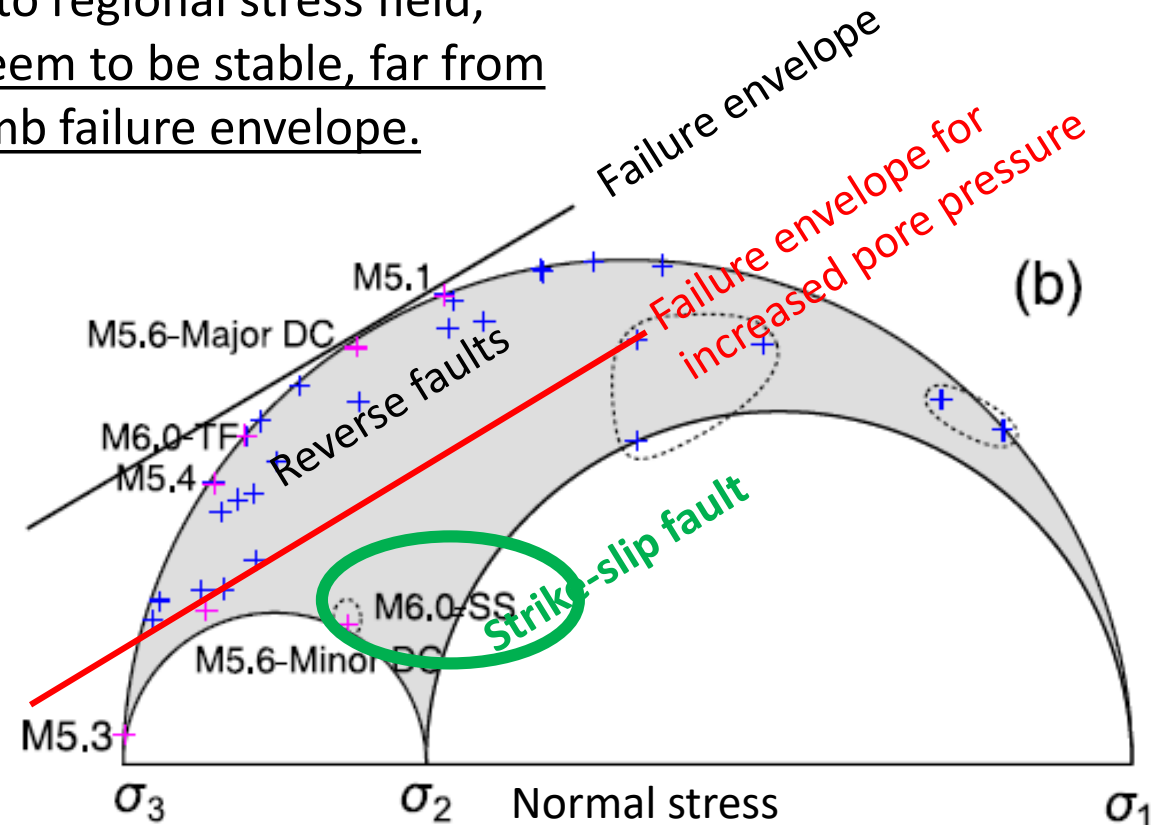


# Practical importance of the revealed SS fault

Active SS faults have not often occurred in the region. According to regional stress field, SS faults seem to be stable, far from the Coulomb failure envelope.



Shear stress



Nevertheless, SS ruptured there, and since there are many **water injections in the salt-mines**

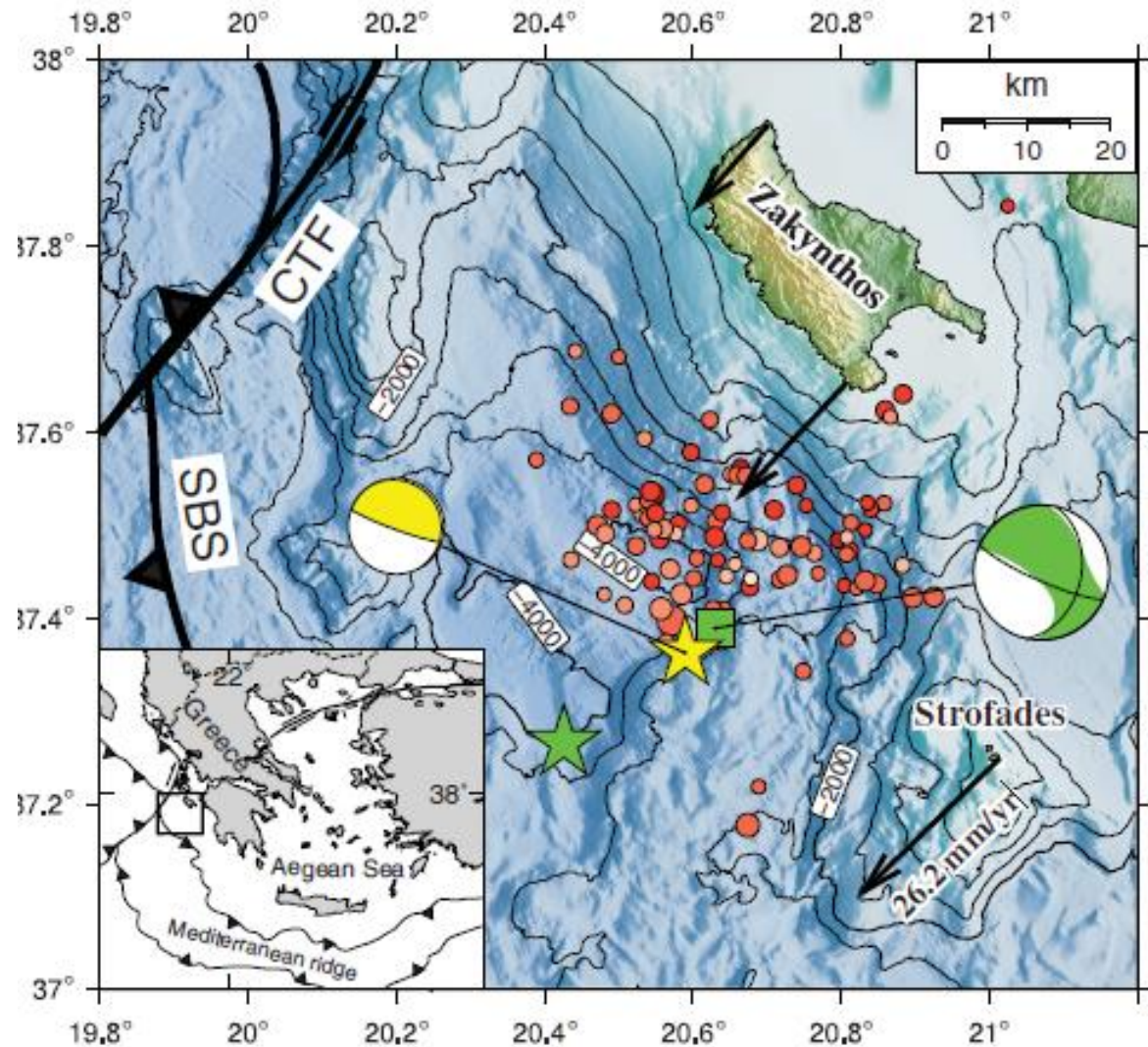
**the SS fault was probably activated by elevated pore-water pressure. Anthropogenic triggering.**



## Part 3c: Multi-type faulting near Zakynthos Island

Complex zone at western termination of Hellenic  
subduction, near Kefalonia transform.

# The 2018 Mw 6.8 Zakynthos



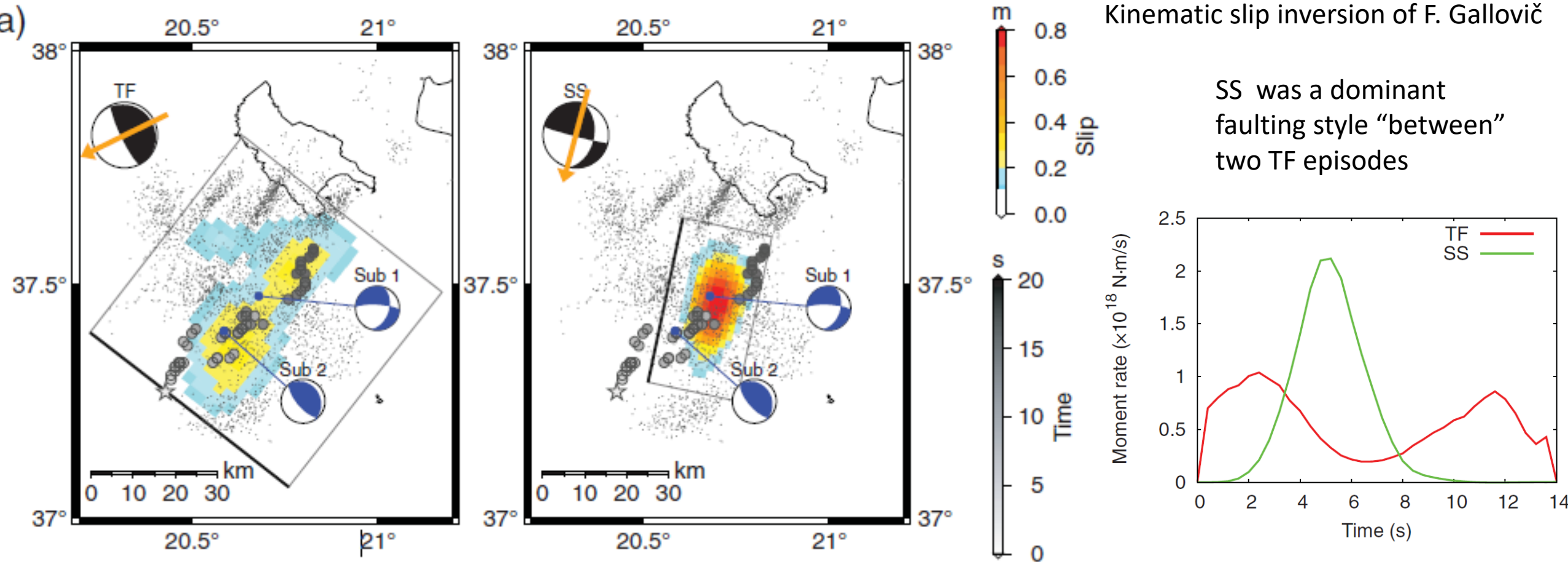
Full MT of the mainshock  
(green beachball):  
DC ~ 40%, CLVD ~ -60%

Note also a low-dip (dip 10°) reverse faulting  
during foreshock (yellow beachball)

Sokos, E., F. Gallovič, C.P. Evangelidis,  
A. Serpetsidaki, V. Plicka, J. Kostelecký,  
and J. Zahradník (2020):

The 2018 Mw 6.8 Zakynthos, Greece, Earthquake:  
Dominant Strike-Slip Faulting near Subducting Slab,  
*Seismol. Res. Lett.* 91, 721–732, <https://doi.org/10.1785/0220190169>

The 2018 mainshock likely consisted of two fault segments:  
a low-dip thrust, and a dominant moderate-dip, right-lateral strike-slip



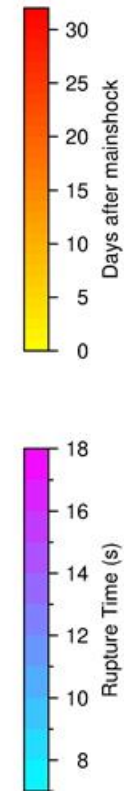
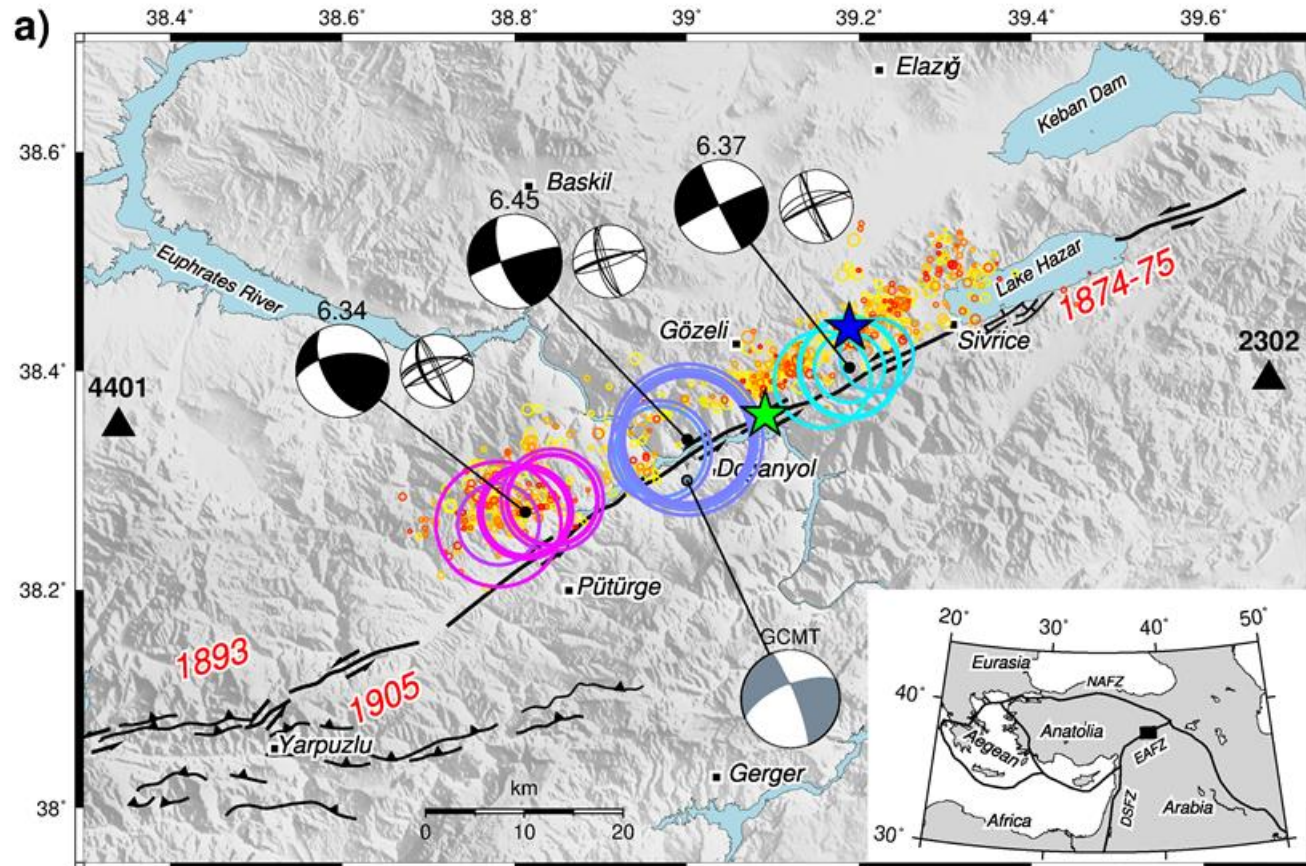
Part 4: Something else - a single-type  
faulting, yet complex

DC ~ 100%

# Part 4a: Single-type complex faulting in Turkey 2020

Eastern Anatolia Fault, a “predecessor”  
of the Mw7.8 of 2023

# The 2020 Mw 6.8 earthquake in Turkey



Using ISOLA we suggested **three main 100% DC strike-slip source episodes** and this geometry enabled advanced *dynamic* source modeling of F. Gallovič.

**Gallovič, F., Zahradník, J., Plicka, V., Sokos, E., Evangelidis, C., Fountoulakis, I. and Turhan, F. (2020).** Complex rupture dynamics on an immature fault during the 2020 Mw 6.8 Elazığ earthquake, Turkey. *Commun Earth Environ* 1, 40

# Multi-point approximation by “iterative deconvolution”

Observed ground motion  $o(t)$

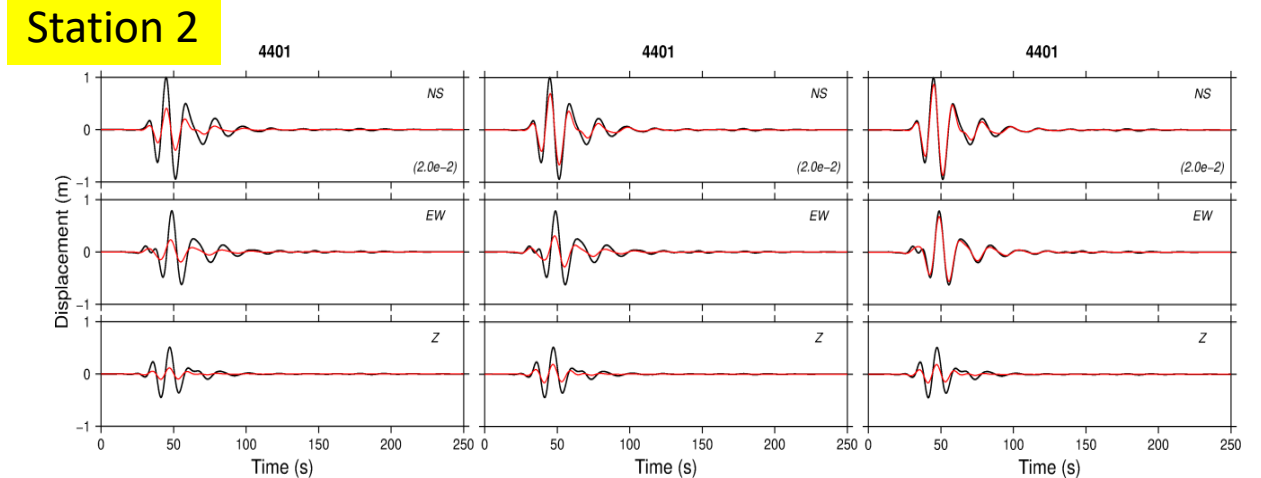
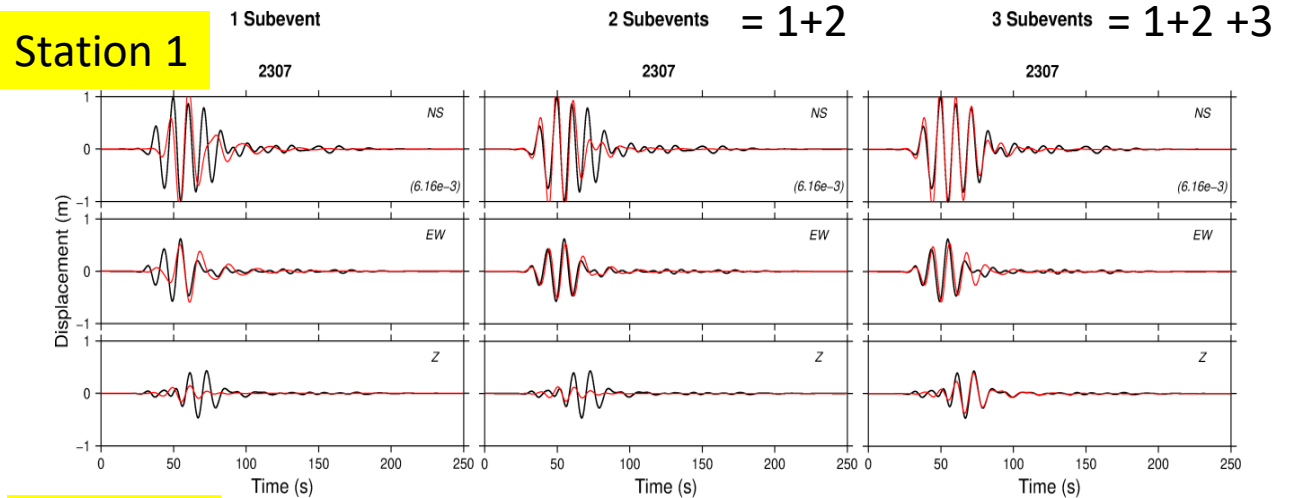
Invert  $o(t)$  for the 1<sup>st</sup> subevent  $s_1(t)$ ,  
create residual data  $o(t)-s_1(t)$

Invert residual data for the 2<sup>nd</sup> subevent  
 $s_2(t)$ , ... etc.

Final source model =

1<sup>st</sup> subevent + 2<sup>nd</sup> subevent + ...

Final synthetic data  $s_1(t) + s_2(t) + \dots$



# Part 4b: A deeply rooted shallow rupture on a less known normal fault

Corinth Rift, Greece

„A single beachball, with both nodal planes being partial ruptures“




# The Mw 5.3 largest event of the 2020-2021 Corinth-Gulf seismic crisis

## JGR Solid Earth

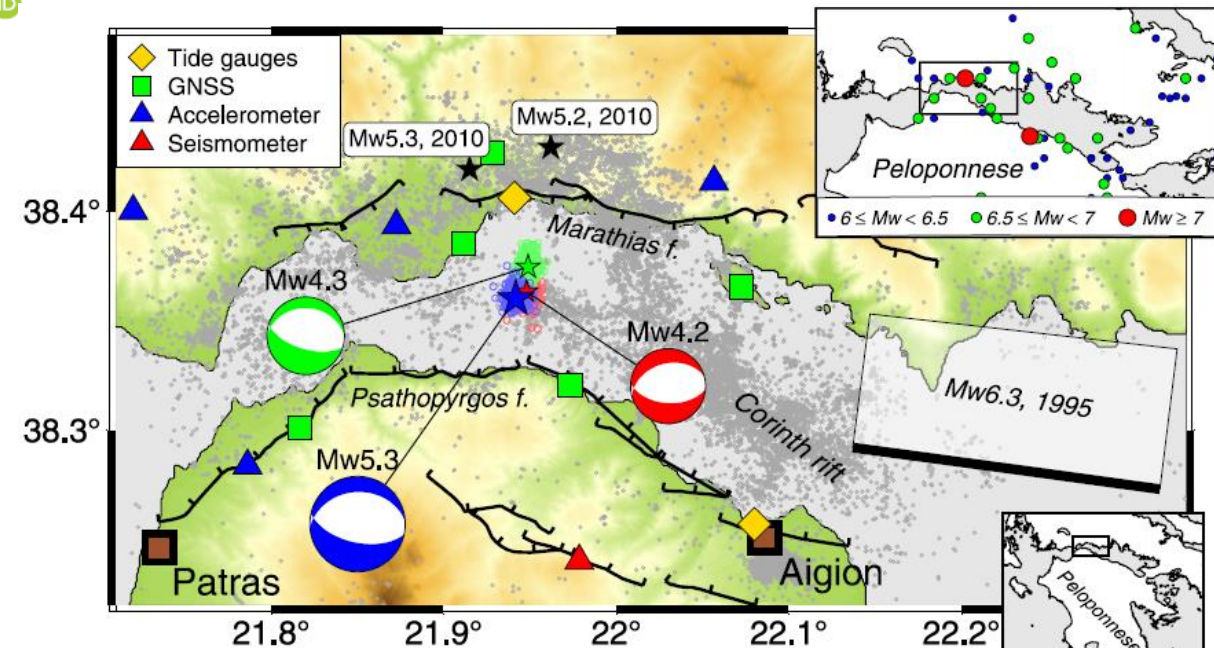
An example  
of a strong  
international  
cooperation  
in Corinth Rift  
Laboratory

### An Atypical Shallow Mw 5.3, 2021 Earthquake in the Western Corinth Rift (Greece)

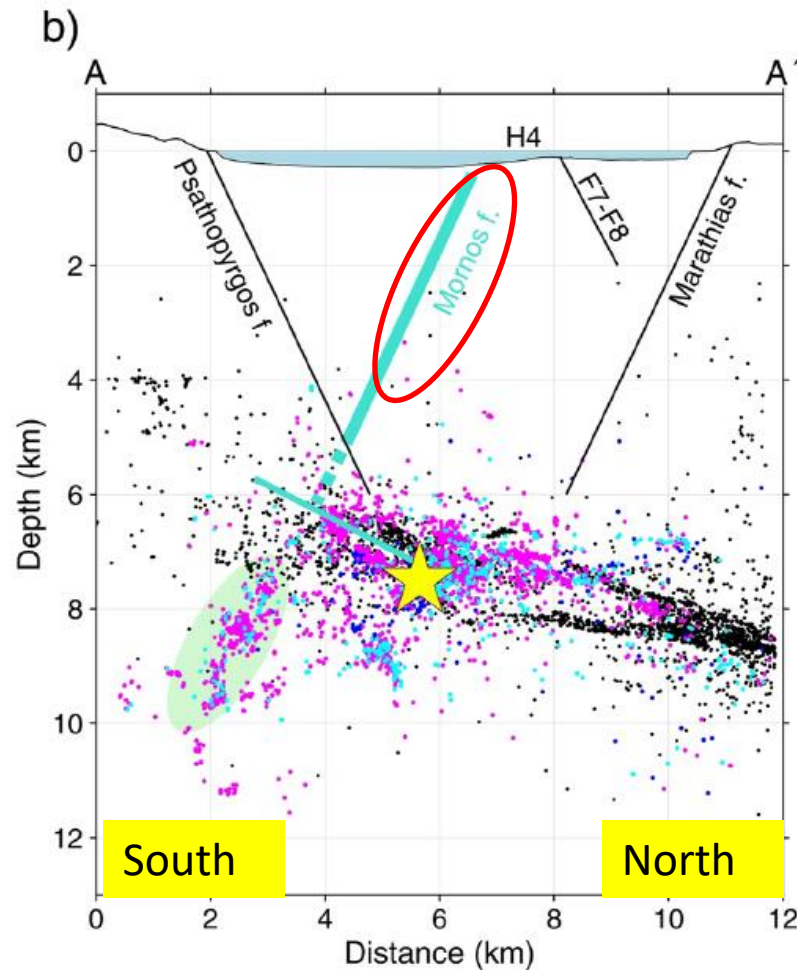
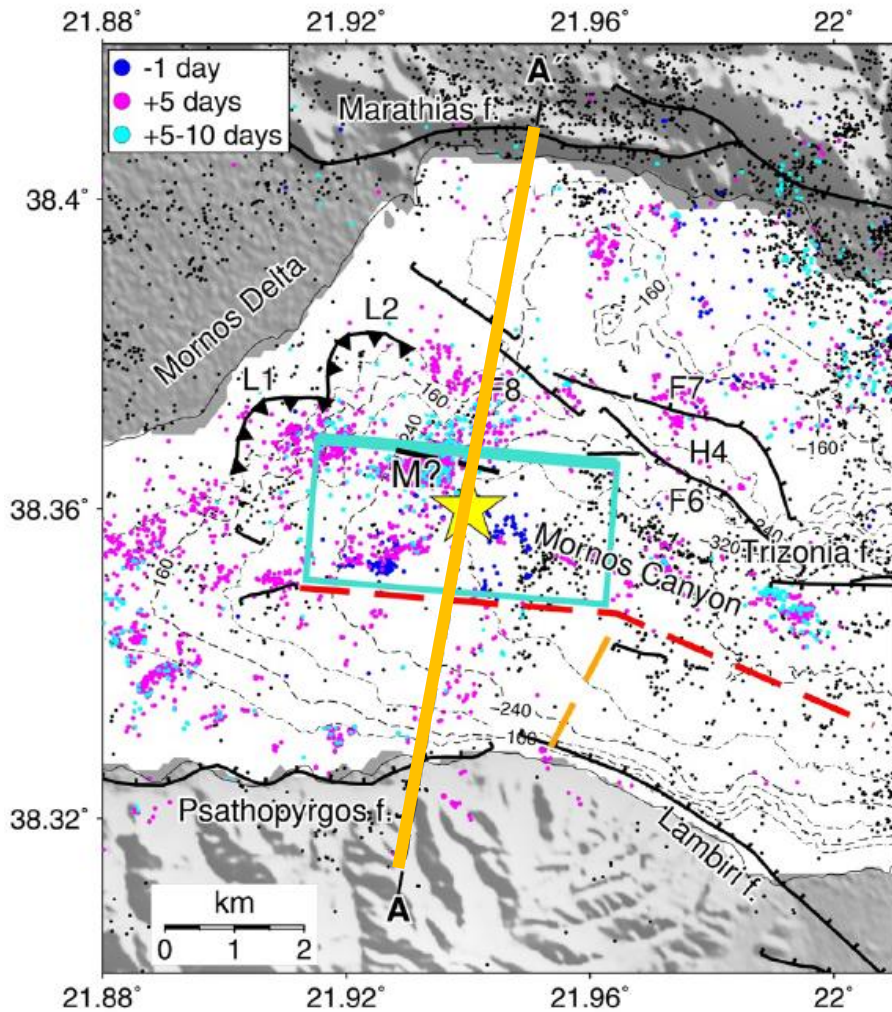
Jiří Zahradník<sup>1</sup> , El Madani Aissaoui<sup>2</sup> , Pascal Bernard<sup>2</sup> , Pierre Briole<sup>3</sup> , Simon Bufféfal<sup>3</sup> , Louis De Barros<sup>4</sup> , Anne Deschamps<sup>4</sup> , Panagiotis Elias<sup>5</sup> , Christos P. Evangelidis<sup>6</sup> , Ioannis Fountoulakis<sup>6</sup> , František Gallovič<sup>1</sup> , Vasilis Kapetanidis<sup>7</sup> , George Kaviris<sup>7</sup> , Olga-Joan Ktenidou<sup>6</sup> , Sophie Lambotte<sup>8</sup> , Olivier Lengliné<sup>8</sup> , Helene Lyon-Caen<sup>3</sup> , Mark Noble<sup>9</sup> , Vladimír Plicka<sup>1</sup> , Alexis Rigo<sup>3</sup> , Zafeiria Roumelioti<sup>10</sup> , Anna Serpetsidaki<sup>10</sup> , Efthimios Sokos<sup>10</sup> , and Nicholas Voulgaris<sup>7</sup> 

Seismic, GNSS, InSAR and tide-gauge data  
were combined to reveal the source process.

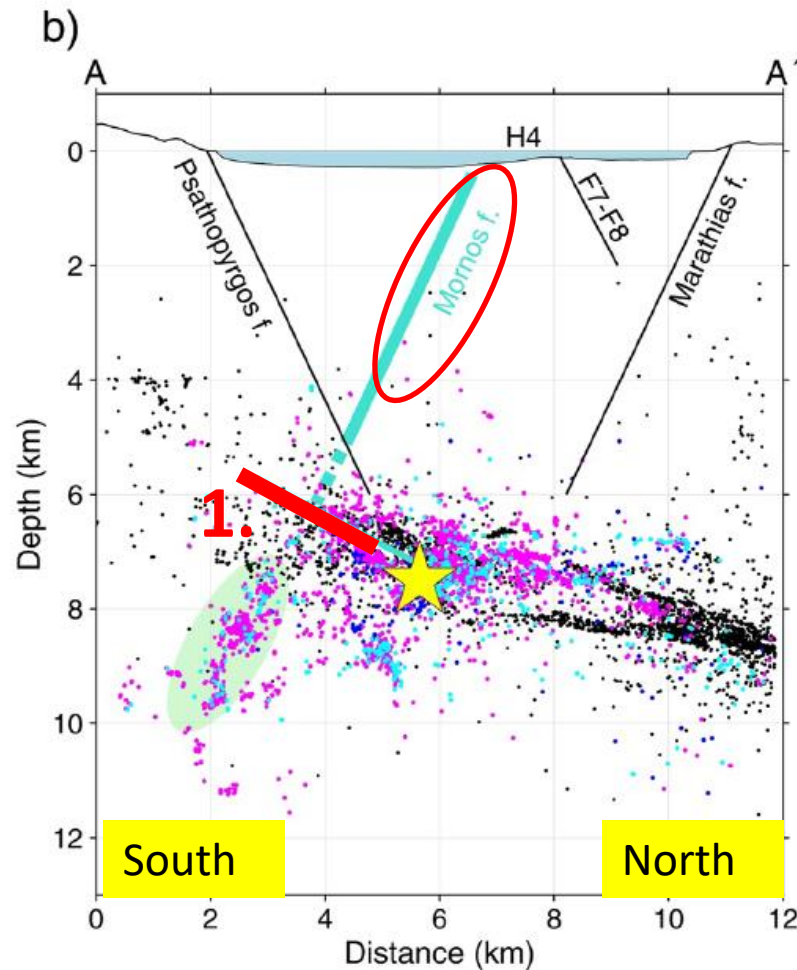
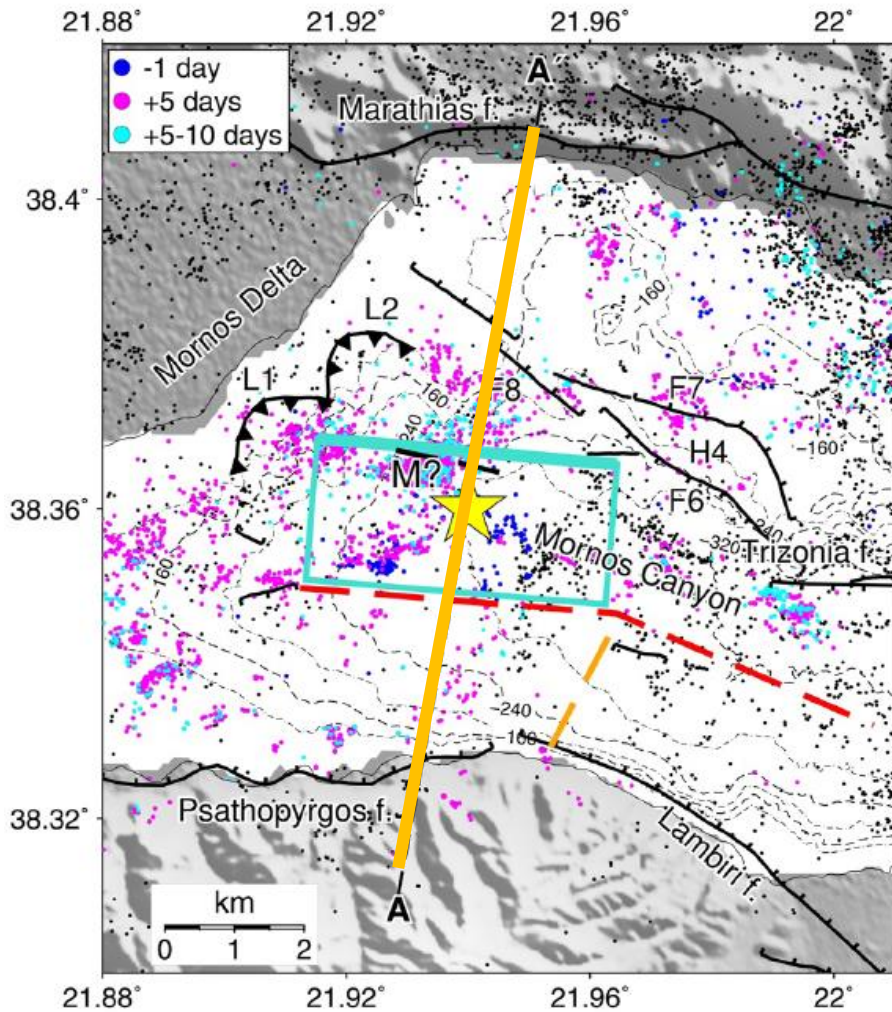
Note: major rift-bordering major normal faults  
were NOT activated !



Multidisciplinary data interpreted as a **shallow rupture** of an offshore normal fault, rooted in detachment, antithetic to Psathopyrgos

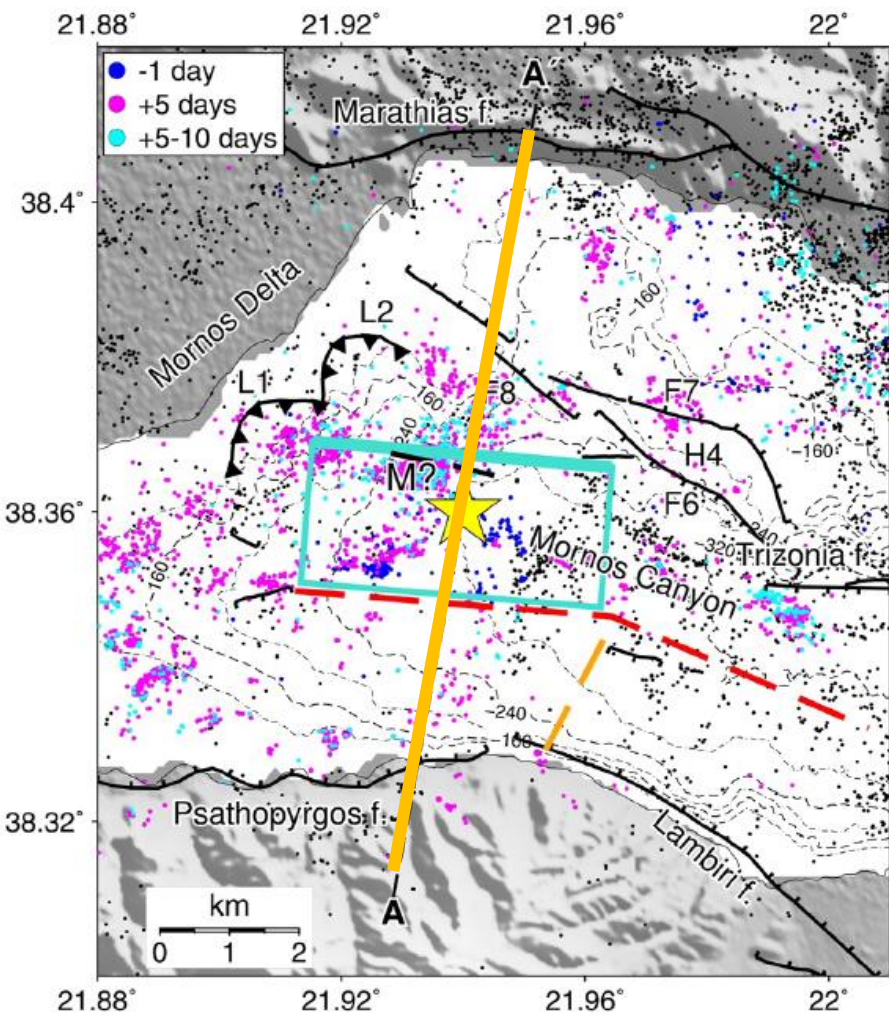


Multidisciplinary data interpreted as a **shallow rupture** of an offshore normal fault, rooted in detachment, antithetic to Psathopyrgos

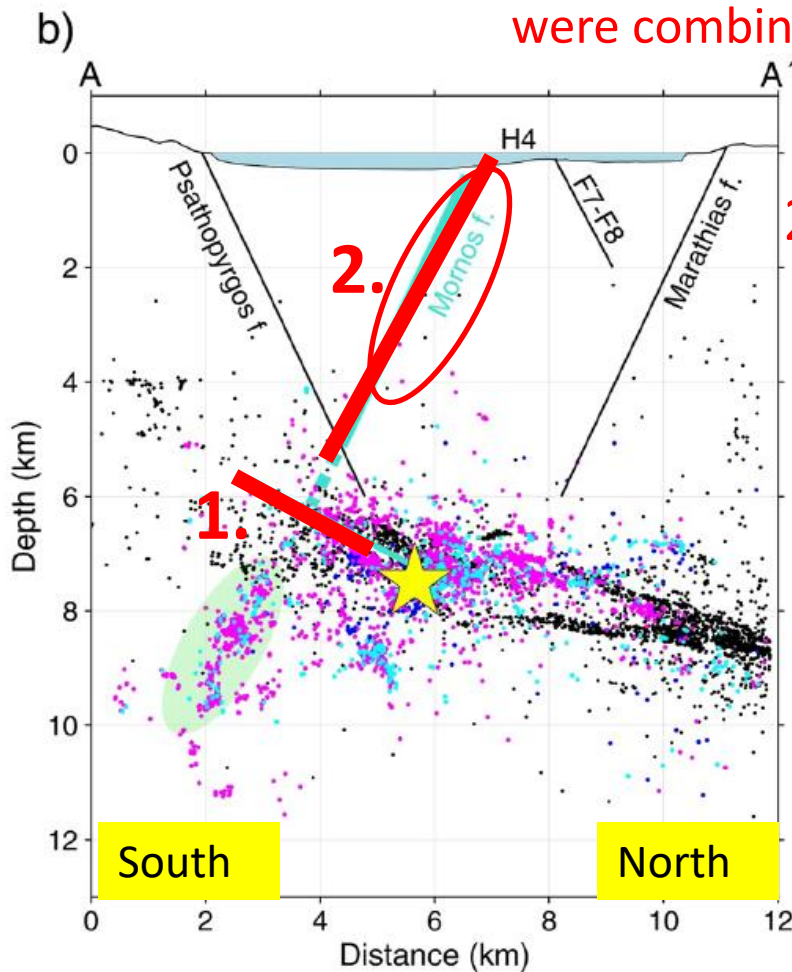


1. Rupture **started** on detachment surface (where small events typically occur)

Multidisciplinary data interpreted as a **shallow rupture** of an offshore normal fault, rooted in detachment, antithetic to Psathopyrgos

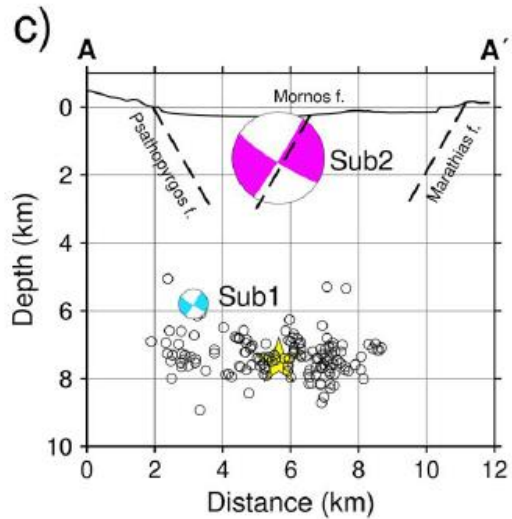
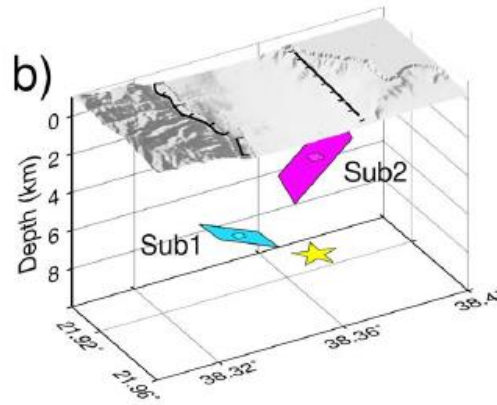


Seismic, GNSS, InSAR and tide-gauge data were combined to reveal the source process.

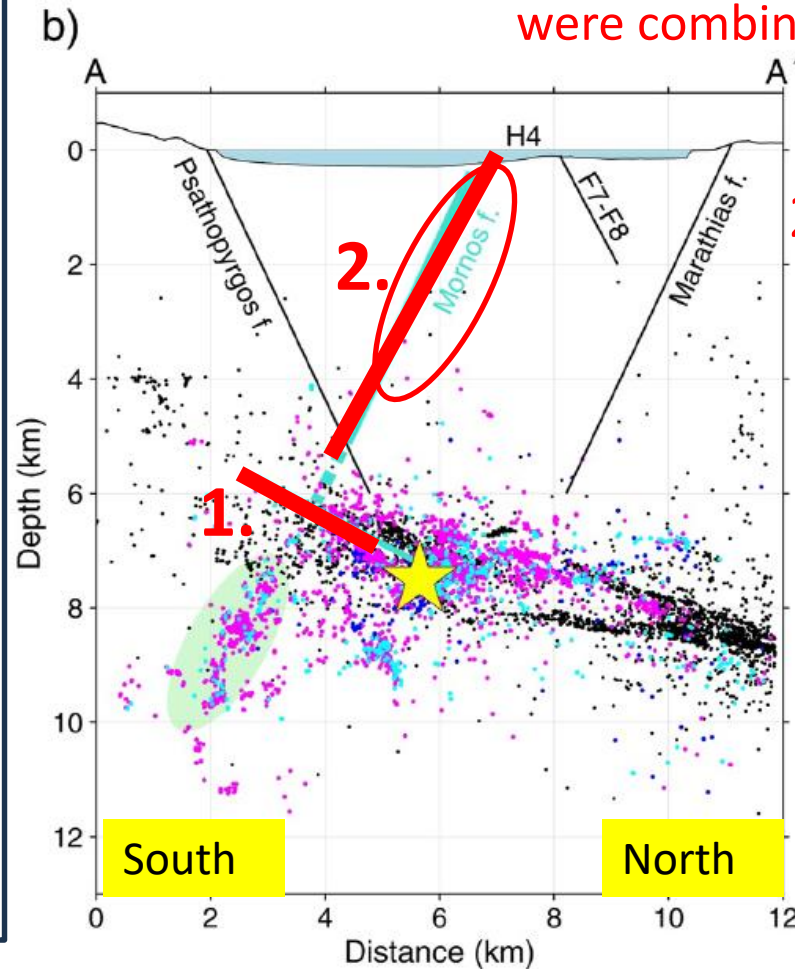


2. Dominant ruptured **evolved** at unusual shallow depth
1. Rupture **started** on detachment surface (where small events typically occur)

# “A single beachball – both nodal planes are fault planes”



Seismic, GNSS, InSAR and tide-gauge data were combined to reveal the source process.



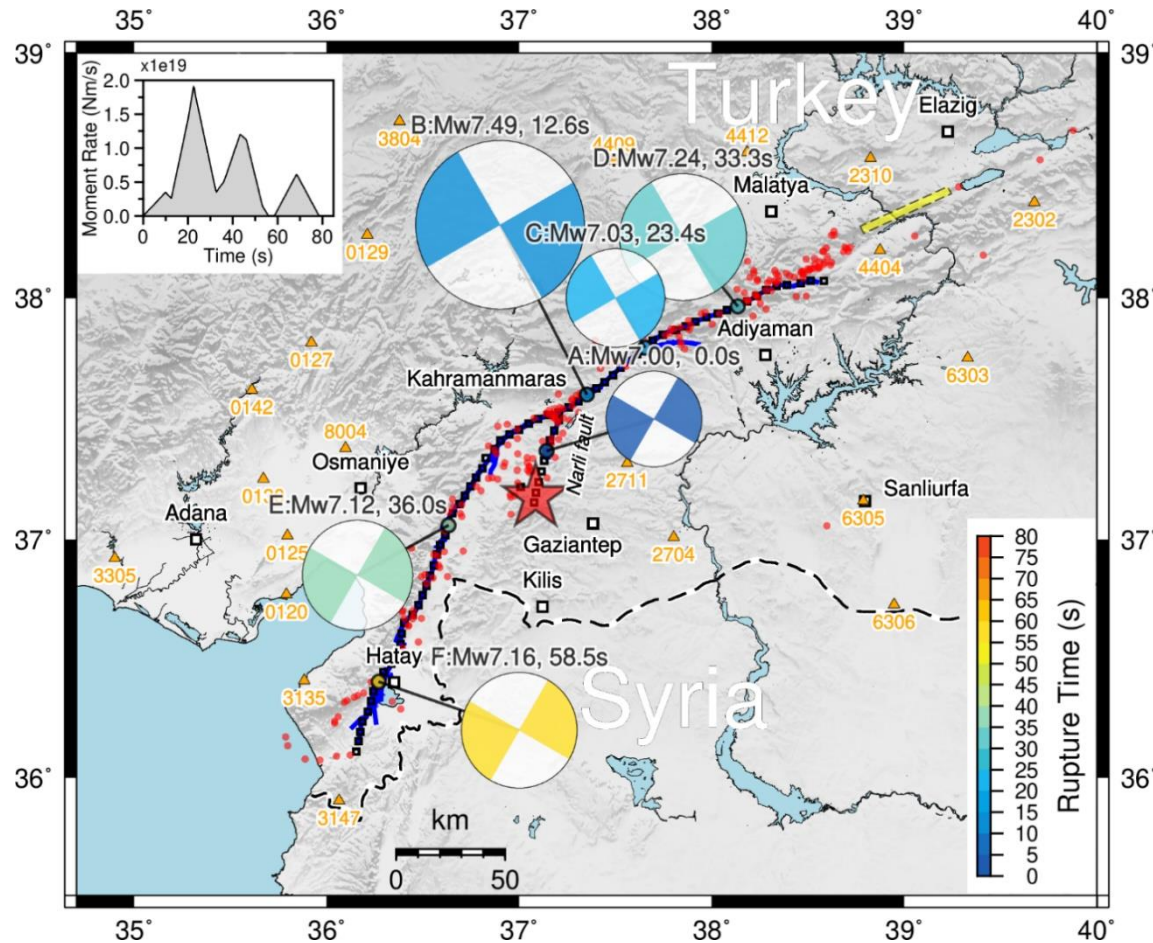
2. Dominant ruptured evolved at unusual shallow depth

1. Rupture started on detachment surface (where small events typically occur)

Part 4c: A very large fault system  
“everything is possible”  
(single- or multiple-type faulting)

Eastern Anatolia Fault, Turkey 2023

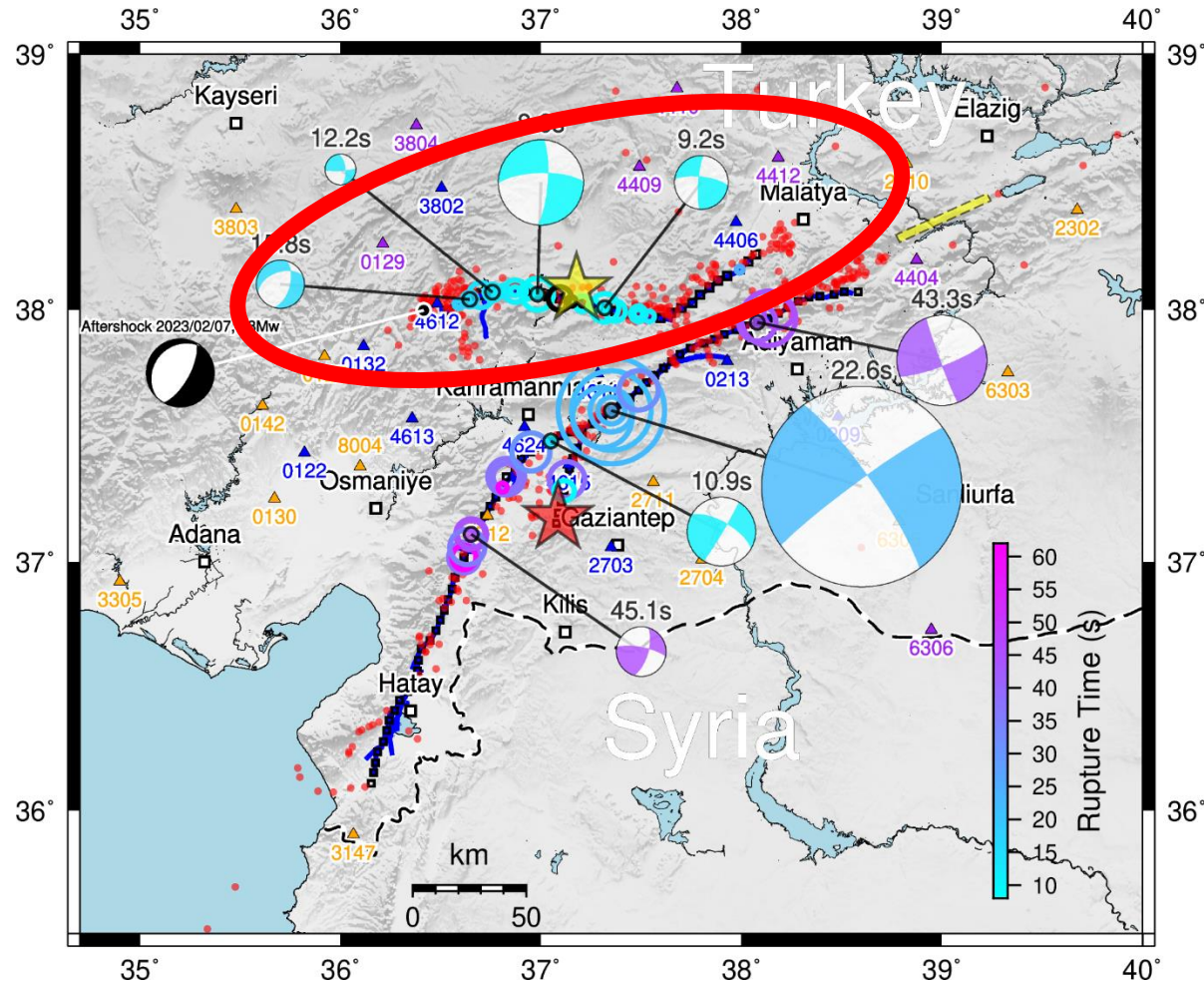
# The Mw 7.8 Turkey eq. 2023



Multi-point subevents reveal:

- 1) Change of the fault strike between the major SW and NE segments
- 2) Asymmetric bilateral rupturing
- 3) Patch-like space-time structure

# The Mw 7.5 Turkey eq. 2023 (9 hours later)



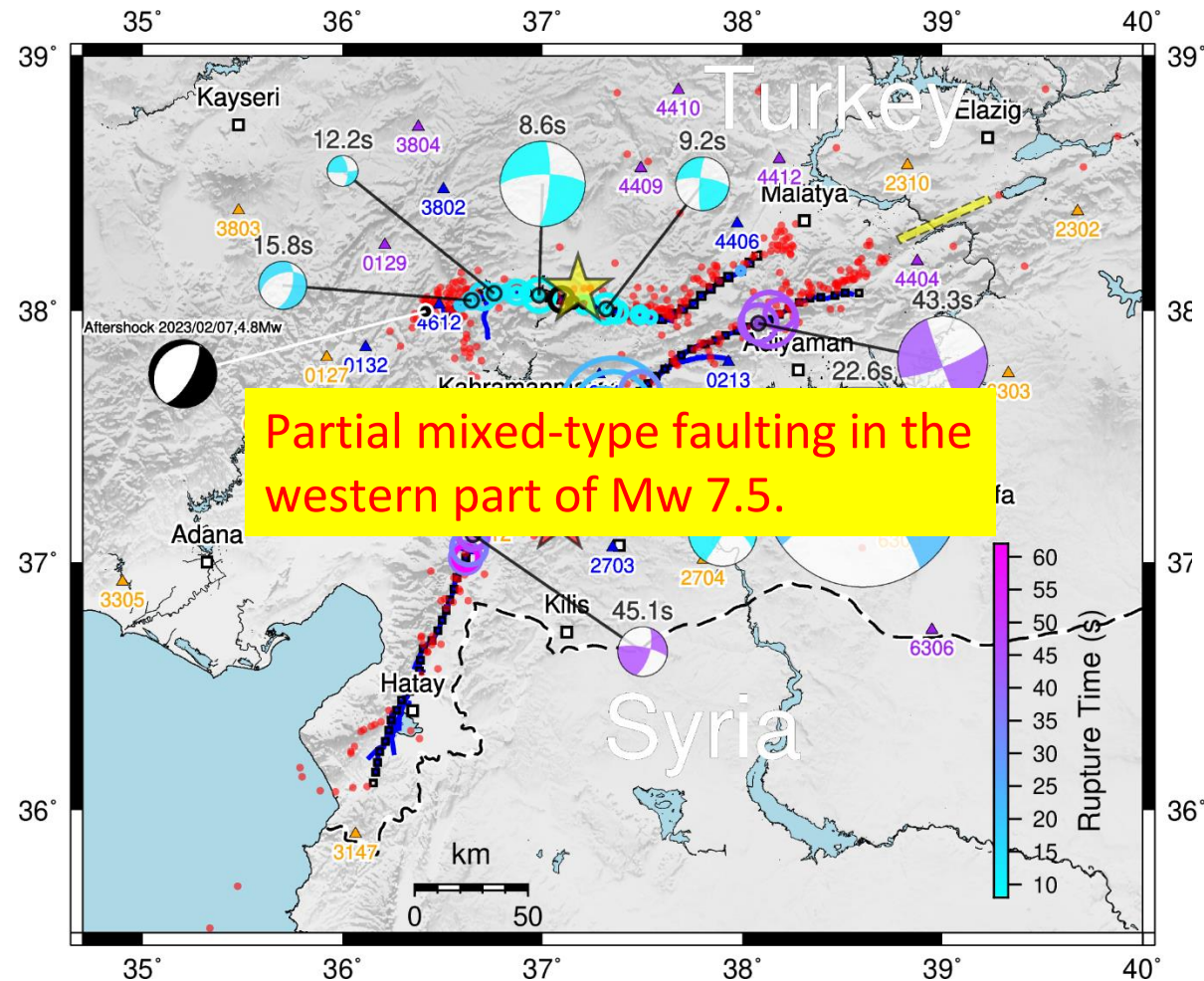
Multi-point subevents reveal:

1) A more compact rupture

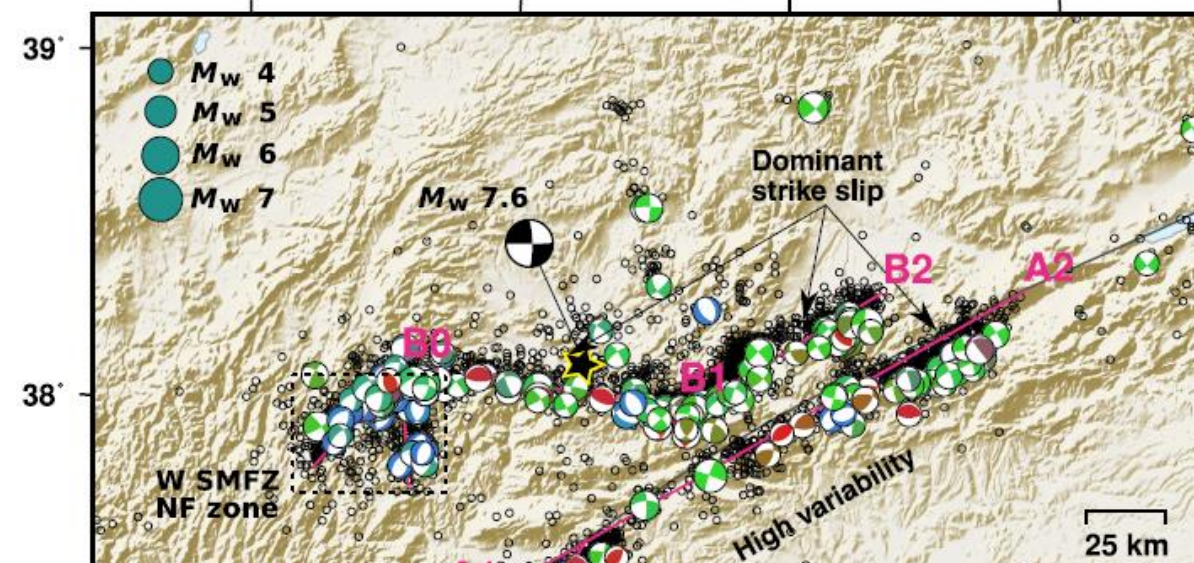
2) Partial mixed-type faulting in the western part of the Mw 7.5.



# The Mw 7.8 and 7.5 Turkey eqs. 2023



Agreement with aftershocks:



# Summary and outlook

- Multi-type faulting is a combination of a few 100% DC faults of different mechanisms, e.g., strike-slip and reverse (or normal), whose full MTs has  $ISO=0$  and large  $|CLVD|$ .
- The opposite is not true! Not all events with  $ISO=0$  and large  $|CLVD|$  are multi-type faulting.
- Multi-type faulting events help decipher fault zones, e.g. transpression.
- Single- and multi-type faulting is important for detecting segmented faults.
- Detected segmentations improve hazard assessment, mainly for blind faults.
- Future tasks: How complex are deep-focus and intermediate-depth events?



Thanks for  
your  
attention!

For full acknowledgment of all data  
and services used here,  
see the published papers.

# Summary and outlook

- Multi-type faulting is a combination of a few 100% DC faults of different mechanisms, e.g., strike-slip and reverse (or normal), whose full MTs has  $ISO=0$  and large  $|CLVD|$ .
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- Future tasks: How complex are deep-focus and intermediate-depth events?





# Present and future applications of dynamic rupture modeling in earthquake research

**František Gallovič**

Dept. of Geophysics, MFF, Charles University

In cooperation with:

Ľ. Valentová Krišková, A.-A. Gabriel, J. Premus, J.-P. Ampuero, ...

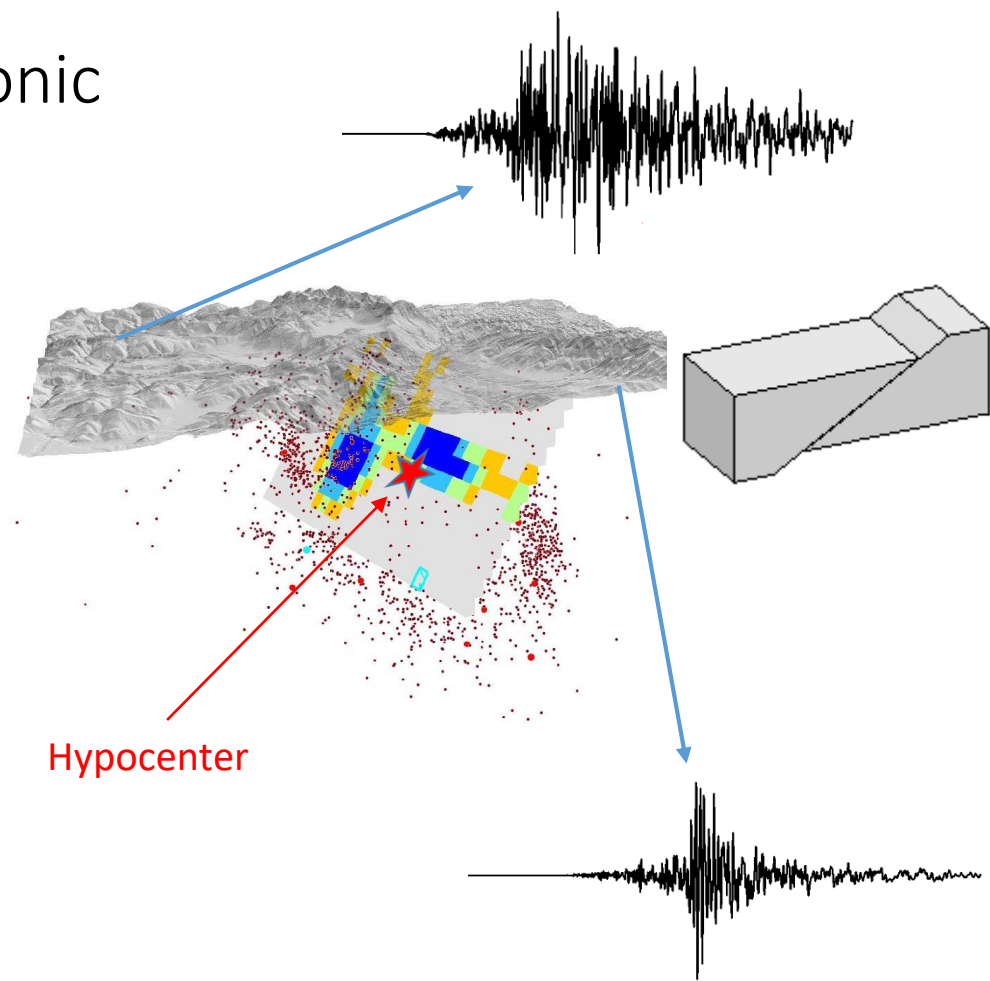
# Earthquake finite-fault modeling

Beyond a point-source model:

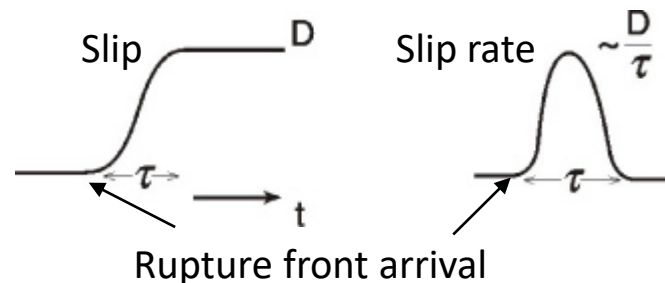
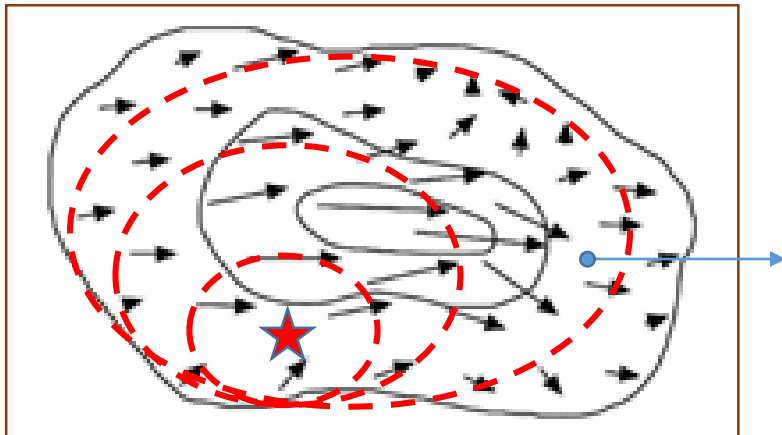
- Kinematic rupture model:
  - Slip rates functions along the fault
  - Linear relation with ground motions (via the representation theorem)
  - Easy to handle, standard in earthquake modeling

# Kinematic description of tectonic faulting

- The rupture front spreads from the hypocenter over the fault.
- The development of slip (discontinuity of displacement) is accompanied by the emission of seismic waves.
- The rupture process is controlled by friction and is significantly heterogeneous, even with several areas of large slip (asperities).

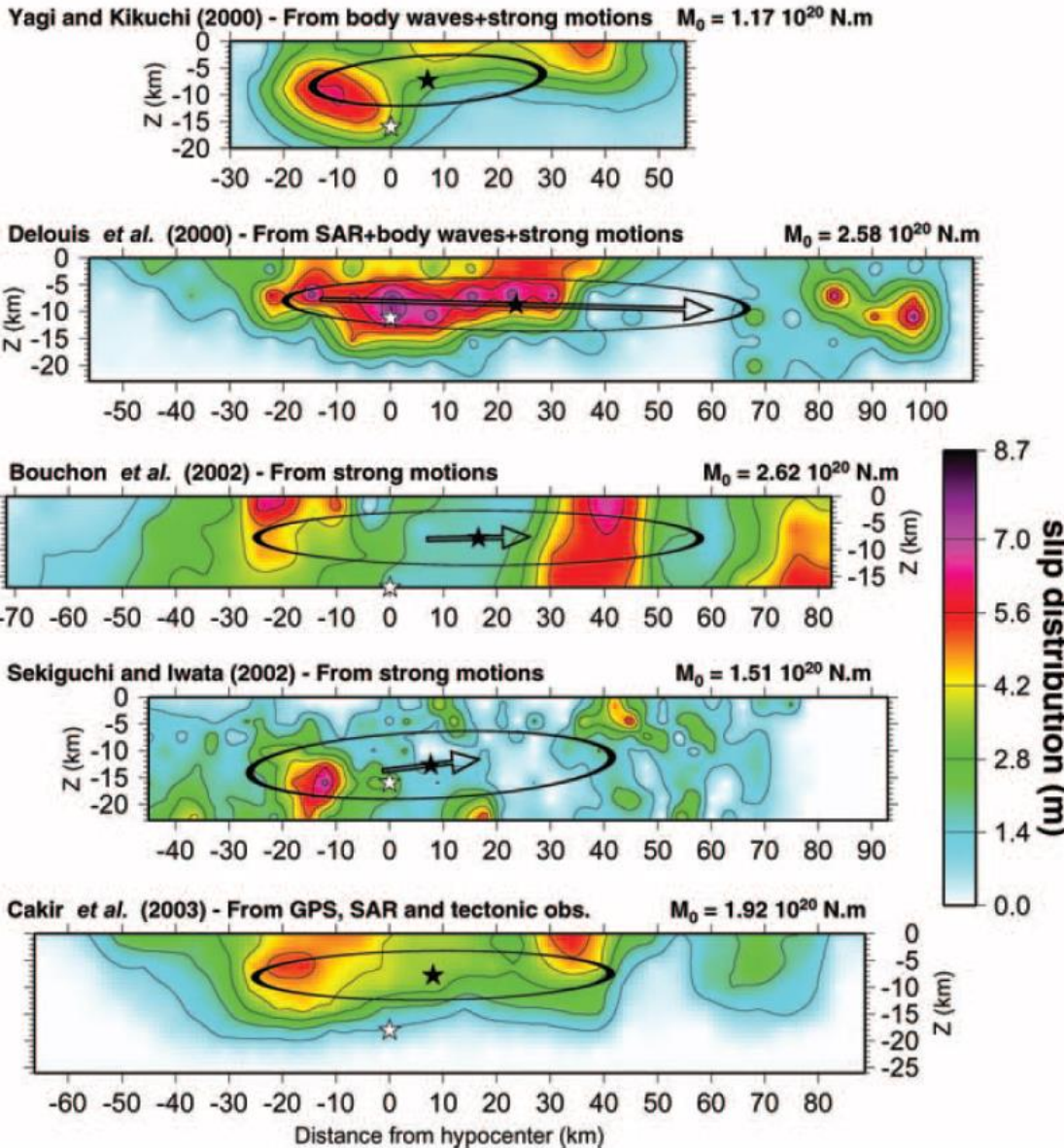


Fault plane





# Uncertainty of slip the inversion



Clévédé *et al.* (2004)  
M7.4 1999 Izmit, Turkey

Comparison of slip models inverted by various authors

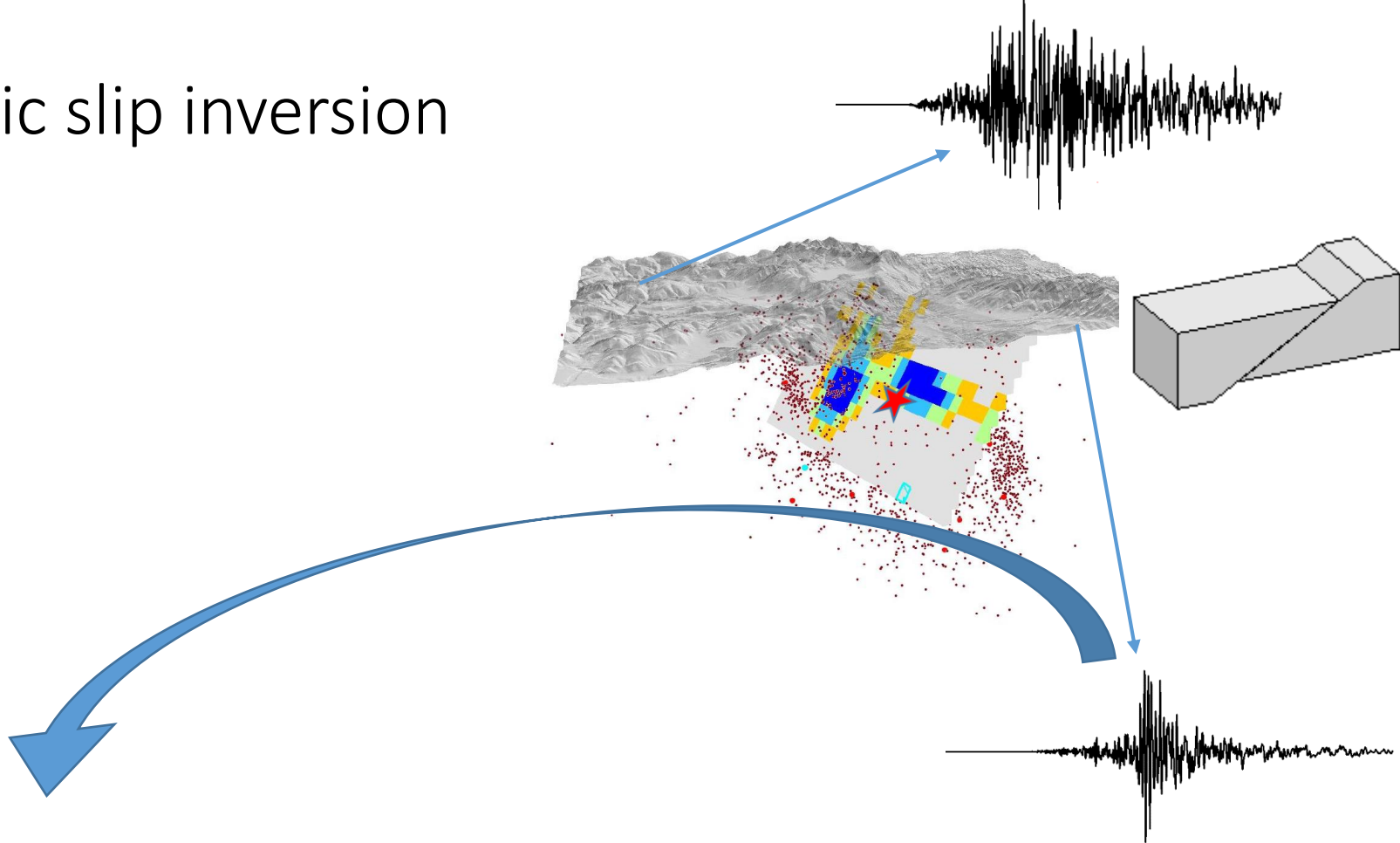
What is the source of their discrepancies?

Our interpretation (Galovič and Ampuero, 2015): the differences are due to differences in components from the null space of the representation theorem (linear projection)

# How to extract the rupture model from the null space?

- Regularization of the solution in kinematic inversions:
  - Spatial smoothing of the slip rates (e.g., Hartzell & Heaton, 1983; Asano et al., 2005; Gallovič et al., 2015)
  - Assumption of slip rate shape with sought (spatially variable) parameters such as rupture time, rise time, slip (e.g., Archuleta, 1984; Ji et al., 2002; Halló and Gallovič, 2020)
- Drawback: kinematic approach does not guarantee physical consistency of the model in terms of friction (e.g., Burjánek and Zahradník, 2007)
- Solution: Use *elastodynamic equation* and a *friction law* as physical constraints
  - => dynamic slip inversions

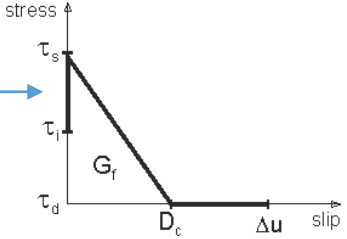
# Dynamic slip inversion



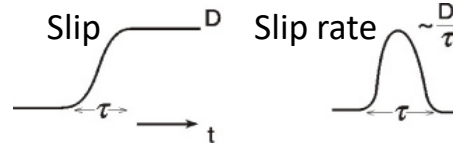
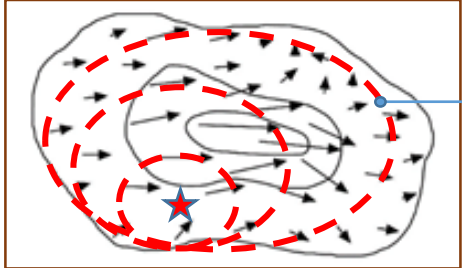
## Fault plane

- prestress
- friction parameters

## Friction law



## Dynamic rupture simulation



# Dynamic rupture simulation in 3D

- Elastic continuum, small deformations, Lagrange coordinates
- Equation of motion (momentum conservation law)

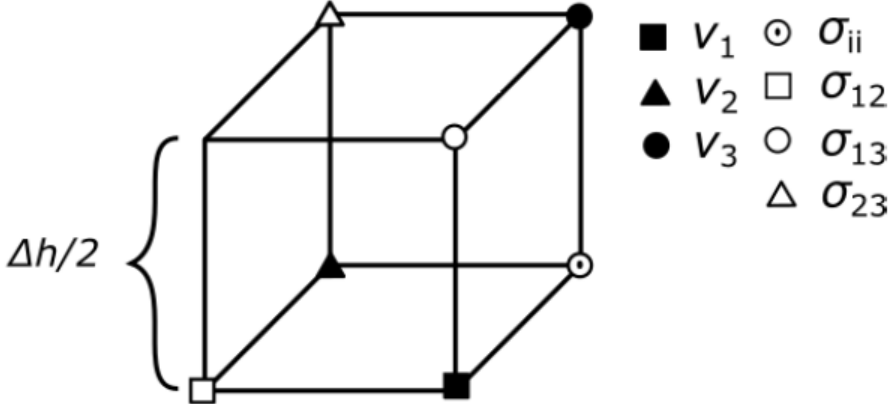
$$\rho \dot{\mathbf{v}} = \mathbf{f} + \nabla \cdot \boldsymbol{\sigma}$$

$\mathbf{v}$  – velocity  
 $\rho$  – density  
 $\mathbf{f}$  – forces  
 $\boldsymbol{\sigma}$  – stress tensor  
 $\lambda, \mu$  – Lamé's param.

- Hook's law for isotropic solid

$$\dot{\boldsymbol{\sigma}} = \lambda \mathbf{I} \nabla \cdot \mathbf{v} + \mu \left( \nabla \mathbf{v} + (\nabla \mathbf{v})^T \right)$$

- Solution by, e.g., finite differences on staggered grids



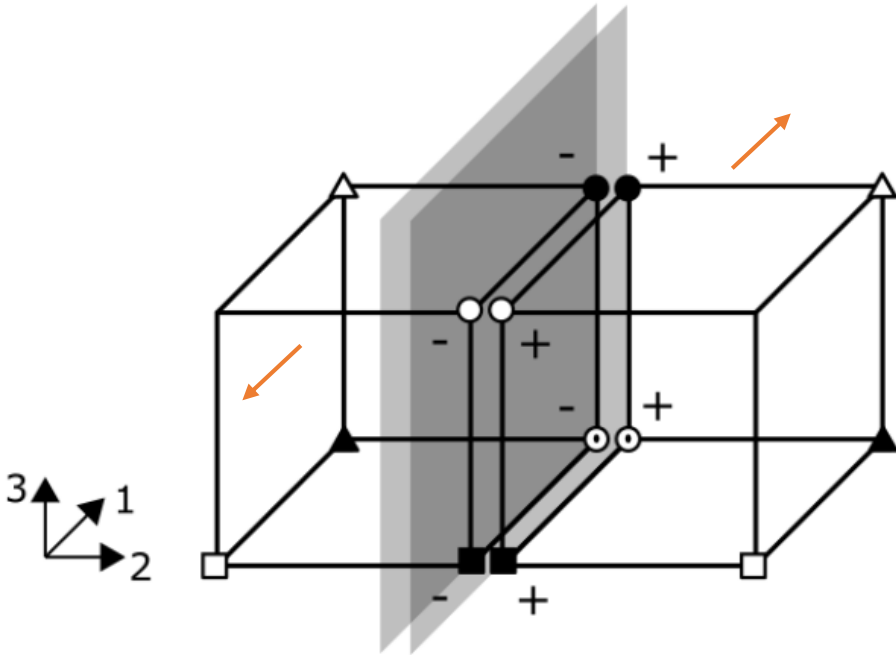
- Free surface and nonreflecting boundaries (e.g., PML)

# Fault as a boundary condition

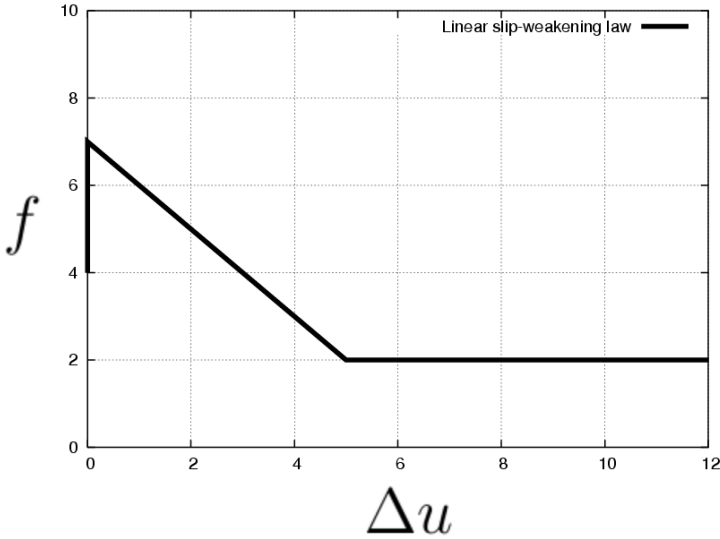
- Relationship between stress and friction:

$$|\mathbf{T}| \leq f(\Delta u, \Delta \dot{u}, \dots)$$

- Traction-at-split-node method (Dalguer and Day, 2007)



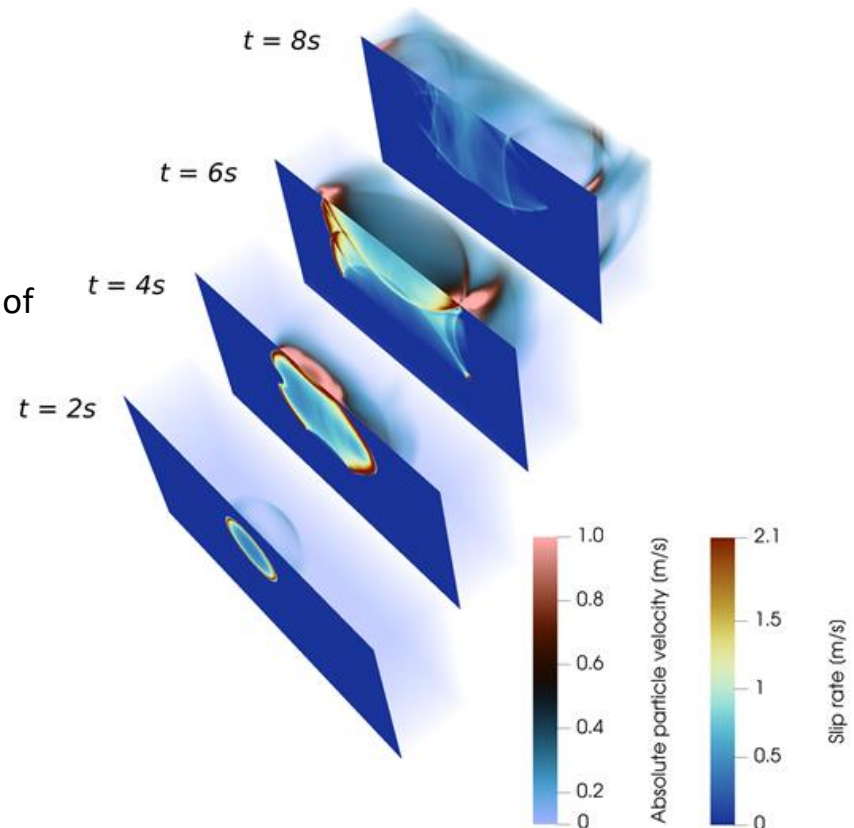
Friction law – one of the simplest choices is the *slip-weakening friction*:



(Ida, 1972; Andrews, 1976)

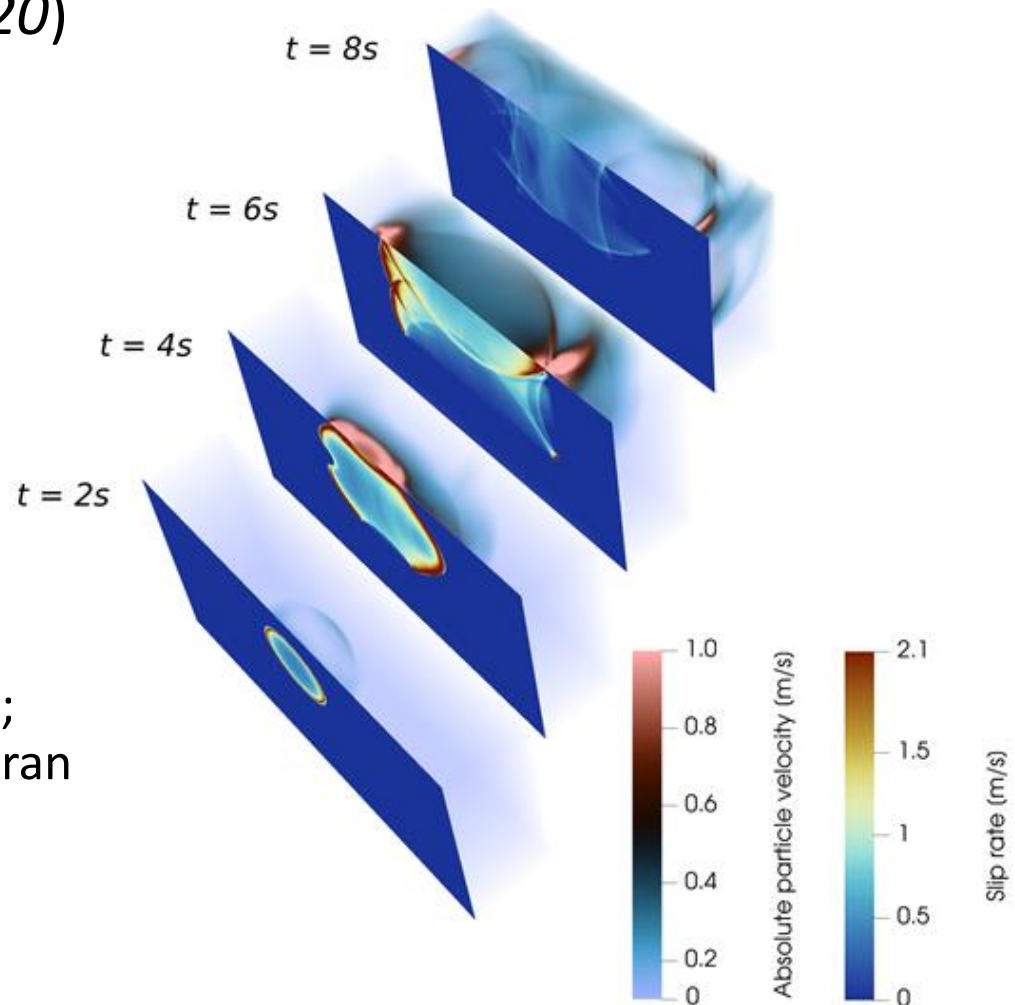
# Forward solver (speed matters)

- **Dynamic rupture** by FD3D\_TSN (Premus et al., SRL, 2020)
  - 4<sup>th</sup> order finite differences on a Cartesian box (Madariaga et al., 1998)
  - Vertical fault reaching free surface
  - 1D layered velocity model (VM1)
  - Friction law implemented by the Traction-at-Split-Node method (Dalguer and Day, 2007)
  - Symmetry conditions permit to solve the problem on half of the domain
  - Box covers just the fault – only slip rates are saved (waveforms are calculated externally)
  - Nonreflecting boundaries by Perfectly matched layers
  - Ported to GPU – up to 10x faster performance (w.r.t. CPU)
- **Wave propagation** by Axitra (Bouchon, 1981; Coutant, 1989)
  - 1D layered velocity model (VM1)
  - Pre-calculated Green's functions on a coarser grid, respecting the „true“ fault geometry
  - Representation theorem is used to obtain station waveforms



# Example of rupture propagation simulation

- FD3D\_TSN (*Premus et al., 2020*)
- Community test with heterogeneous dynamic parameters
- Fault size 30x15km (grid step 100m)
- 12s of rupture propagation calculated in:
  - 3min on 1 CPU (Intel i9-9900K)
  - 20s on 1 GPU (Nvidia RTX 2700); ported using OpenACC in nvfortran
- Freely available on GitHub



# Earthquake finite-fault modeling

Beyond a point-source model:

- Kinematic rupture model:
  - Slip rates functions along the fault
  - Linear relation with ground motions (via the representation theorem)
  - Easy to handle, standard in earthquake modeling
- Dynamic rupture model (physics-based):
  - Friction law + elastodynamics
  - Non-linear relation with ground motions (via rupture simulation and representation theorem)
  - Cumbersome in some applications, still waiting for a widespread use



Dynamic rupture inversions

# Dynamic slip inversions

- Problems:

- The rupture simulation is computationally demanding, typically solved on supercomputers -> it is necessary to use a fast solver even at the cost of simplifying assumptions
- The relation between seismograms and model parameters is strongly nonlinear -> it is necessary to use general optimization/sampling techniques (e.g., of Monte Carlo type)

- State-of-the-art:

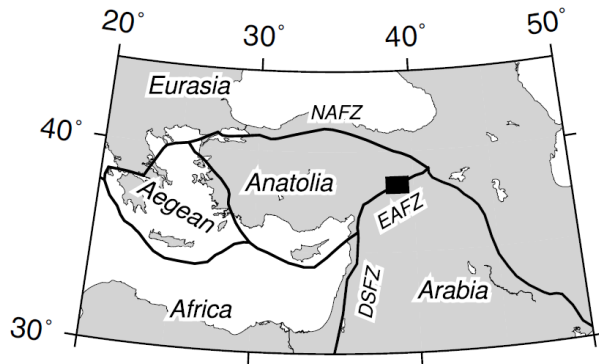
- Dynamic models are built "manually" by setting the parameters based on the results of kinematic slip inversions (Song and Duan, 2023; Ma et al., 2008; Peyrat et al., 2001; Olsen et al., 1997; Ide and Takeo, 1996; etc.)
- Simplified models are considered in dynamic inversions (e.g., elliptic patch with constant parameters, Twardzik et al., 2014; Ruiz and Madariaga, 2011; *Kostka et al., 2022*)
- Full dynamic inversion performed only few times (Peyrat and Olsen, 2004; Fukuyama and Mikumo, 1993) -> our contributions

# Our applications of Bayesian dynamic source inversions so far

- 2019 Mw6.2 Amatrice (Central Italy)
  - Gallovič et al. (JGR 2019b)
- 2020 Mw 6.8 Elazığ (Turkey)
  - Gallovič et al. (CommEE 2020)
- Mw 6.0 2014 South Napa (California)
  - Premus et al. (Science Advances, 2022)
- 2017 Mw 6.3 Lesvos (Greece)
  - Kostka et al. (GJI 2022)
- 2011 and 2016 Mw 5.8 Ibaraki twins (Japan)
  - Gallovič (in prep.)
- 2004 Mw Parkfield (California)
  - Schliwa et al. (in prep.)

# The 2020 Elazığ (Sivrice), Turkey, earthquake

*Galovič et al. (Comm. Earth & Env., 2020)*



## 2020 Elazığ earthquake



<b>UTC time</b>	2020-01-24 17:55:14
<b>ISC event</b>	617204417 <a href="#">↗</a>
<b>USGS-ANSS</b>	<a href="#">ComCat</a> <a href="#">↗</a>
<b>Local date</b>	24 January 2020
<b>Local time</b>	20:55 TRT (UTC+3:00)
<b>Duration</b>	40 seconds
<b>Magnitude</b>	6.7 $M_w$ <sup>[1]</sup>
<b>Depth</b>	10.0 km (6 mi)
<b>Epicentre</b>	 38.390°N 39.081°E
<b>Fault</b>	East Anatolian Fault
<b>Type</b>	Strike-slip
<b>Max. intensity</b>	VIII (Severe)
<b>Aftershocks</b>	Numerous 17 with a $M_w$ 4.0 or greater Largest: $M_w$ 5.1 at 16:30 UTC, 25 January 2020
<b>Casualties</b>	41 fatalities, 1,600+ injuries



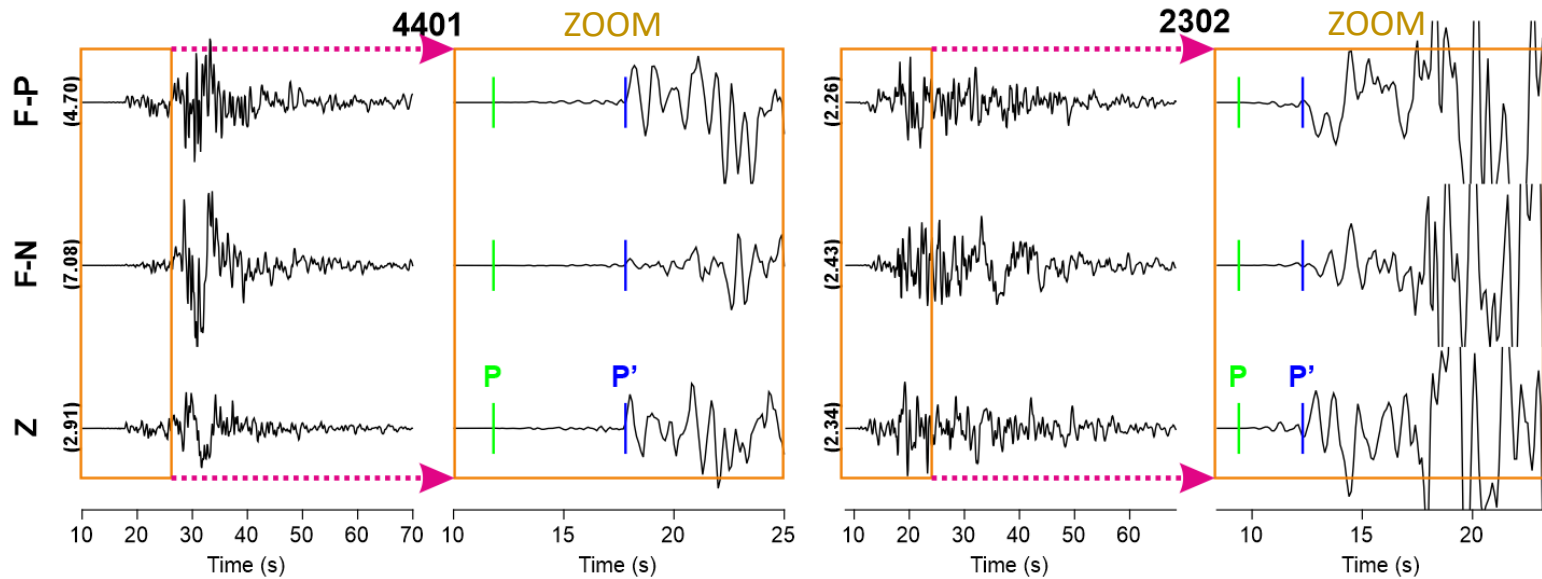
T.C. İÇİŞLERİ BAKANLIĞI  
AFET ve ACİL DURUM YÖNETİMİ BAŞKANLIĞI  
Deprem Dairesi Başkanlığı



Wikipedia

# Mainshock relocation

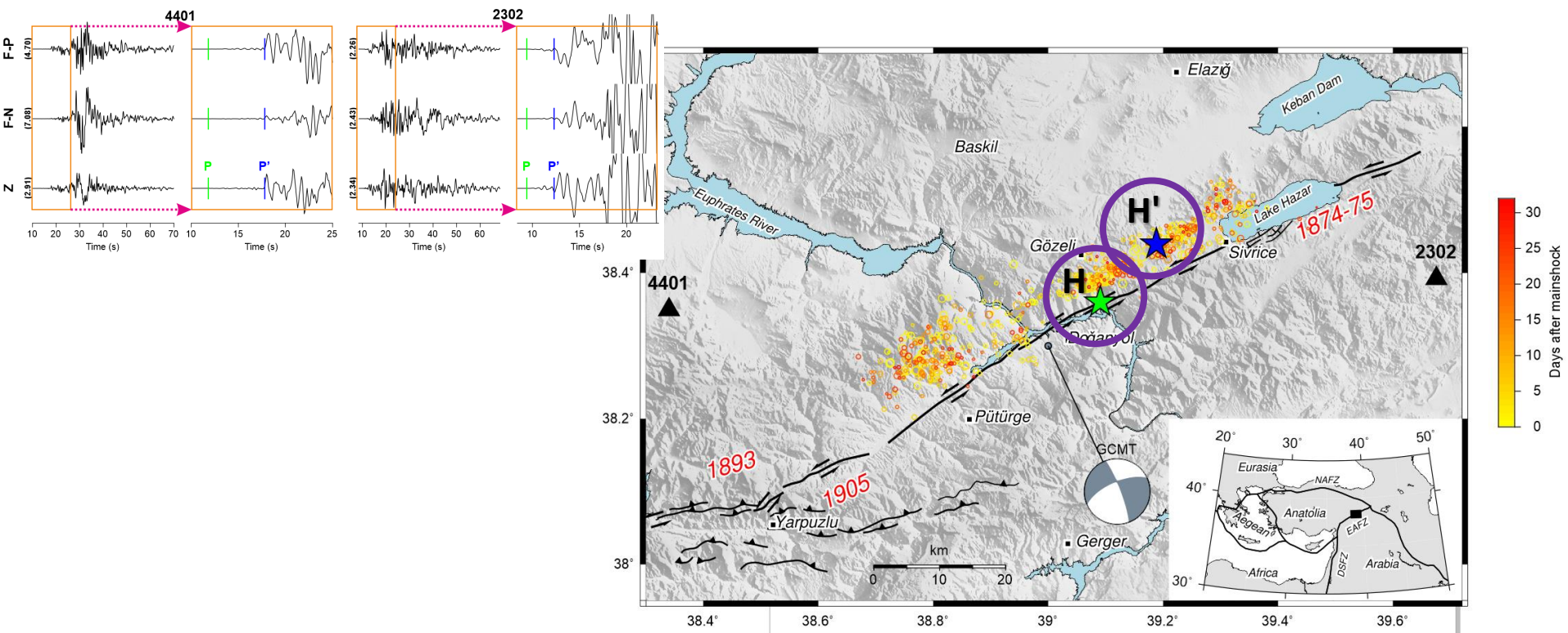
- Two nucleations?



Velocity waveforms (0.05-2.5Hz)

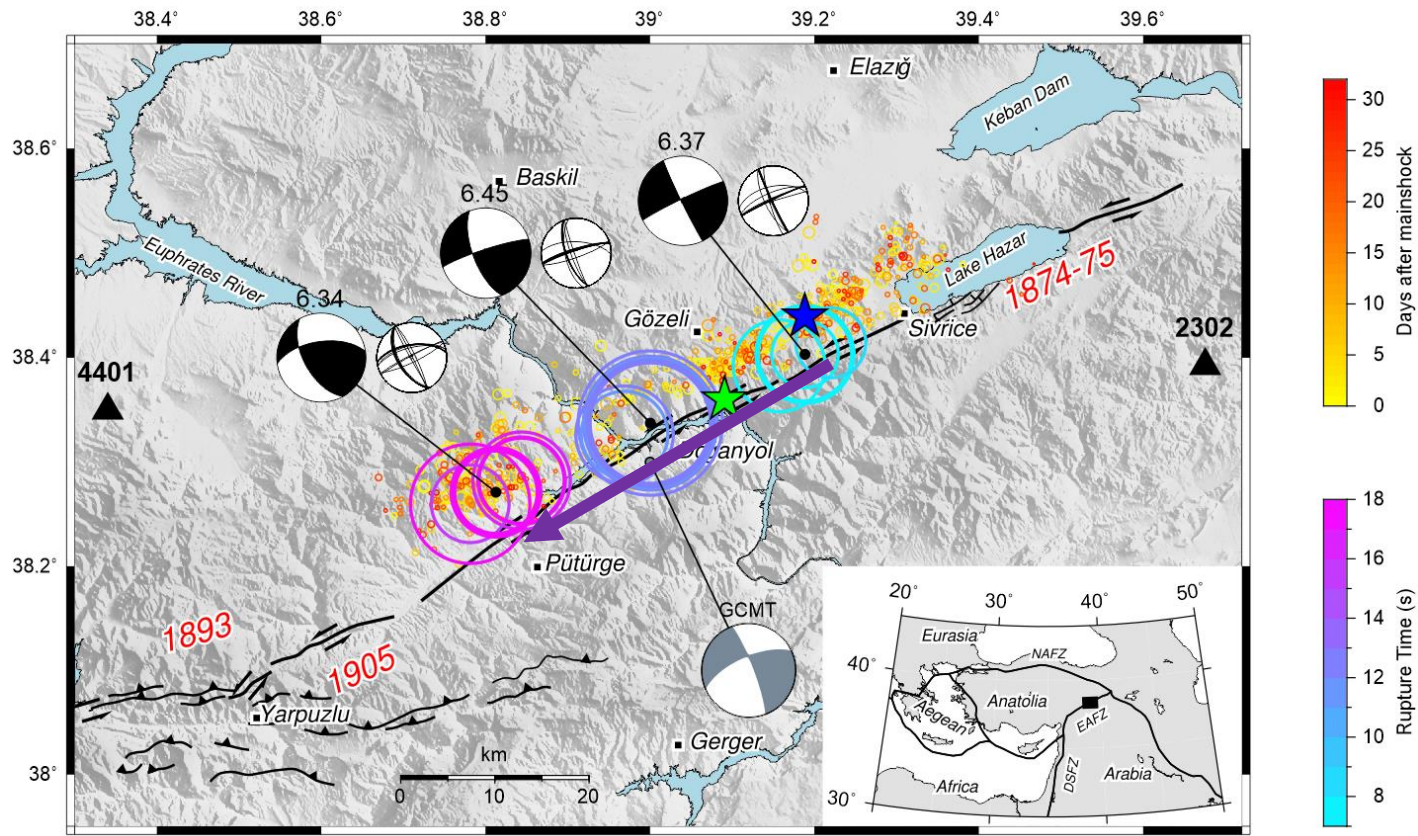
# Mainshock relocation

- P-onsets from 10 strong-motion (SM) and 8 broadband (BB) records at distances <110 km.
- NonLinLoc probabilistic method in several velocity models with significant effect mainly upon the source depth (preferred model VM1 by Acael et al., 2019).
- Epicenter well constrained with  $\pm 2$  km uncertainty in the NNW-SSE direction, depth poorly constrained between 10 and 20 km; S-minus-P travel time difference of 3.9 s at the nearest SM station (2308) suggests depth of 12–14 km.
- Certain SM stations with large time residuals (obviously due to GPS time error) excluded them from the relocation; for waveform modeling, two important SM stations with the large residuals corrected.
- P'-onsets  $\rightarrow$  hypocenter H' at 0-10 km depth and  $\sim 4.5$  s after the origin time.

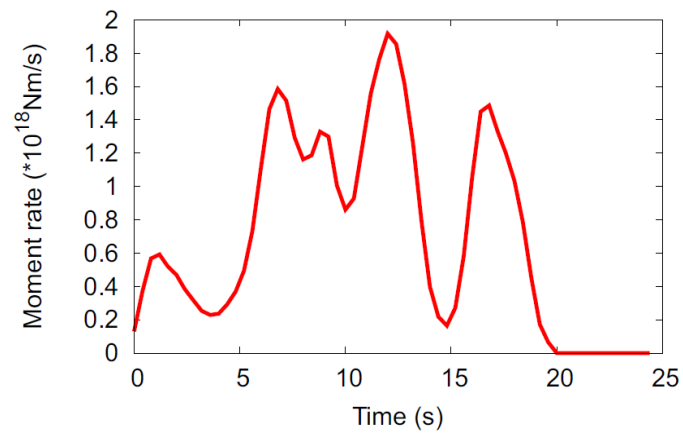


# Multiple-point source (MPS) model

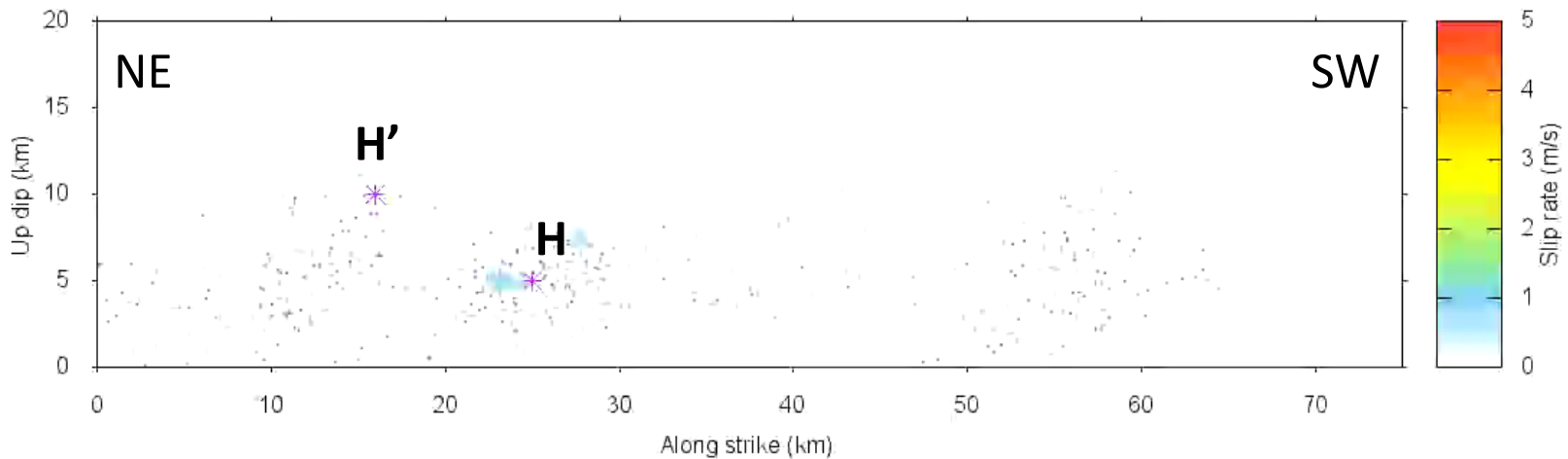
- Focal mechanisms of the subevents are remarkably similar (despite being free in the inversion).
- The focal mechanism of the latest subevent appears to have a gentler fault dip (in agreement with aftershocks) and a thrust-faulting component.
- The first-motion polarities of P point to left-lateral strike-slip faulting mechanism of initial nucleation (too weak to be captured by a subevent).



# Rupture propagation (slip rates)



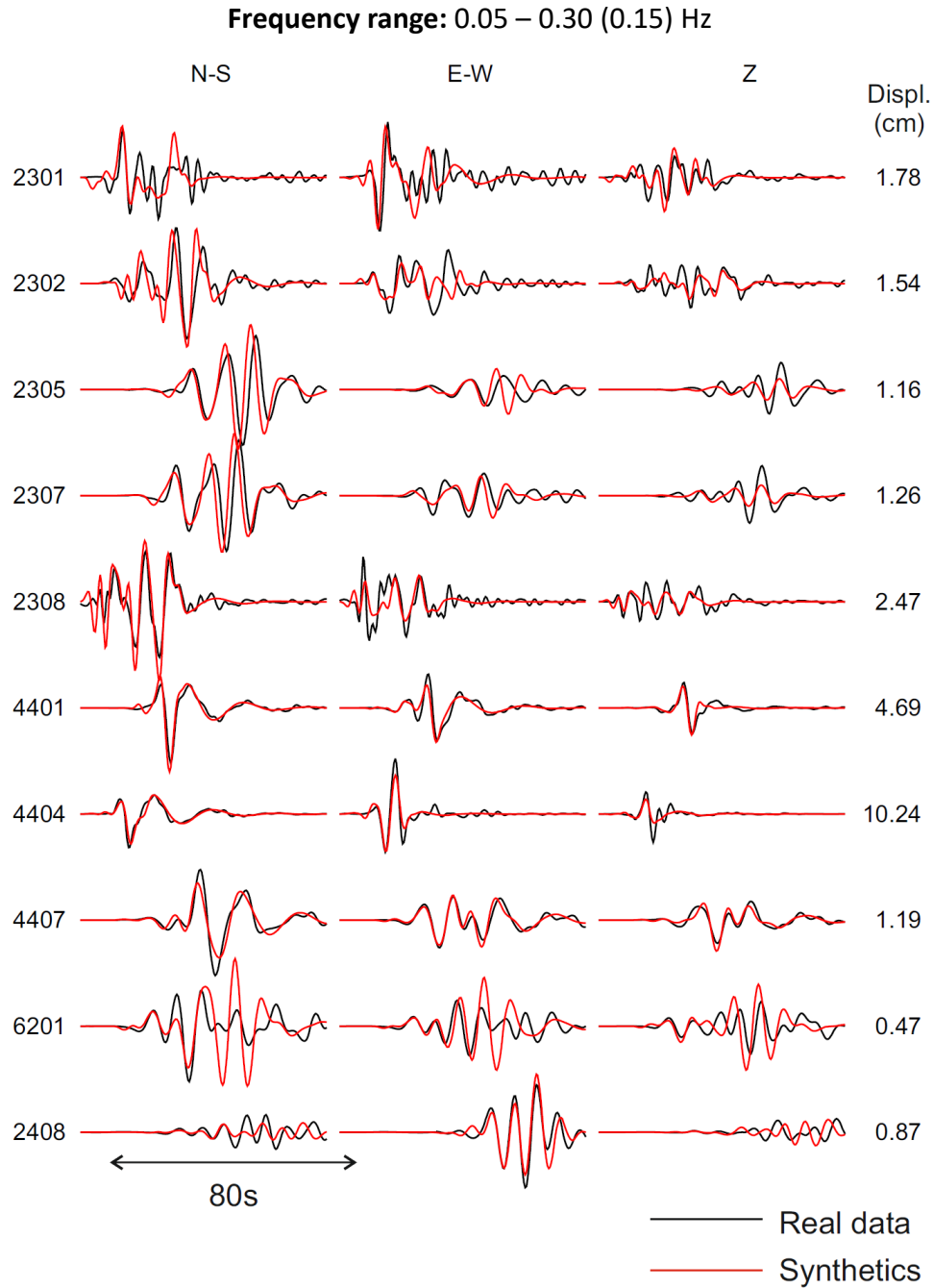
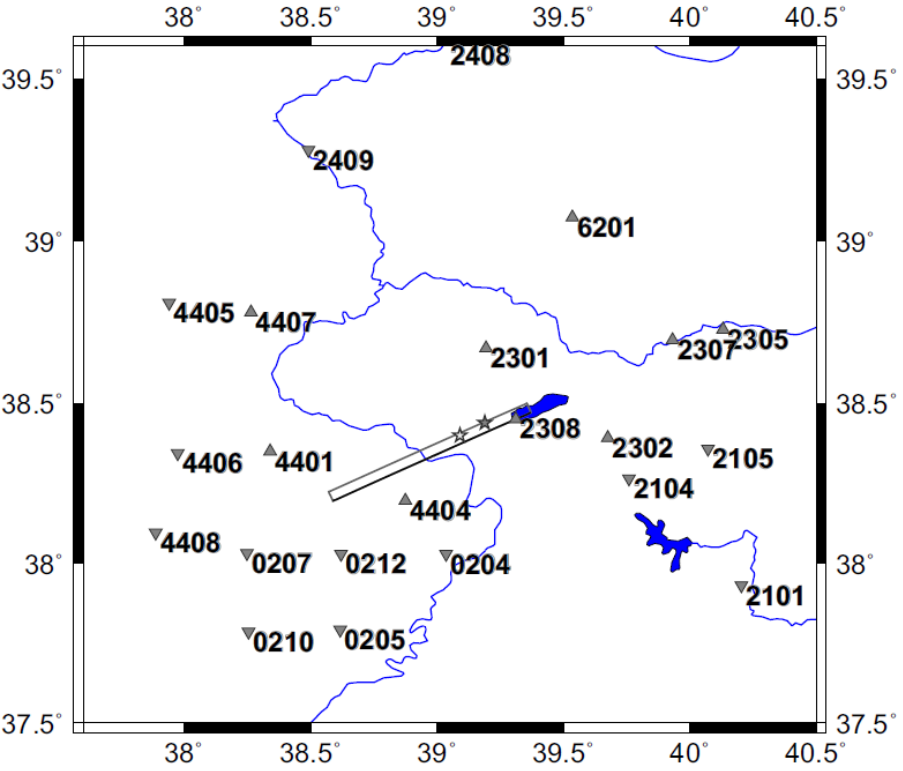
Real-time rupture propagation of the 2020 Mw 6.8 Elazığ, Turkey, earthquake





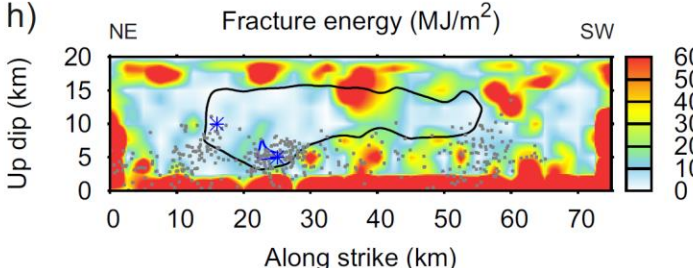
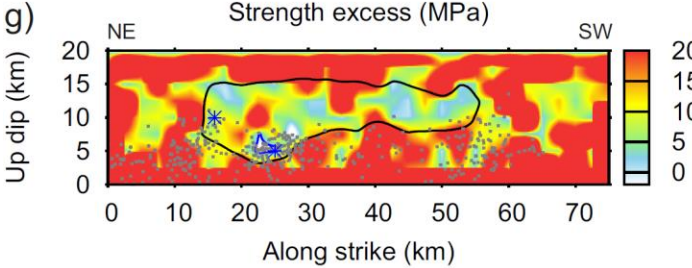
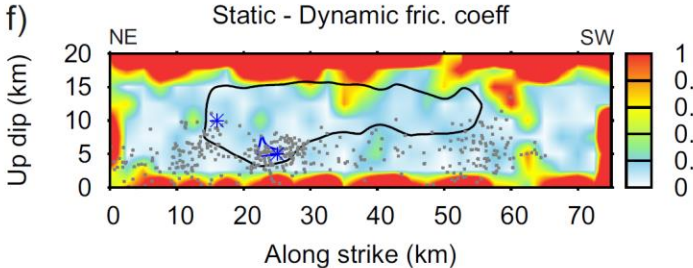
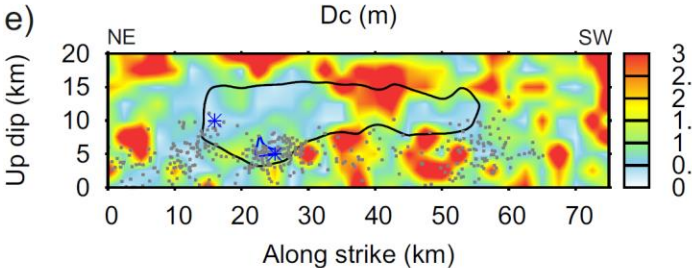
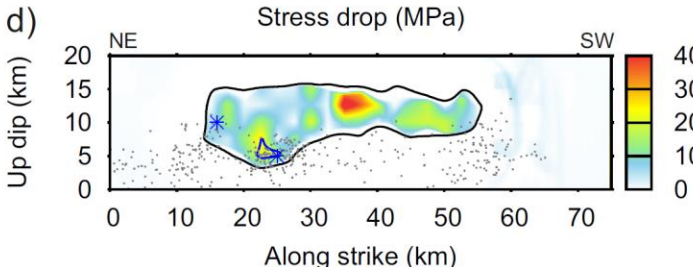
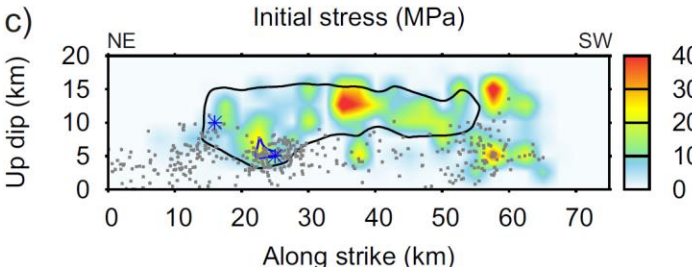
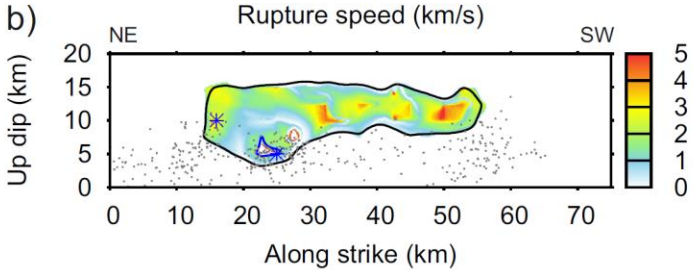
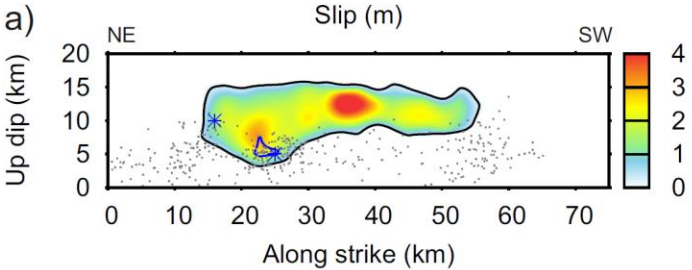
# 2020 Elazığ (Sivrice), Turkey

Maximum a-posteriori model  
(after weeks of multi-GPU runs,  
visiting ~1M models)



Data from Disaster and Emergency Management Authority  
Presidential of Earthquake Department (AFAD)

# Kinematic and dynamic parameters of the rupture model

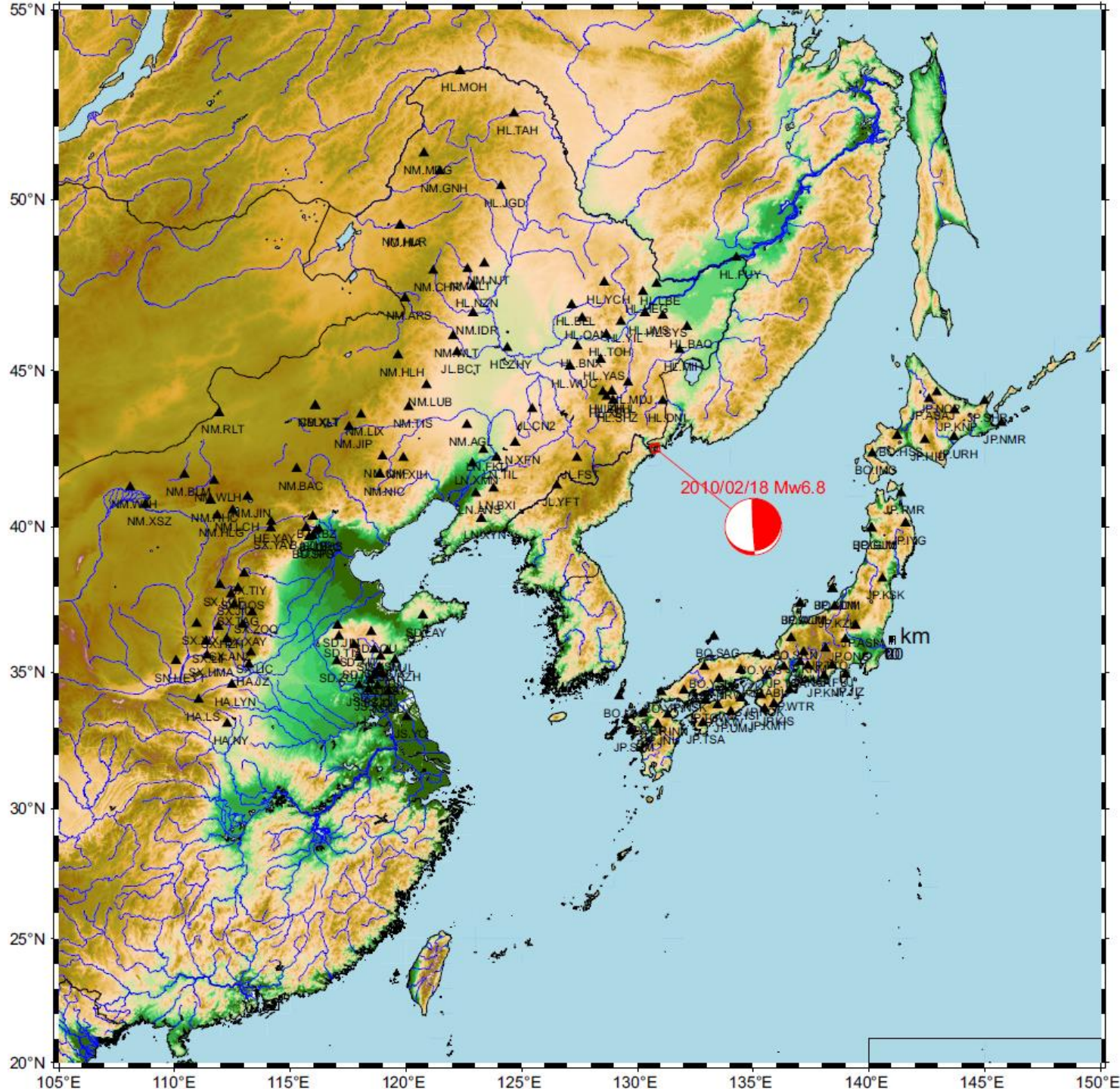


# Inversion of ASTFs (China Sea deep event)

INTER-EXCELLENCE II (MŠMT) project on

Dynamics of subducting slabs and origin of deep-focus earthquakes

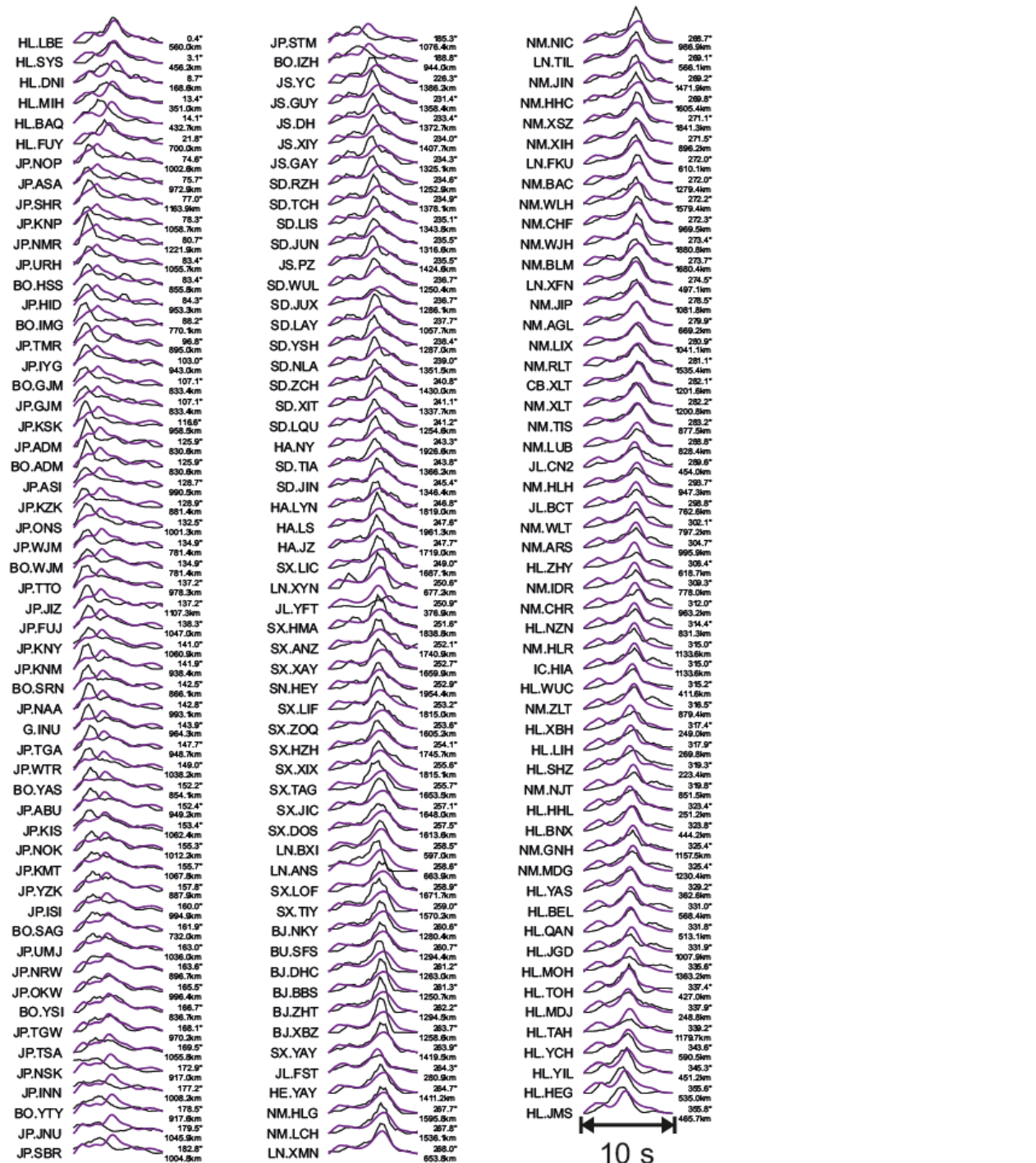
ASTFs  
obtained  
by EGF  
method



# China Sea deep earthquake

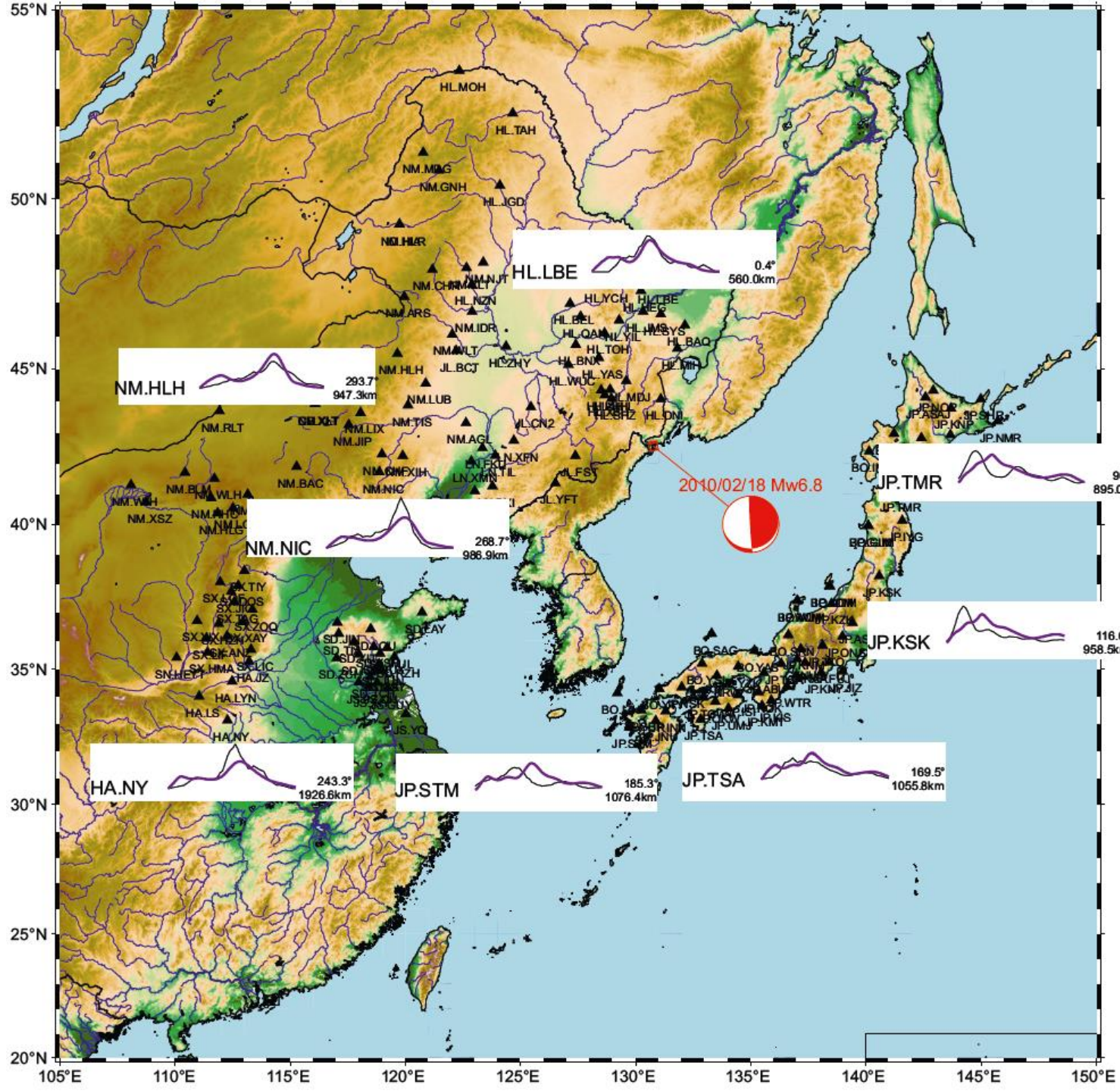
- Parameters of the event
  - Depth 574 km
  - Origin time: 2010-02-18, 01:13:18
  - Mw6.8
  - Subhorizontal fault plane likely within metastable olivine wedge (MOW) in the deepest tip of Pacific slab under Northeastern China
- Assumed parameters of the model
  - Friction law: Slip weakening
  - Normal stress: 21GPa, const.
  - Velocity model:  $V_p=10.16\text{km/s}$ ,  $V_s=5.52\text{km/s}$ , const.
- Dynamic rupture inversion of apparent source time functions (ASTFs) obtained by EGF deconvolution (Plicka et al., 2022).

# ASTF fit

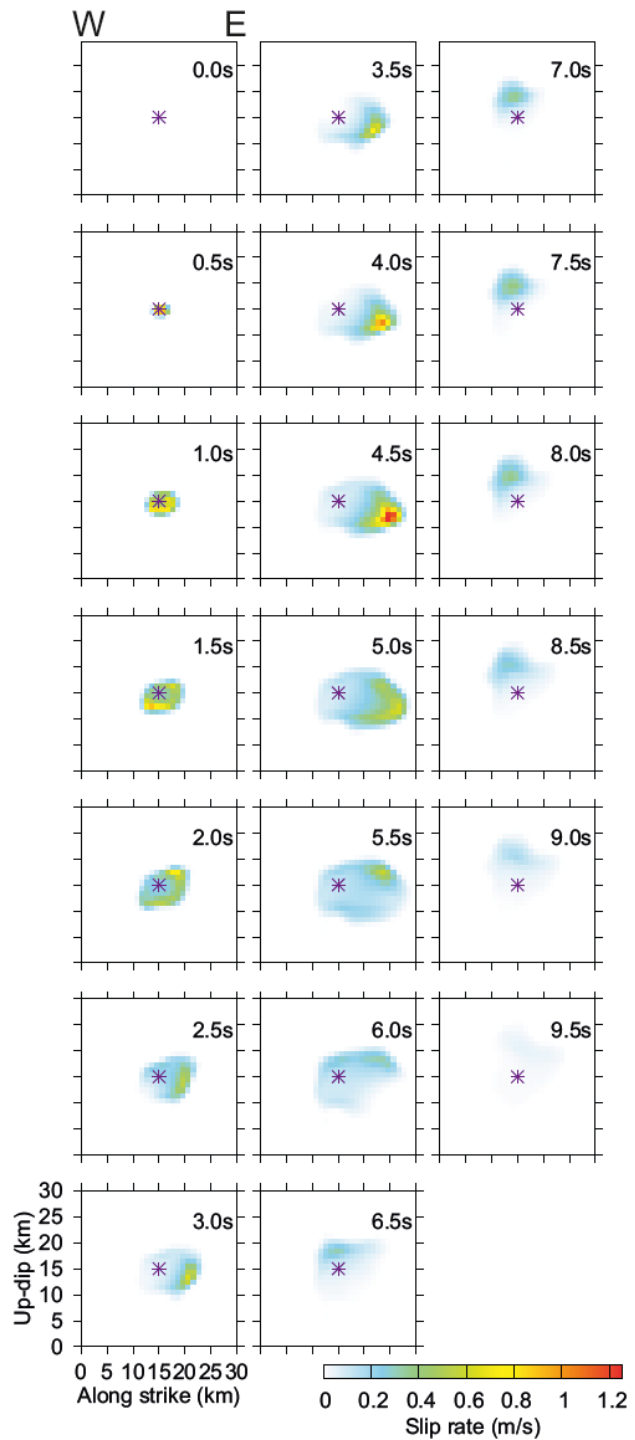
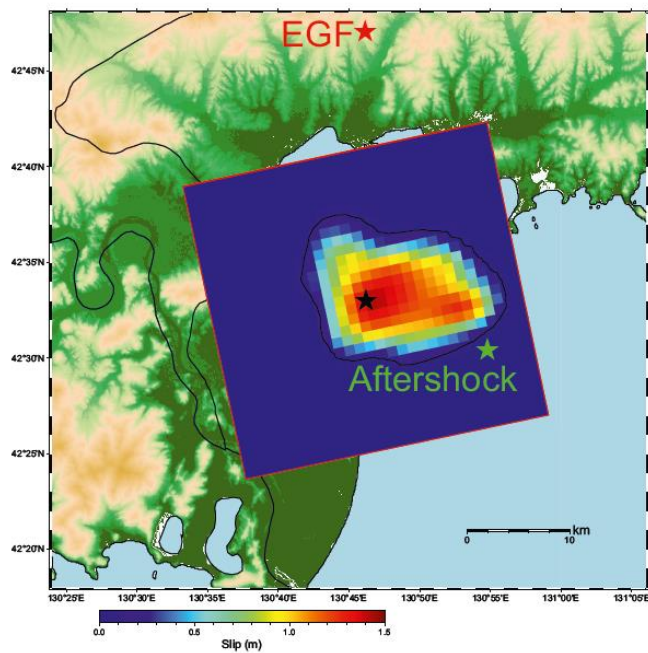


10 s

# ASTF fit



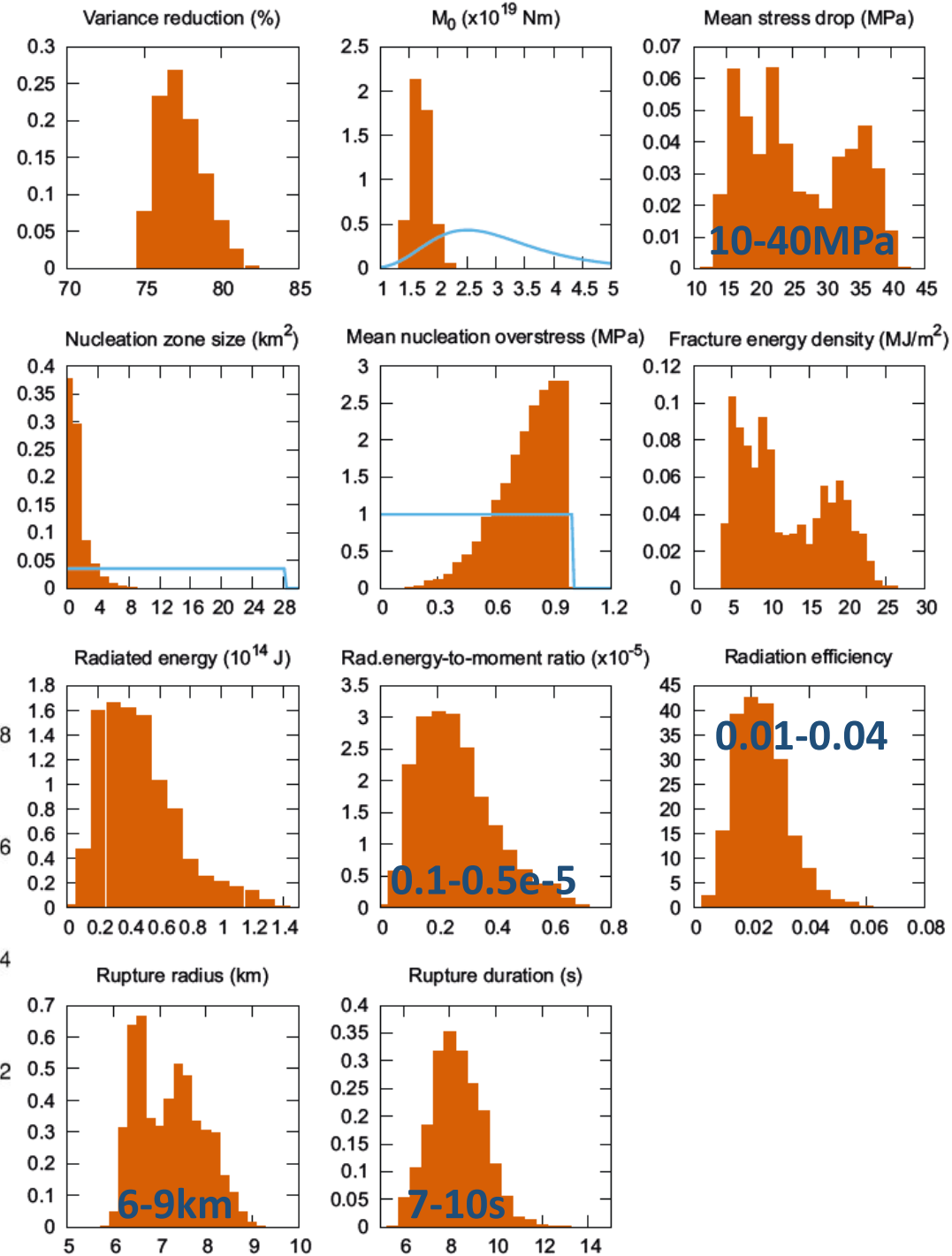
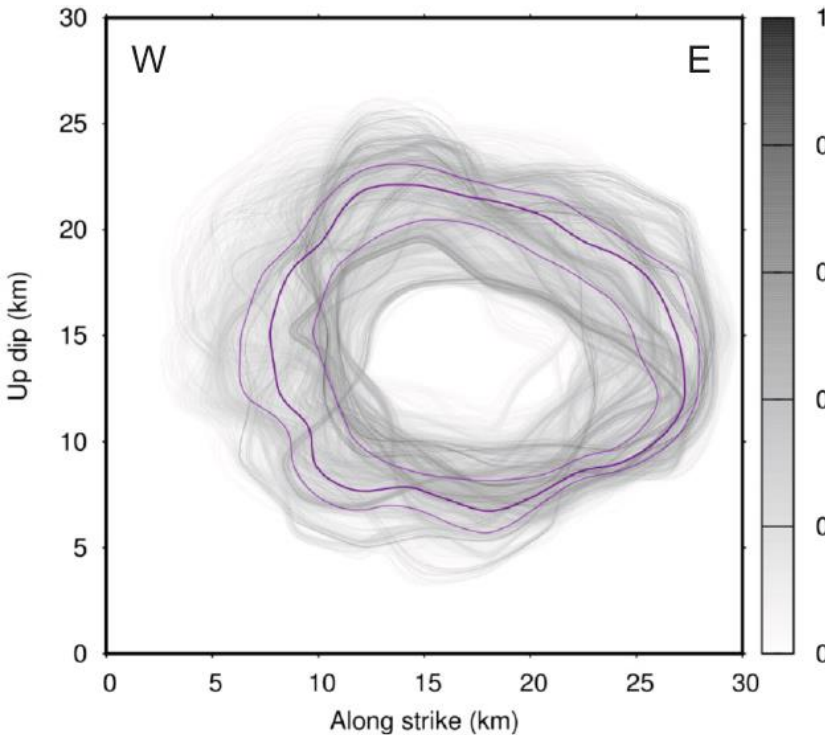
# Slip distribution and rupture evolution (MAP model)





# Uncertainty analysis

(from 3270 accepted models)

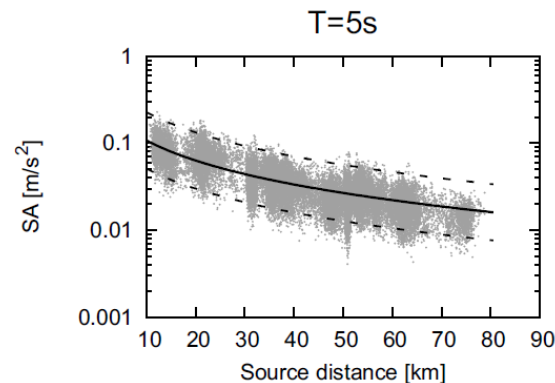
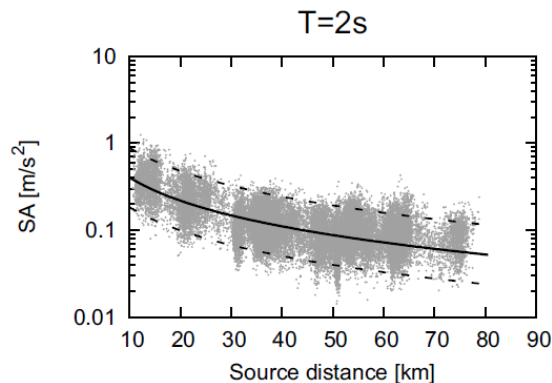
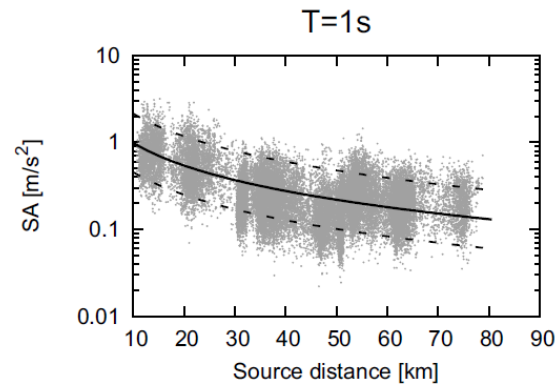
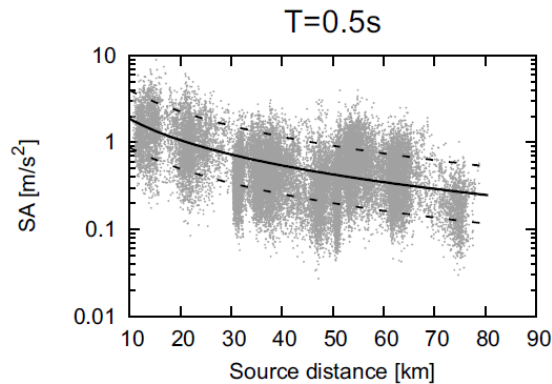


# Stress drop variability

Galovič, F., Valentová, L. (2020). Earthquake stress drops from dynamic rupture simulations constrained by observed ground motions, *Geophys. Res. Lett.* 47, e2019GL085880.

# Properties of the model ensemble

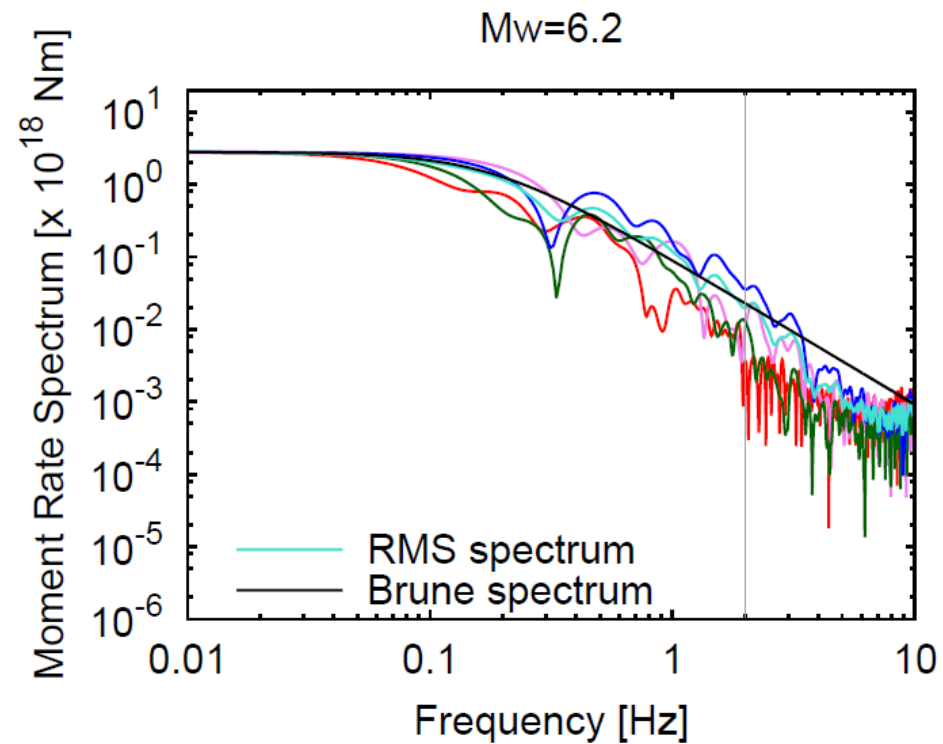
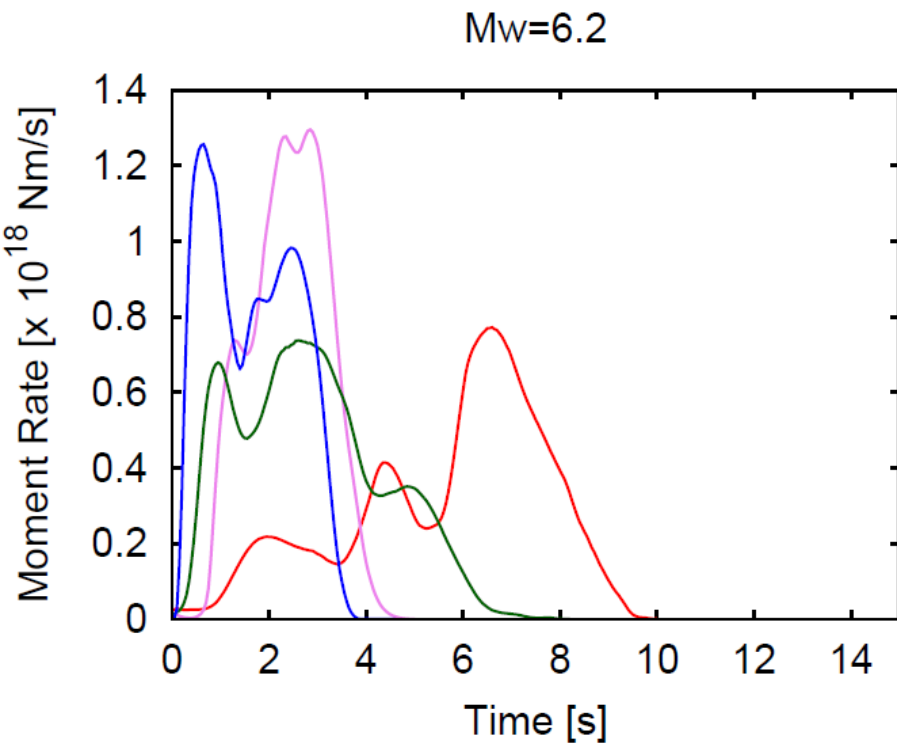
- Calculation performed on a farm of 12 GPUs and supercomputer IT4I in Ostrava (Czech Republic) using FD3D\_TSN (Premus et al., 2020)
  - Number of models saved:  $\sim 1700$
  - Number of models visited:  $\sim 250k$
- Magnitudes range from 5.8 to 6.8
- Fit of GMPEs at various periods after normalization to Mw6.5:



GMPE within-event variability  $\sim 0.4$

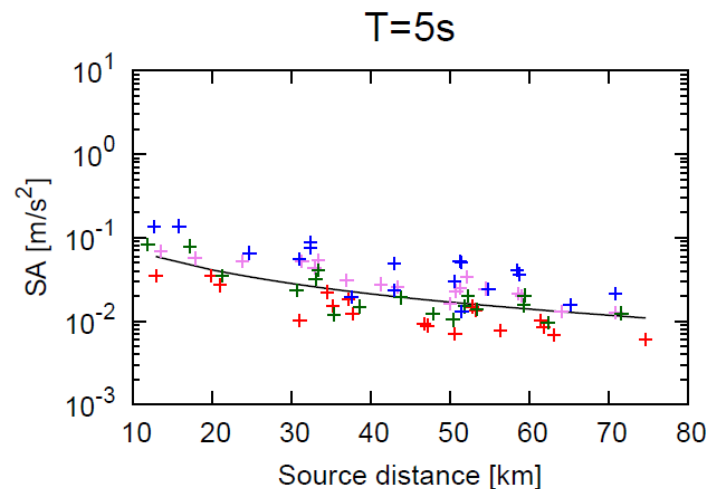
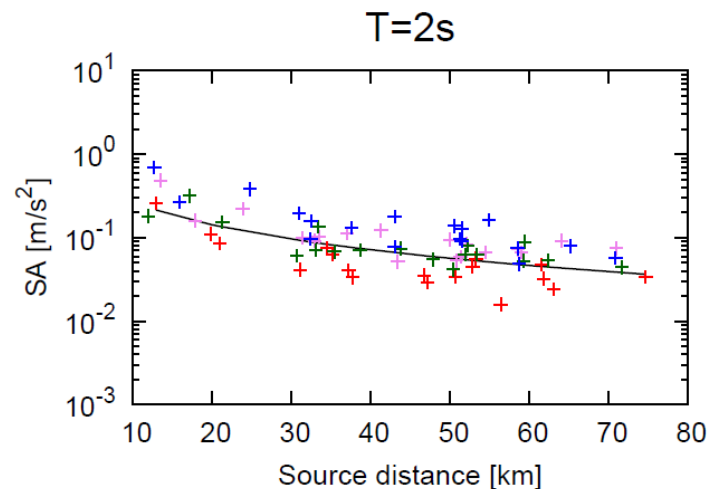
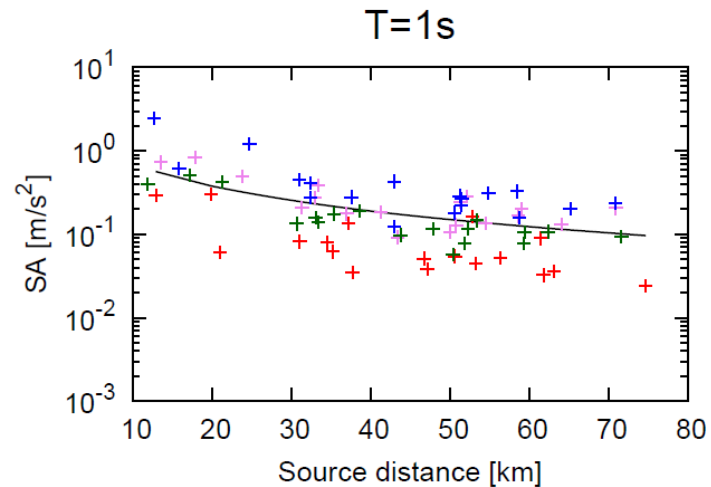
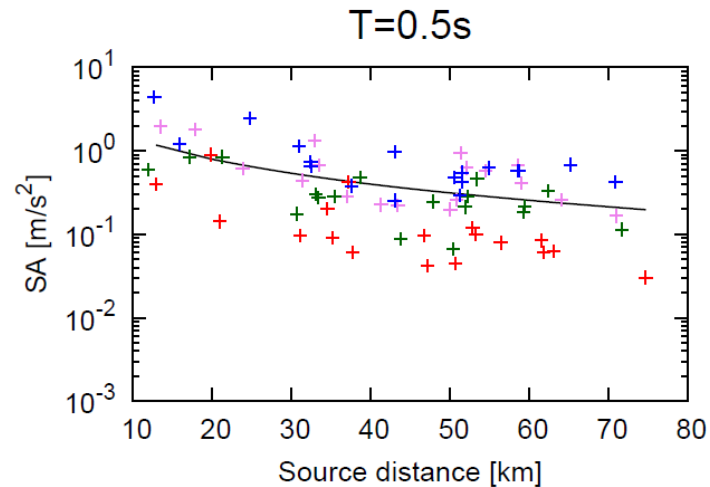
# Properties of the model ensemble

- Examples of moment rate functions for Mw 6.2:
  - Duration 4-10s
  - Single/multiple peaks



# Properties of the model ensemble

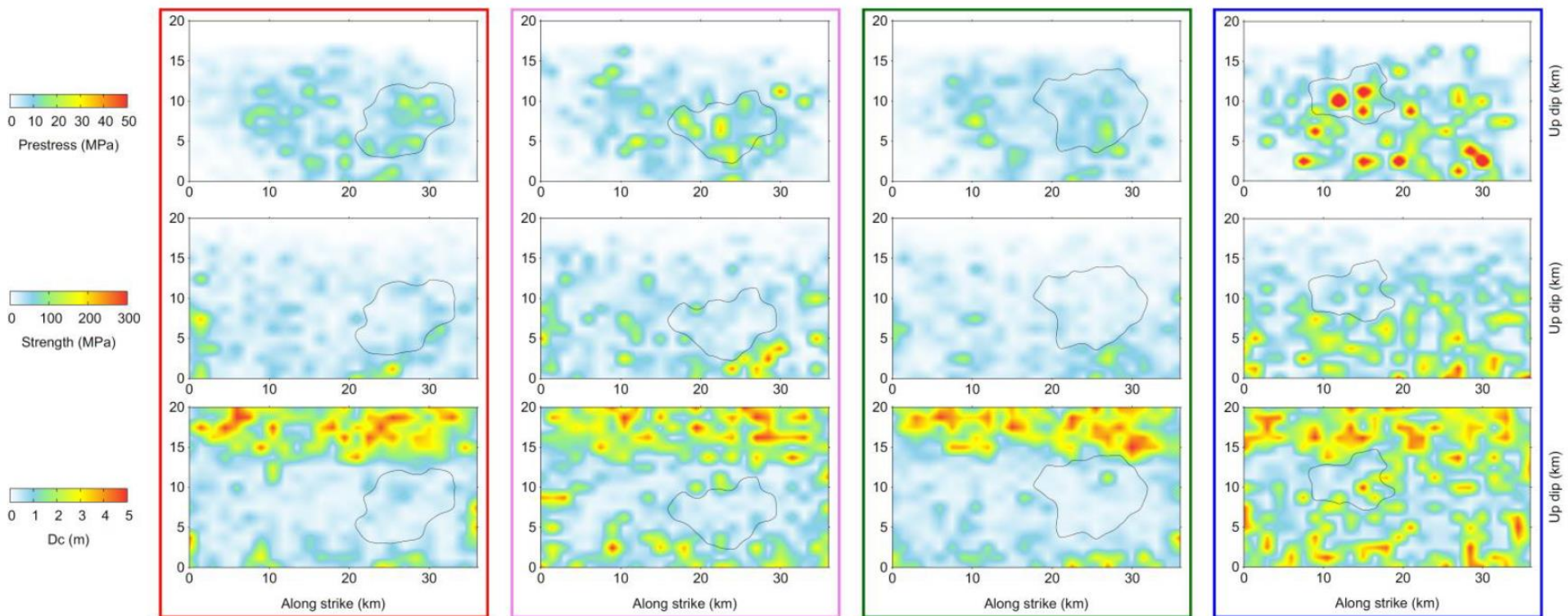
- Examples of moment rate functions for Mw 6.2:
  - Duration 4-10s
  - Single/multiple peaks



# Synthetic database of dynamic ruptures

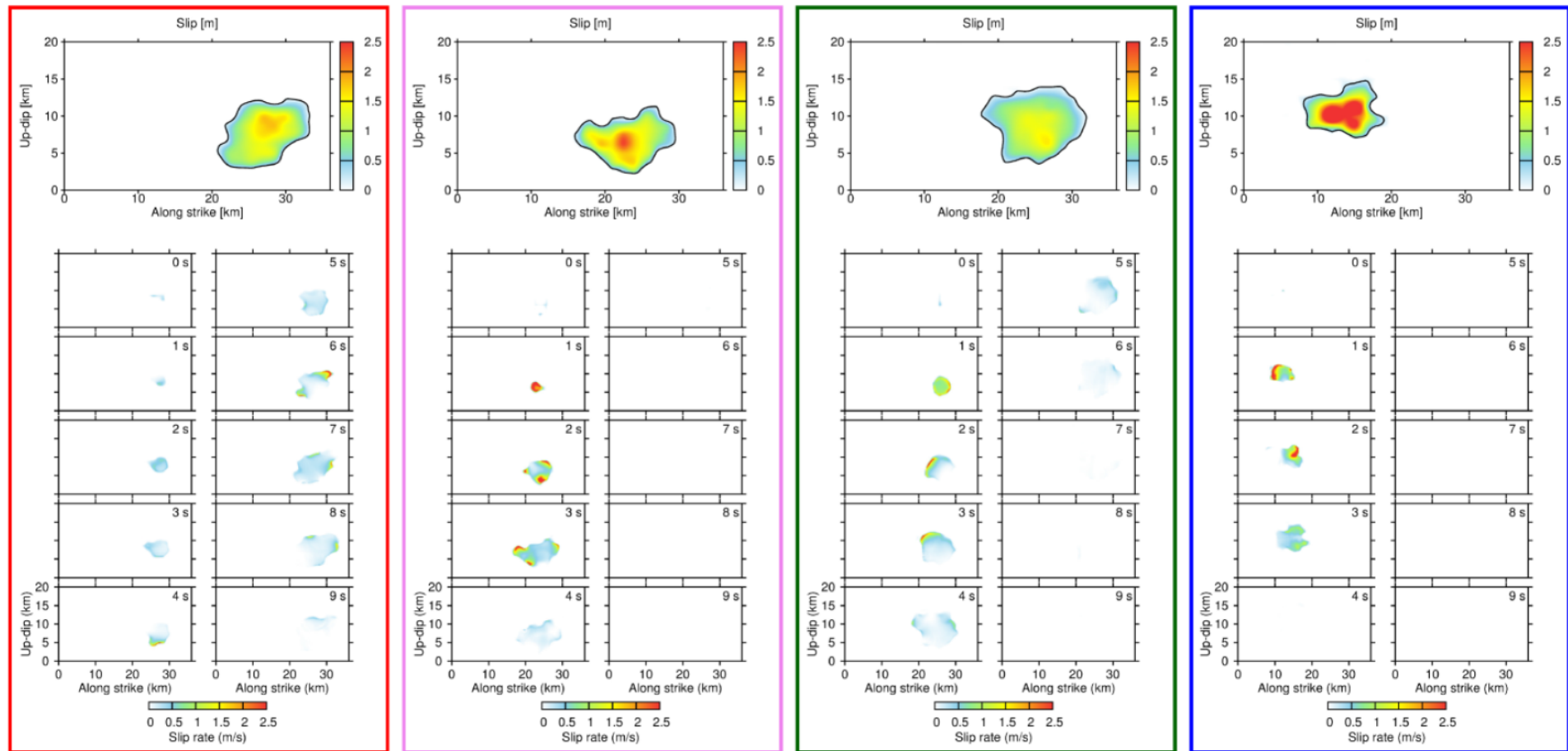
- The dynamic parameters are heterogeneous on the fault to permit complex rupture propagation

## Examples of Mw6.2

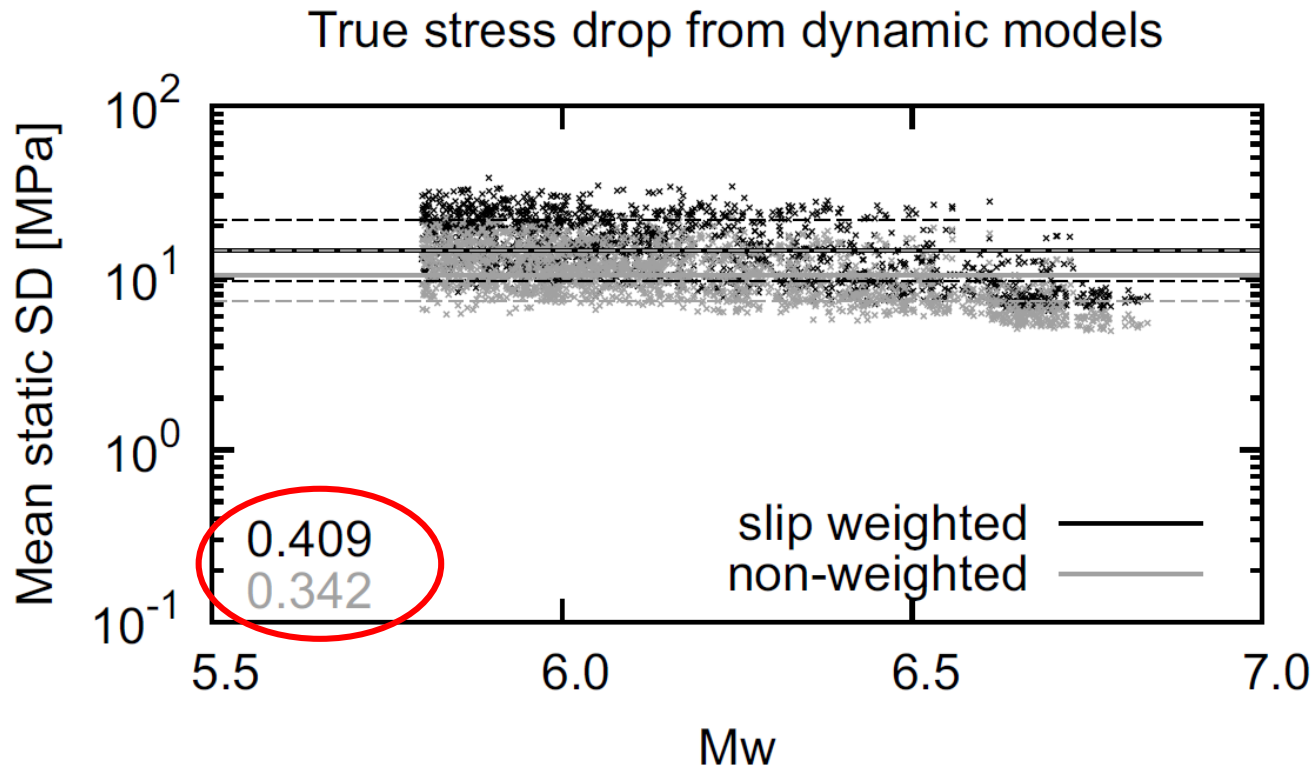


# Synthetic database of dynamic ruptures

## Examples of Mw6.2



# Stress drop variability



$$\Delta\tau_s = \frac{\int \Delta\tau D dS}{\int D dS}$$
$$\Delta\tau_{snw} = \frac{1}{S} \int \Delta\tau dS$$

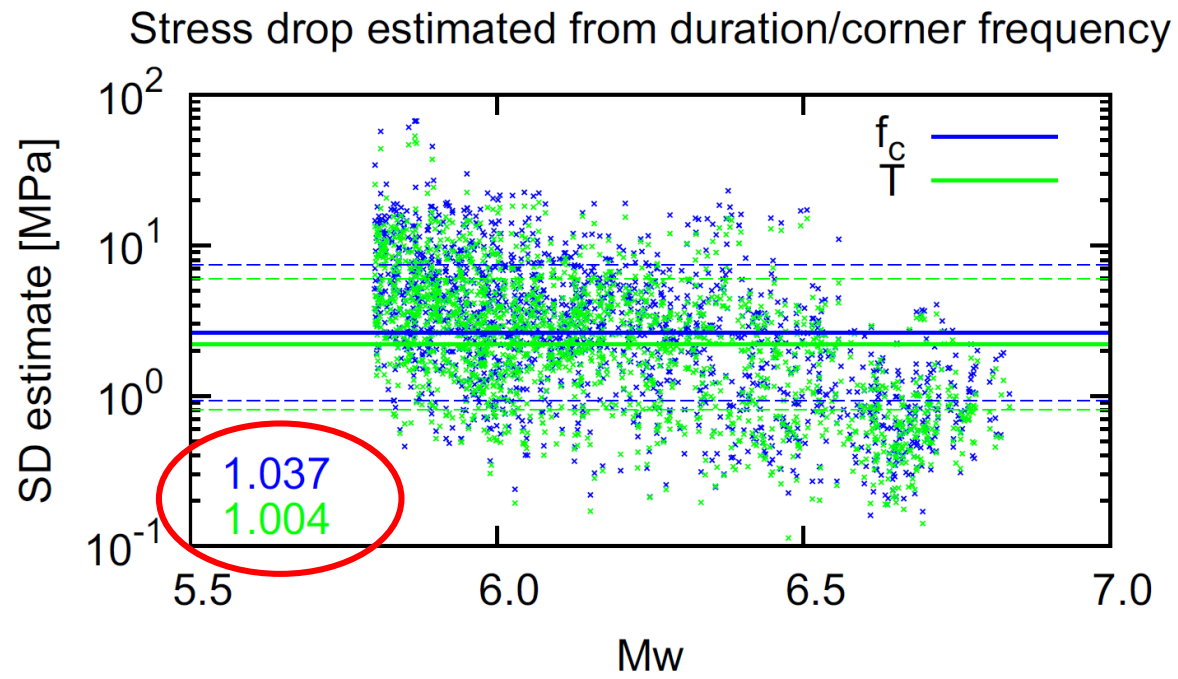
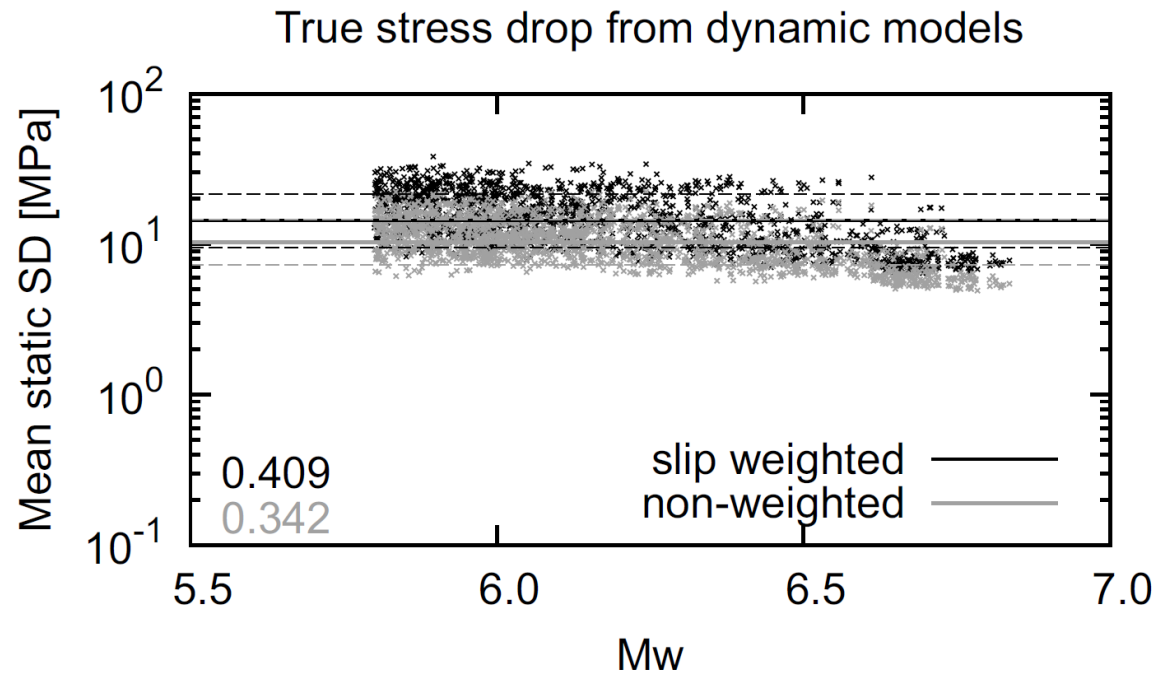


# Stress drop variability

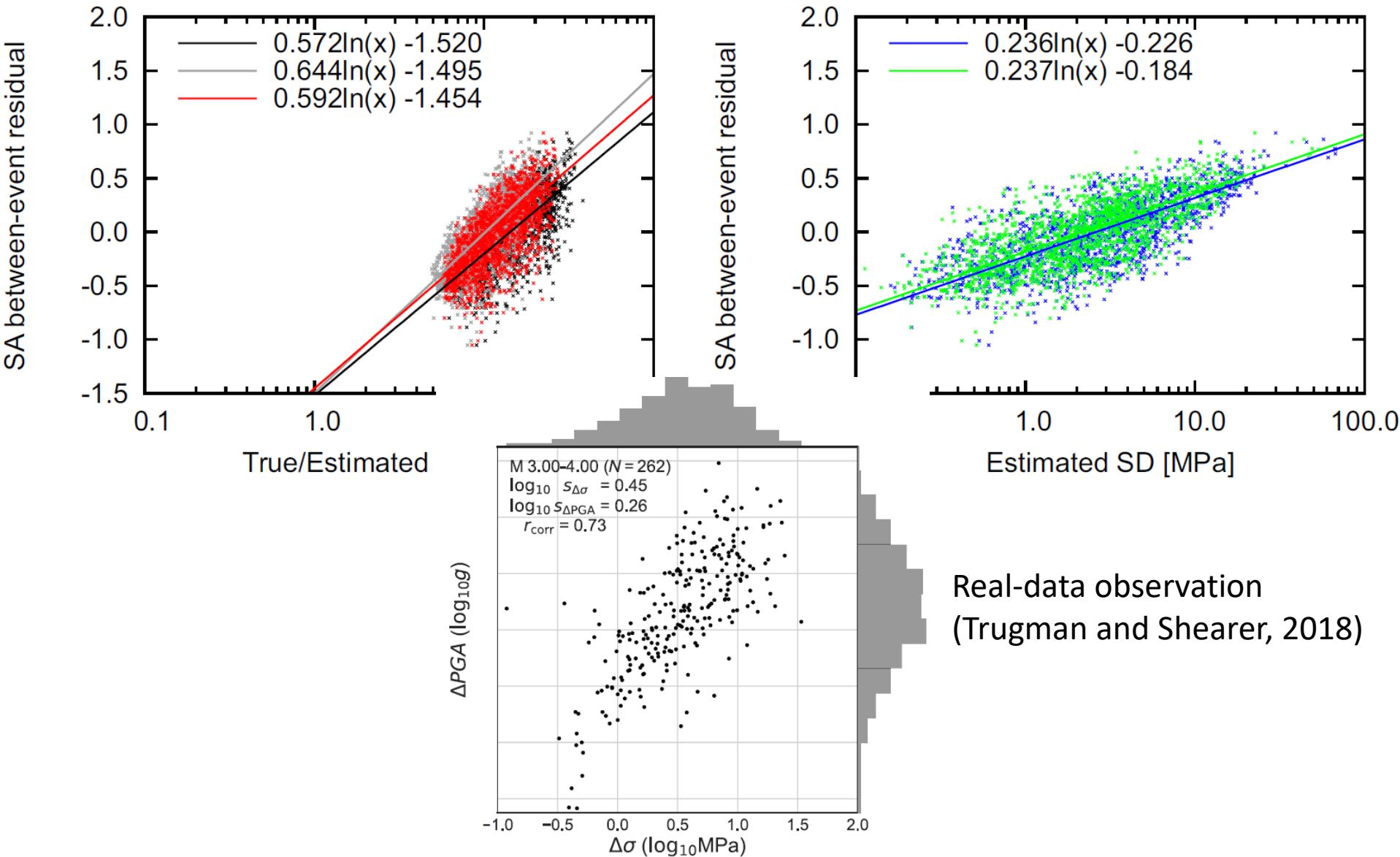
- Variability of the effective stress drop variability comparable with real-data observations (~1.0, Courboux et al., 2016)
- However, it is ~3x larger than the actual on-fault stress drop.

$$\Delta\tau_{ef} = \frac{7}{16}M_0 \left( \frac{f_c}{k_2v_s} \right)^3$$

$$\Delta\tau_{eT} = \frac{7}{16}M_0 \left( \frac{1}{k_1v_sT} \right)^3$$



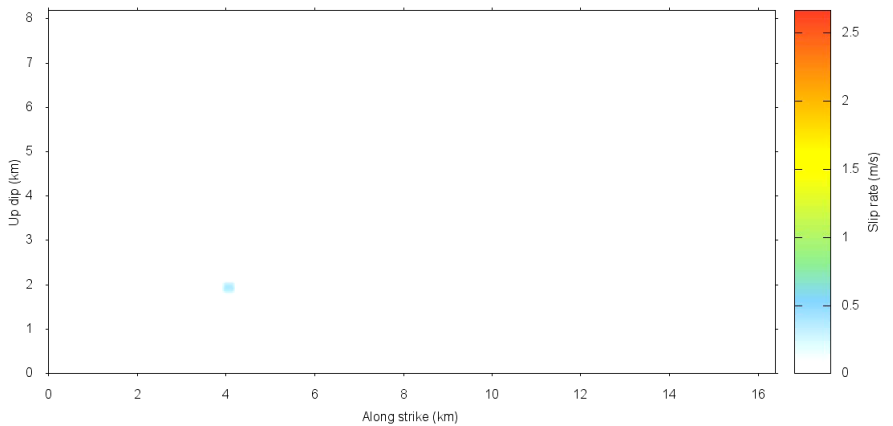
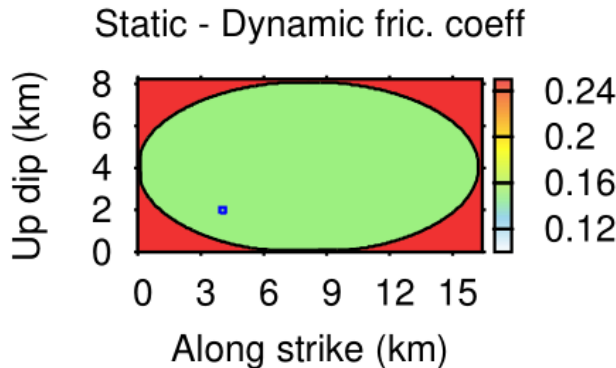
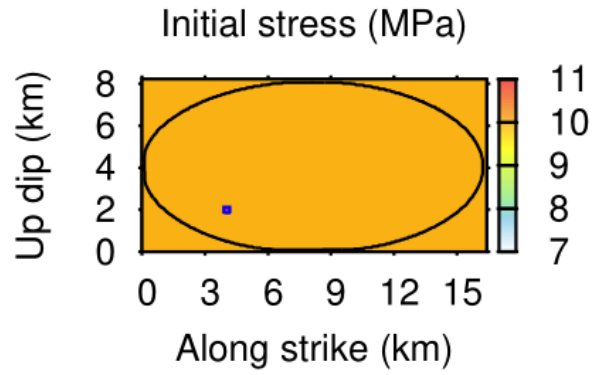
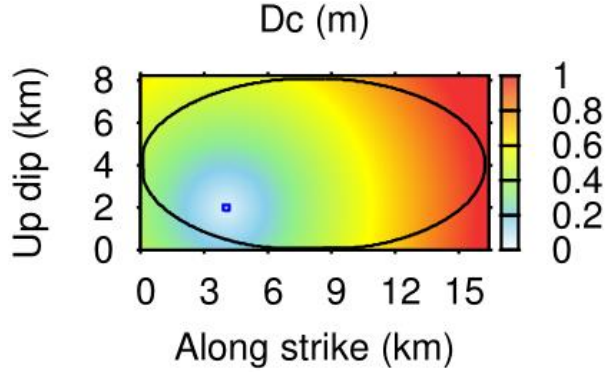
# Dependence of PGV on stress drop



# Towards broadband ground motion simulations

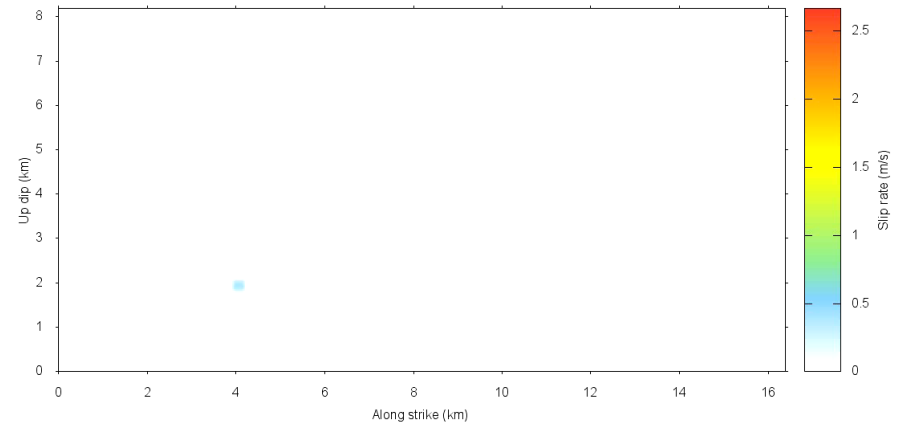
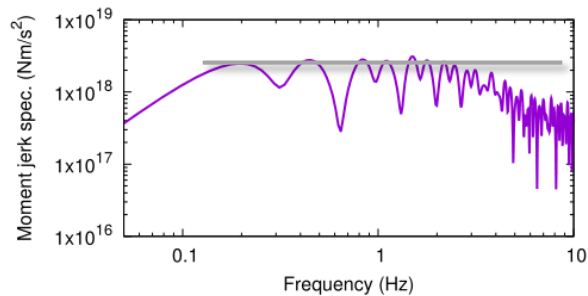
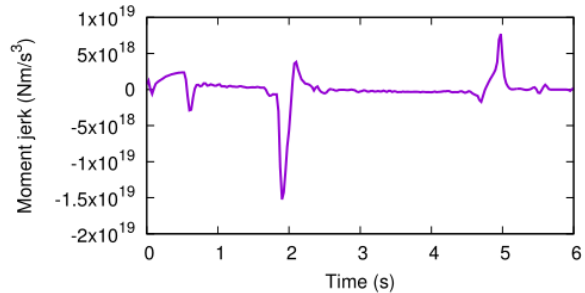
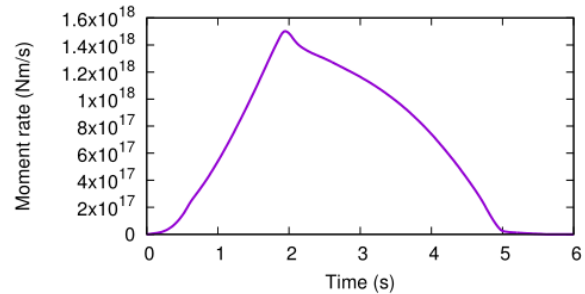
Gallovič, F., Valentová, L. (2023). Broadband strong ground motion modeling using planar dynamic rupture with fractal parameters, *J. Geophys. Res. Solid Earth* 128, e2023JB026506.

# Rupture with (approx.) constant $V_r$



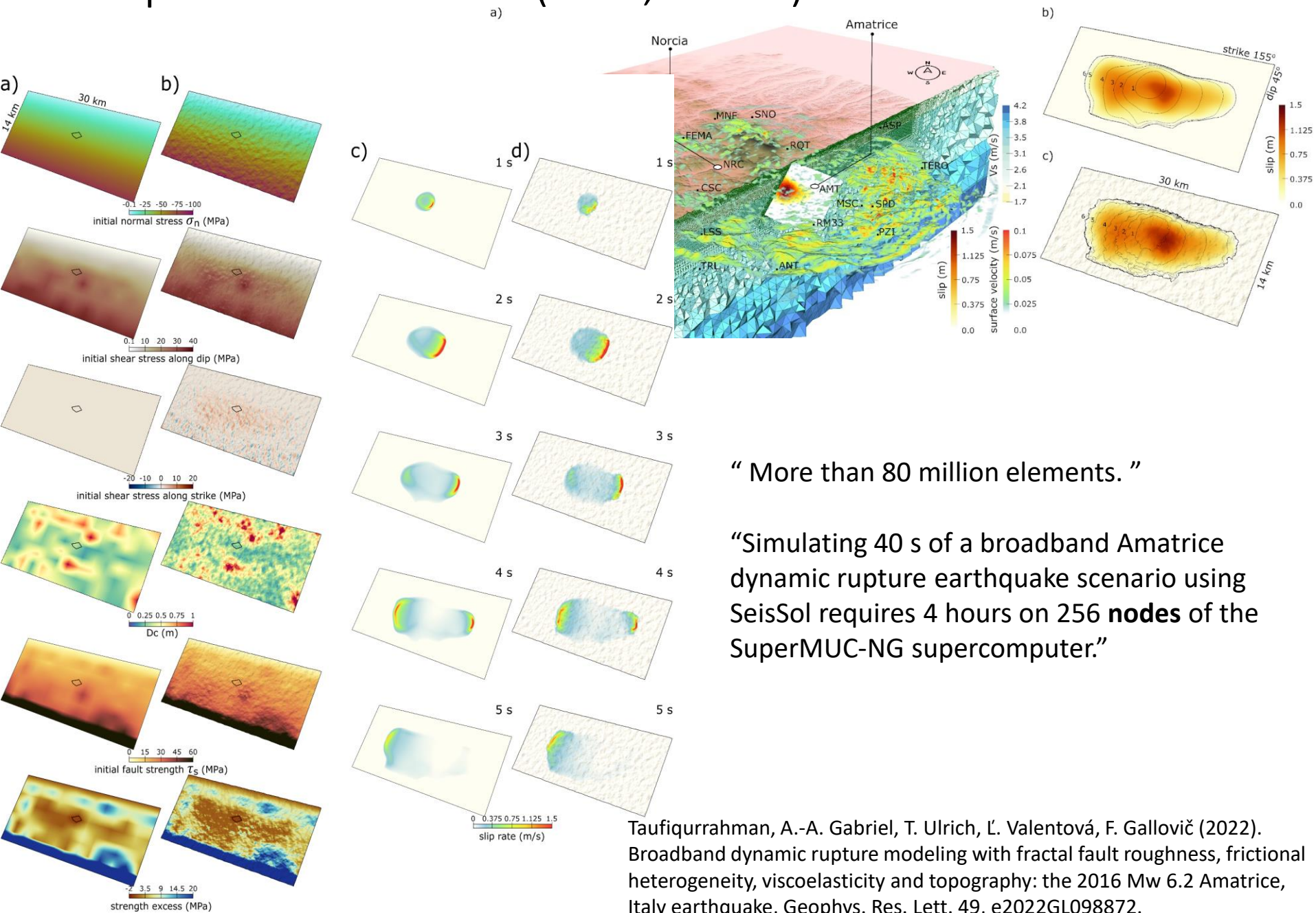
Rupture simulation up to 10 Hz in 30 mins on a GPU using efficient code FD3D\_TSN for planar rupture (Premus et al., 2020)

# Rupture with (approx.) constant $V_r$



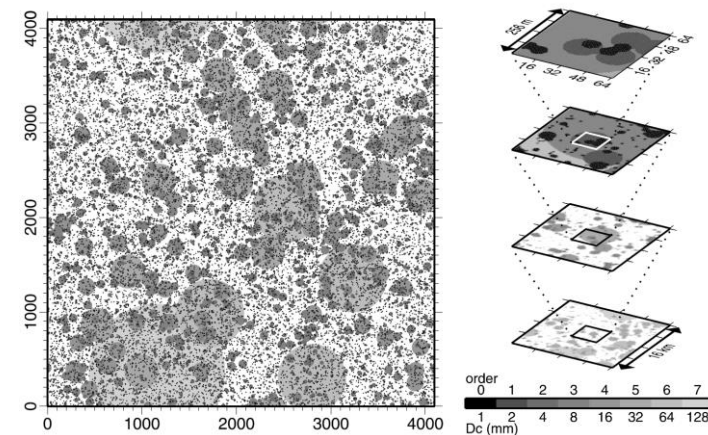
Rupture simulation up to 10 Hz in 30 mins on a GPU using efficient code FD3D\_TSN for planar rupture (Premus et al., 2020)

# Taufiqurrahman et al. (GRL, 2022)



# Fractal Gc model of Ide and Aochi (2005)

- Rupture starts from a small patch with small  $D_c$  associated with weak radiation.
- Events stop spontaneously without requiring a special stopping mechanism.
- Average fracture energy general increase as the rupture grows =>
  - Rupture velocity locally exceeds the shear wave speed but globally remains subshear
  - Fracture energy scales linearly with rupture size, in agreement with empirical studies
- Relation between size and frequency of events is a power law (explained by the triggering probability between patches).
- Initial phase of the moment rate does not predict the final magnitude due to the statistically self-similar random triggering growth.
- Properties of initial accelerating phase of moment rates agrees with an empirical statistical model (Renou et al., 2022).



**Figure 3.** An example of  $D_c$  distribution in two dimensions using a set of circular patches. We randomly distribute eight different orders of patches in  $4096 \times 4096$  model space with periodic boundaries, which we consider to be  $16 \text{ km} \times 16 \text{ km}$ . This model space is treated as four subspaces of different scale through three renormalizations as shown at the right.

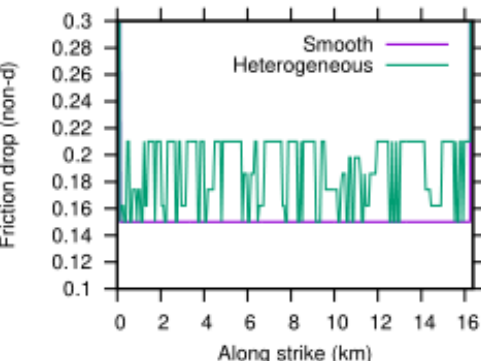
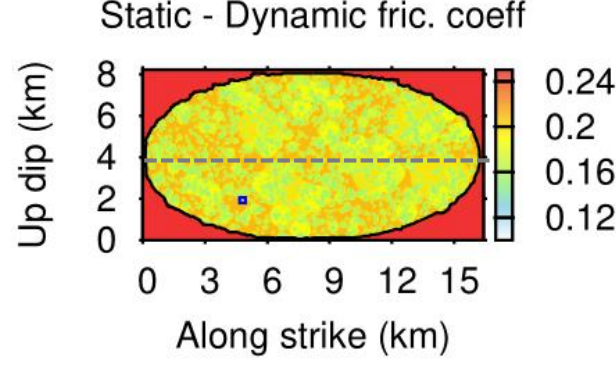
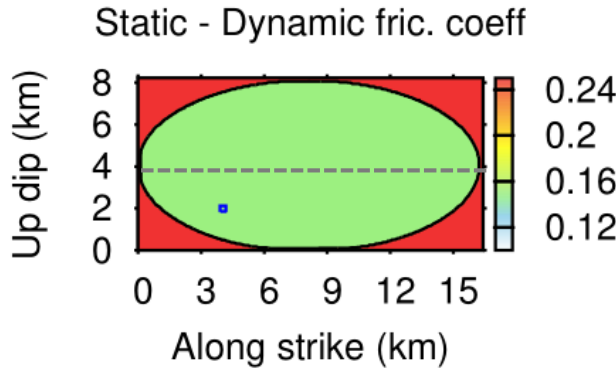
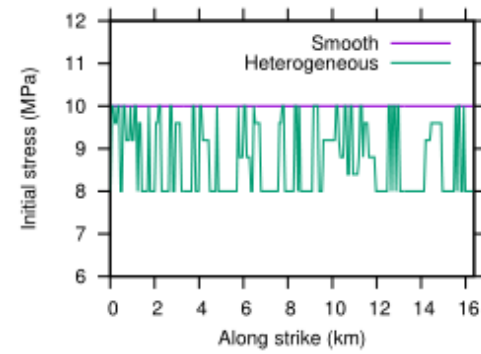
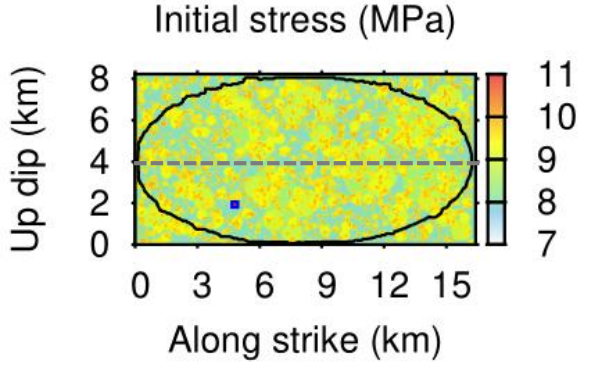
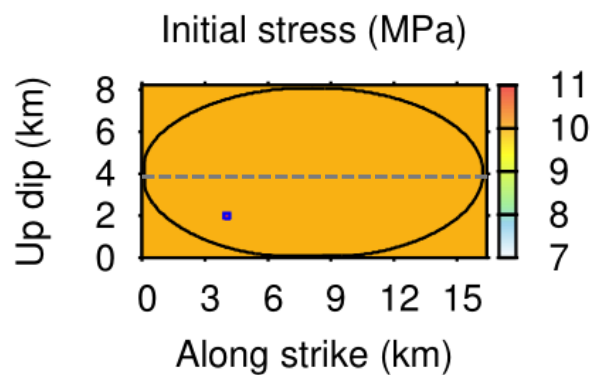
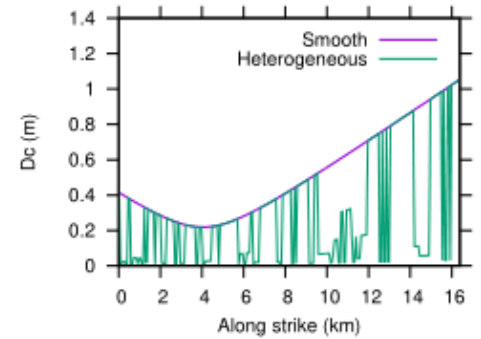
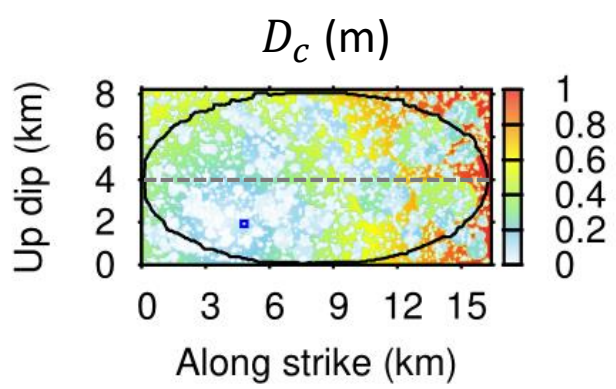
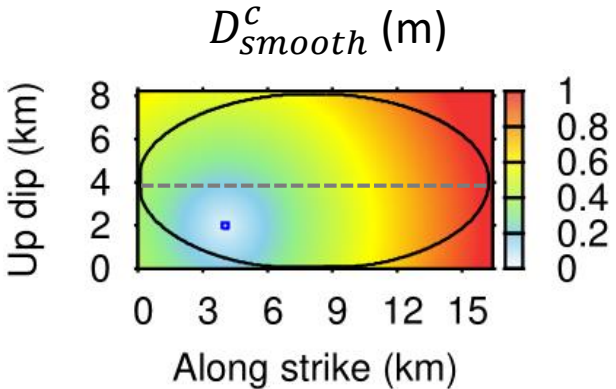
# Multiscale Dc model

Gallovič and Valentová (2023)

$\downarrow r_n$   $\downarrow D_c$   $\uparrow T_{ini}$   $\downarrow \Delta\mu$

Smooth

Fractal

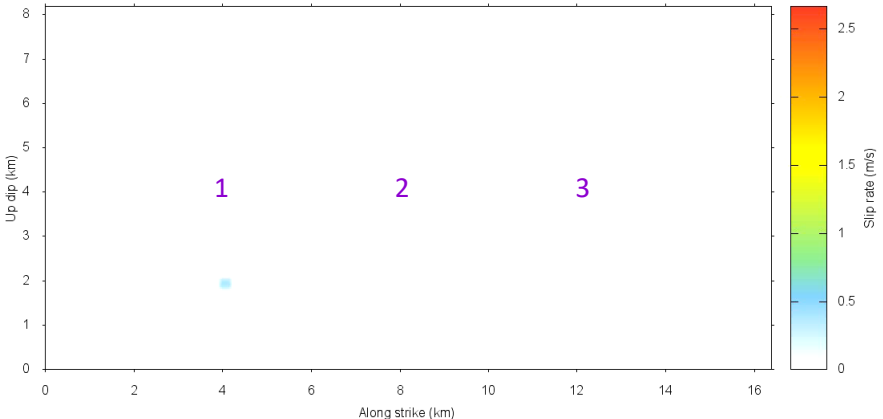




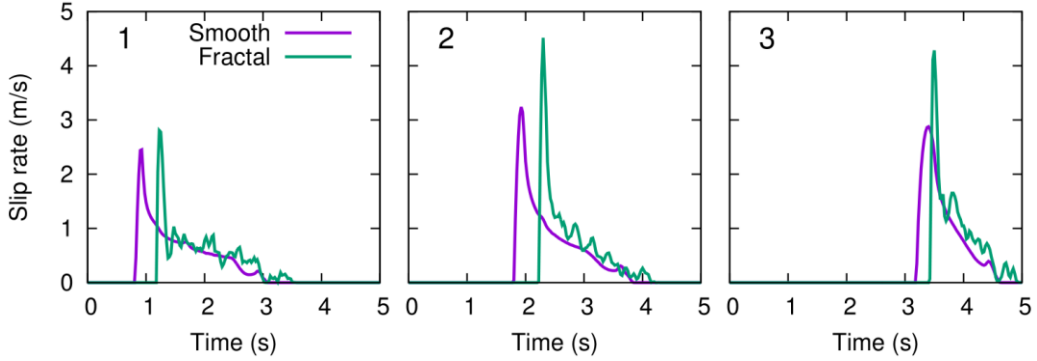
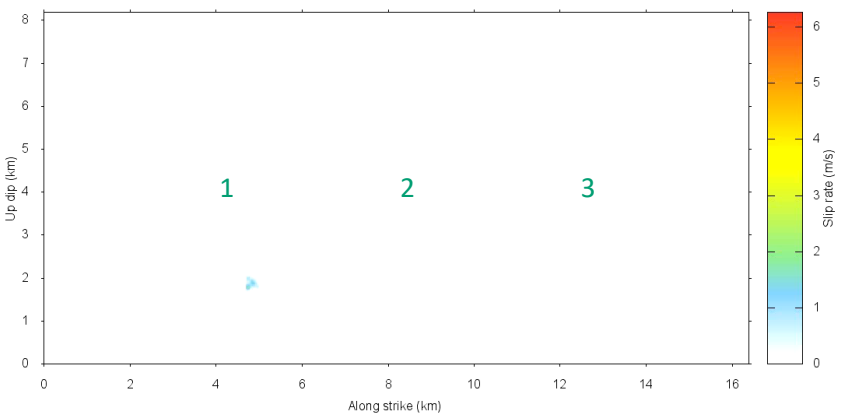
# Example – elliptical rupture

<b>Solver: FD3D_TSN (Premus et al., 2020)</b>	
Spatial discretization	32 m
FD half-domain size (along strike x normal x along-dip)	512 x 300 x 256
Duration of slip-rate functions	10 s
Time step	0.001 s
<b>Computation time (single GPU)</b>	<b>30 min</b>

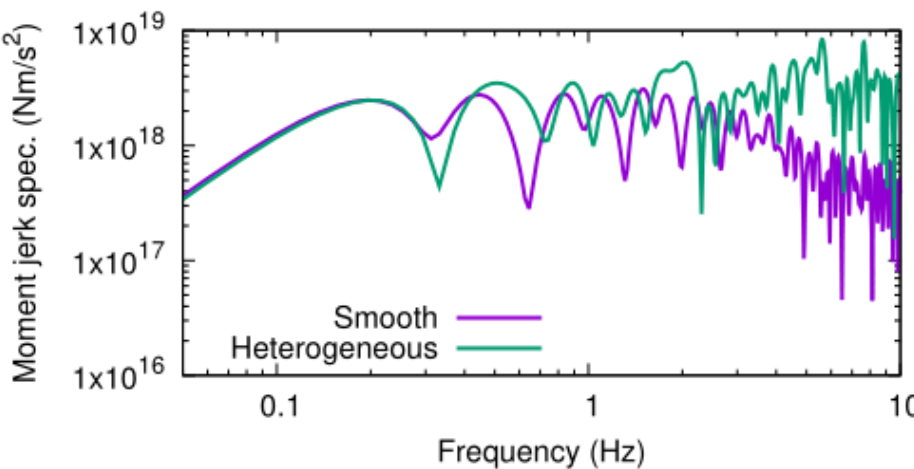
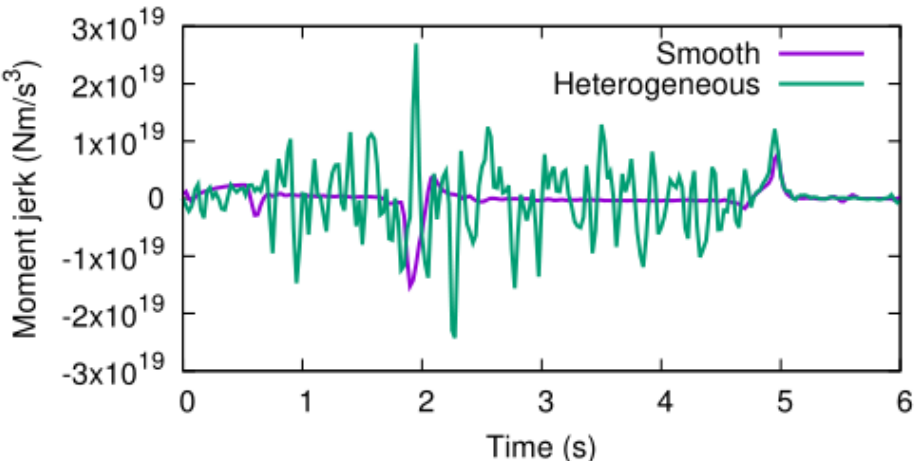
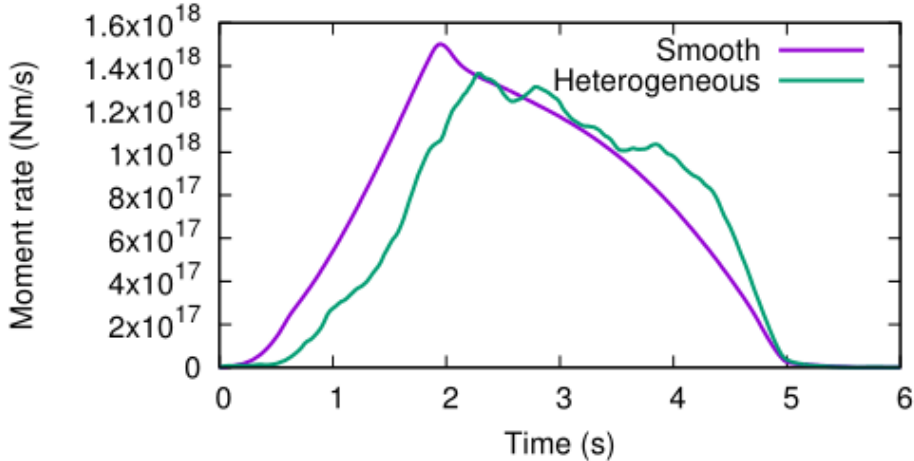
Smooth



Fractal



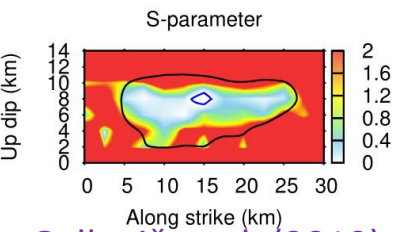
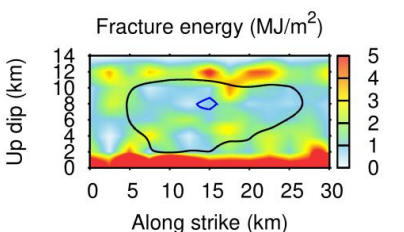
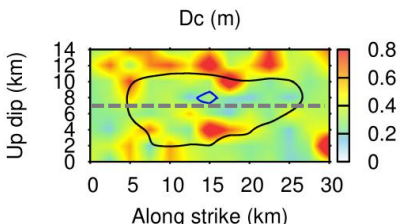
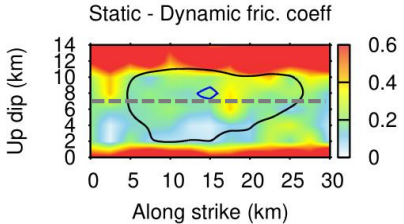
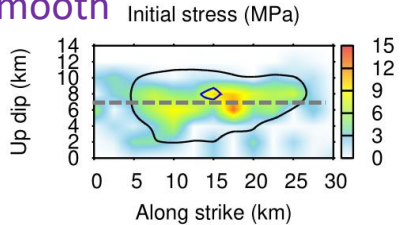
# Example – elliptical rupture



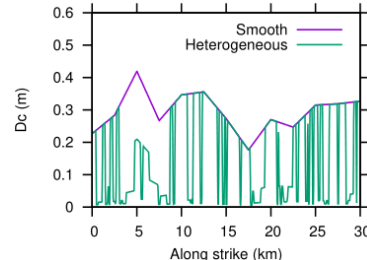
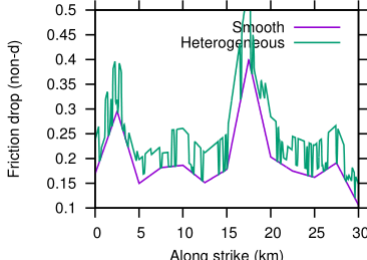
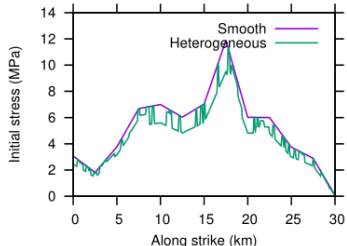
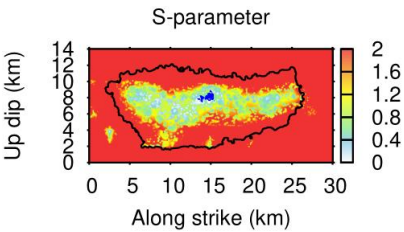
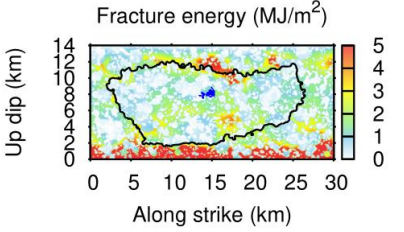
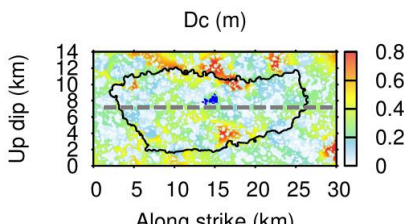
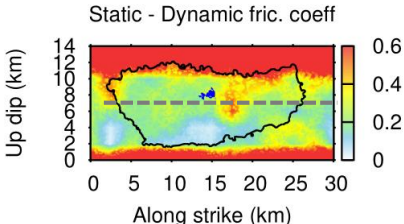
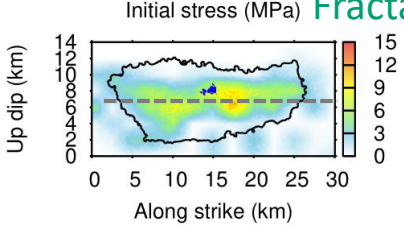
-> The model radiates omega-squared during the whole rupture propagation due to the random acceleration and deceleration of the rupture (Madariaga, 1977)

# Example – Amatrice

Smooth



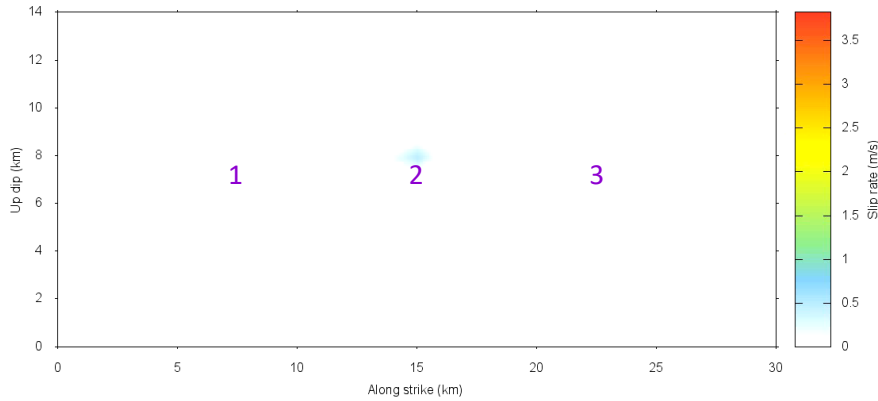
Fractal



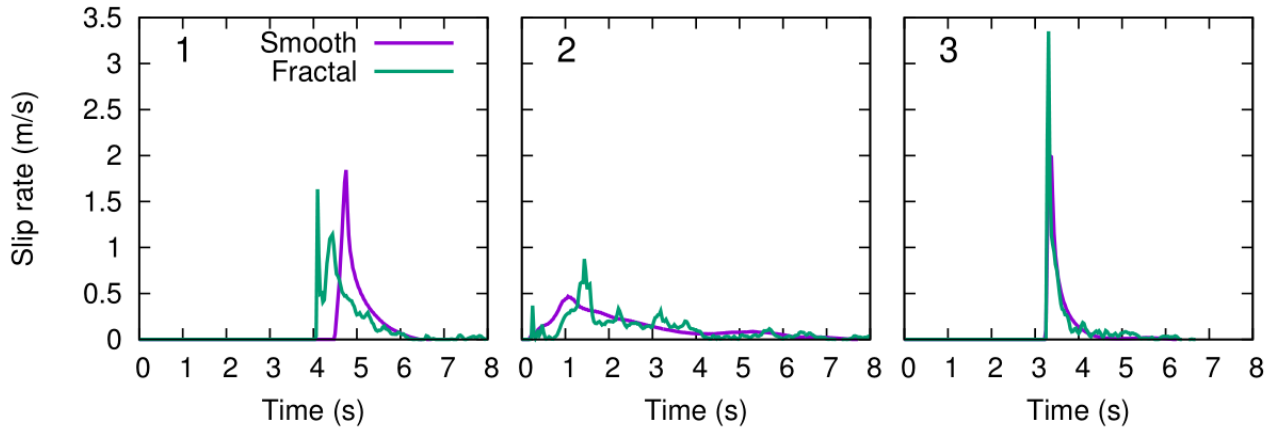
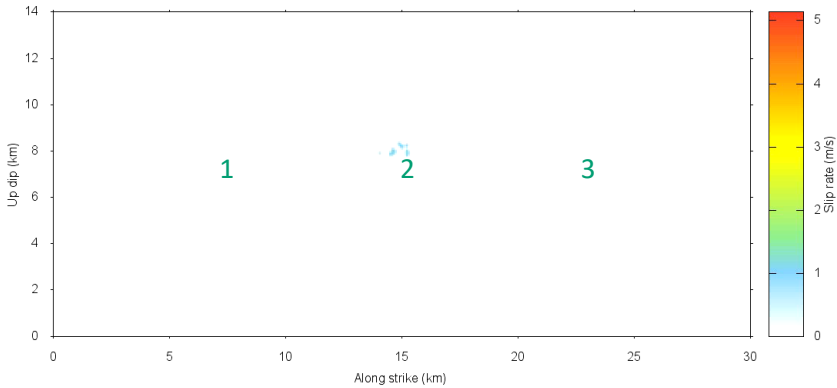
Note – the specific realization of the fractal distribution found to obtain the best fit with low-frequency seismograms (<0.5Hz) out of 500 random realizations.

# Example – Amatrice

Smooth

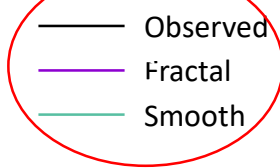
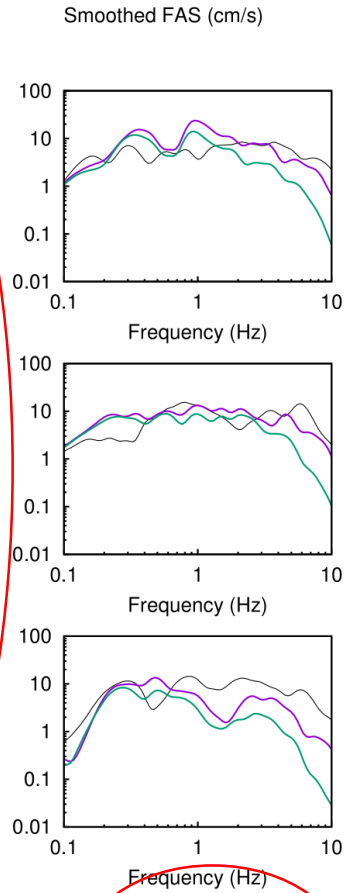
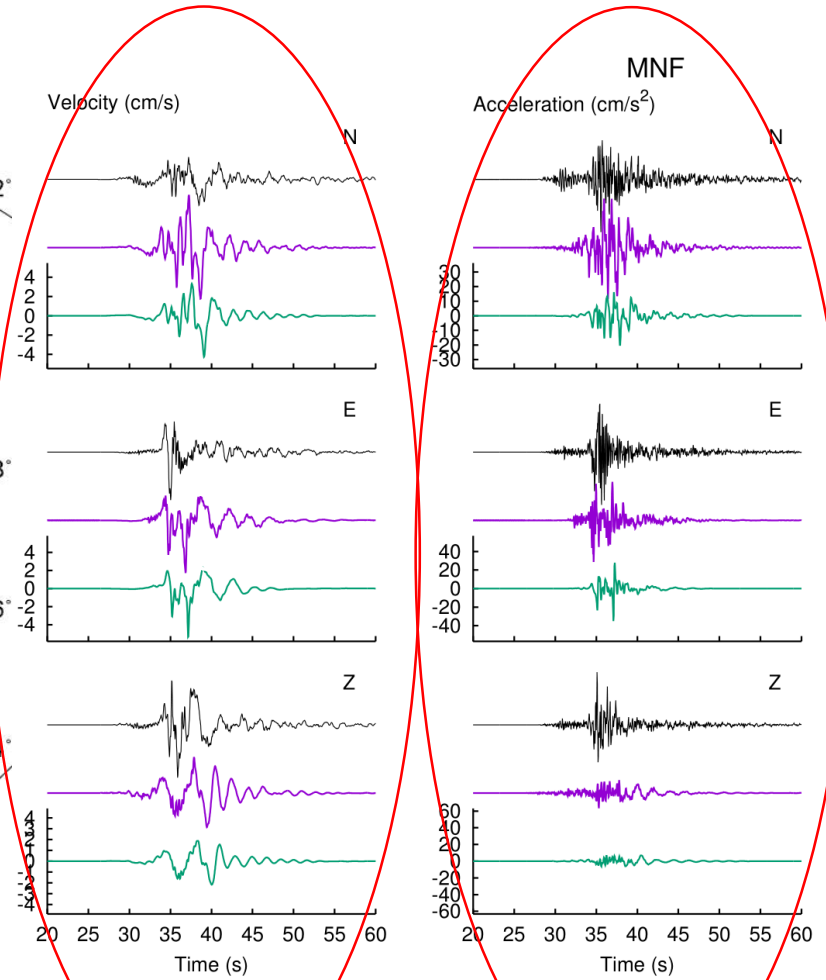
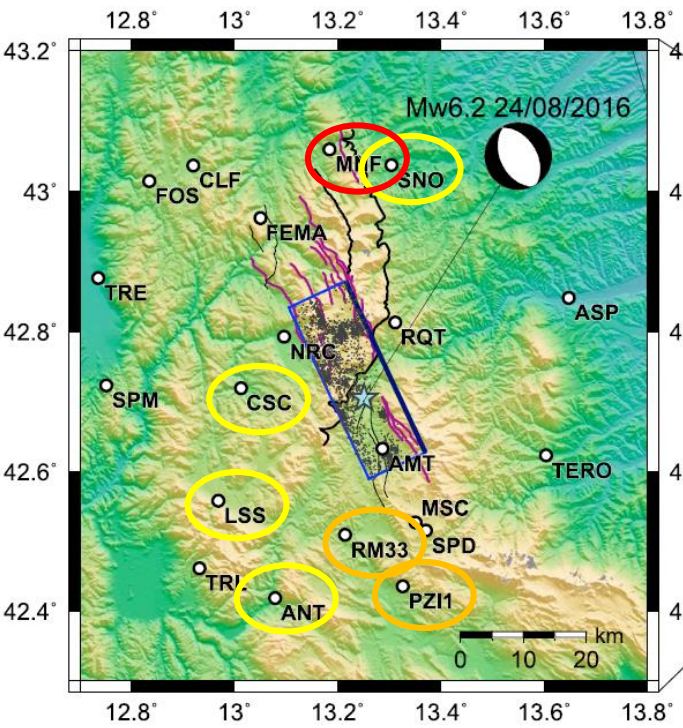


Fractal

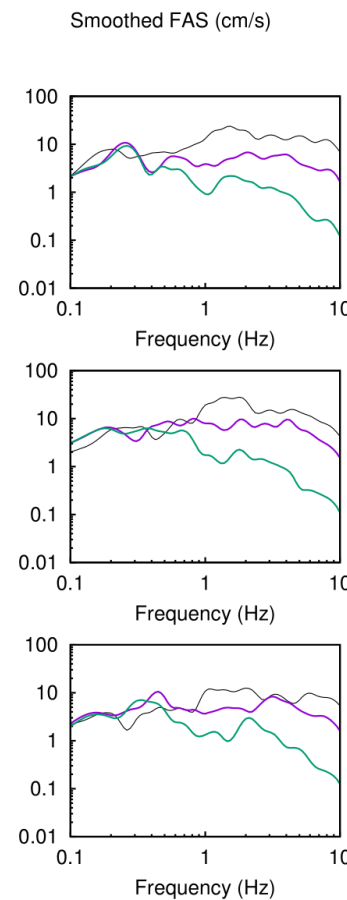
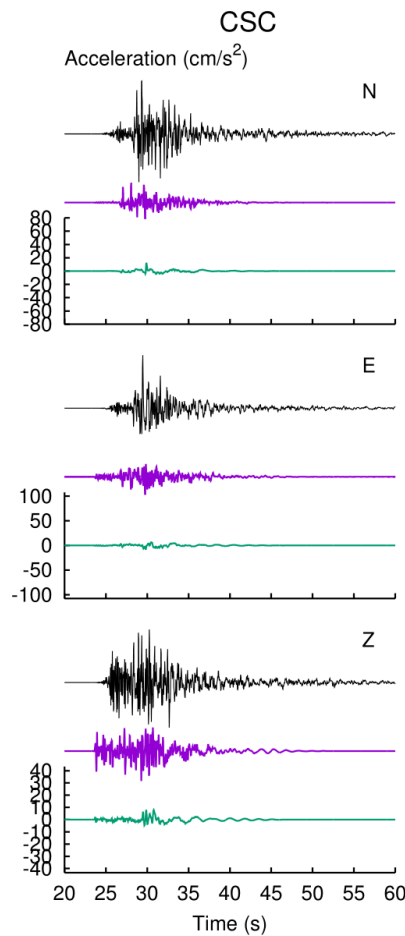
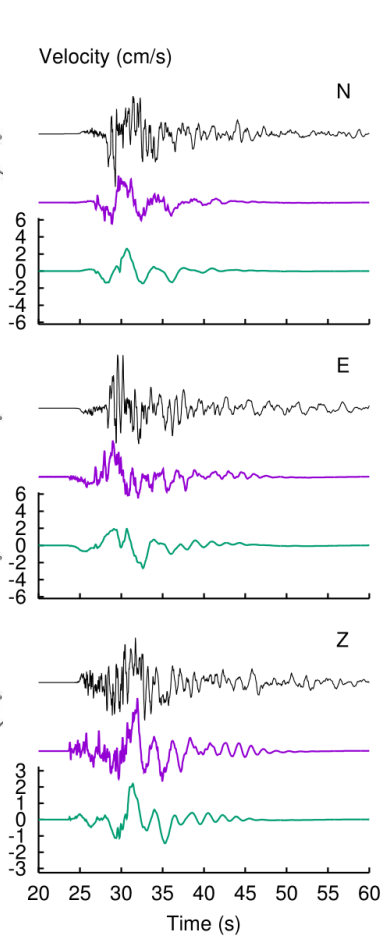
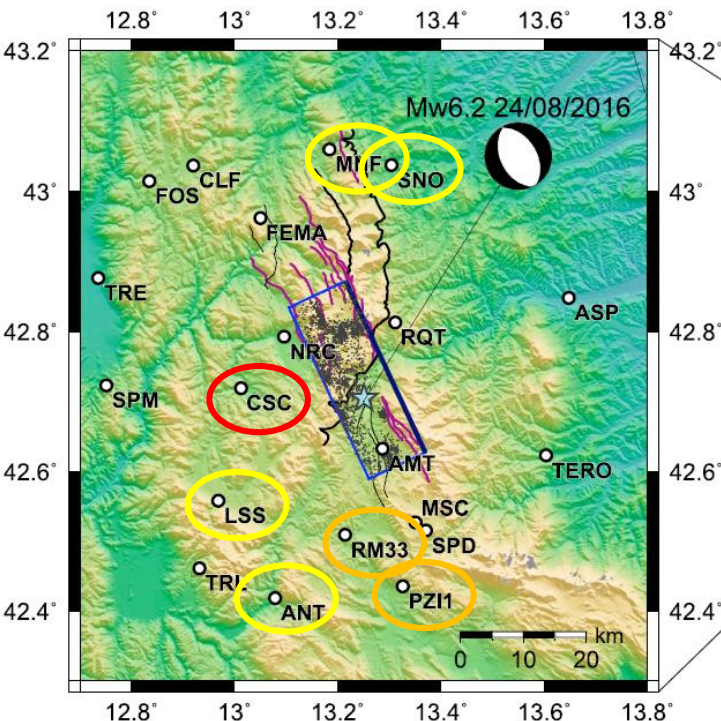


FD3D\_TSN performs the calculation in about 30 minutes up to 10 Hz on a single GPU

# Example – Amatrice

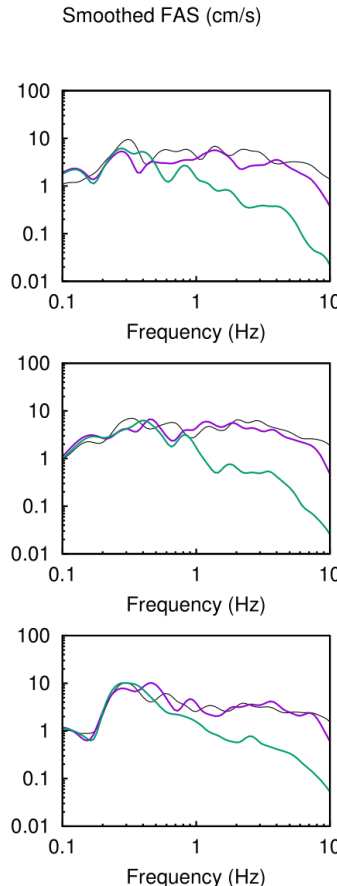
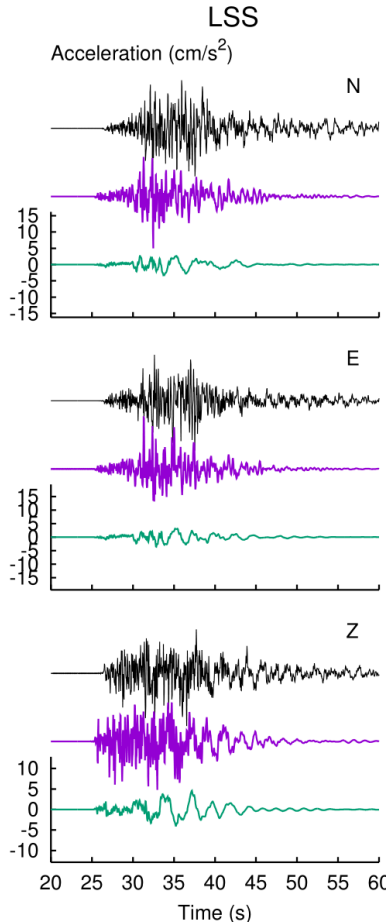
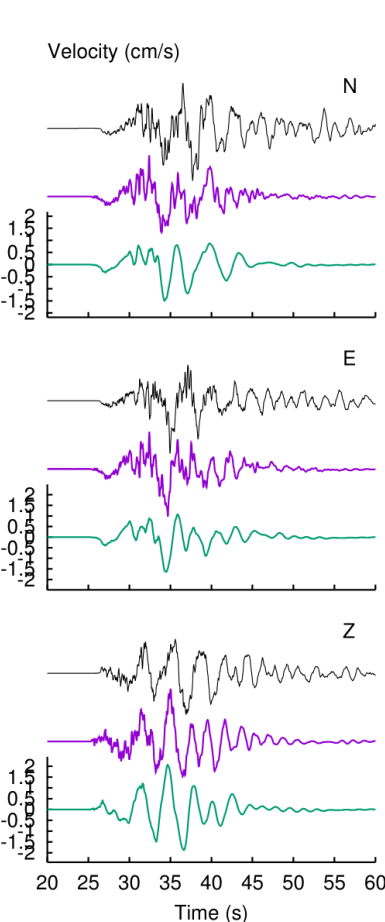
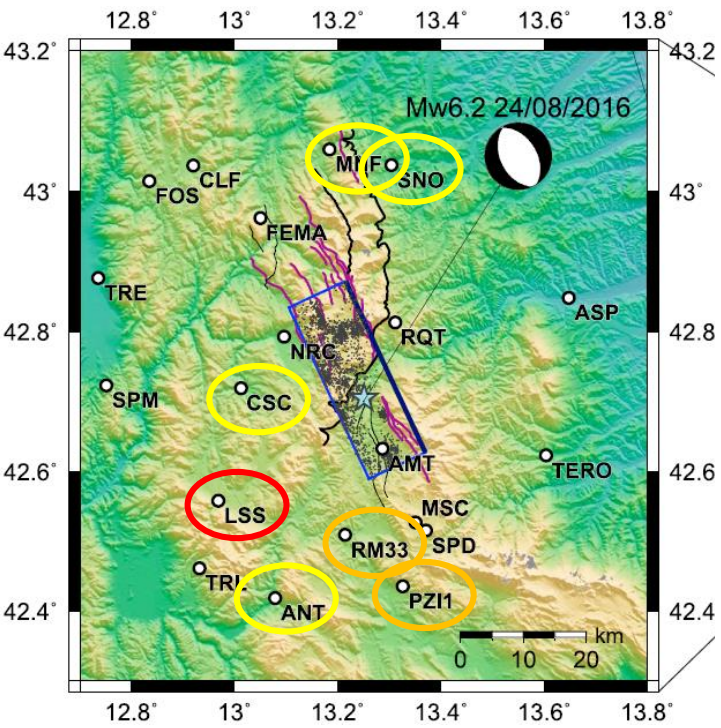


# Example – Amatrice



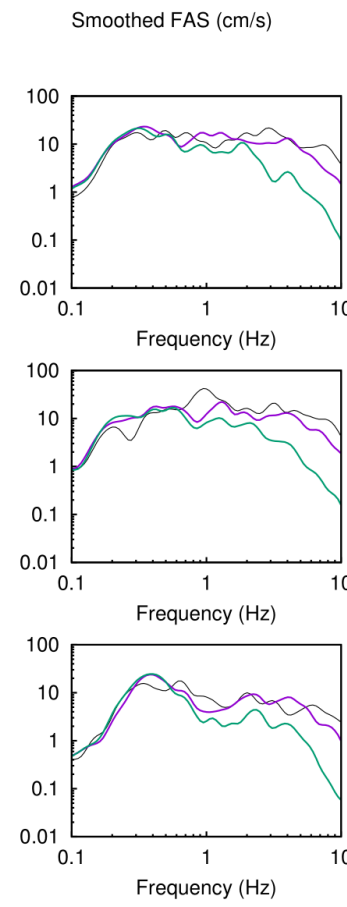
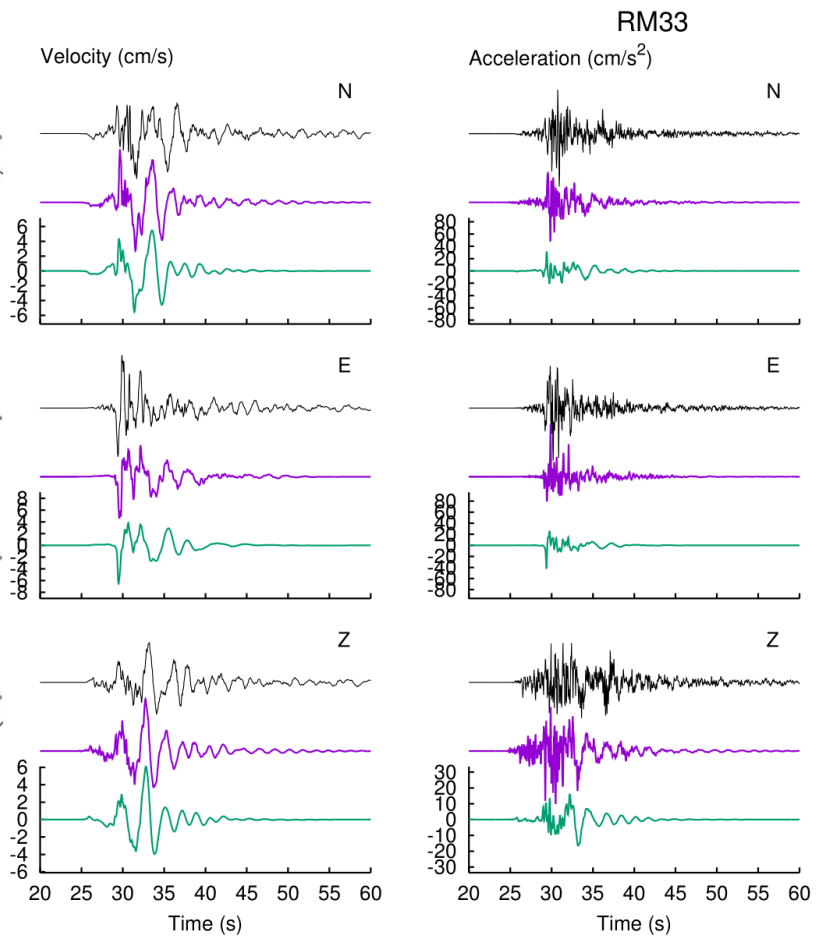
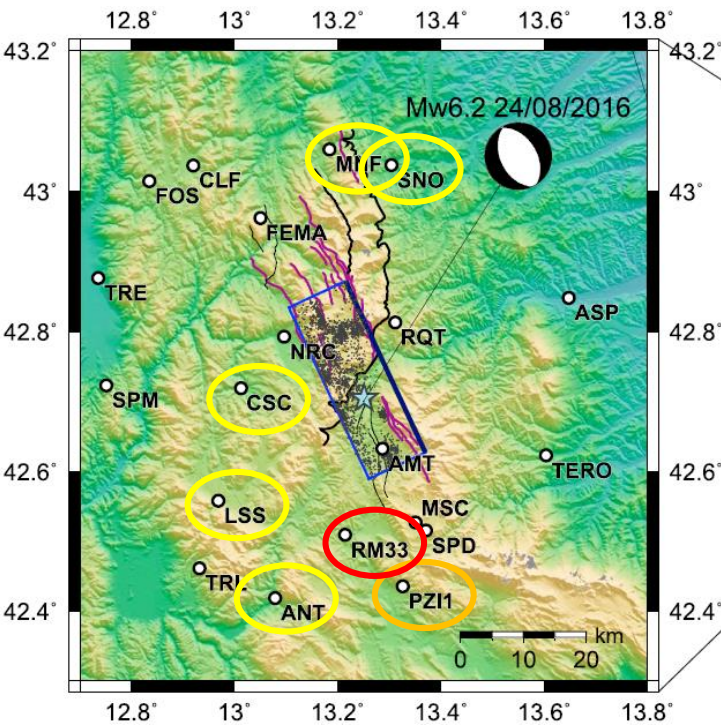
- Observed
- Fractal
- Smooth

# Example – Amatrice



- Observed
- Fractal
- Smooth

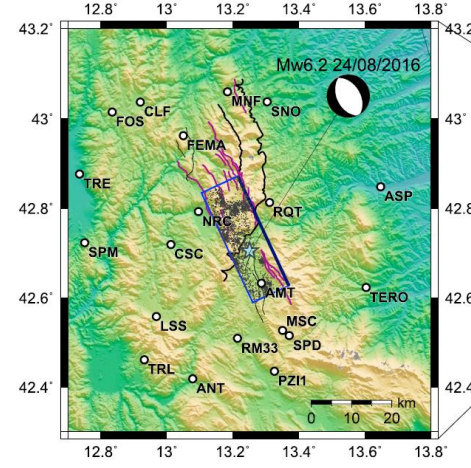
# Example – Amatrice



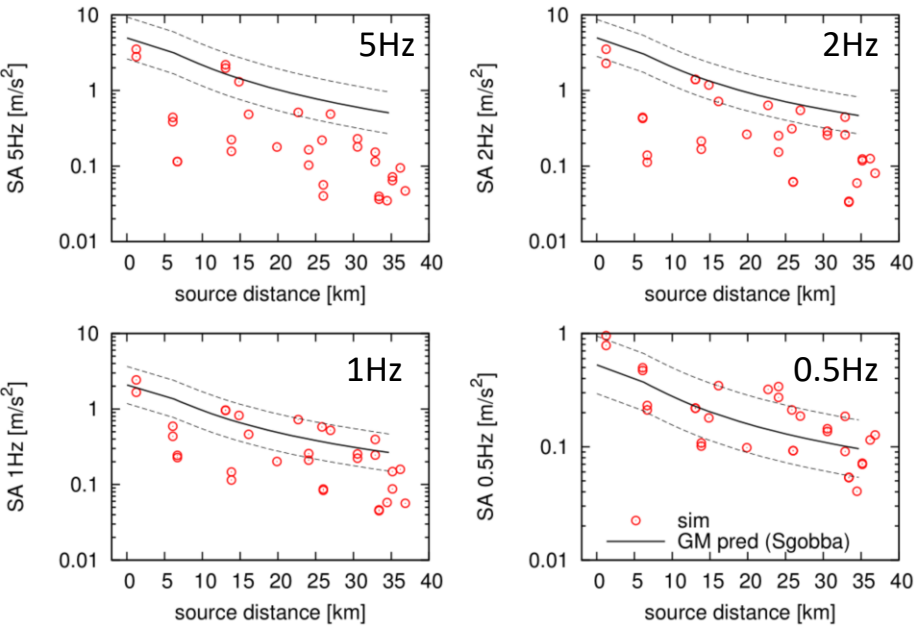
- Observed
- Fractal
- Smooth



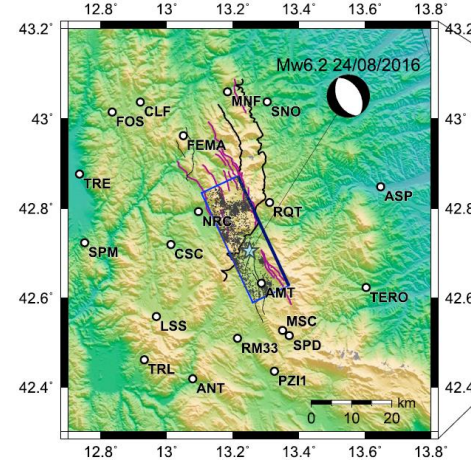
# Example – Amatrice (comparison with Ground Motion Model)



Smooth

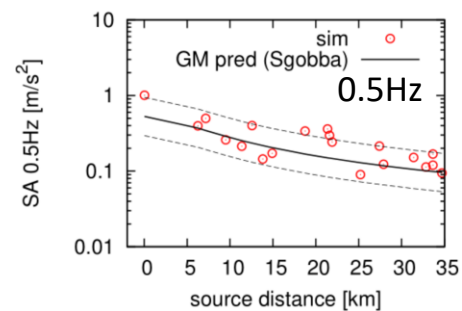
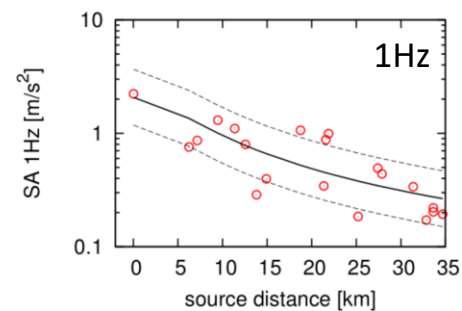
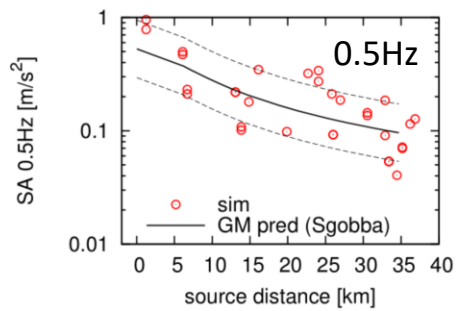
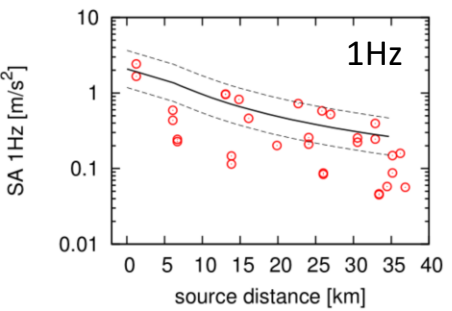
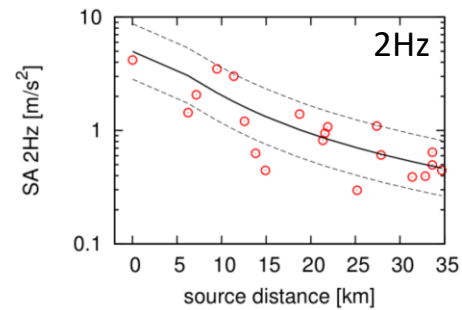
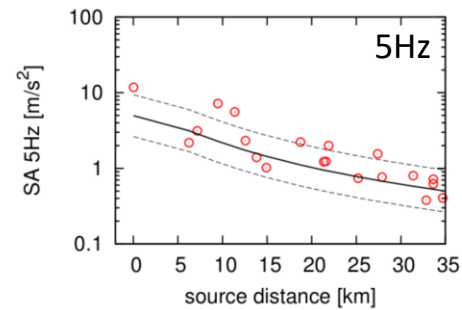
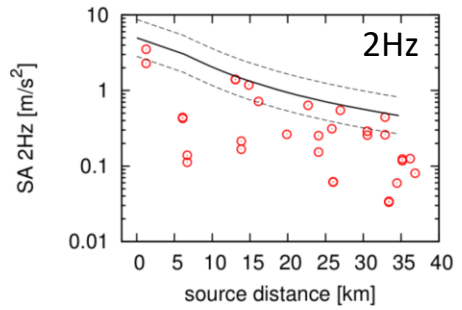
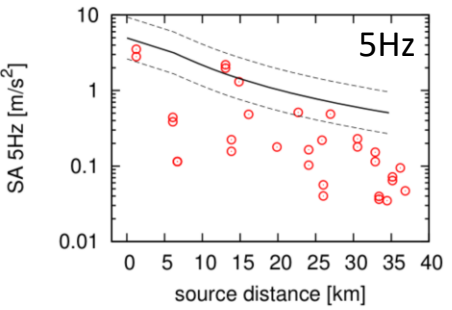


# Example – Amatrice (comparison with Ground Motion Model)



Smooth

Fractal



GM pred: Sgobba et al. (2021)

# Outlook

Future applications of dynamic source modeling:

- Beyond kinematic inversions: Dynamic rupture inversions of well-recorded events from observed data using synthetic (or empirical) Green's functions
- Beyond Brune source spectral modeling: Dynamic source inversion of apparent source time functions or spectra directly for stress drop and other source parameters (rupture size, radiation efficiency)
- Beyond kinematic broadband simulations: Earthquake rupture scenario simulations constrained by GMM

Limitations of the dynamic modeling:

- Computationally very intense task
- At present, our rupture simulation code is limited only to buried ruptures or vertical faults

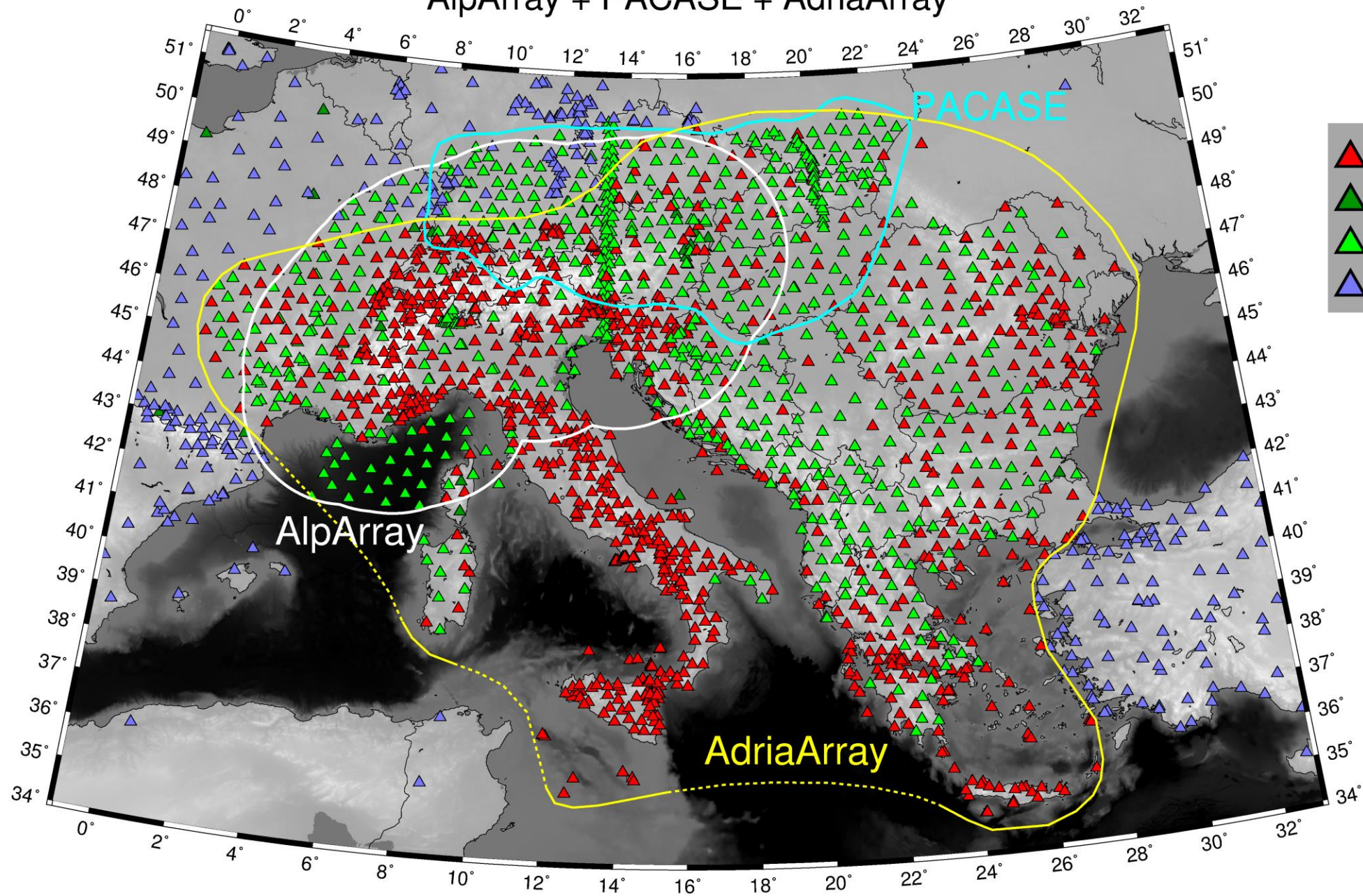
# First preliminary result from new AdriaArray data in Vrancea

Renata Lukešová



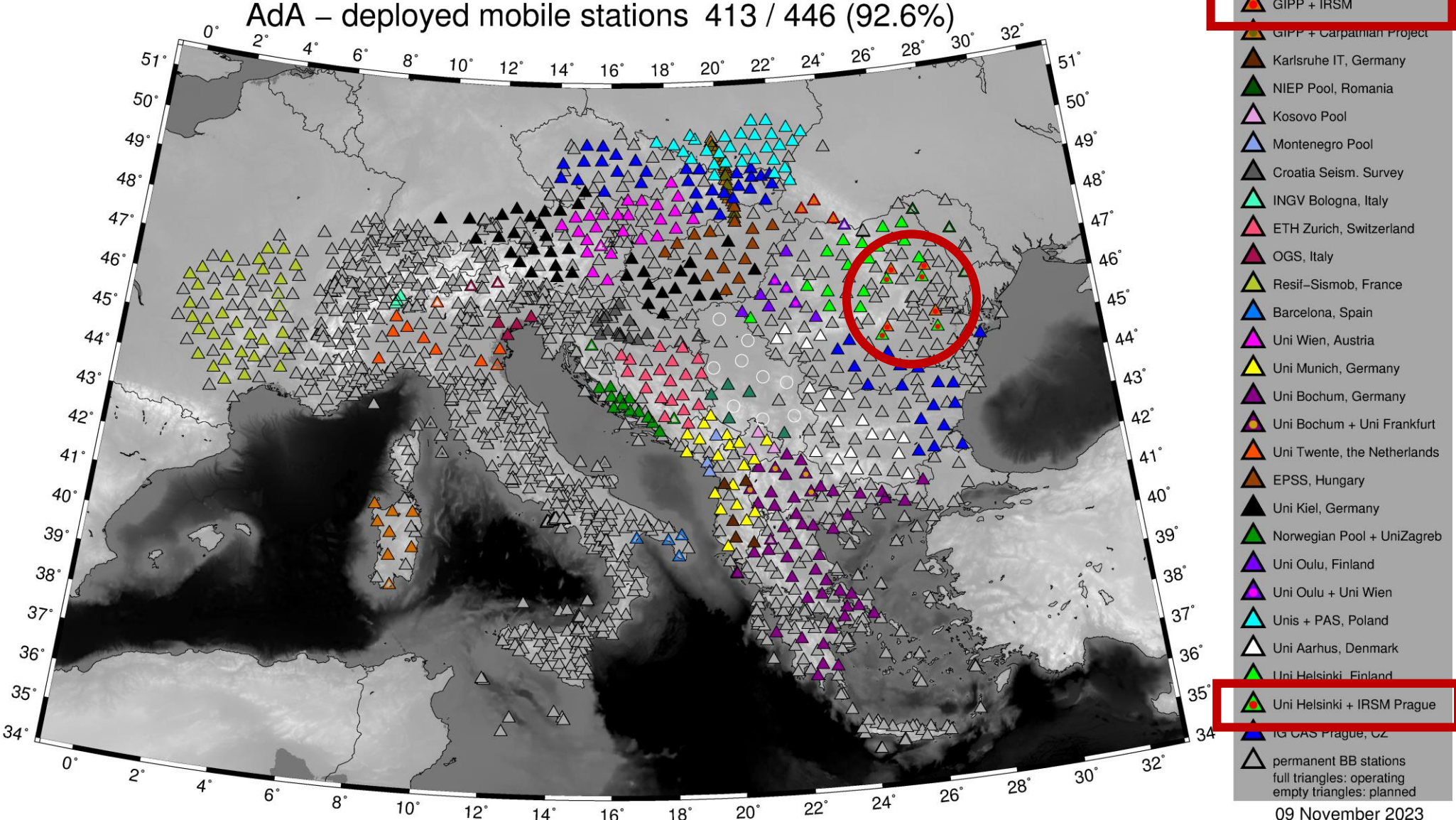
**Vrancea  
zone**

# AlpArray + PACASE + AdriaArray



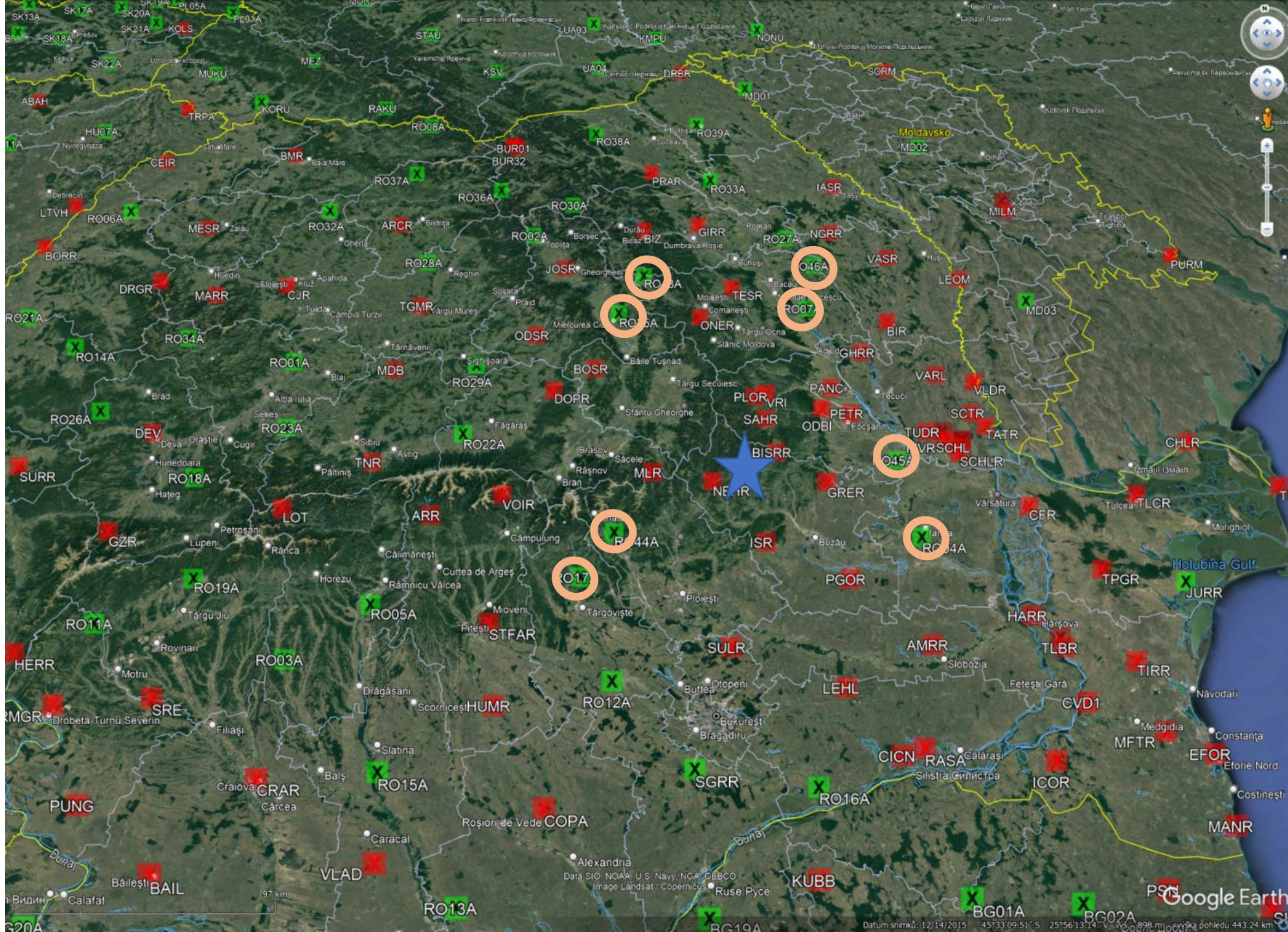
- permanent BB stations in the AdriaArray region
- planned permanent stations
- temporary stations: AlpArray PACASE and AdriaArray
- additional BB stations

# AdriaArray - Local experiments: Denser network in Romania









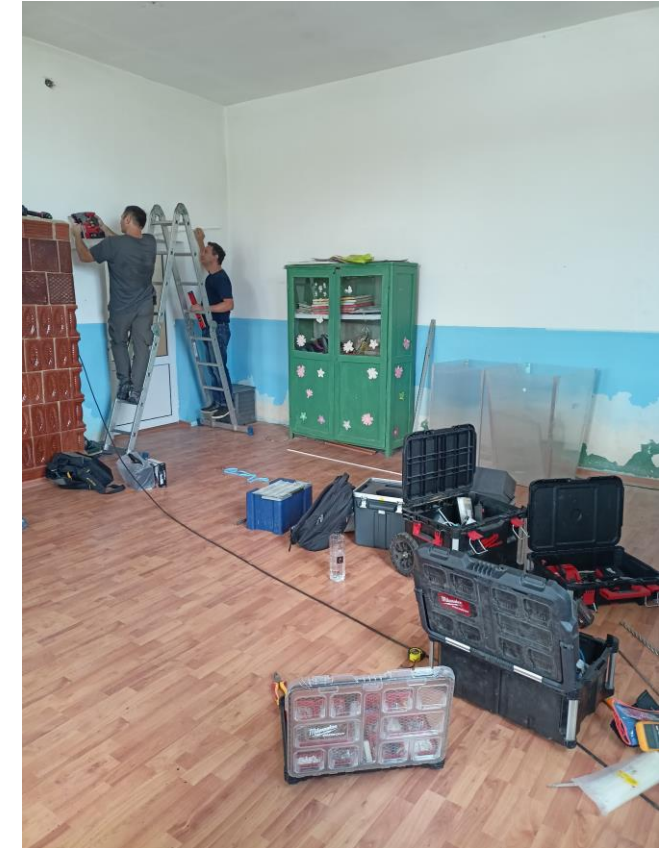
**BACKBONE STATIONS (RO04A, RO07A, RO17A, RO35A)**

**sensor:** Guralp 3ESPC 120s 100Hz 2x1000 V/m/s

**digitizer:** Guralp minimus (4-channel)

**sampling frequency:** 100 Hz

The digital data recorded by these stations are transmitted in real time to the NIEP node of EIDA.









**LOCAL EXPERIMENT STATIONS (RO43A, RO44A, RO45A, RO46A)**

**GIPP (Geophysical Instrument Pool Potsdam) - GFZ Potsdam**

**sensor:** Trillium Compact - Model TC120-SV1 (16838)

**digitizer:** Earth Data EDR-210

**sampling frequency:** 100 Hz

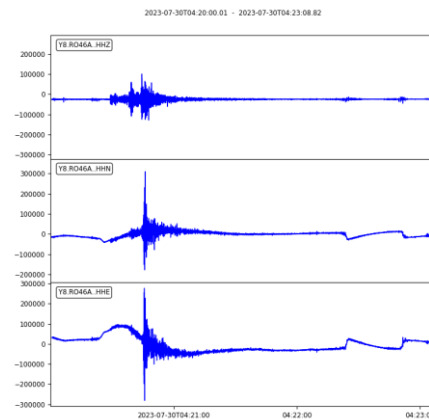
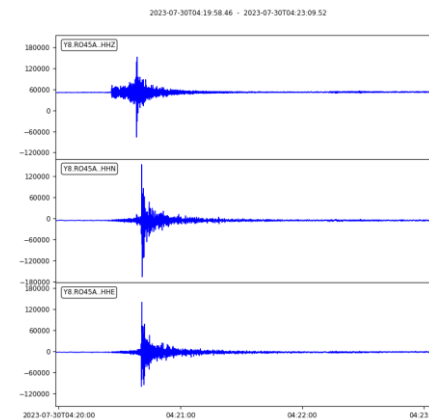
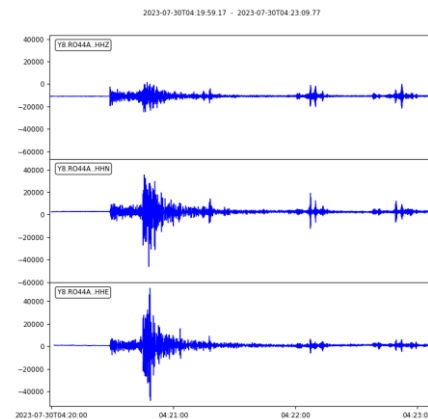
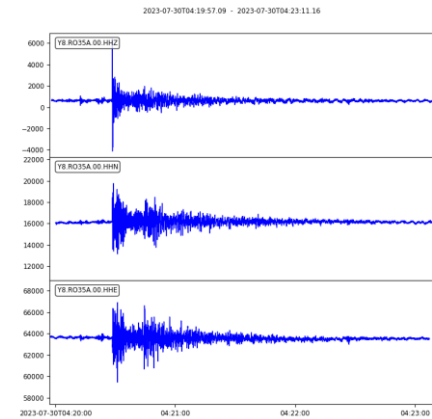
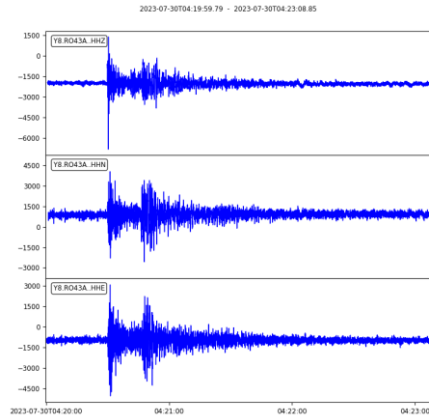
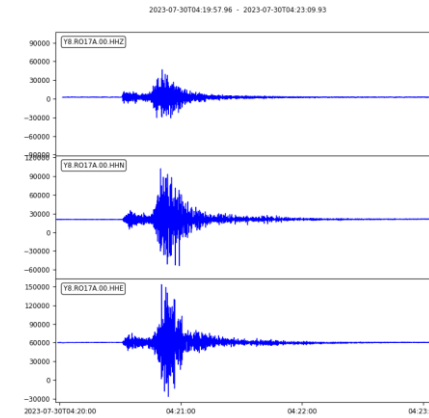
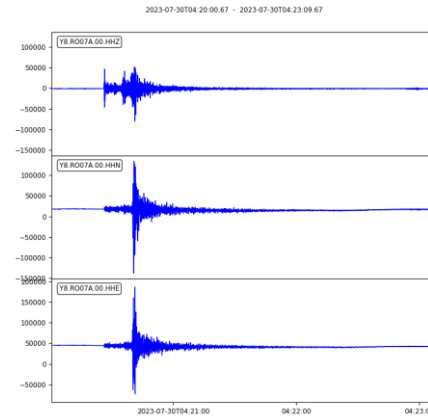
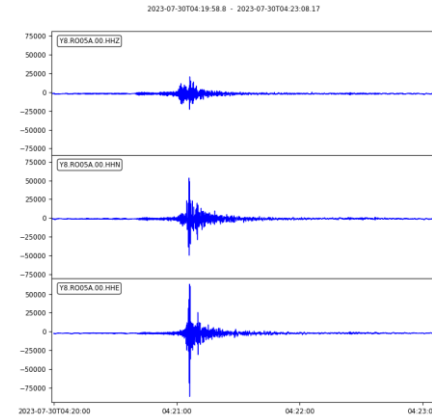
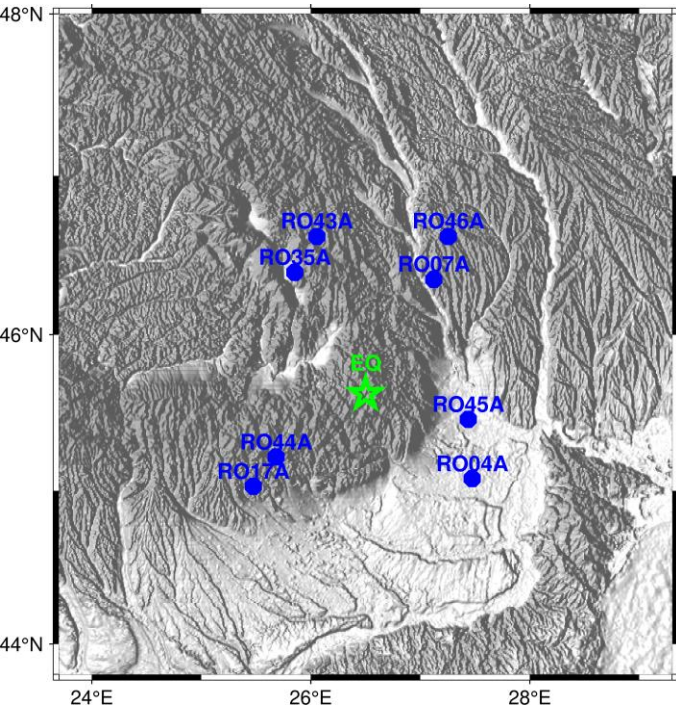
The digital data recorded by these stations are transmitted in real time to the NIEP node of EIDA.



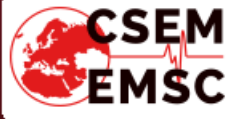


# Vrancea – example of measured data

Earthquake M = 4.1 2023-07-30 04:20:06.5 UTC Depth 123 km



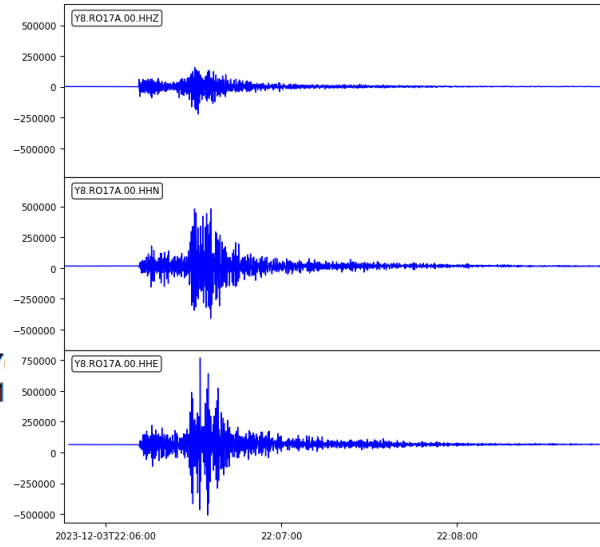




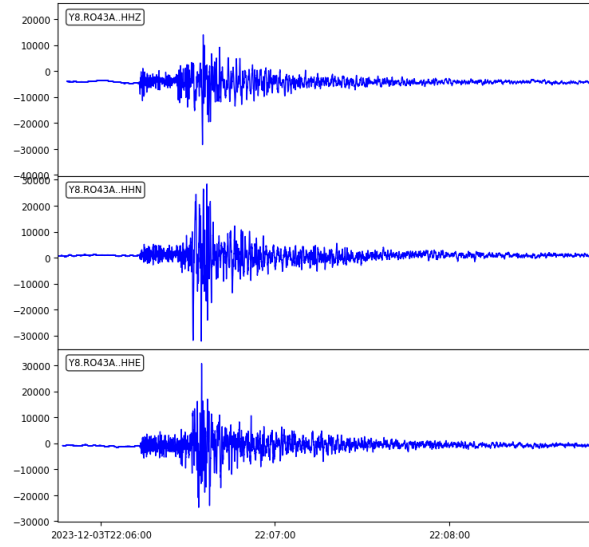
Centre Sismologique Euro-Méditerranéen  
Euro-Mediterranean Seismological Centre

**Magnitude** 4.6  
**Region** ROMANIA  
**Date time** 2023-12-03 22:05:48.6 UTC  
**Location** 45.637 ; 26.407  
**Depth** 137 km  
**Distance** 62 km E of Braşov, Romania / pop: 27  
 26 km N of Nehoiu, Romania / pop: 11

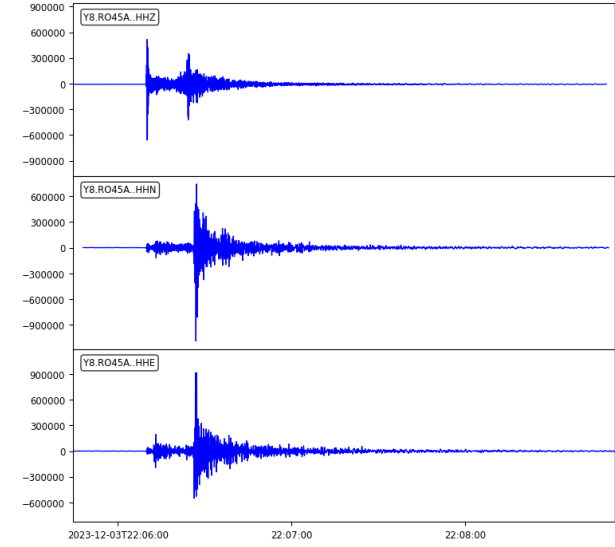
2023-12-03T22:05:45.77 - 2023-12-03T22:08:51.05



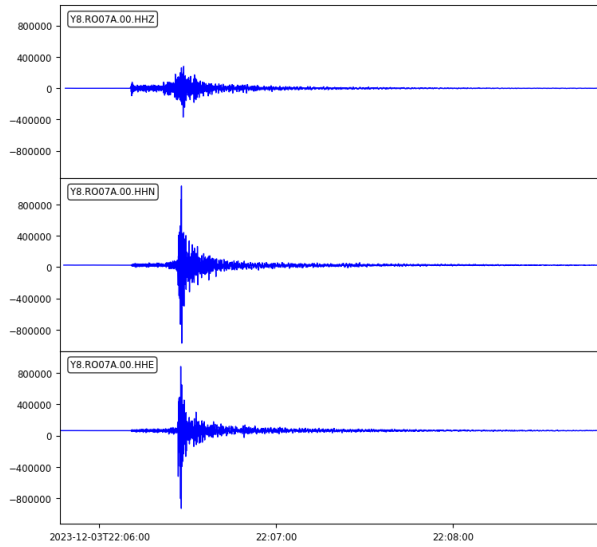
2023-12-03T22:05:45.25 - 2023-12-03T22:08:52.12



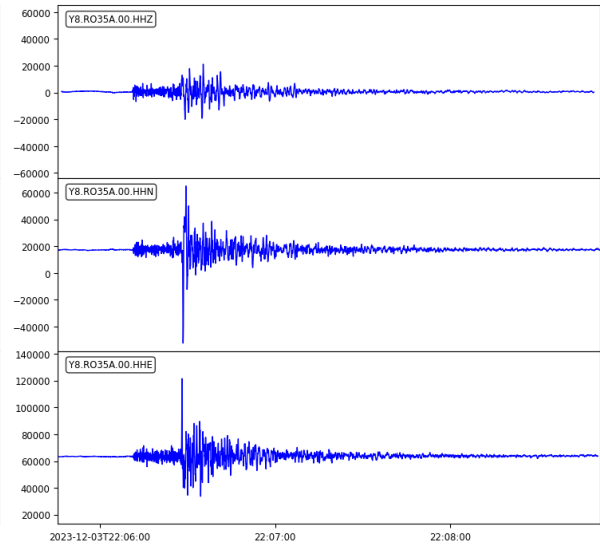
2023-12-03T22:05:44.52 - 2023-12-03T22:08:51.56



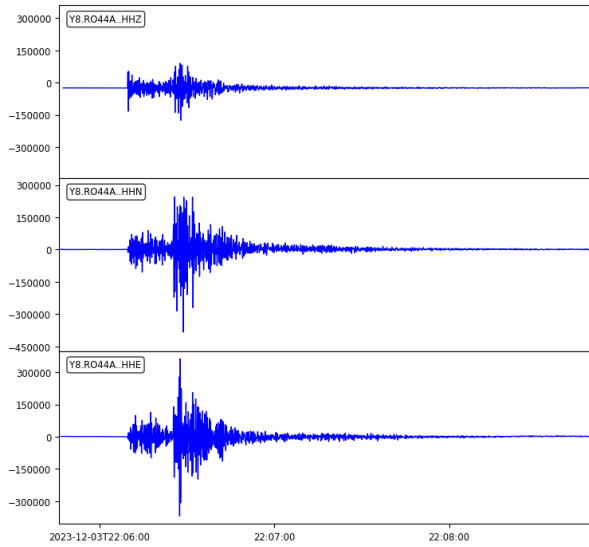
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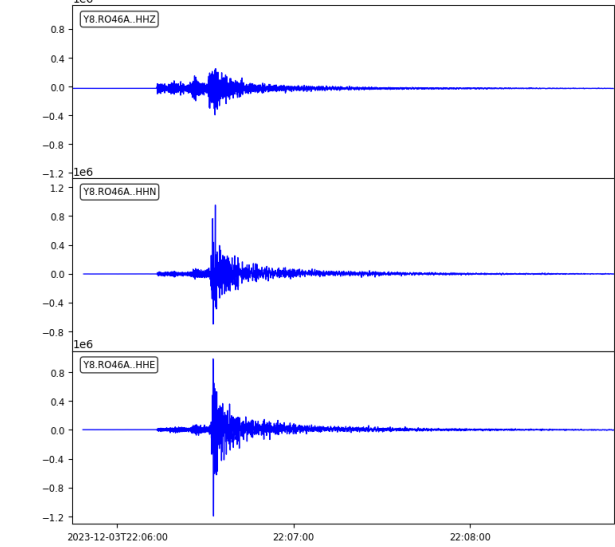
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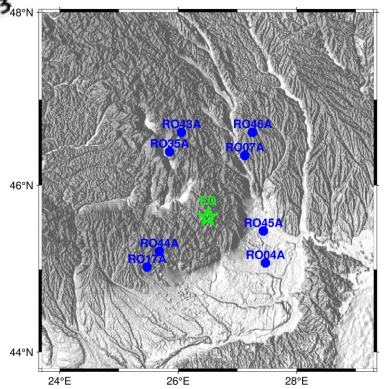
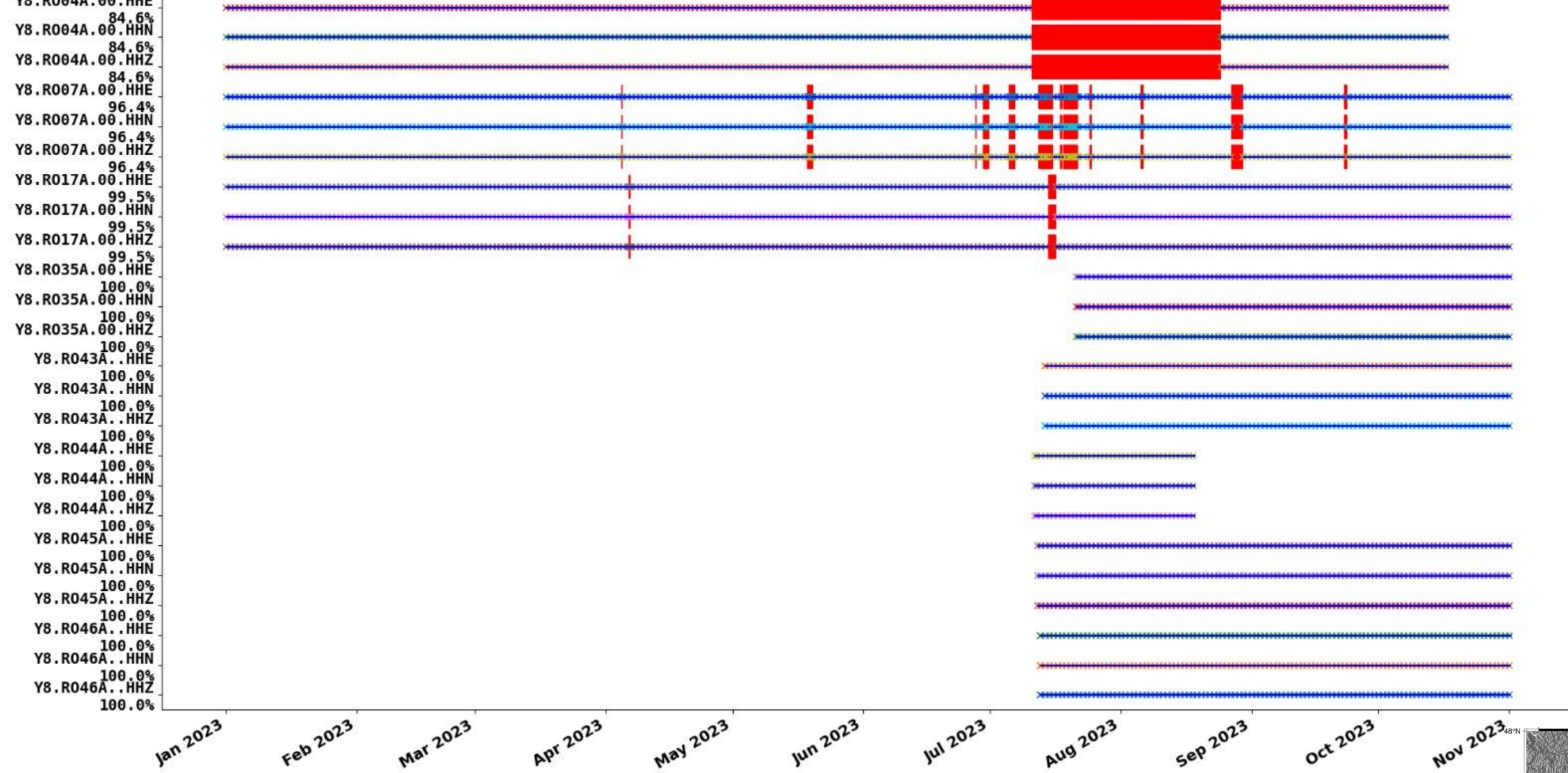


2023-12-03T22:05:46.03 - 2023-12-03T22:08:52.03



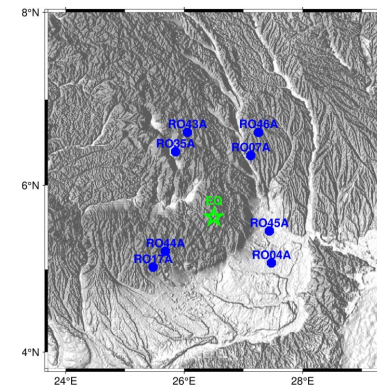
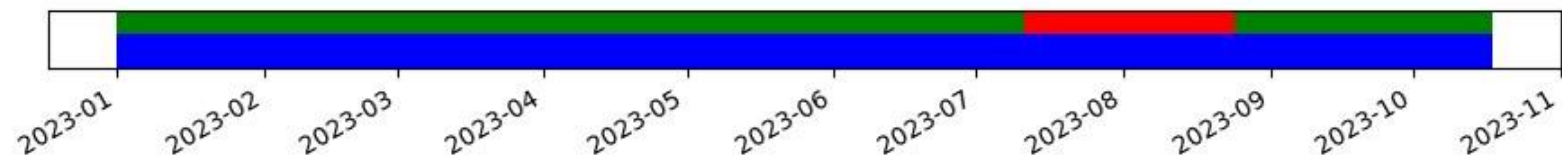
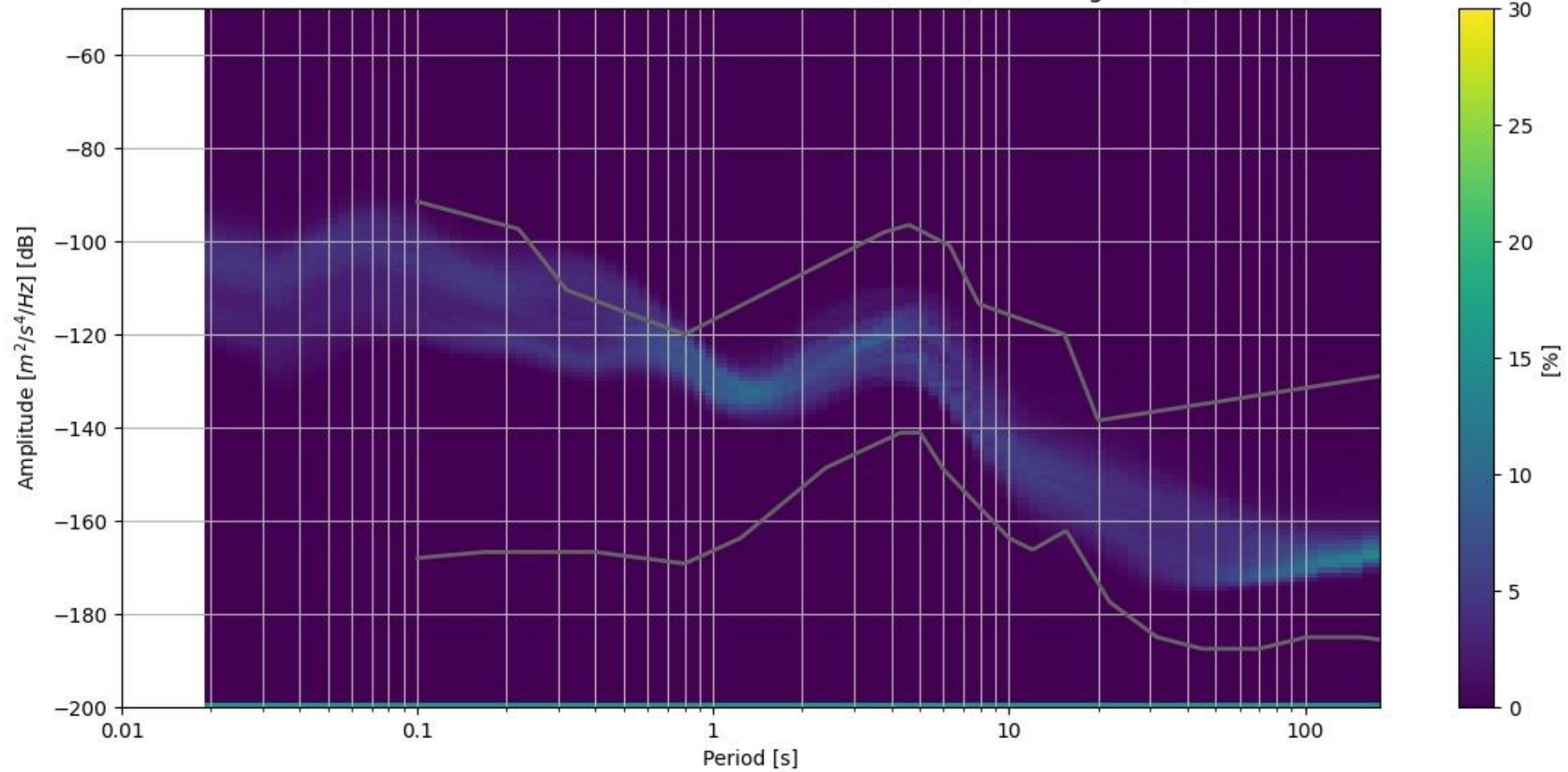
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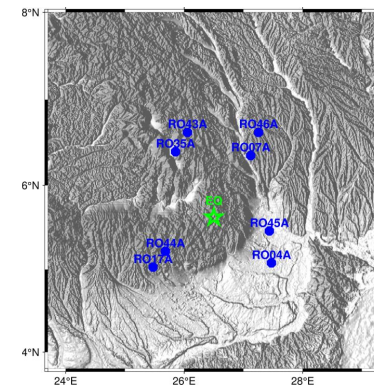
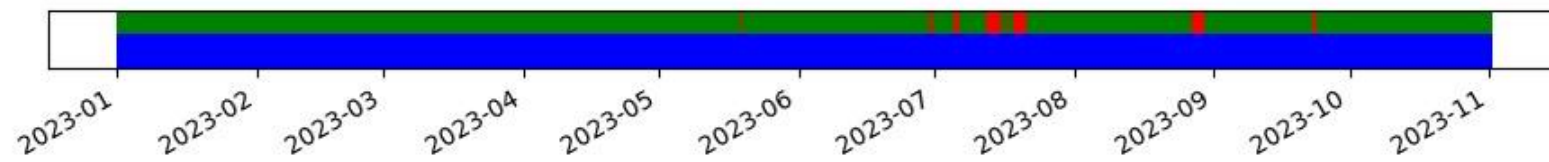
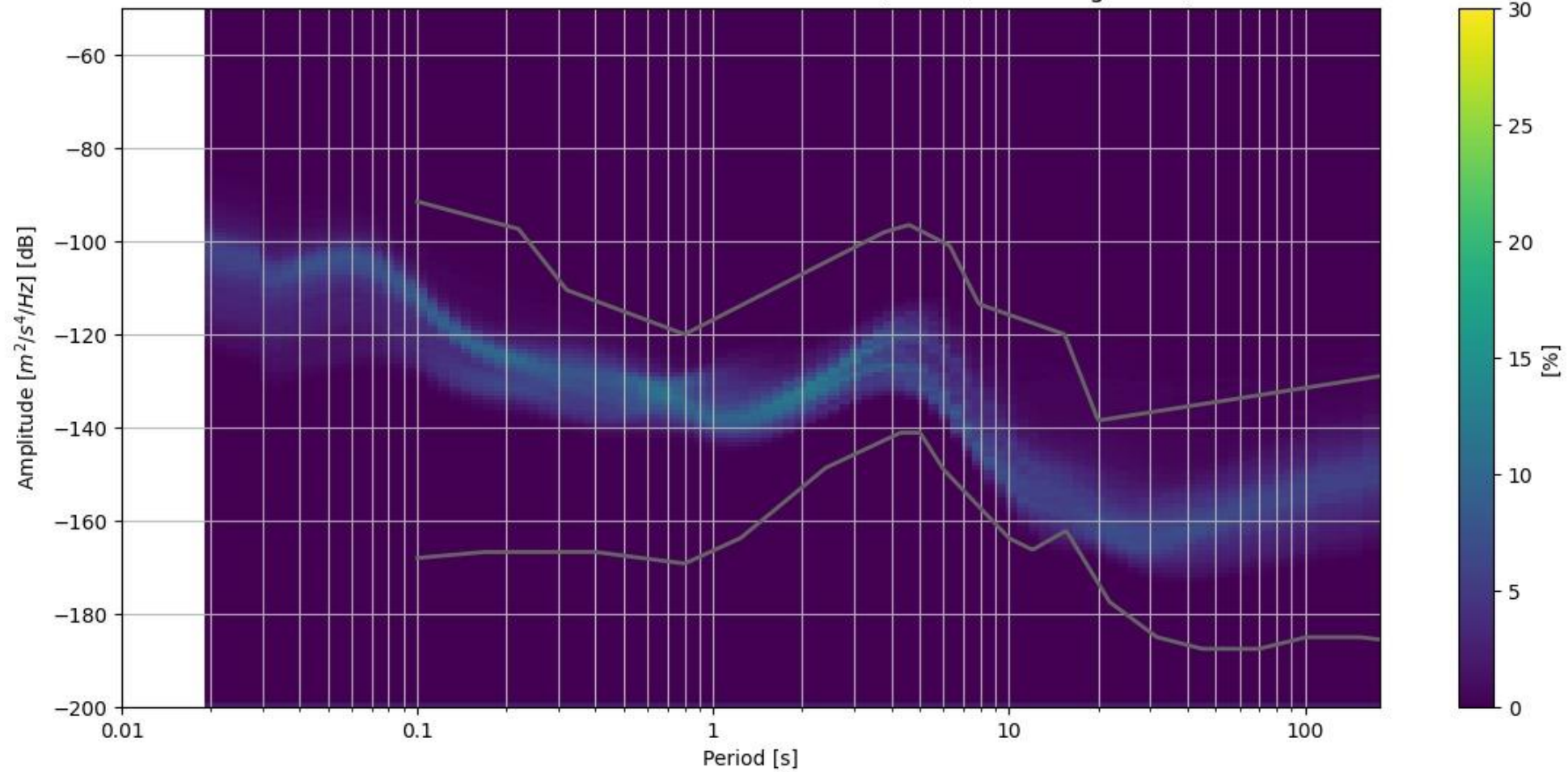
# Probabilistic power spectral density (PPSD)

Y8.RO04A.00.HHZ 2023-01-01 -- 2023-10-17 (13901/13901 segments)



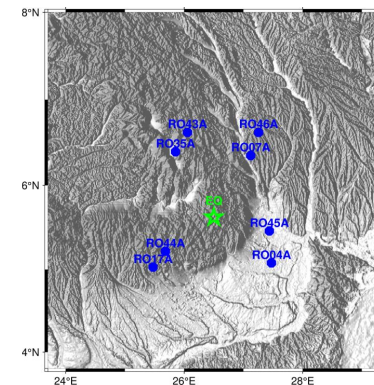
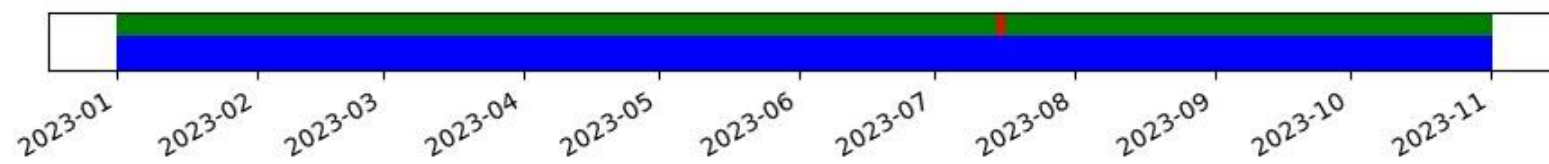
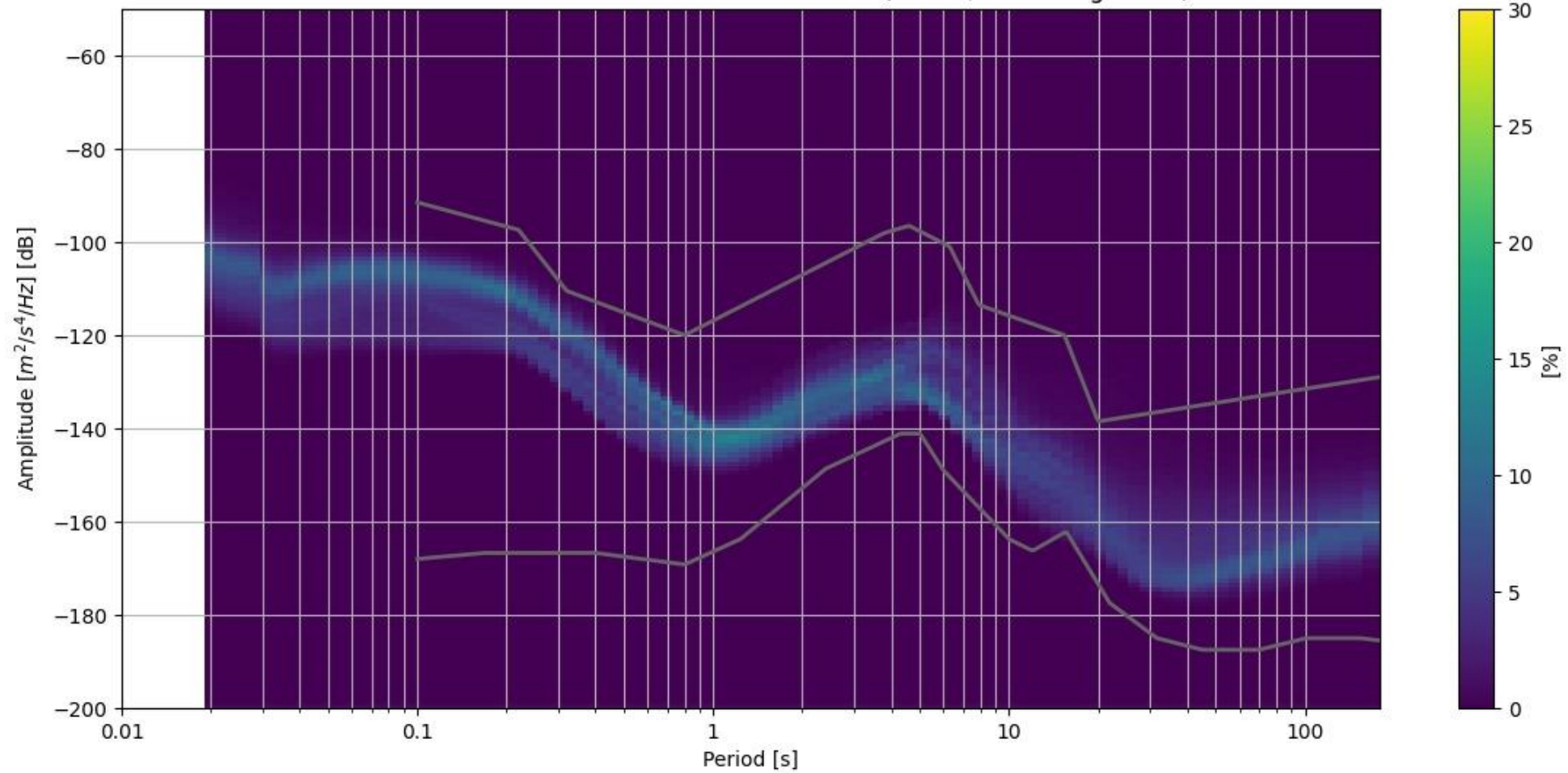
# Probabilistic power spectral density (PPSD)

Y8.RO07A.00.HHZ 2023-01-01 -- 2023-11-01 (14620/14620 segments)



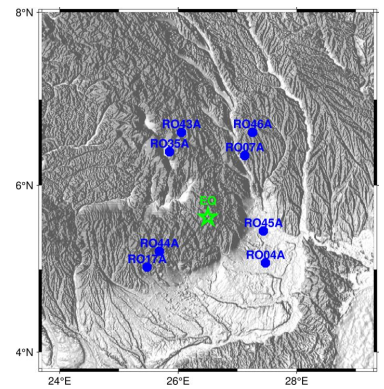
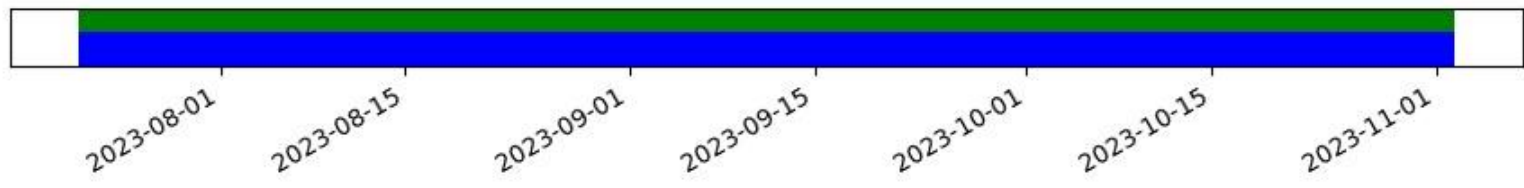
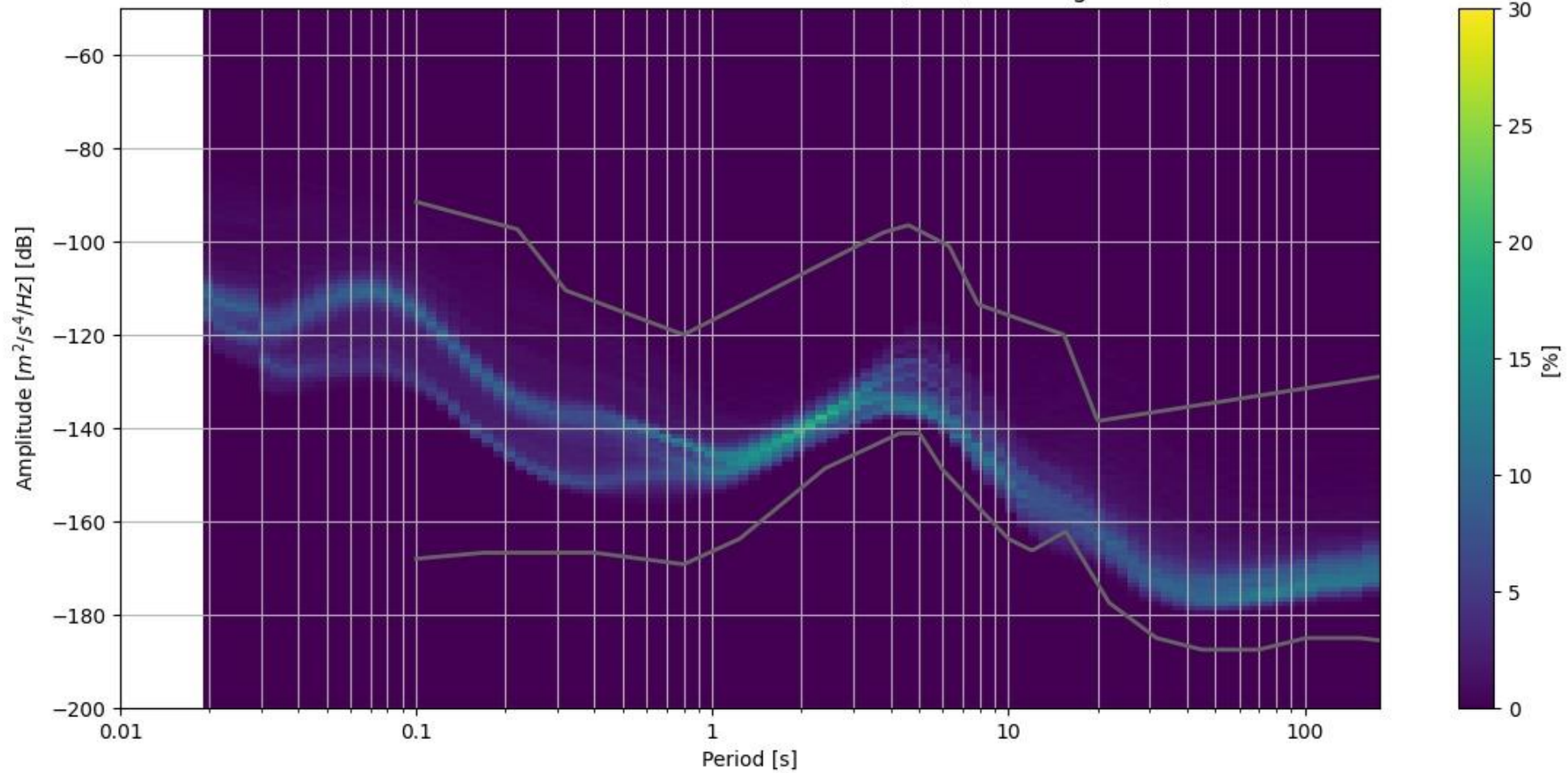
# Probabilistic power spectral density (PPSD)

Y8.RO17A.00.HHZ 2023-01-01 -- 2023-11-01 (14608/14608 segments)



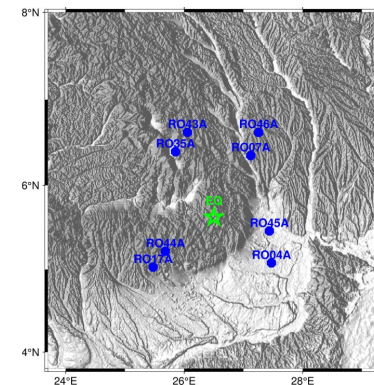
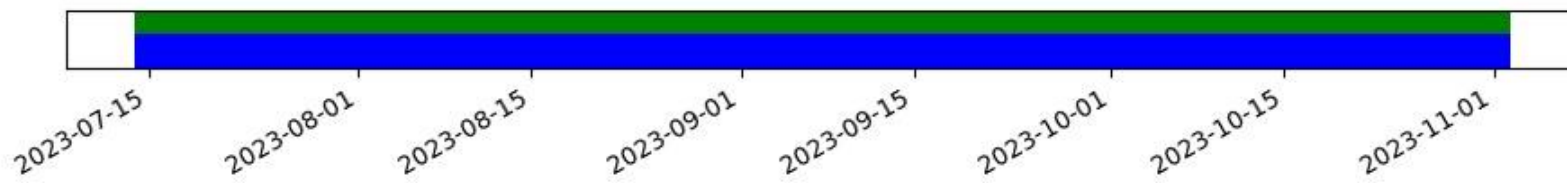
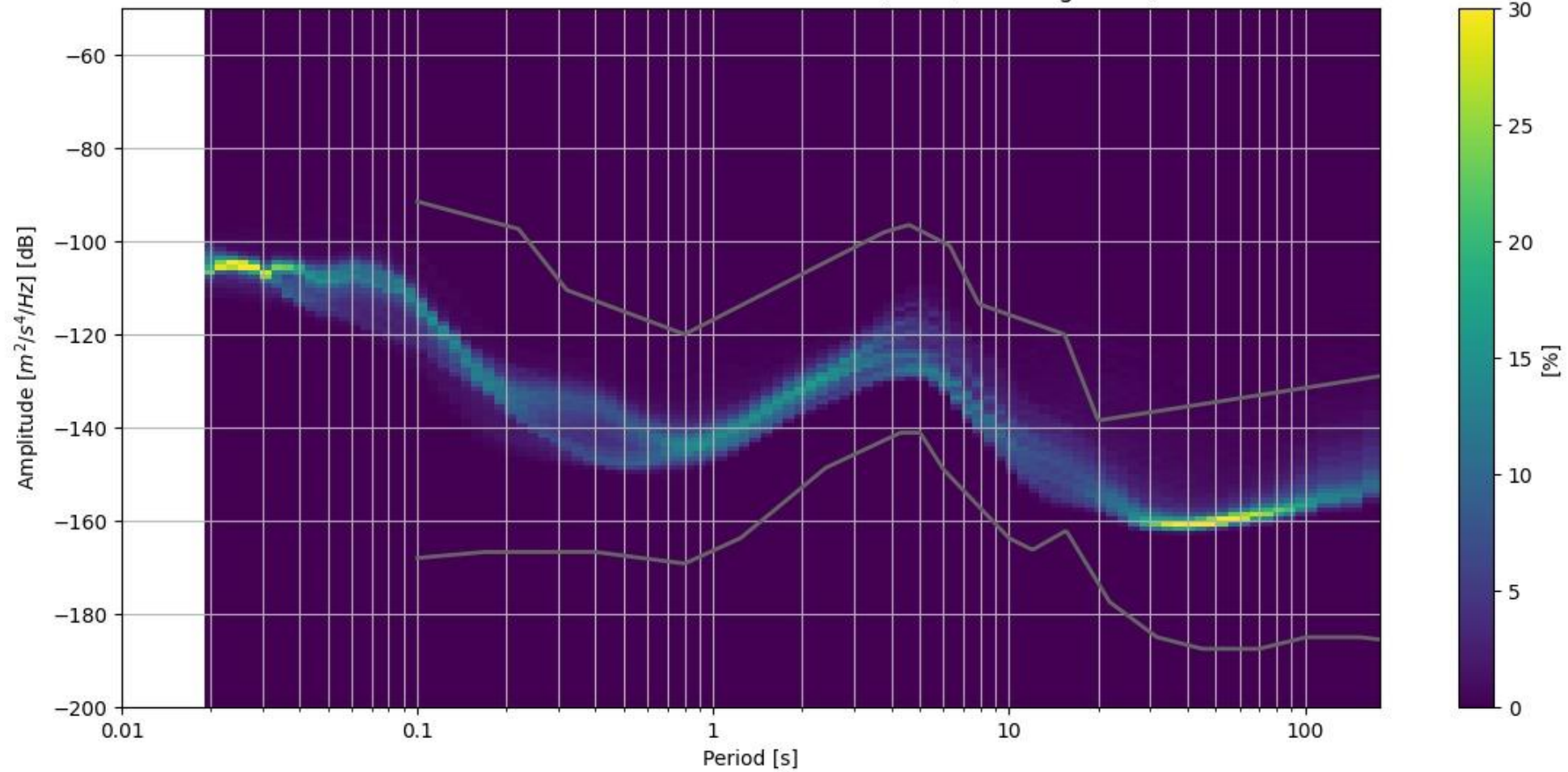
# Probabilistic power spectral density (PPSD)

Y8.RO35A.00.HHZ 2023-07-21 -- 2023-11-02 (4990/4990 segments)

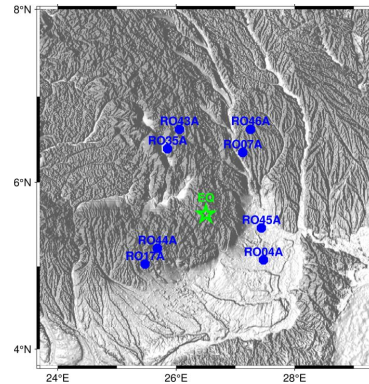
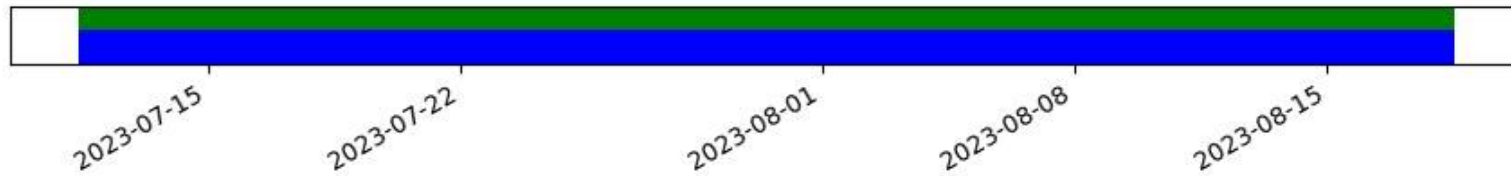
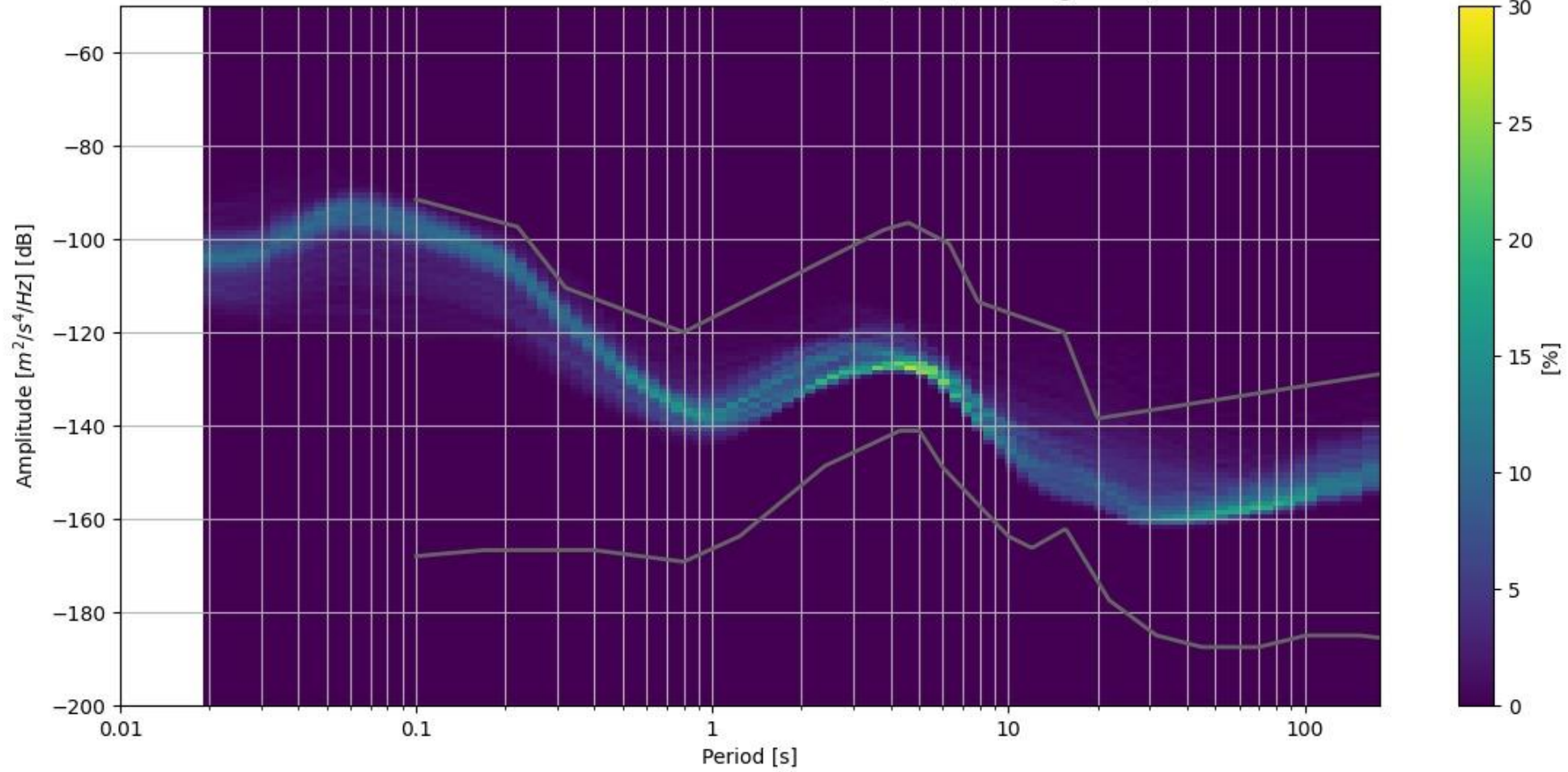


# Probabilistic power spectral density (PPSD)

Y8.RO43A..HHZ 2023-07-13 -- 2023-11-02 (5349/5349 segments)



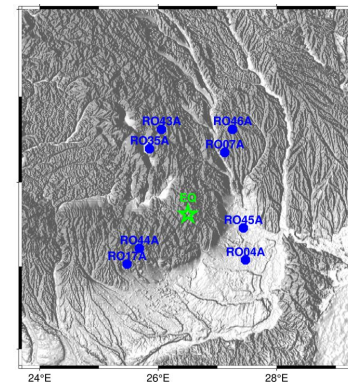
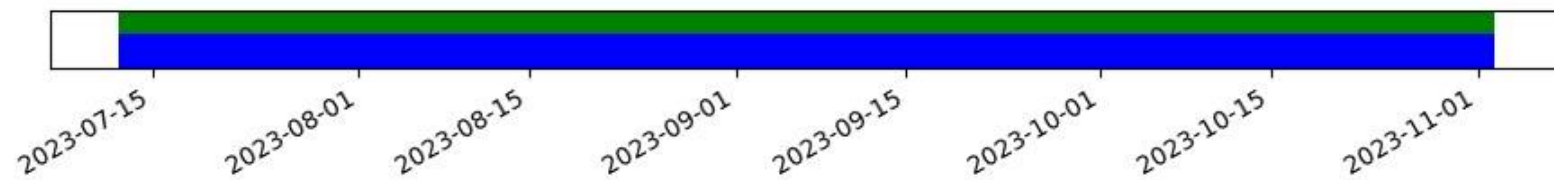
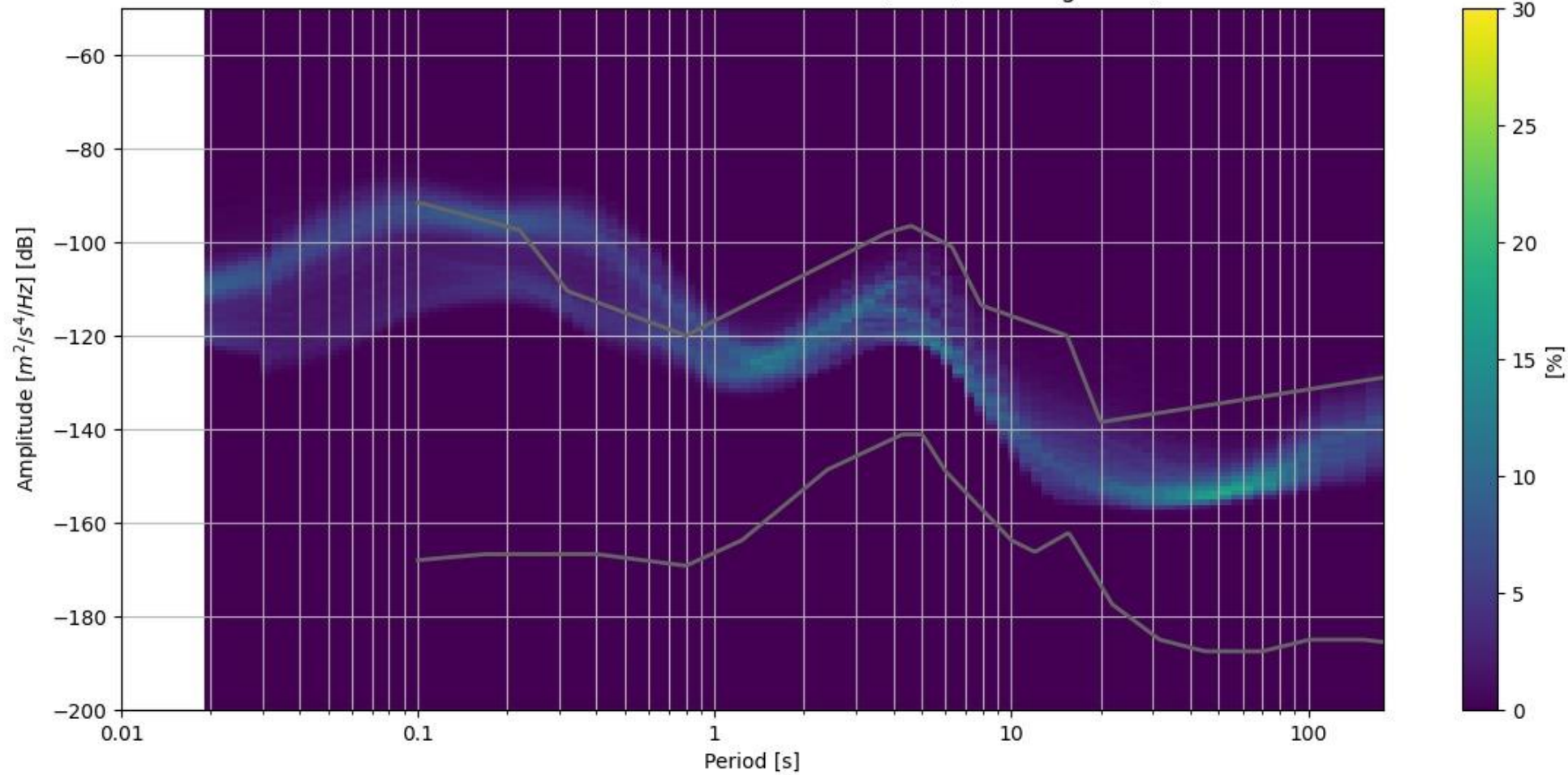
Y8.RO44A..HHZ 2023-07-11 -- 2023-08-18 (1826/1826 segments)





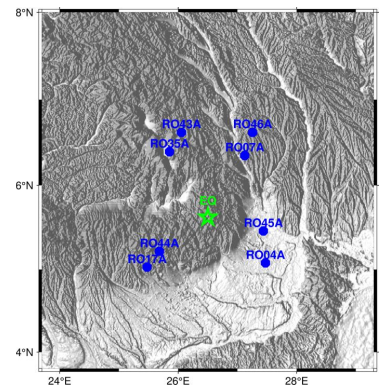
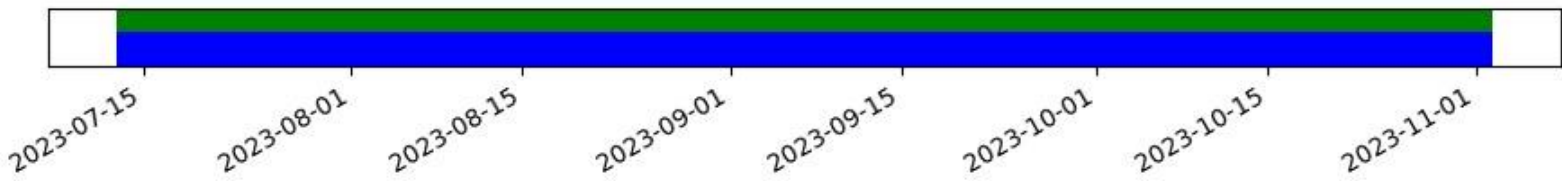
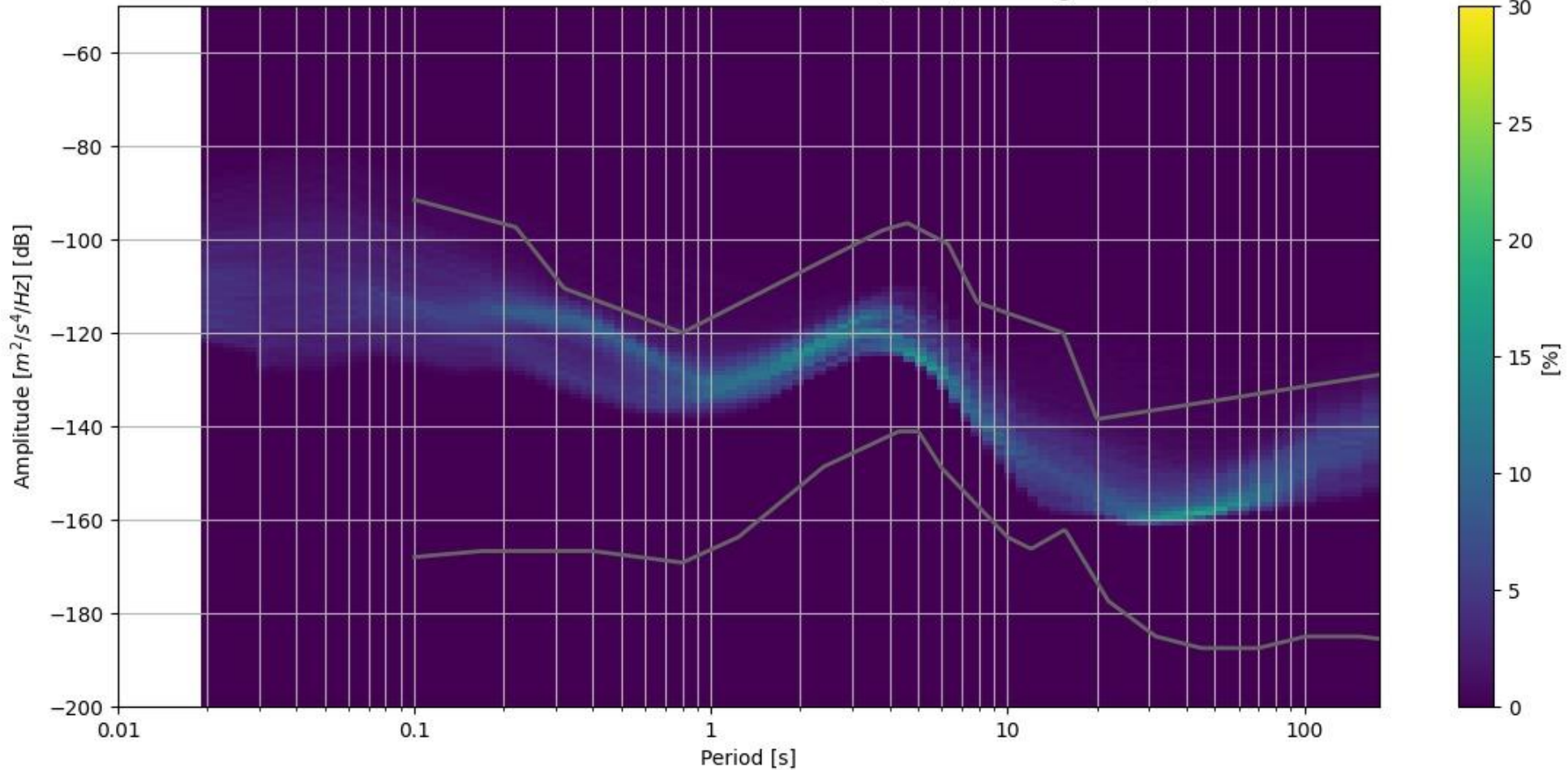
# Probabilistic power spectral density (PPSD)

Y8.RO45A..HHZ 2023-07-12 -- 2023-11-02 (5427/5427 segments)



# Probabilistic power spectral density (PPSD)

Y8.RO46A..HHZ 2023-07-12 -- 2023-11-02 (5401/5401 segments)



- Routing Service
- Usage Examples
- StationBook
- STRONG MOTION:**
- Strong Motion
- EIDA DOCUMENTATION:**
- Developments
- COMMUNITY SERVICES:**
- ORFEUS Software Development Grants
- ORFEUS Data Integration Grants
- Focus Section on European Seismic Networks and Associated Services and Products
- Conference Sessions
- EPOS Seismology Workshop 2023
- ADRIAARRAY INITIATIVE:**

- AdriaArray
  - AdriaArray - Mission
  - AdriaArray - Logo
  - AdriaArray - Current deployment
  - AdriaArray - Station map
  - AdriaArray - Station properties
  - AdriaArray - Relation to EPOS

- AdriaArray - Organization
- AdriaArray - Working Groups
- AdriaArray - Data analysis and research
- AdriaArray - Seismic networks
- AdriaArray Communication & Outreach

# AdriaArray



## AdriaArray - Mission

AdriaArray is a multi-national effort to cover the Adriatic Plate and its active margins in the central Mediterranean by a dense regional array of seismic stations to understand the causes of active tectonics and volcanic fields in the region. Plate-scale observations are complemented by local and LargeN experiments in key areas. The AdriaArray region reaches from the Massive Central in the west to the Carpathians in the east, from the Alps in the north to the Calabrian Arc and mainland Greece in the south. The deployment of seismic stations and scientific research is coordinated by the AdriaArray Seismology Group based on [FAIR](#) and open data exchange. Analyses of seismicity and multi-scale passive seismic imaging will lay the ground for a physical understanding and modelling of plate deformation and associated geohazards.

## AdriaArray - Logo

The logo was discussed at the third AdriaArray international [workshop](#) in Dubrovnik, Croatia, on 3-5 April 2023. The logo was approved during the [AdriaArray Splinter meeting \(EGU General Assembly on April 27th, 2023\)](#). Several versions (by Claudia Piromallo and Hana Kampfová Exnerová) are available and can be downloaded from the [AdriaArray GitHub repository](#).

## AdriaArray - Current deployment

**COMMUNITY SERVICES:**

- ORFEUS Software Development Grants
- ORFEUS Data Integration Grants
- Focus Section on European Seismic Networks and Associated Services and Products
- Conference Sessions
- EPOS Seimology Workshop 2023

**ADRIAARRAY INITIATIVE:**

- AdriaArray
- AdriaArray - Organization
- AdriaArray - Working Groups
- AdriaArray - Data analysis and research

- AdriaArray - Seismic networks
  - AdriaArray GitHub repository
  - Stations maps
  - Stations list
  - Network & status of station operation
  - AdriaArray - Local experiments
- AdriaArray - Data availability
  - EIDA Nodes
  - Data access options [permanent stations]
  - Data access options [temporary stations]
- How to access the data?
  - How to cite the data?
- AdriaArray - Data retrievability and quality

## How to access the data?

Permanent stations, temporary stations with open access as well as metadata (stationXML) are available to AdriaArray members and non members [through EIDA nodes](#).

In order to access the embargoed AdriaArray data, [EIDA Token](#) is needed. The token act as login and passwords while requesting waveforms.

### EIDA Token 🔗

- The seismic data will be available to the participants [through EIDA nodes](#).
- The metadata are openly available, but accessing the embargoed waveforms requires an authentication.

In order to get a token that would give you access to the embargoed AdriaArray data, the procedure is the following:

#### 1. Register to B2Access

If you already have a B2Access account, go to 2) If you do not have a B2Access account, please visit [this link](#)

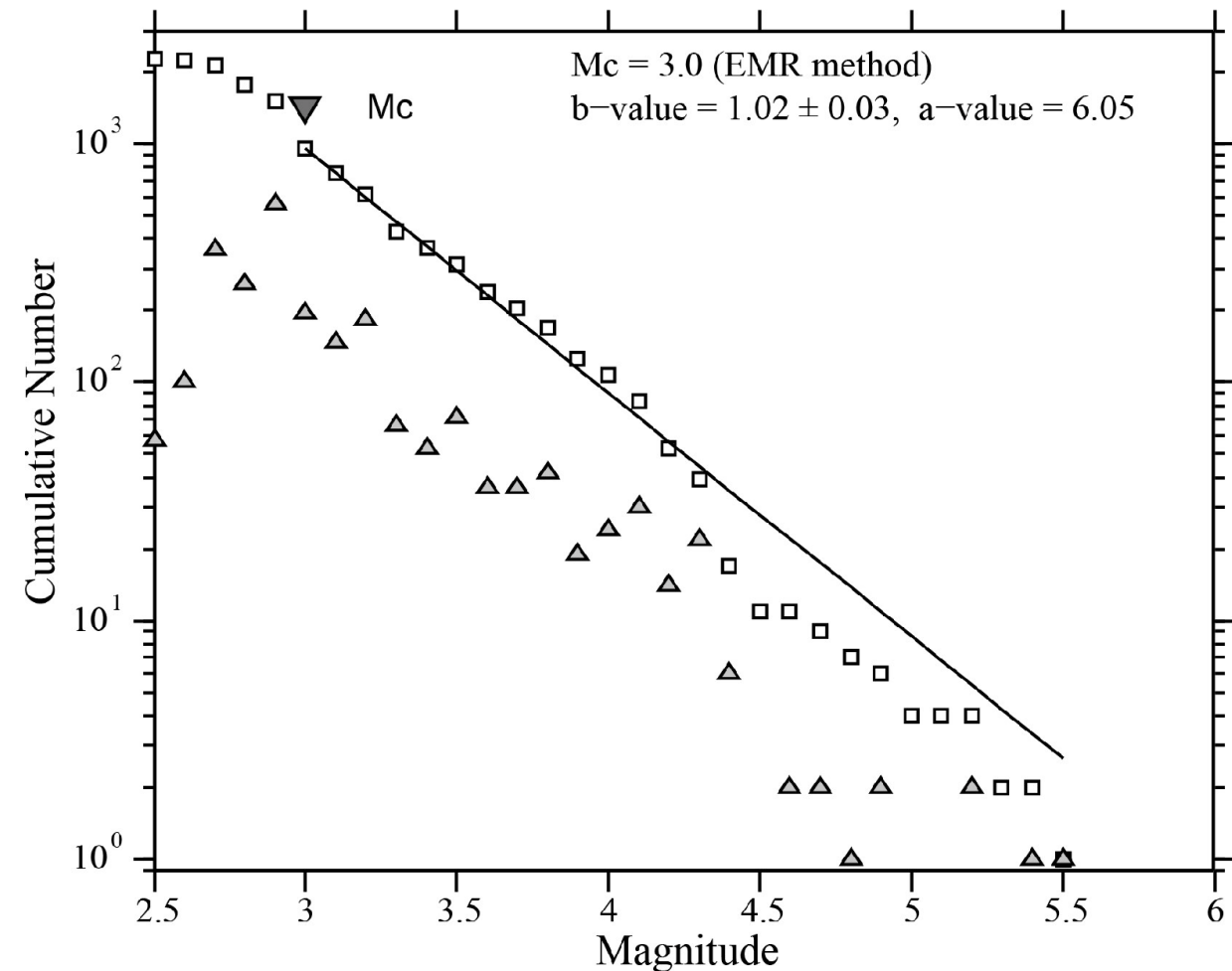
Please go to the link "No account, sign up". You need to create a B2Access user account (either with a username or with a certificate, up to you, no difference here). The most important is that you need to click "Select group:" and **request to be part of EPOS**. Otherwise, you won't be able to access the data.

#### 2. Granting permission.

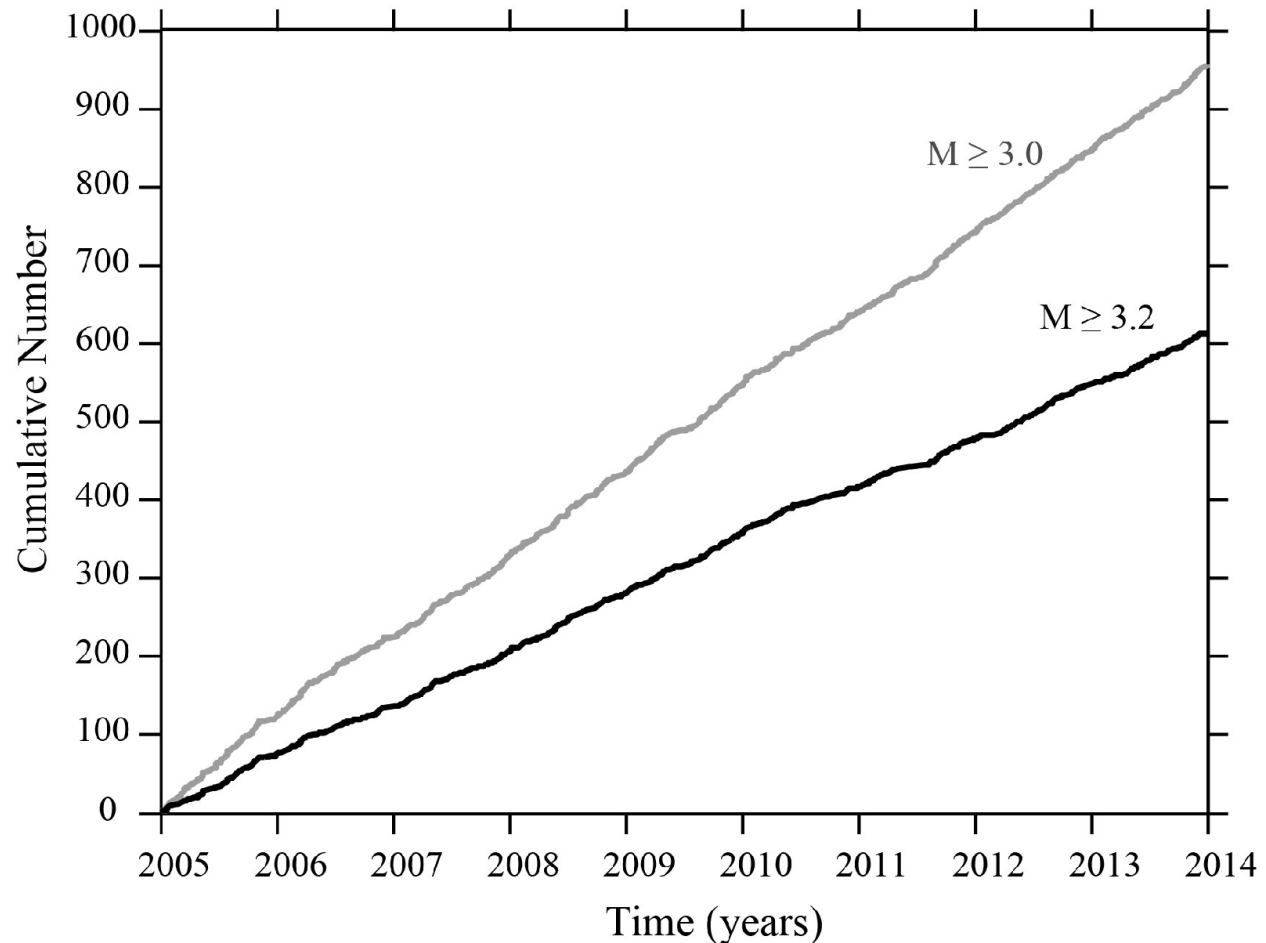
Then, please let [the representative of Working Group 'Communication and Outreach'](#) know which email was registered, to be added to the AdriaArray group.

Some nodes (as NIEP) require a manual update of the email list, and it may take a few more days to access the full database (i.e. Y8 network code).

With this token, you should have access to all the AdriaArray data that are online. Any token generated before being added to the EPOS group will not give you access to the embargoed data. The representative of Working Group 'Communication and Outreach

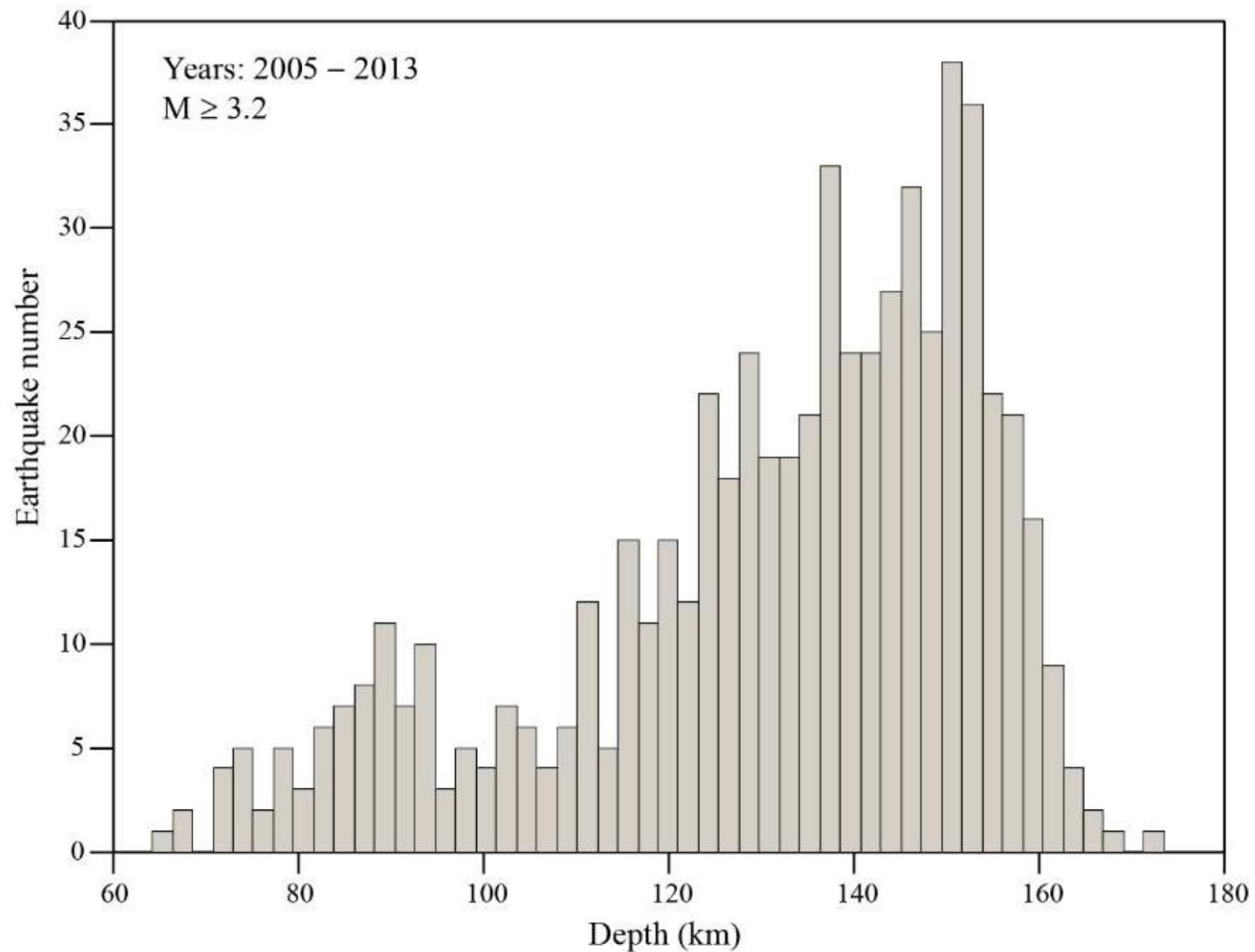


(a)

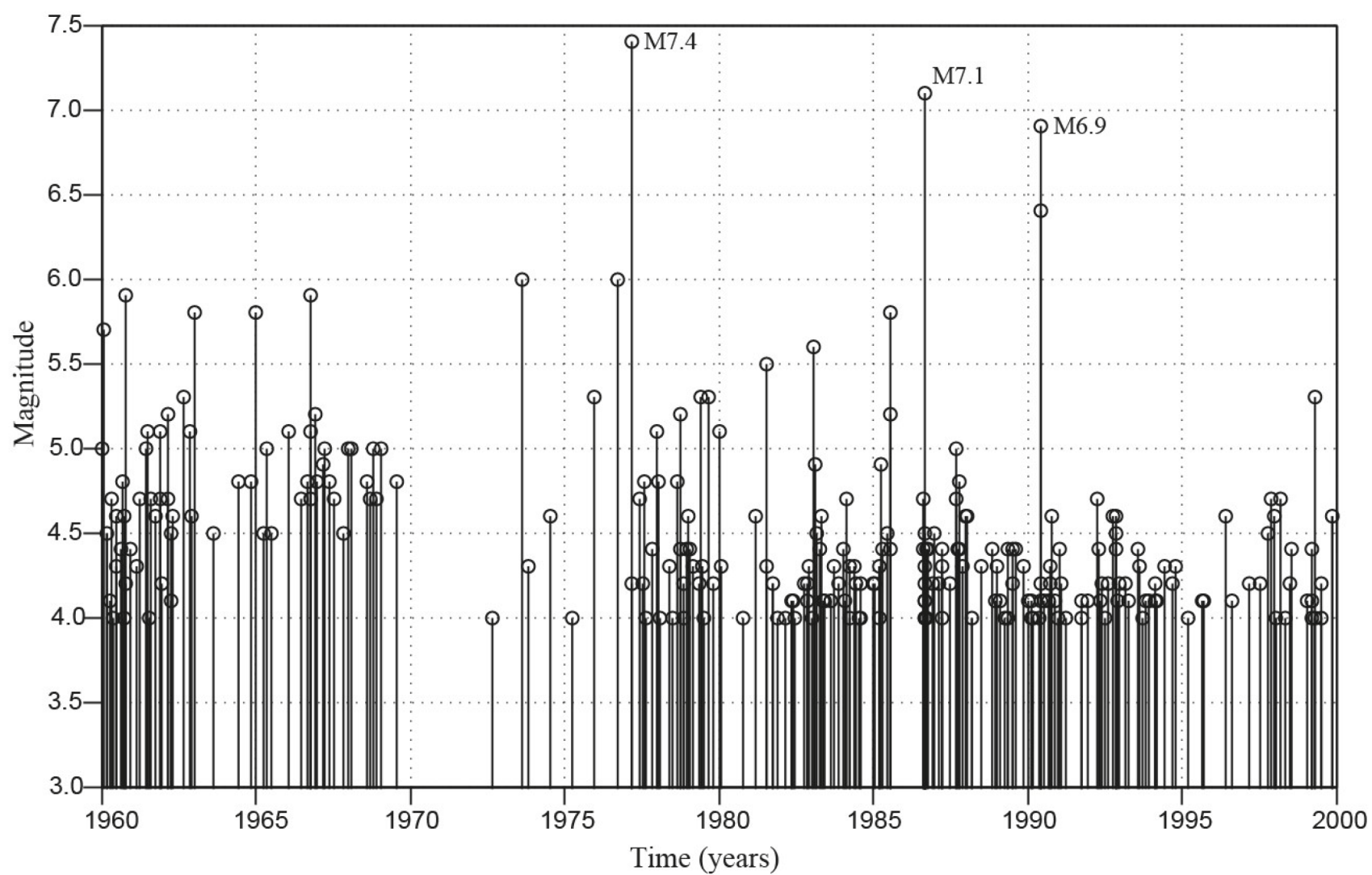


(b)

Cumulative (empty rectangles) and non-cumulative (full triangles) number of earthquakes versus magnitude for the intermediate-depth Vrancea earthquakes (ROMPLUS catalog, 60–220 km depth), period **2005–2013**,  $M \geq 3.0$ . The earthquake data are complete above  $M_c = 3.0$  (indicated by an inverted triangle). The black curve is a fit to the data, with the a- and b-values of the frequency-magnitude relation determined using a maximum likelihood procedure. **(b)** Cumulative number of earthquakes with time (years), for two threshold magnitudes (3.0 and 3.2).



Histogram of earthquakes (2005–2013,  $M \geq 3.2$ ) as a function of depth for Vrancea intermediate-depth earthquakes.



Magnitude versus time for the intermediate-depth Vrancea earthquakes, from 1960–1999. The threshold magnitude is  $M = 4.0$ . The three largest earthquakes during the studied period are marked in the figure (1977 M7.4, 1986 M7.1, and 1990 M6.9 Vrancea earthquakes).

## **Team:**

Mgr. Lucia Fojtíková, Ph.D.,

Mgr. Renata Lukešová, Ph.D.,

RNDr. Jiří Málek, Ph.D.

RNDr. Václav Vavryčuk, DrSc.,

Mgr. Jan Valenta, Ph.D.,

RNDr. Jiří Vackář, Ph.D.

Mgr. Martin Mazanec - PhD student

Mgr. Milosz Wcislo (Mgr) - PhD student



## Methodologies:

***Precise location of microearthquakes:*** For the determination of P and S wave onsets, we will apply recently developed methods, e.g., a normalized cross-correlation of effective functions for clustering different seismic sequences (e.g., Vlček et al., 2018). Microearthquakes will be located using the double-difference technique. The expected number of events is many tens of thousands every year, therefore automated procedures have to be applied.

## Methodologies:

***Focal mechanisms:*** Focal mechanisms will be computed using different approaches, e.g., BayesISOLA, which are automated method for determination of the source mechanism with uncertainties described in Bayesian formulation (Vackář et al., 2017) and/or the method: Cyclic Scanning of the Polarity Solutions (CSPS), which can be efficiently adopted where weak events are recorded (Fojtíková and Zahradník, 2014). We will attempt to calculate the full moment tensors even for microearthquakes. The agreement between nodal planes of the individual sources and possible source clustering on planar faults will be investigated. The superior station coverage of the area, providing a reference focal-mechanism solution, is a unique opportunity to investigate and test methodologies for calculating moment tensors in a sparse network (which is modeled as a subset of the reference station network).

## Methodologies:

***Existence of seismic tremors:*** Seismic tremors are typical for regions with active volcanic activity. However, non-volcanic tremors were also detected in many regions. For instance, episodic tremors have been correlated with rupture characteristic in subducting oceanic lithosphere (Burlini et al., 2009). The most probable hypothesis is that non-volcanic tremors are connected with movement of crustal fluids. The presence or absence of seismic tremors and their localization can significantly contribute to the debate about nature of the seismicity in the Vrancea region.

## Methodologies:

***Tomography based on direct P and S waves from local earthquakes:*** New data obtained from AdriaArray enables one to construct a more precise and reliable model for Vrancea seismic zone from the surface to 180 km depth - the depth range of the hypocenters. Inversion for a velocity model from travel times generated by local microearthquakes needs a special technique because there is a strong trade-off between hypocenter locations and the velocity model. It is a non-linear inverse problem, which is solved iteratively with the relocation of all earthquakes at each iteration (Málek et al. 2005, Málek et al., 2023). A very fast isometric method was developed for this inverse problem which enables one to compute hundreds of parameters of the velocity model while using millions of onset times of direct P and S waves. This method will be enhanced in the scope of our project. We will determine a velocity model that predicts precise travel times. More precise absolute locations of hypocenters can be determined from this model.

## Methodologies:

### ***Amplitude tomography and site-specific GMPE dependent on the hypocenter depth:***

Isometric inversion method will be used also for amplitude tomography based on amplitudes of direct P and S waves – it will be used for the Vrancea seismic zone though the hypocenters for Vrancea are much deeper than in Iceland. With this approach, we can find a 3D attenuation ( $Q_p$  and  $Q_s$ ) model of the region. We will be looking closely for low-value anomalies of  $Q_s$ , which could imply the presence of partially melted rocks or even the presence of magma.

A second objective will be a site-specific Ground Motion Prediction Equation (GMPE) for the region. This is essential for seismic hazard assessment. For the Vrancea region, it is important to find the sensitive of the GMPE on hypocenter depth because the strong earthquakes have originated at various depths. Analysis of seismic attenuation from seismic body waves will provide additional information about the tectonic structures in the Vrancea region. Varying depths of the hypocenter will allow us to determine  $Q$  in the source area (Wcisło et al., 2018).  $Q$  anomalies are often significantly stronger than velocity anomalies. Additionally, the increase/decrease in seismic velocities is not necessarily tied to a corresponding change in seismic attenuation (Pham et al., 2002). Therefore, analysis of  $Q_p/Q_s$  ratio in the region can provide complementary information - particularly in regards to the discussion about possible slab detachment from the crust.

Děkuji za pozornost!  
Vă mulțumim pentru atenție!



**Tectonic stress  
from focal mechanisms:  
Theory**

---

**Václav Vavryčuk**  
*Institute of Geophysics, Prague*

**Tectonic stress  
and its  
graphical representation**



# Basic properties of the stress tensor

## Stress tensor

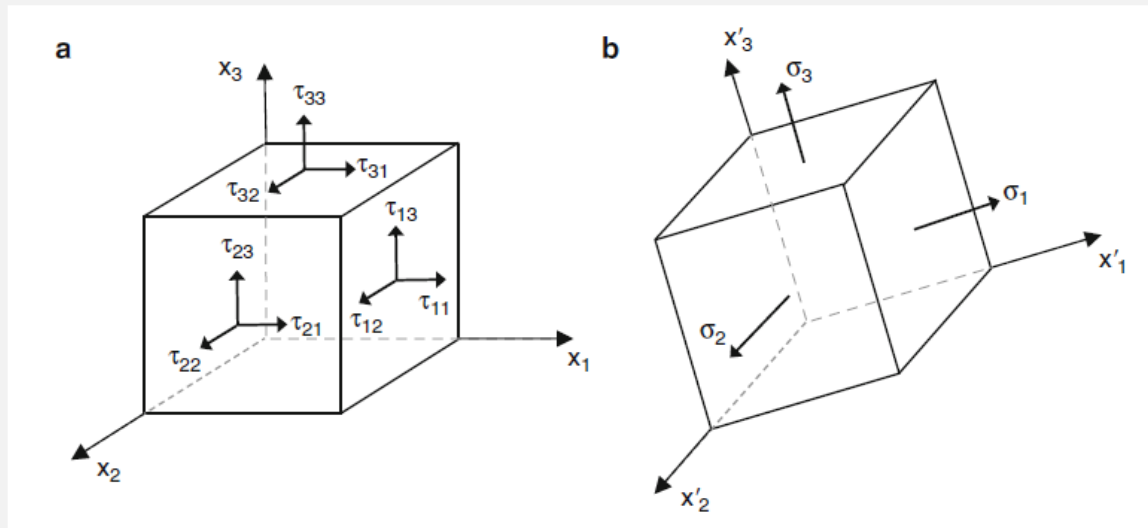
$$\boldsymbol{\tau} = \begin{bmatrix} \tau_{11} & \tau_{12} & \tau_{13} \\ \tau_{21} & \tau_{22} & \tau_{23} \\ \tau_{31} & \tau_{32} & \tau_{33} \end{bmatrix}$$

$\tau_{ij} = \tau_{ji}$  tensor is symmetric

## Traction

$$T_i = \tau_{ij}n_j$$

force acting on plane with normal  $\mathbf{n}$   
produced by stress in the body

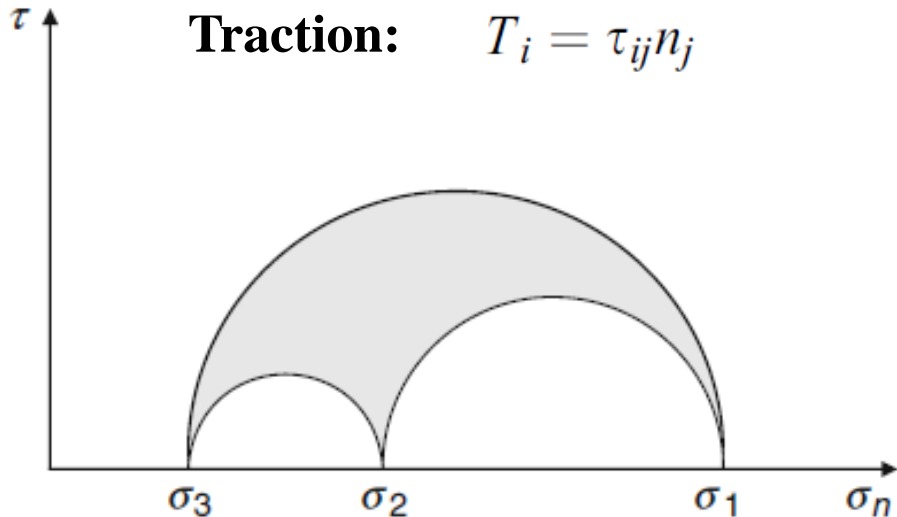


transformation into  
the principal  
coordinate system

$$\boldsymbol{\tau} = \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix}$$

$$\sigma_1 \geq \sigma_2 \geq \sigma_3$$

# Mohr's circle diagram - definition



$$\sigma_n = \sigma_1 n_1^2 + \sigma_2 n_2^2 + \sigma_3 n_3^2,$$
$$\tau^2 = \sigma_1^2 n_1^2 + \sigma_2^2 n_2^2 + \sigma_3^2 n_3^2 - \sigma_n^2.$$

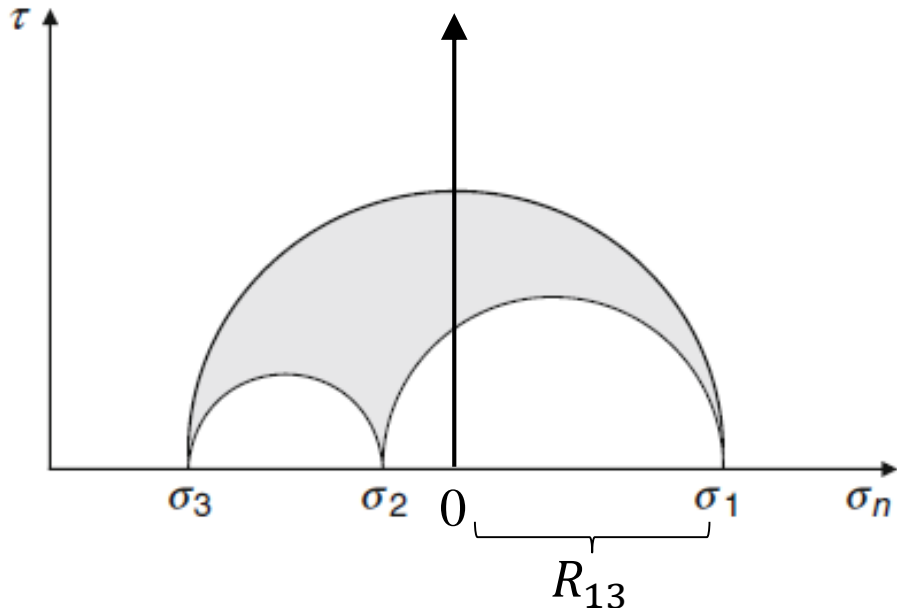
$\sigma_1$  means compression and it is negative

$|\mathbf{n}| = 1$  is the normal to the fault plane

$\tau$  is shear traction,  $\sigma_n$  is normal traction

- traction generated on any plane in the body is constrained
- only combinations of shear and normal tractions lying in the shaded area are allowed

# Mohr's circle diagram - derivation



$$\sigma_n = \sigma_1 n_1^2 + \sigma_2 n_2^2 + \sigma_3 n_3^2,$$

$$\tau^2 = \sigma_1^2 n_1^2 + \sigma_2^2 n_2^2 + \sigma_3^2 n_3^2 - \sigma_n^2.$$

$\sigma_1$  means compression and it is negative

$|\mathbf{n}| = 1$  is the normal to the fault plane

**Constraints:**  $\sigma_n^2 + \tau^2 \leq R_{13}^2$

$$\sigma_n^2 + \tau^2 \geq R_{12}^2$$

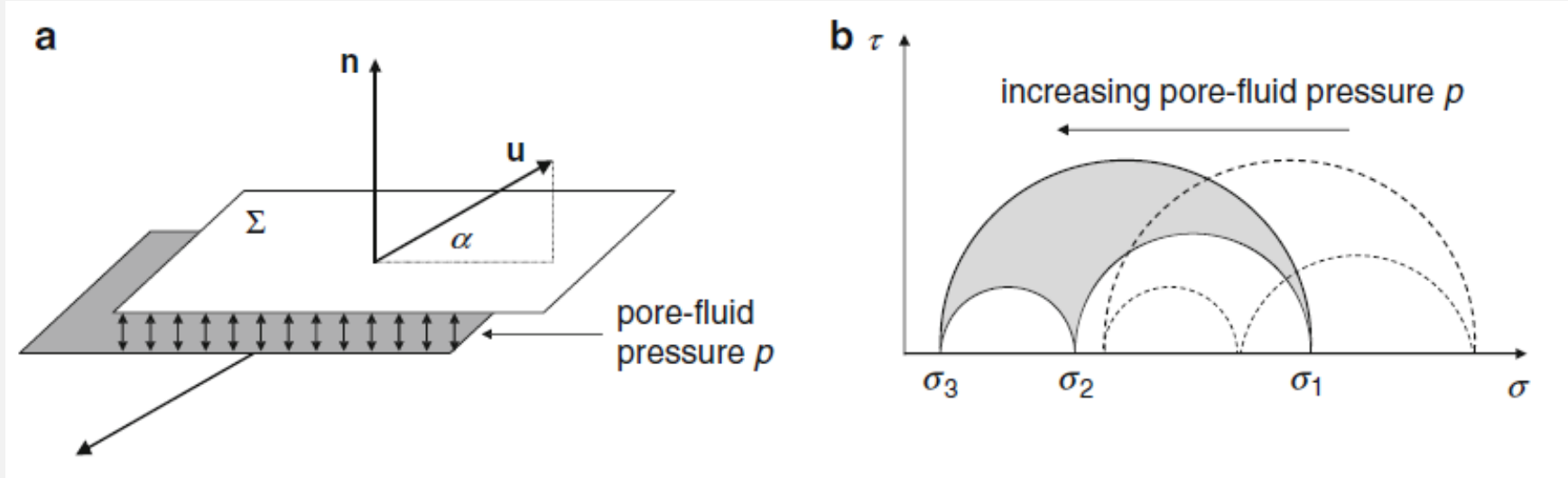
$$\sigma_n^2 + \tau^2 \geq R_{23}^2$$

$$R_{13} = \frac{\sigma_1 - \sigma_3}{2}$$

$$R_{12} = \frac{\sigma_1 - \sigma_2}{2}$$

$$R_{23} = \frac{\sigma_2 - \sigma_3}{2}$$

# Mohr's circle diagram versus pore pressure



$$\sigma = \sigma_n - p,$$

effective normal traction in the porous medium

- increasing pore fluid pressure diminishes the effective normal
- increasing pore fluid pressure moves the diagram to the left
- decreasing pore fluid pressure moves the diagram to the right

# Mohr-Coulomb failure criterion

When the fracture is activated?

$$\tau > \tau_c = S + k(\underbrace{\sigma_n - p}_{\sigma})$$

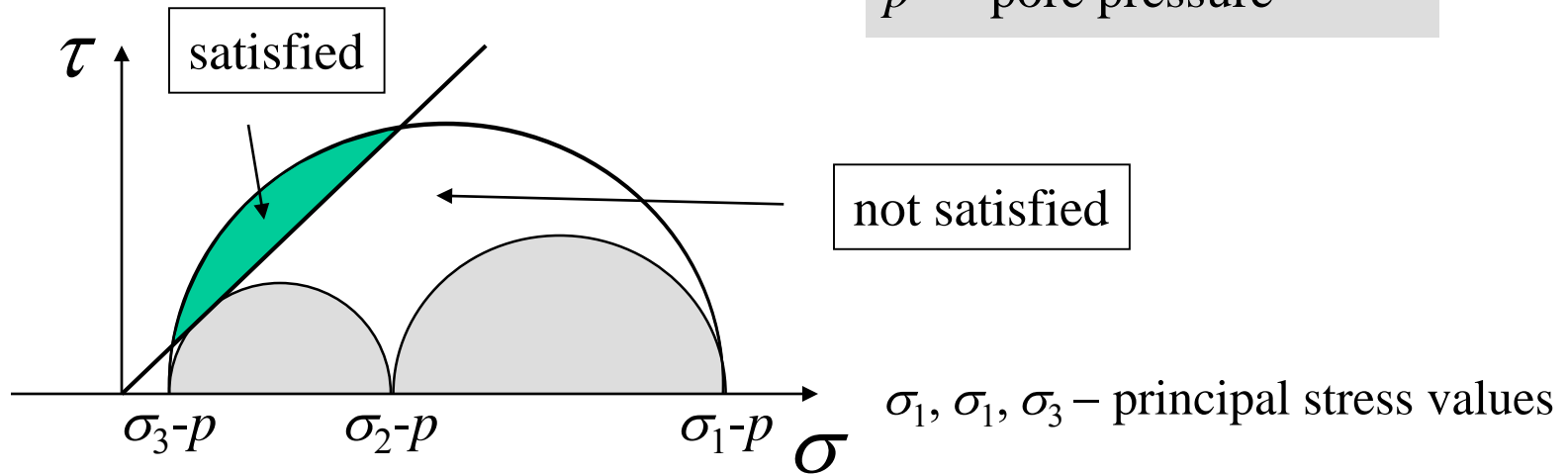
$\tau_c$  – critical shear traction

$S$  – cohesion

$k$  – friction

$\sigma_n$  – normal traction

$p$  – pore pressure



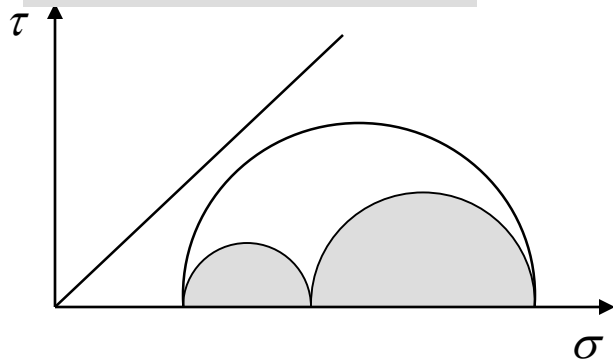
$\tau$  - shear traction

$\sigma$  - effective normal traction

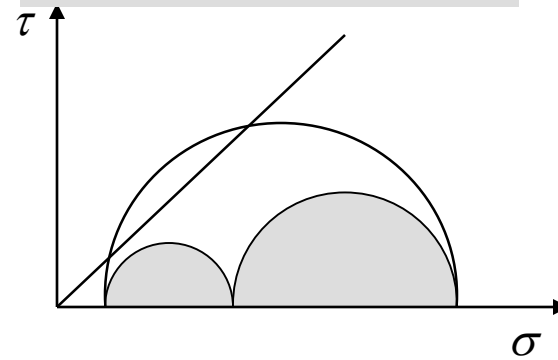
The origin of  $\sigma$  depends on  $p$  !

# Pore pressure & types of earthquakes

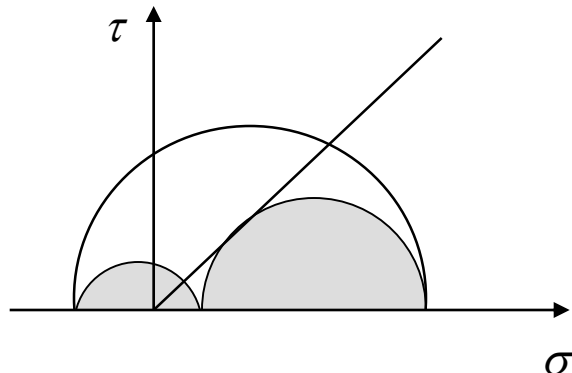
a) No earthquakes



b) Shear earthquakes



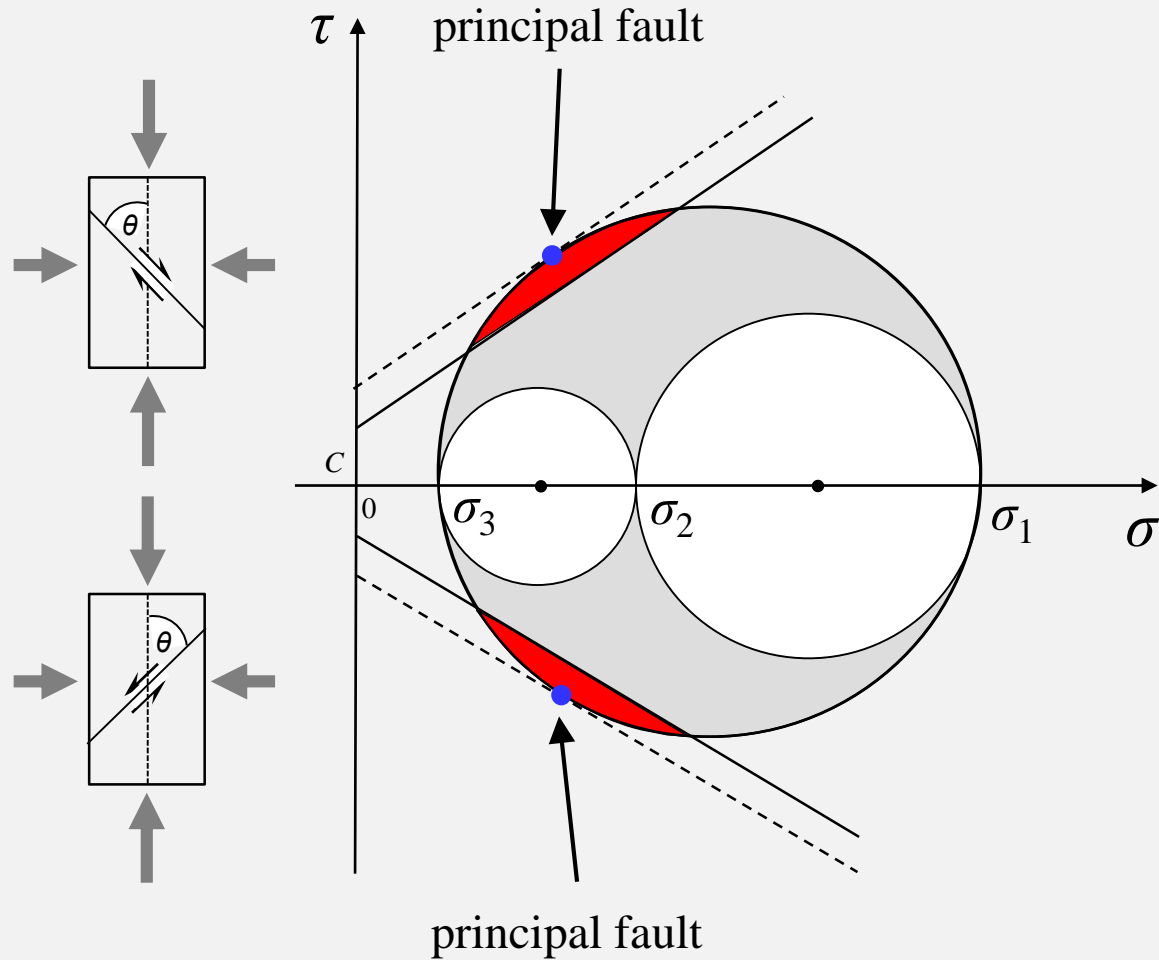
c) Shear & tensile earthquakes



## Pore pressure:

- a) low
- b) high
- c) very high

# Principal faults and principal earthquakes

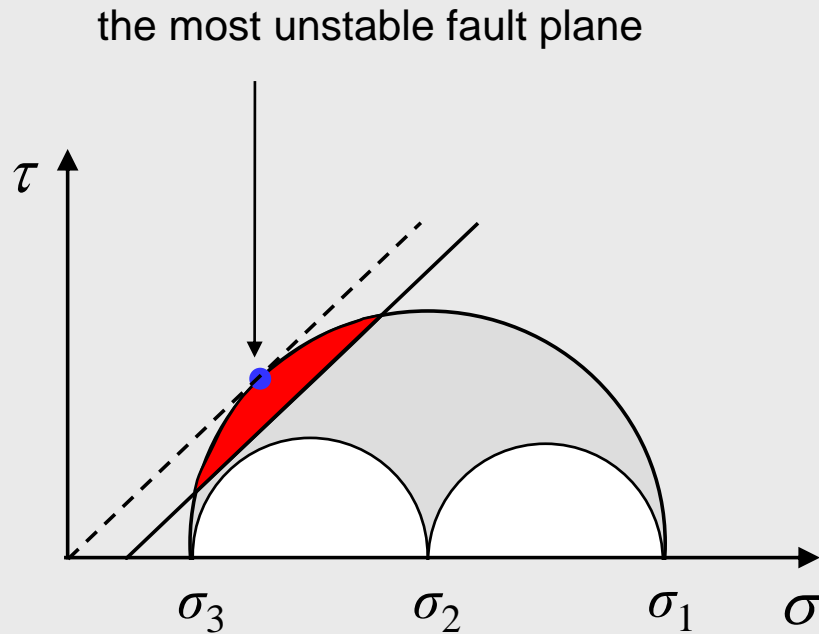


# **Principal earthquakes, P/T axes and stress**



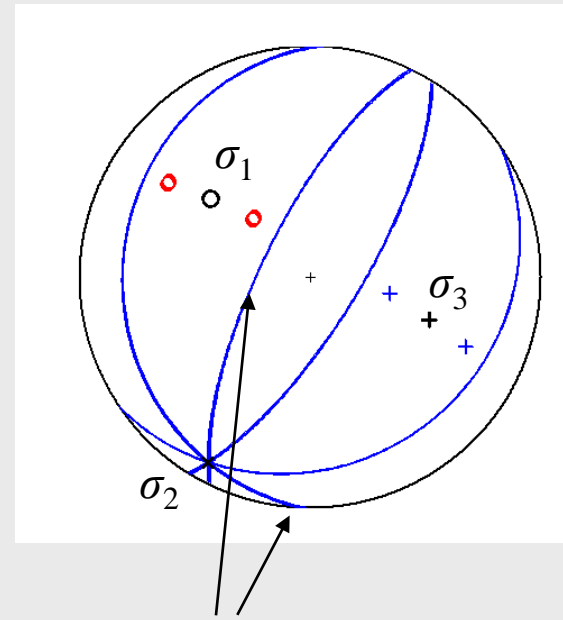
# Principal focal mechanisms

**Principal focal mechanisms** – the mechanisms which occur on the most unstable (optimally oriented) fault planes



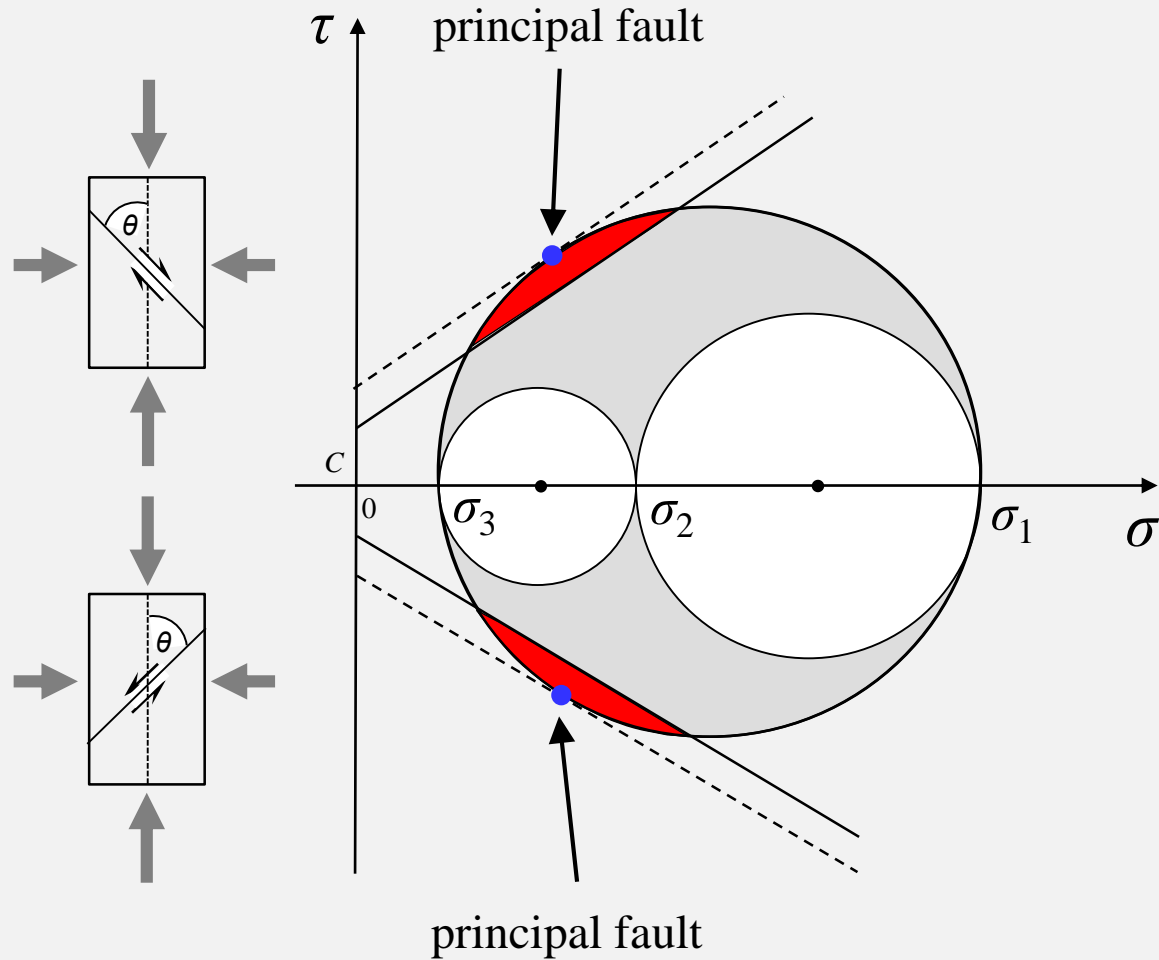
shape ratio = 0.5  
friction = 0.6

Principal nodal lines and P/T axes

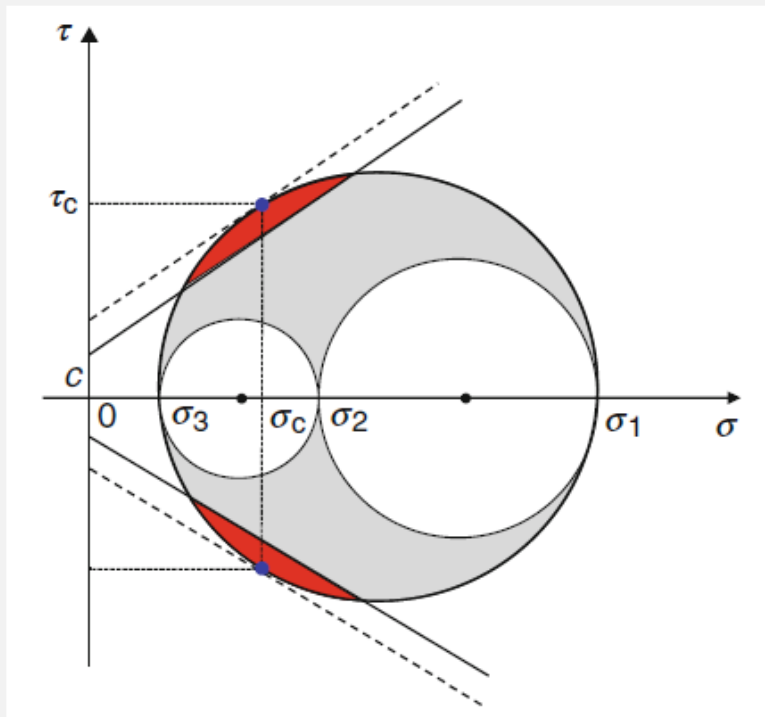


principal fault planes

# Principal faults and principal earthquakes



# Failure criterion in the Mohr's circle diagram



## Mohr-Coulomb failure criterion

$$\tau_c = C + \mu\sigma,$$

$$\tau_c = C + \mu(\sigma_n - p),$$

fault is activated when shear stress exceeds critical value  $\tau_c$

## Fault instability

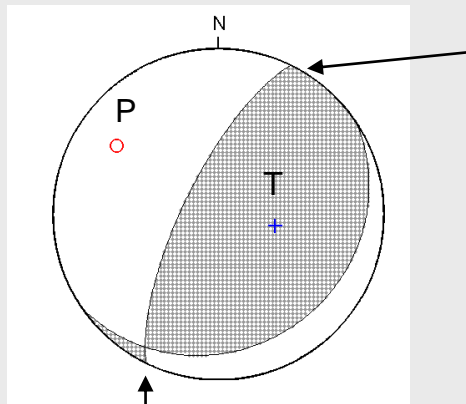
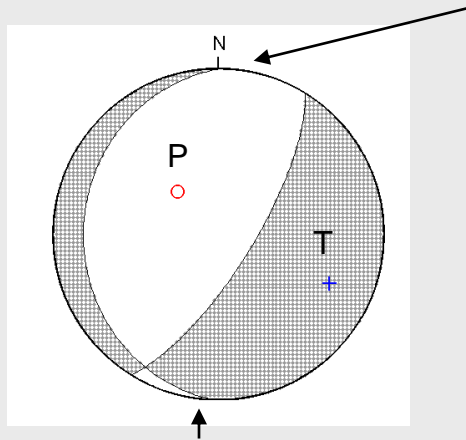
$$I = \frac{\tau - \mu(\sigma - \sigma_1)}{\tau_c - \mu(\sigma_c - \sigma_1)},$$

- increasing pore fluid pressure activates more fault planes
- decreasing pore fluid pressure reduces the number of activated fault planes

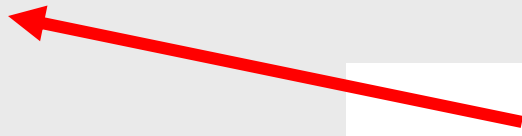
# Principal focal mechanisms

**Principal focal mechanisms** – the mechanisms that occur on the most unstable (optimally oriented) fault planes

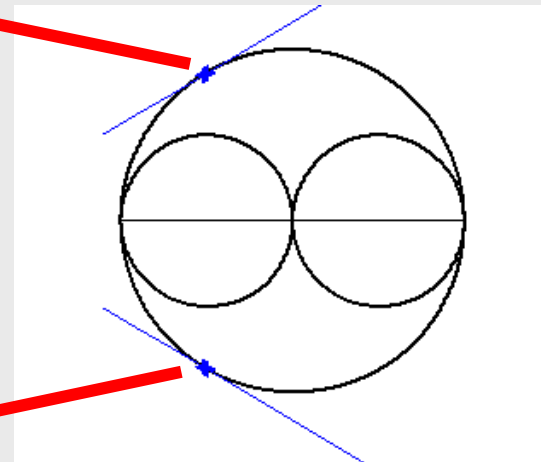
Principal nodal lines



principal fault plane



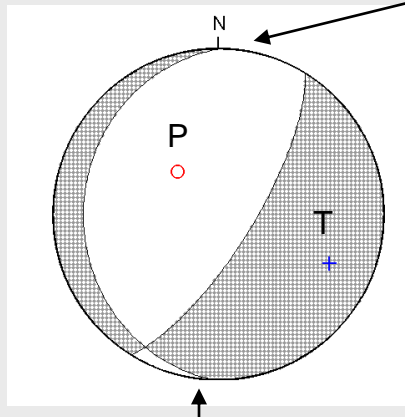
principal fault plane



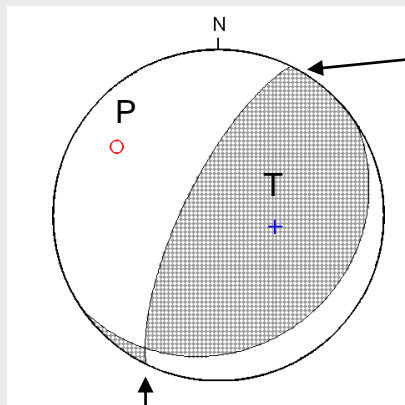
# Principal focal mechanisms

**Principal focal mechanisms** – the mechanisms that occur on the most unstable (optimally oriented) fault planes

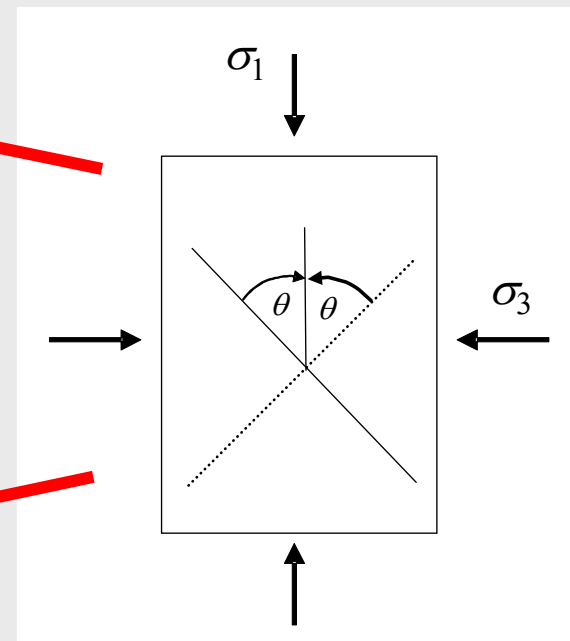
Principal nodal lines



principal fault plane



principal fault plane



$\theta$  is the optimum angle

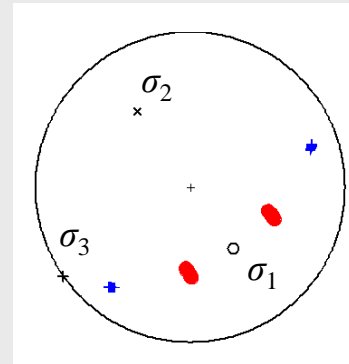
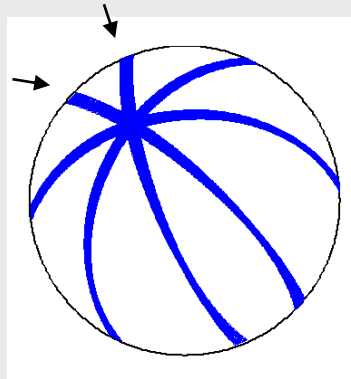
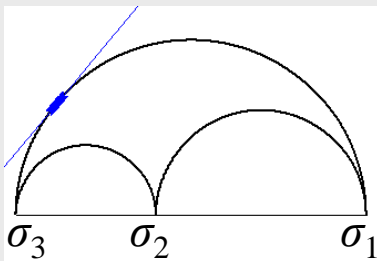
# Principal focal mechanisms versus friction

Mohr's diagram

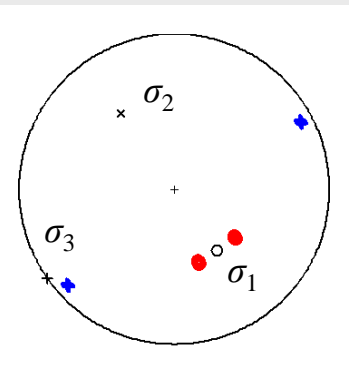
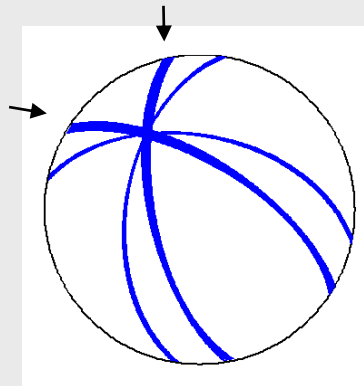
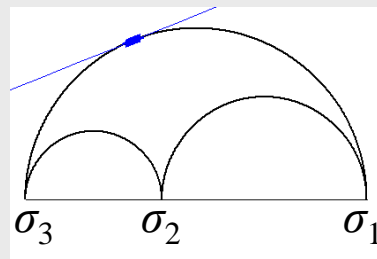
Nodal lines

P/T axes

shape ratio = 0.6



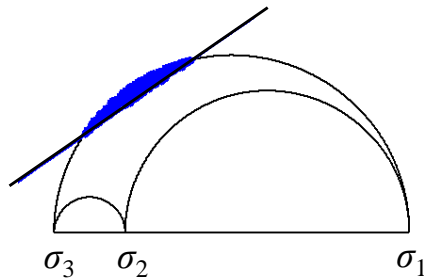
friction = 1.2



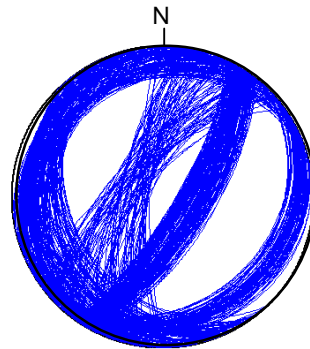
friction = 0.4

# Butterfly wings

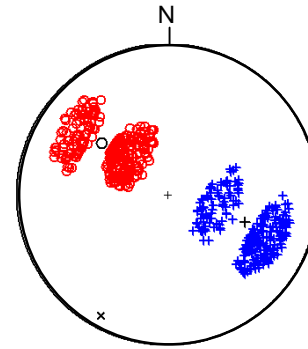
Mohr's diagram



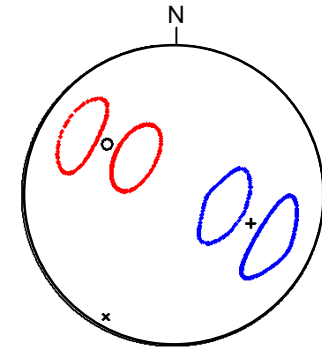
Nodal lines



P/T axes



Failure curves



shape ratio = 0.8, friction = 0.7

**P/T axes  
under various stress  
conditions**



# Procedure

## Assumptions:

- Tectonic stress in the focal area is homogeneous
- Pore pressure, cohesion and friction on faults is constant

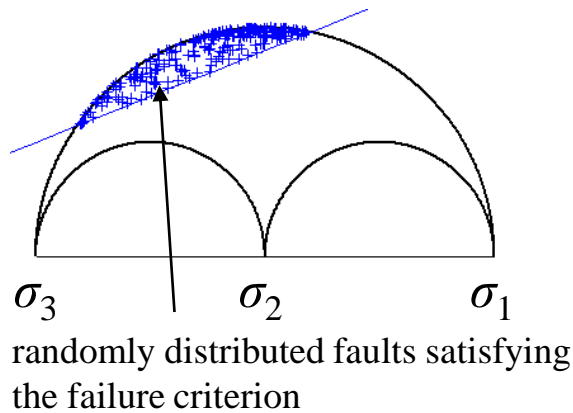
## Modeled parameters:

- Orientation of faults satisfying the Mohr-Coulomb failure criterion
- Statistical properties of focal mechanisms: nodal lines, P/T axes

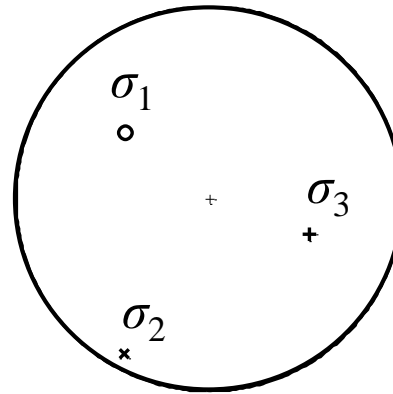
# Statistical distribution of focal mechanisms

## Tectonic stress

Mohr's diagram



orientation of principal axes



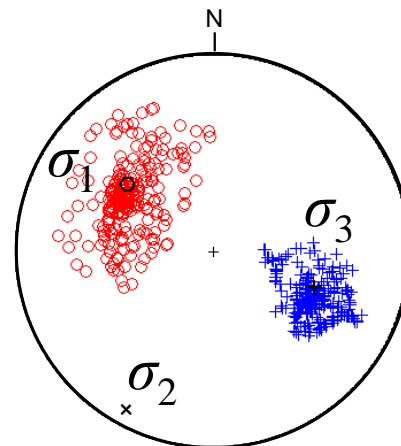
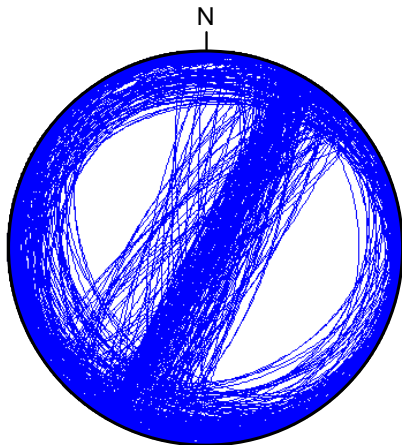
$$R = \frac{\sigma_1 - \sigma_2}{\sigma_1 - \sigma_3}$$

shape ratio = 0.5  
friction = 0.5

## Focal mechanisms



slip is along the traction on the fault



N = 250

○ - P axis  
+ - T axis

# Failure curves I

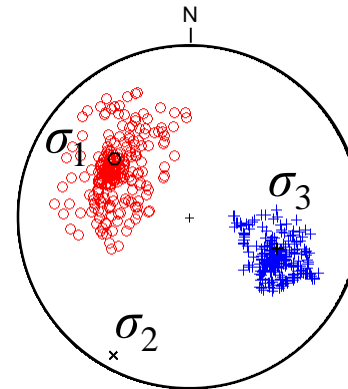
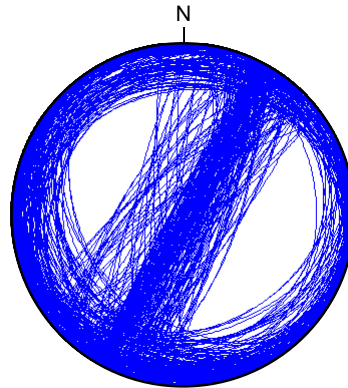
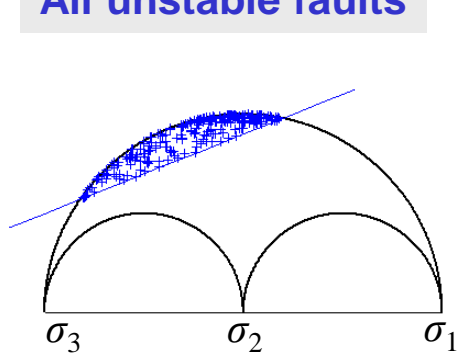
Mohr's diagram

Nodal lines

P/T axes

shape ratio = 0.5  
friction = 0.5

All unstable faults



**How to trace  
the shape of the  
P/T clouds?**

# Failure curves II

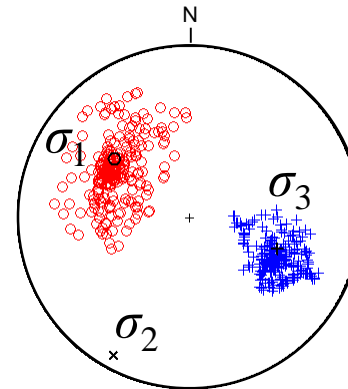
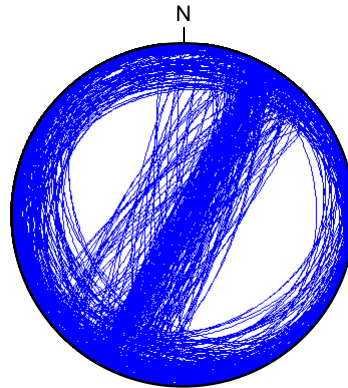
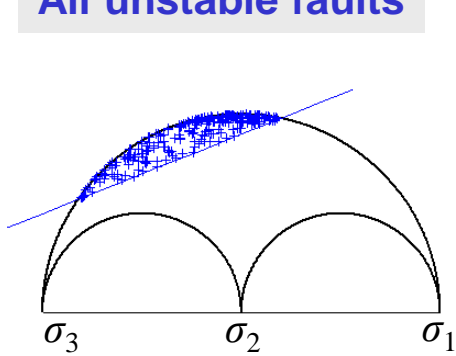
Mohr's diagram

Nodal lines

P/T axes

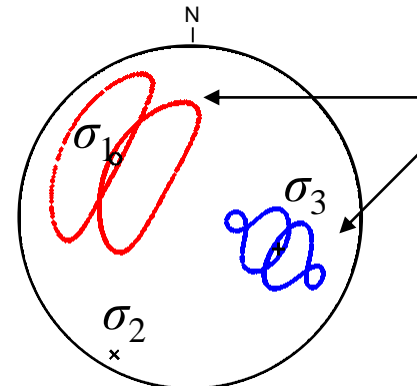
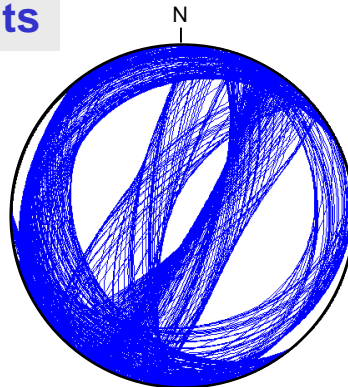
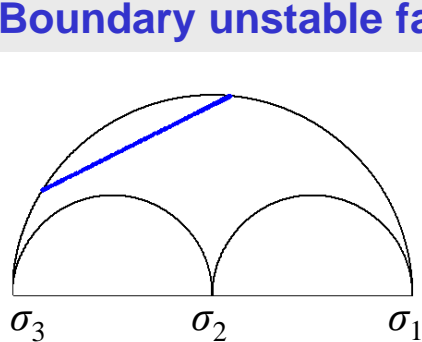
shape ratio = 0.5  
friction = 0.5

All unstable faults



How to trace  
the shape of the  
P/T clouds?

Boundary unstable faults



failure curves  
(butterfly wings)

# Friction dependence

Mohr's diagram

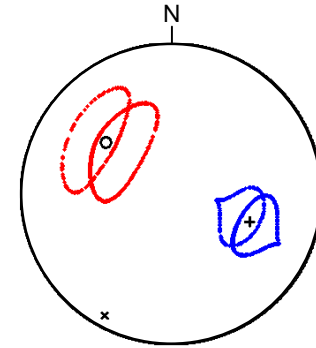
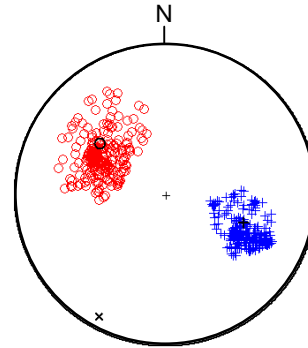
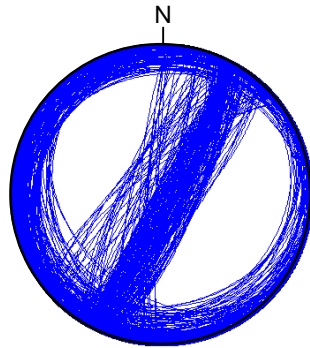
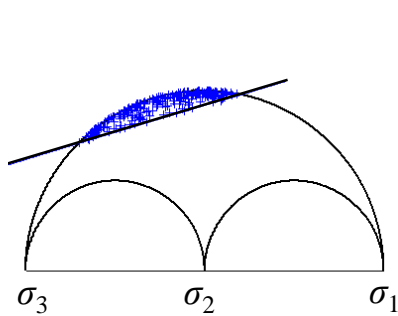
Nodal lines

P/T axes

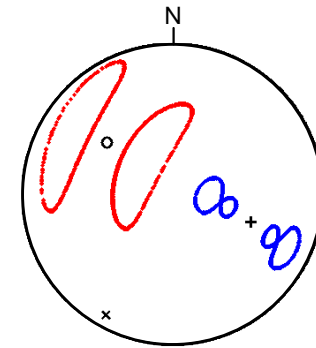
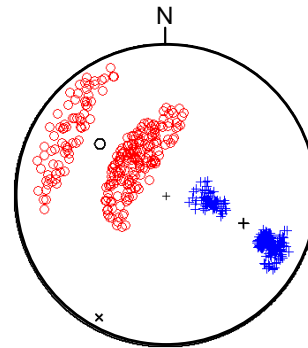
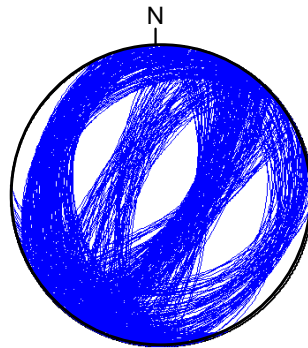
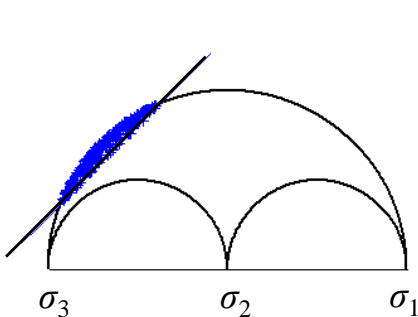
Failure curves

shape ratio = 0.5

friction = 0.3



friction = 1.0



# Shape ratio dependence

Mohr's diagram

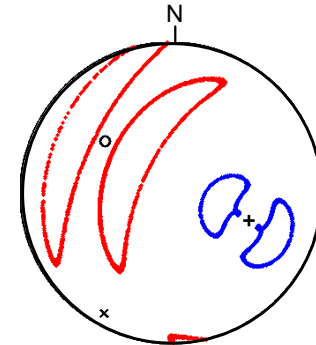
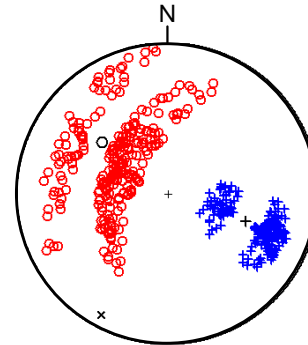
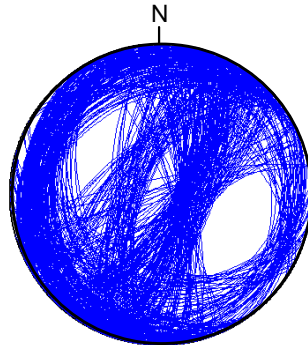
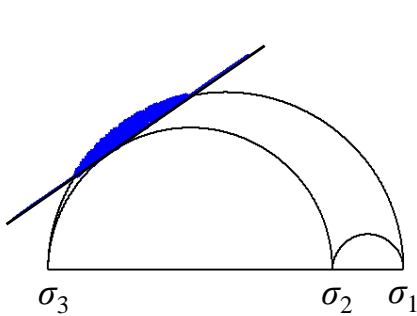
Nodal lines

P/T axes

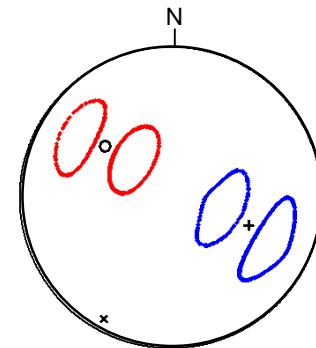
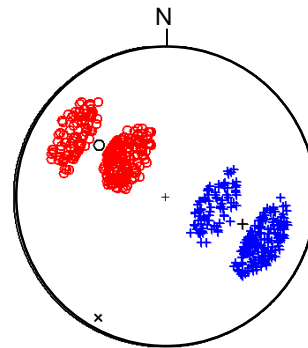
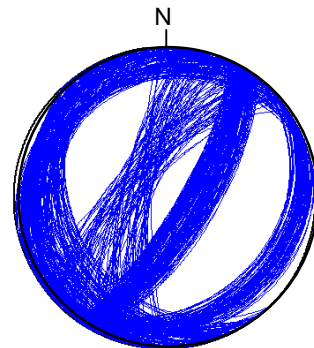
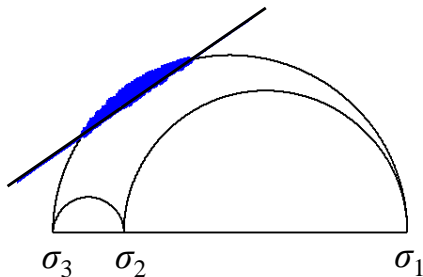
Failure curves

friction = 0.7

Shape ratio = 0.2



Shape ratio = 0.8



# Pore pressure dependence

Mohr's diagram

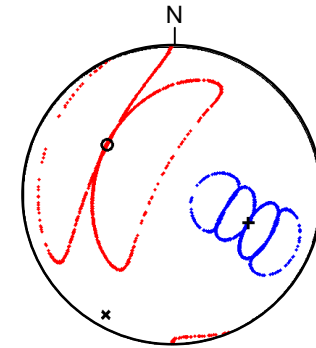
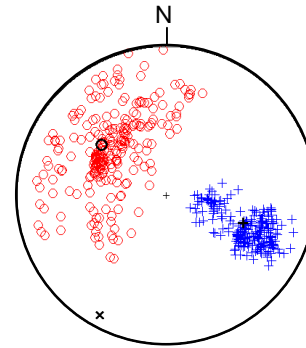
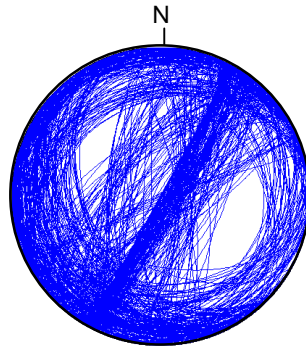
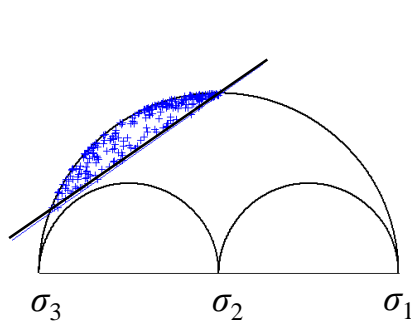
Nodal lines

P/T axes

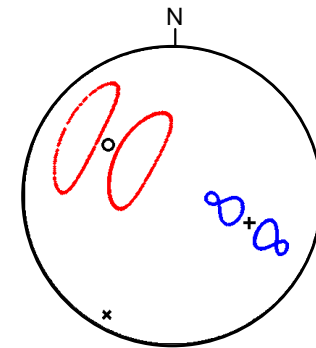
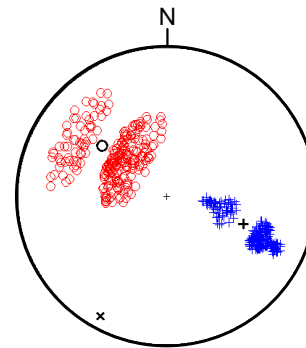
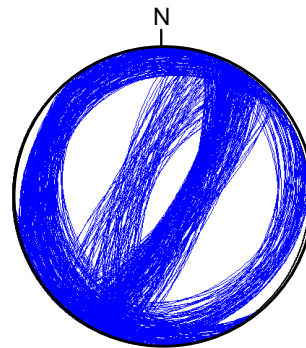
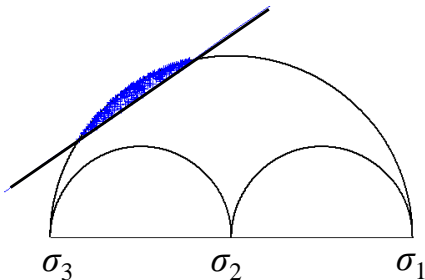
Failure curves

friction = 0.7  
shape ratio = 0.5

High pore pressure



Low pore pressure



# Error dependence

Noise free

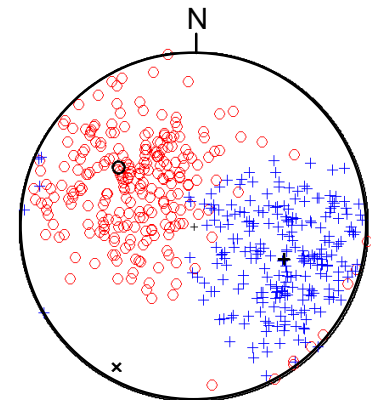
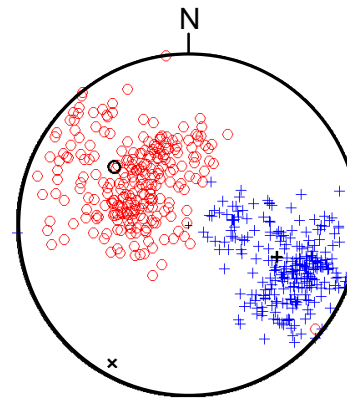
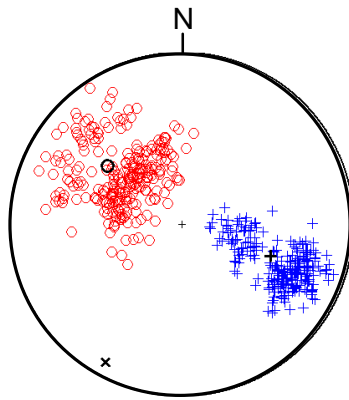
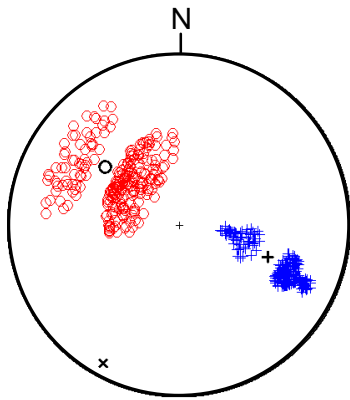
Error = 10°

Error = 20°

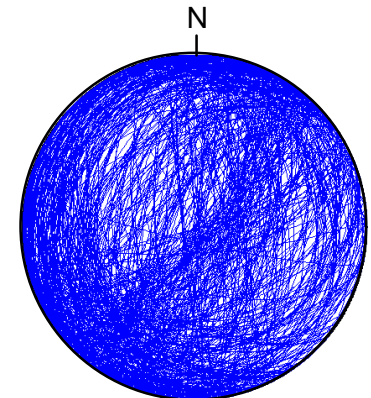
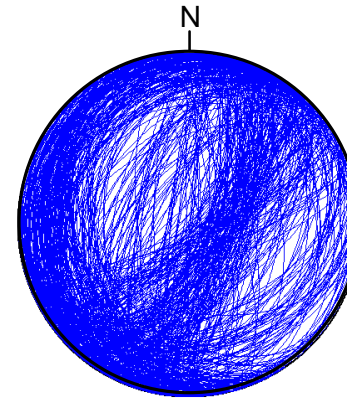
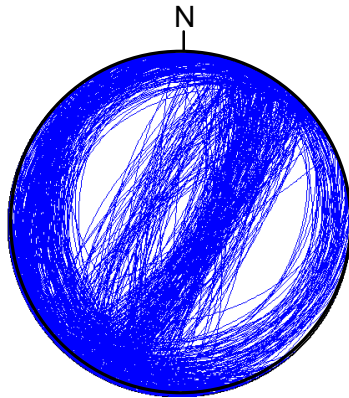
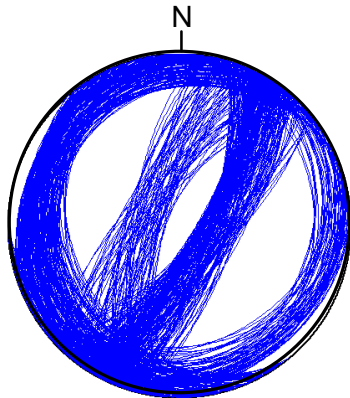
Error = 30°

P/T axes

friction = 0.6, shape ratio = 0.5



Nodal lines



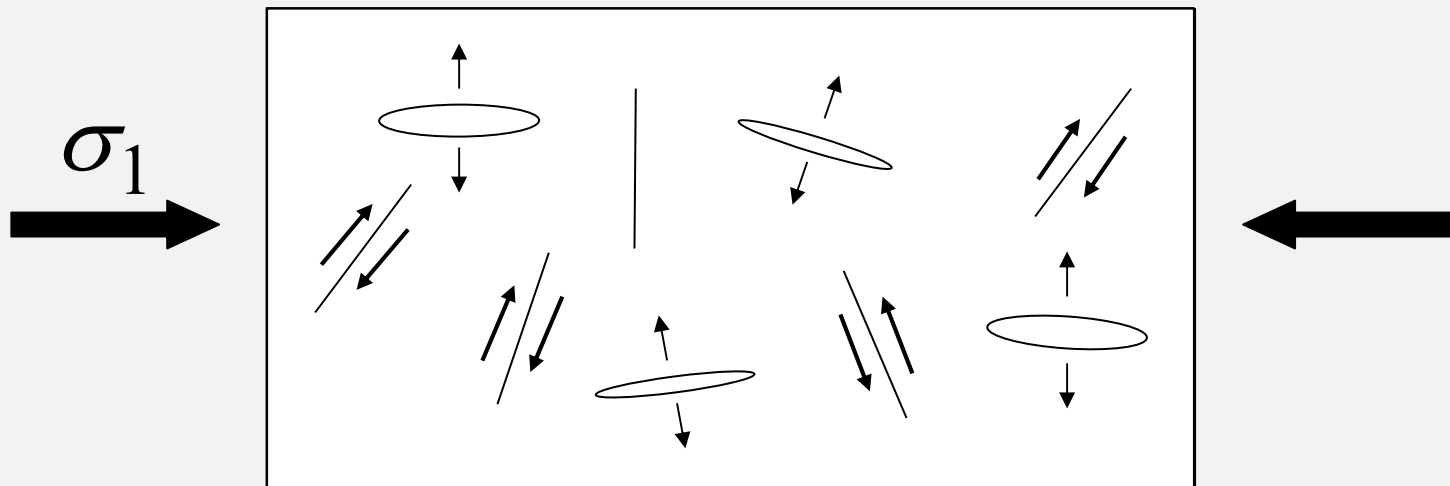


# **Inversion for stress: assumptions and methods**

# Faults in tectonic stress field

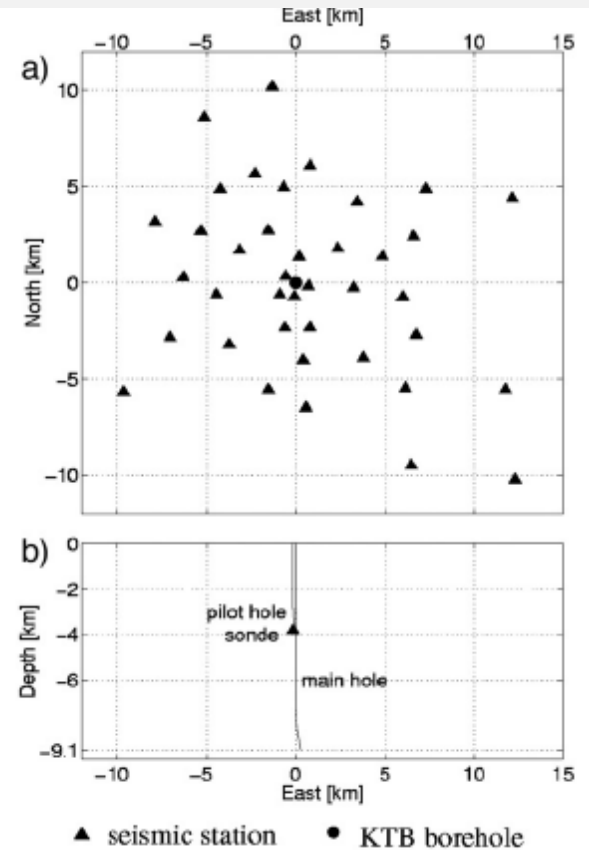
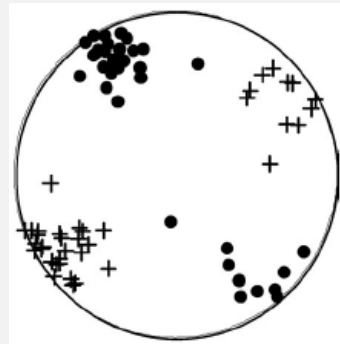
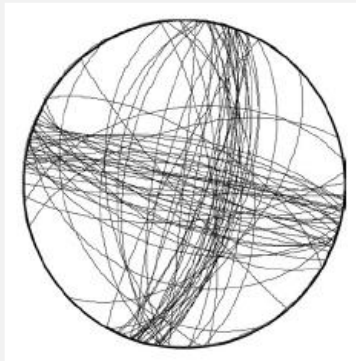
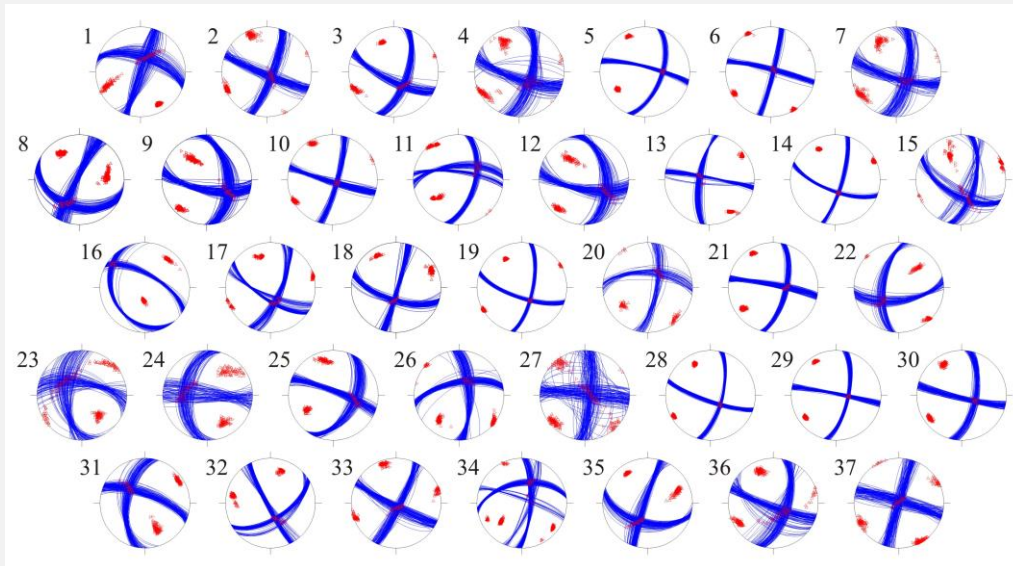
Based on the orientation of fractures we observe the following **types of faulting**:

- Shear faulting
- Tensile faulting
- Combined shear-tensile faulting



# Fluid injection in KTB in 2000: focal mechanisms

Focal mechanisms always display some variety



# Inversion for stress from focal mechanisms

## Assumptions

- homogeneous stress in the area under study
- earthquakes occur on pre-existing faults

## Necessary conditions

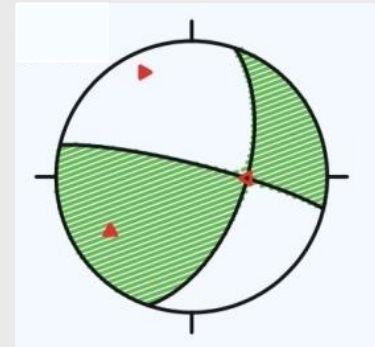
- focal mechanisms for a set of earthquakes
- variety of focal mechanisms

## Methods

- Gephart & Forsyth (1984) – needs fault orientations
- Michael (1984, 1987) – needs fault orientations
- Angelier (2002)

## Output: 4 stress parameters

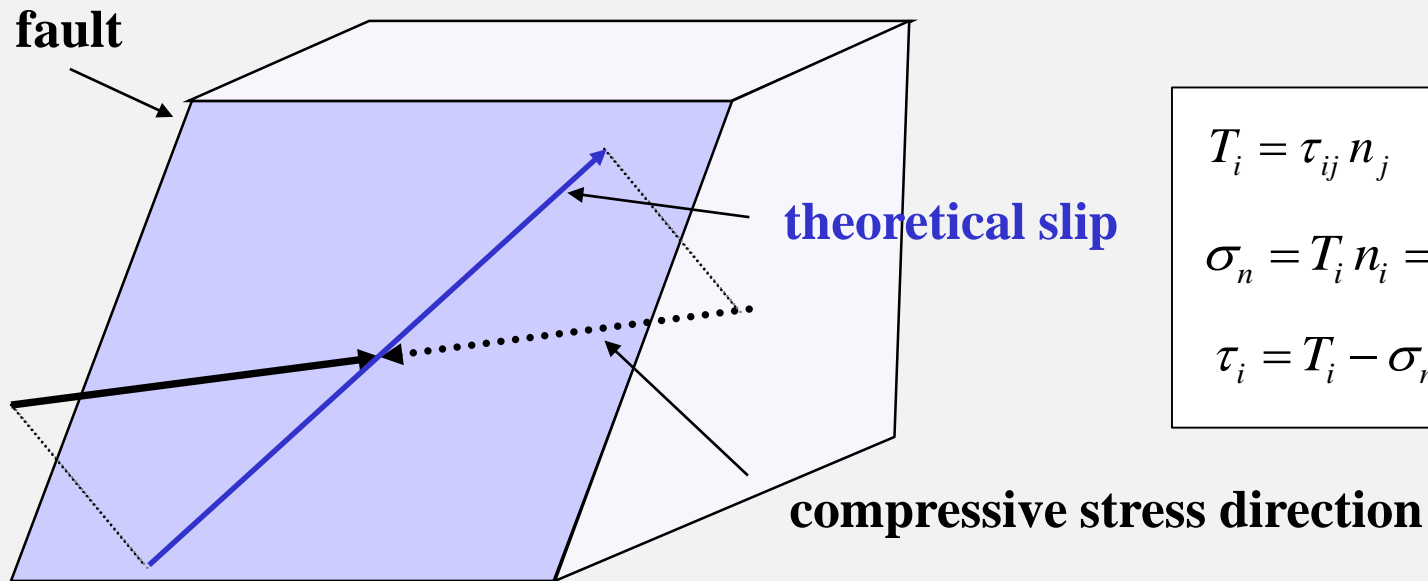
- directions of principal stress axes
- shape (stress) ratio
- unable to determine the absolute values of stress and trace of stress tensor



$$R = \frac{\sigma_1 - \sigma_2}{\sigma_1 - \sigma_3}$$

# Wallace-Bott hypothesis

**Wallace-Bott hypothesis:** slip is parallel to the shear stress direction on the fault



$$T_i = \tau_{ij} n_j$$

$$\sigma_n = T_i n_i = \tau_{ij} n_i n_j$$

$$\tau_i = T_i - \sigma_n n_i$$

## Necessary conditions

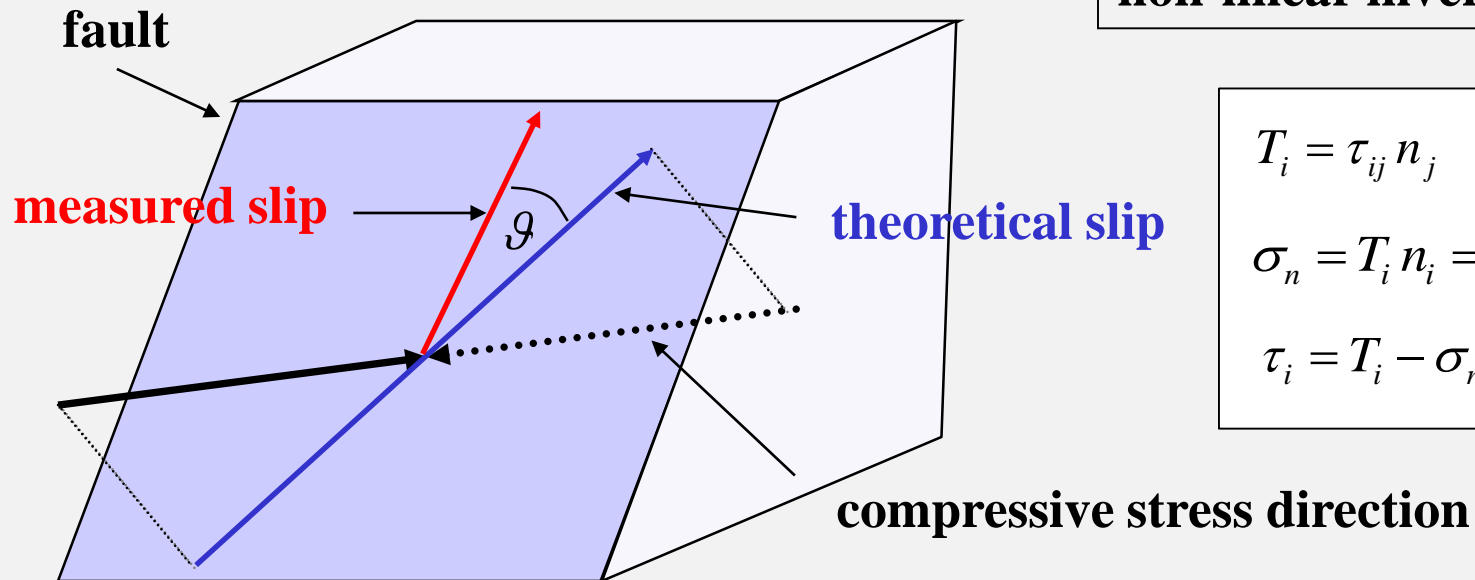
- set of focal mechanisms
- variety of focal mechanisms



overdetermined problem  
linearly independent equations

# Inversion for stress: Gephart & Forsyth (1984)

**Wallace-Bott hypothesis:** slip is parallel to the shear stress direction on the fault



**non-linear inversion**

$$T_i = \tau_{ij} n_j$$

$$\sigma_n = T_i n_i = \tau_{ij} n_i n_j$$

$$\tau_i = T_i - \sigma_n n_i$$

**Misfit function**

$$\sum_{i=1}^N |\mathcal{G}_i| = \min$$

theoretical slip – parallel to shear stress

measured slip – determined from focal mechanisms

# Inversion for stress: Michael (1984)

## Mathematical formulation – linear inversion

$$T_i = \tau_{ij} n_j$$

$$\sigma_n = T_i n_i = \tau_{ij} n_i n_j$$

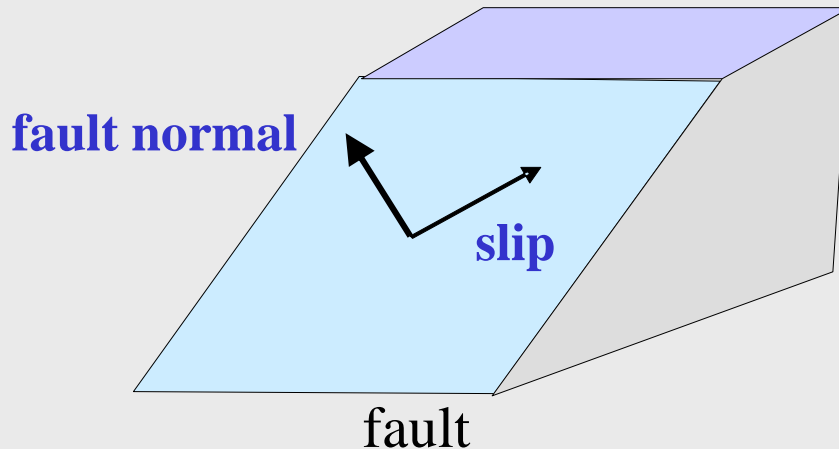
$$\begin{aligned} \tau N_i &= T_i - \sigma_n n_i = \tau_{ij} n_j - \tau_{jk} n_j n_k n_i \\ &= \tau_{kj} n_j (\delta_{ik} - n_i n_k) \end{aligned}$$

traction (stress) on the fault

normal stress on the fault

shear stress on the fault

$$\tau_{kj} n_j (\delta_{ik} - n_i n_k) = \tau N_i$$



$\mathbf{n}$  – fault normal

$\mathbf{N}$  – direction of shear stress  
(assumed to be slip direction)

$\tau$  – magnitude of shear stress  
(assumed to be constant)

$$\mathbf{A} \mathbf{t} = \mathbf{b} \quad \mathbf{t} = \mathbf{A}^{-s} \mathbf{b}$$

# Fault-choice algorithms: a review

## **Gephart & Forsyth method (1984)**

Fault is that nodal plane which yields a lower misfit between the observed and predicted slip directions.

## **Michael method (1984, 1987)**

Fault is chosen randomly and the inversion is repeated to estimate the errors incurred by using an incorrect fault orientation.

## **Angelier (2002)**

The method is invariant to fault identification. There is no need to identify a fault plane.

## **Lund & Slunga method (1999)**

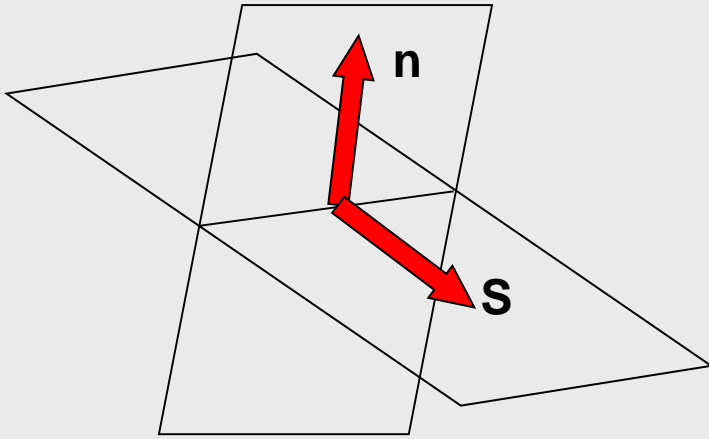
Fault is that nodal plane which is more unstable in the given stress field.  
This criterion is applied to the Gephart & Forsyth method.

## **Vavryčuk method (2014)**

Fault is that nodal plane which is more unstable in the given stress field.  
This criterion is applied to the Michael (1984, 1987) method.



# Inversion for stress – Angelier (2002)



- **invariable of the fault plane identification!**  
(standard methods need an identification of the fault plane)
- shear stress along the slip is maximized (criterion SSSC)
- **non-linear inversion:** grid search

**n** – fault normal

**s** – slip vector

**T** – stress tensor

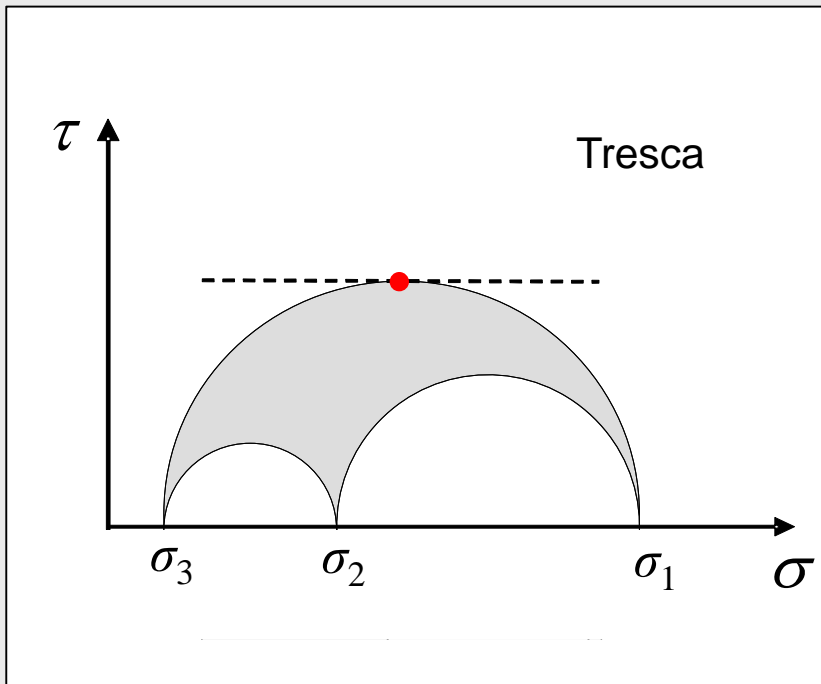
$$T = \tau_{ij} n_i s_j \quad \mathbf{n} \leftrightarrow \mathbf{s}$$

**T** ← slip shear stress component (SSSC)

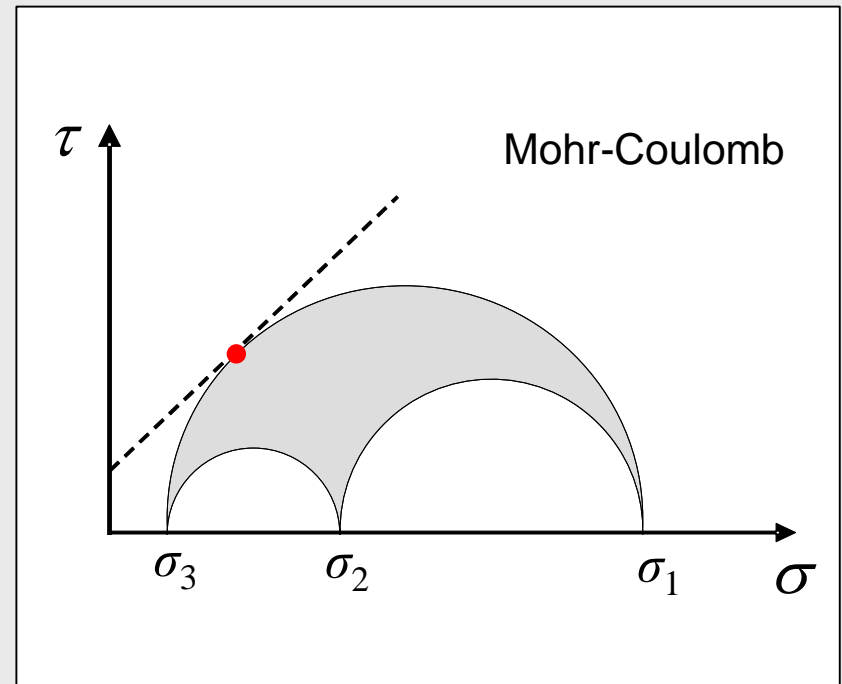
**T** is maximized during the inversion

# Angelier criterion: physical meaning

Angelier inversion assumes **no friction** on faults



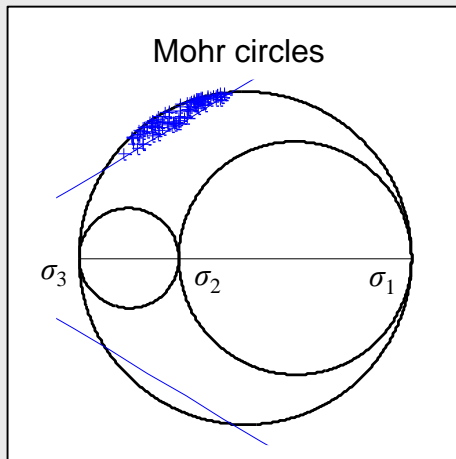
zero friction on faults



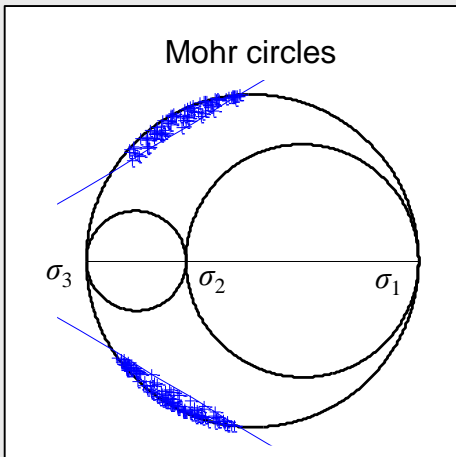
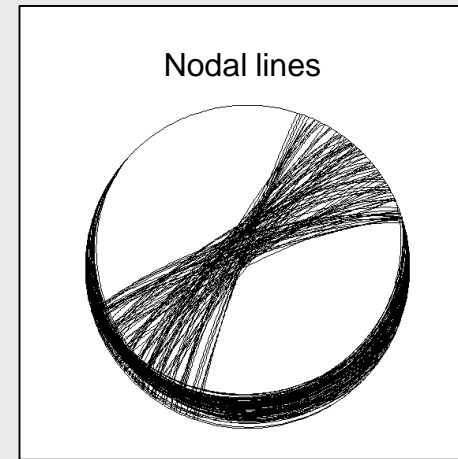
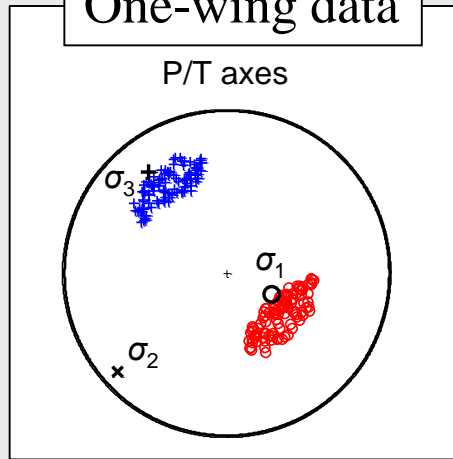
friction on faults: 0.4 - 0.8

**Inversion for stress:  
accuracy**

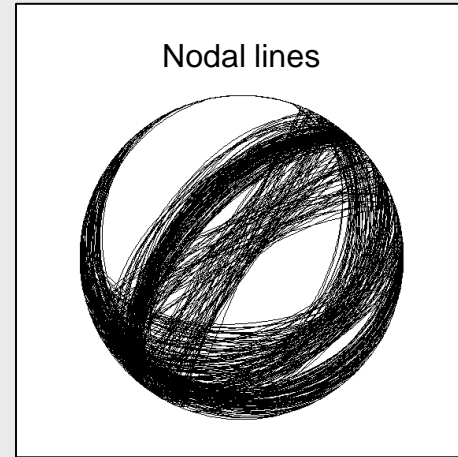
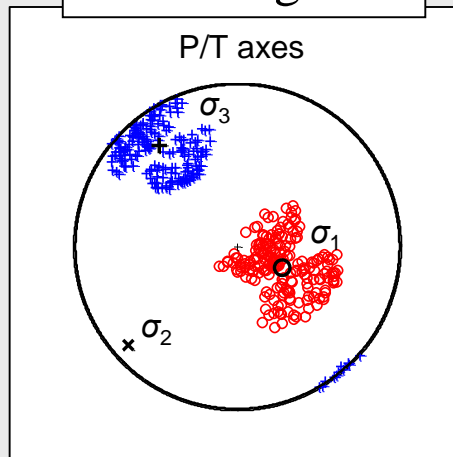
# Synthetic data



## One-wing data

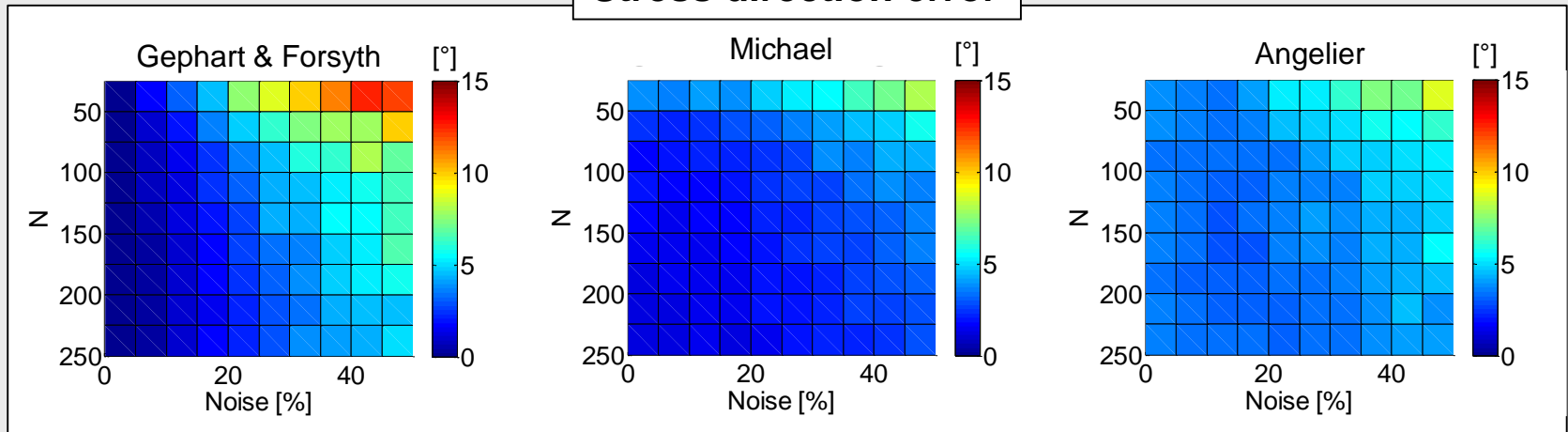


## Two-wing data



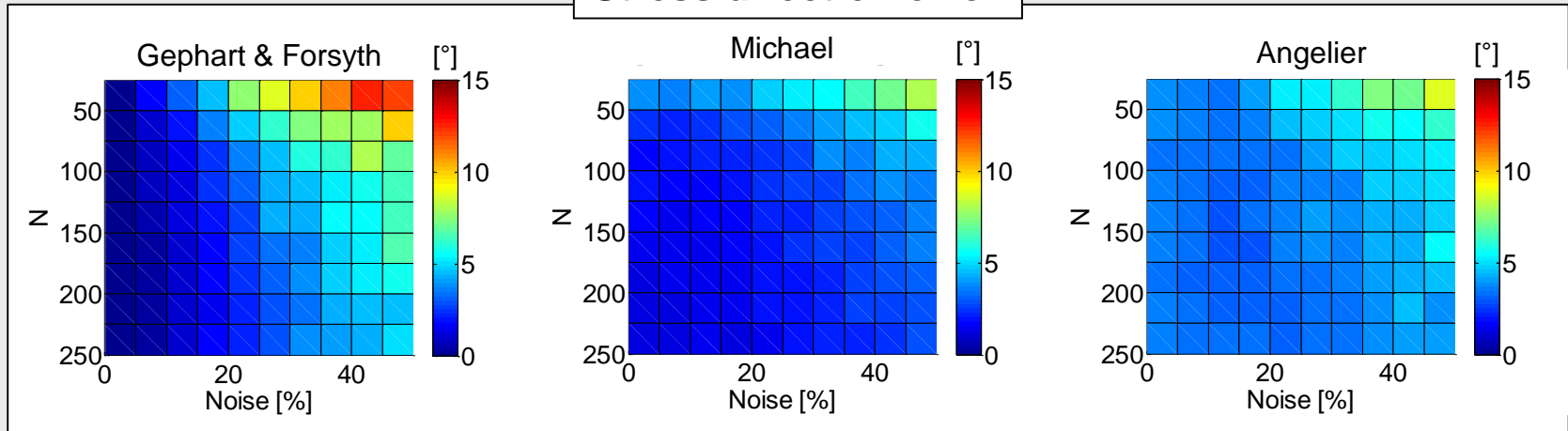
# Accuracy of stress inversions

## Stress direction error

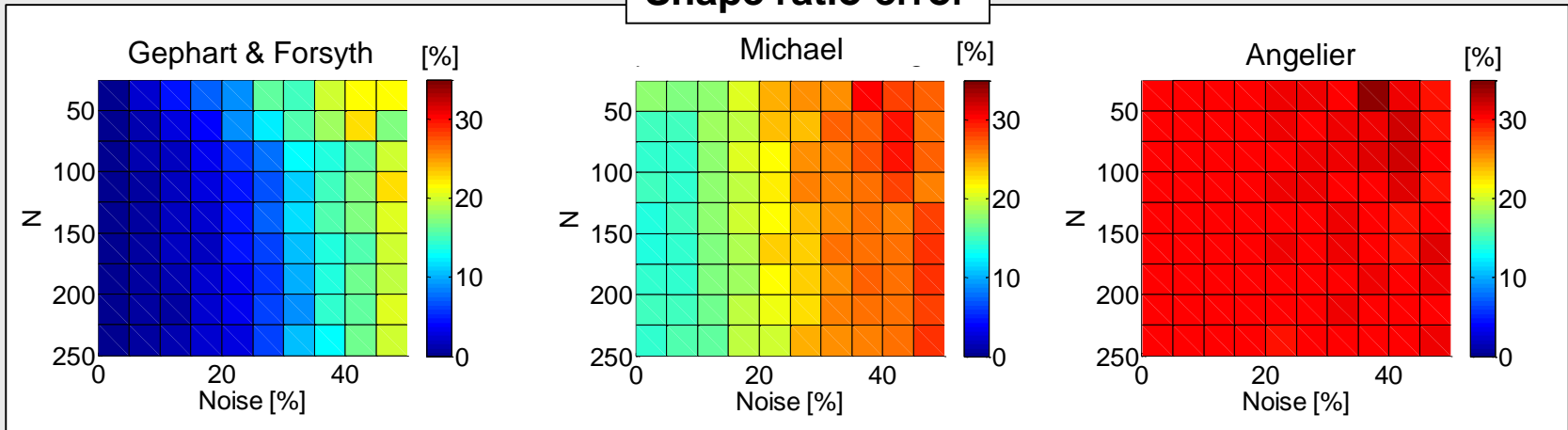


# Accuracy of stress inversions: two-wing data

## Stress direction error

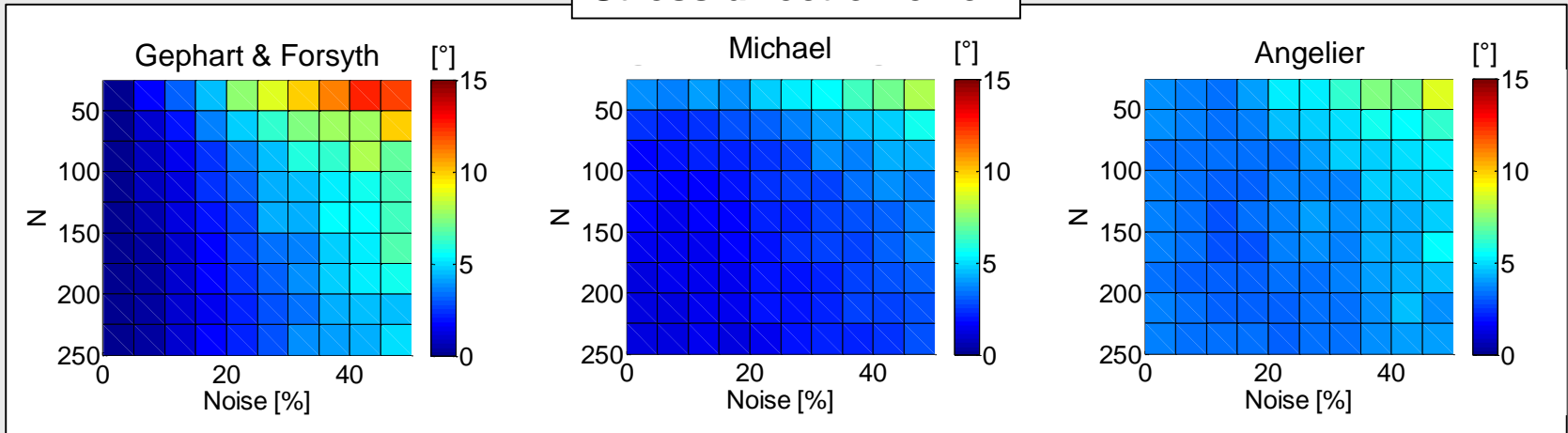


## Shape ratio error

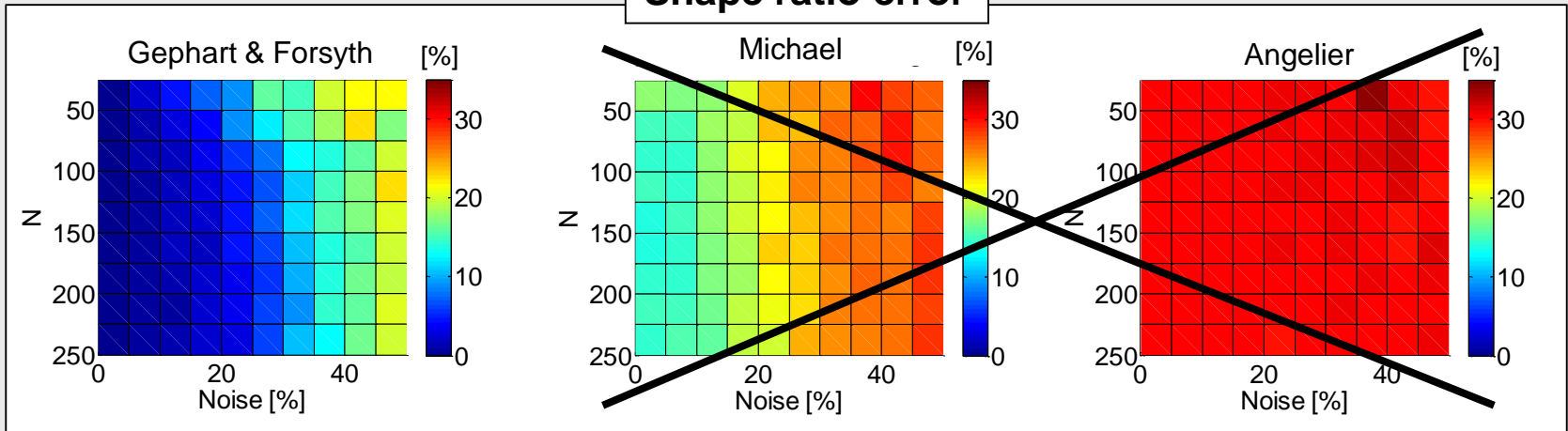


# Accuracy of stress inversions: two-wing data

## Stress direction error



## Shape ratio error



**Fault choice algorithm  
based on  
fault instability**



# Fault-choice algorithms: a review

## **Ellsworth & Zhonghuai (1980)**

Minimize misfit over all possible choices of fault orientations

## **Gephart & Forsyth method (1984)**

Fault is that nodal plane which yields lower misfit between the observed and predicted slip directions

## **Michael method (1984, 1987)**

Fault is chosen randomly and the inversion is repeated to estimate the errors incurred by using an incorrect fault orientation

## **Lund & Slunga method (1999)**

Fault is that nodal plane which is more unstable in the given stress field

This criterion is applied to the Gephart & Forsyth method

## **Vavryčuk method (2014)**

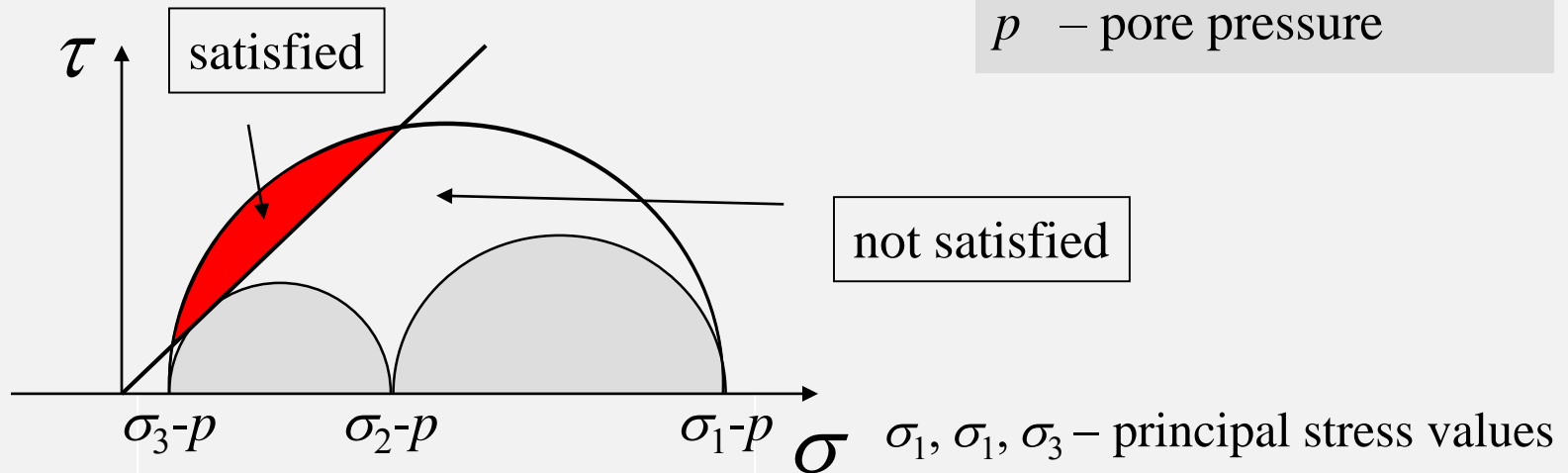
Fault is that nodal plane which is more unstable in the given stress field

This criterion is applied to the Michael method

# Mohr-Coulomb failure criterion

$$\tau > \tau_c = S + \underbrace{\mu(\sigma_n - p)}_{\sigma}$$

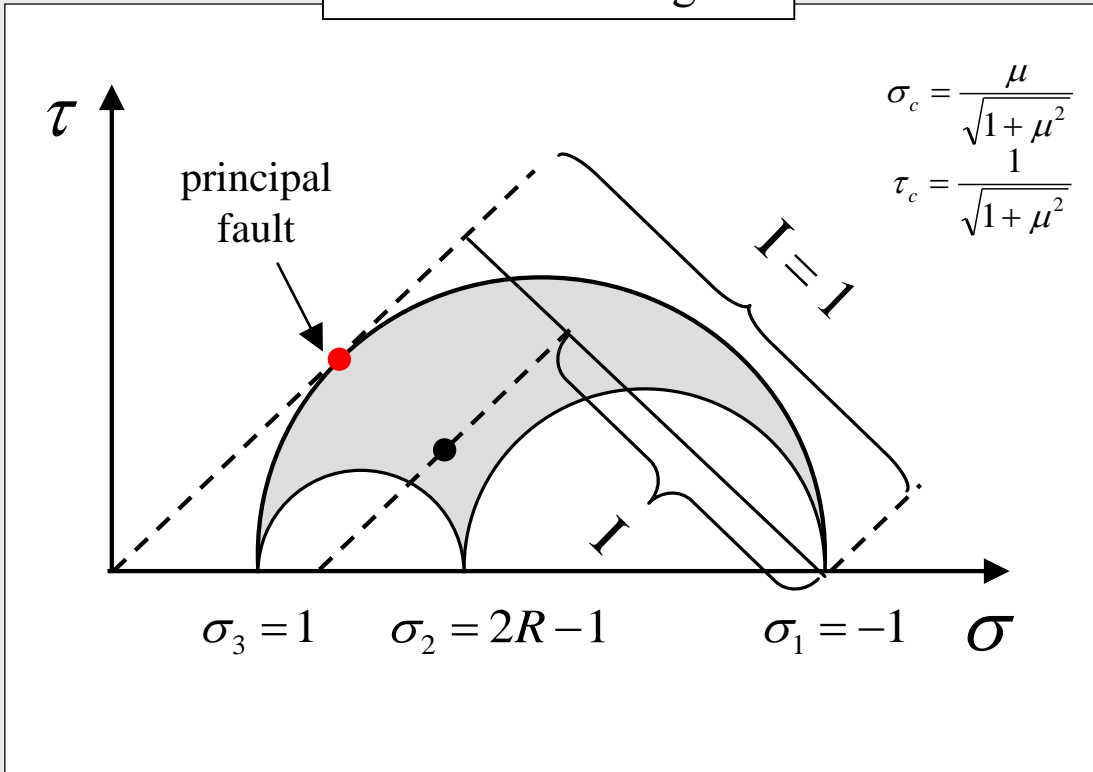
$\tau_c$  – critical shear traction  
 $S$  – cohesion  
 $\mu$  – friction  
 $\sigma_n$  – normal traction  
 $p$  – pore pressure



The origin of  $\sigma$  depends on  $p$  !

# Fault instability: definition

Mohr's circle diagram



$$I = \frac{\tau + \mu(\sigma + 1)}{\mu + \sqrt{1 + \mu^2}}$$

Shape ratio:

$$R = (\sigma_1 - \sigma_2) / (\sigma_1 - \sigma_3)$$

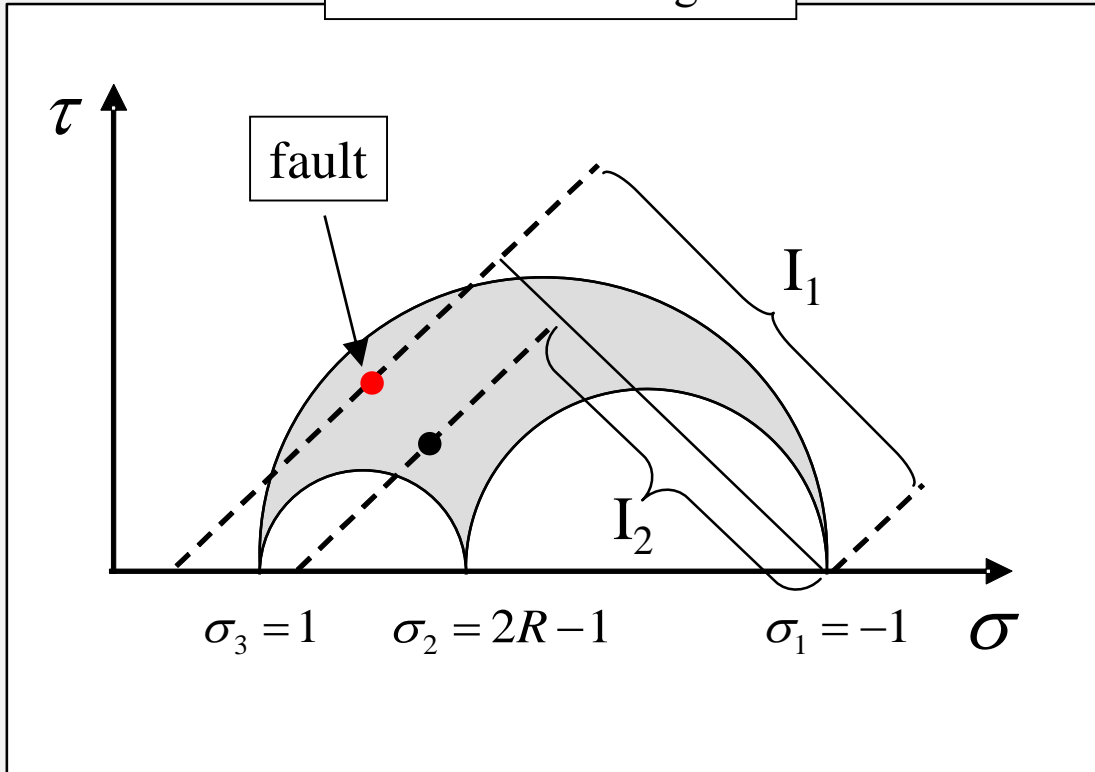
**Principal fault** is the most unstable fault in the stress field

$$\sigma = -n_1^2 + (2R - 1)n_2^2 + n_3^2$$

$$\tau = \sqrt{n_1^2 + (2R - 1)^2 n_2^2 + n_3^2 - (-n_1^2 + (2R - 1)n_2^2 + n_3^2)^2}$$

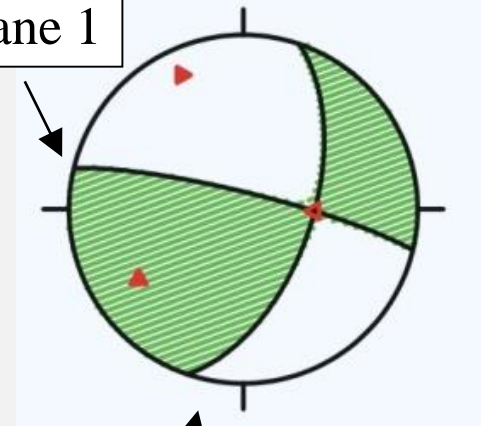
# Fault instability: definition

Mohr's circle diagram



$$I = \frac{\tau + \mu(\sigma + 1)}{\mu + \sqrt{1 + \mu^2}}$$

plane 1



The fault is identified with the nodal plane of the **higher fault instability**

$$I_1 > I_2$$

plane 2

**Joint inversion  
for stress  
and  
fault orientations**

# Iterative inversion for stress from focal mechanisms

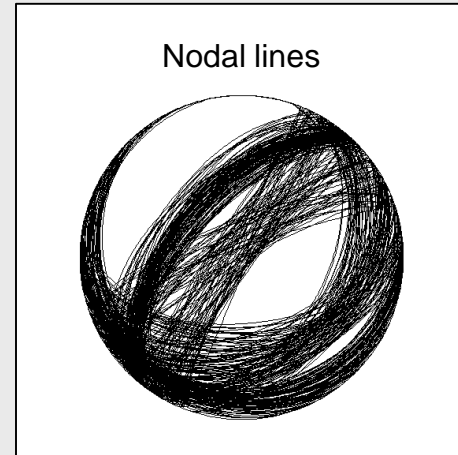
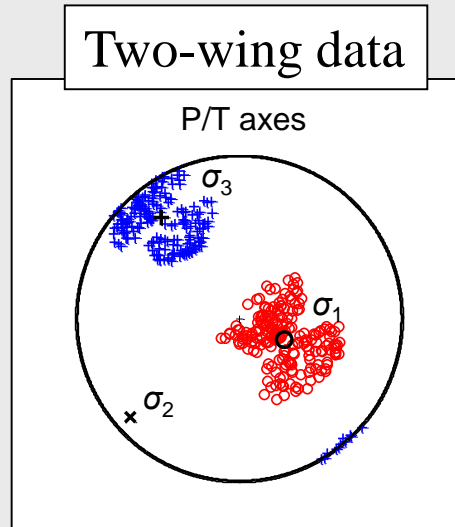
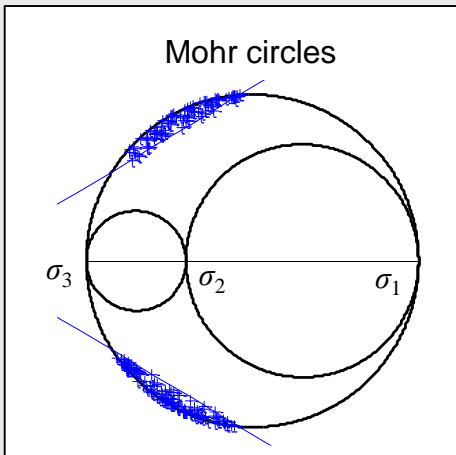
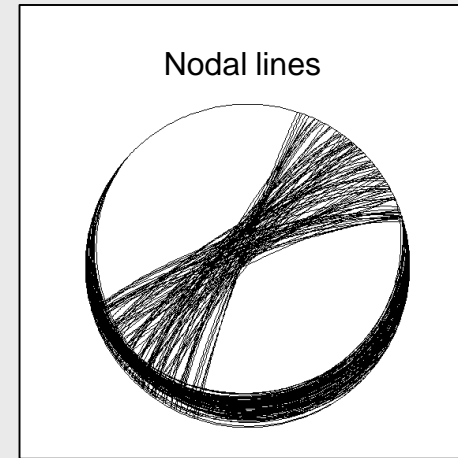
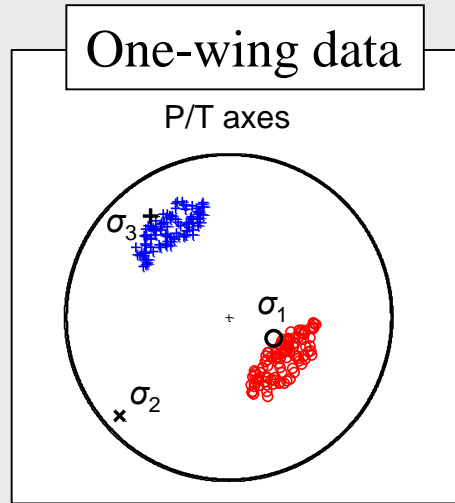
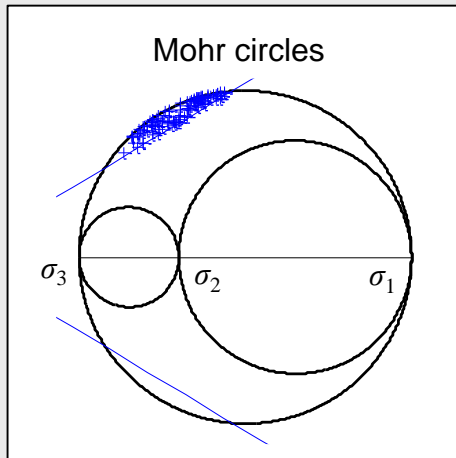
## Scheme of the inversion

- **1<sup>st</sup> iteration:**  
standard Michael's method with fault planes chosen randomly
- **2<sup>nd</sup> iteration:**  
fault planes are chosen according to the fault instability criterion using stress calculated in the 1<sup>st</sup> iteration
- **3<sup>rd</sup> iteration:**  
fault planes are chosen according to the fault instability criterion using stress calculated in the 2<sup>nd</sup> iteration

The iterative process stops when satisfies some **convergence** criteria

# **Synthetic tests**

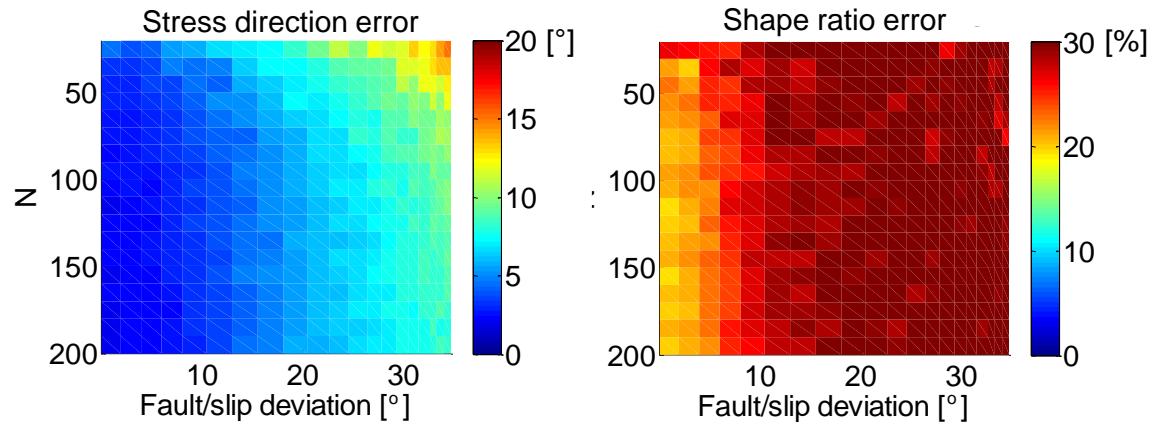
# Synthetic data



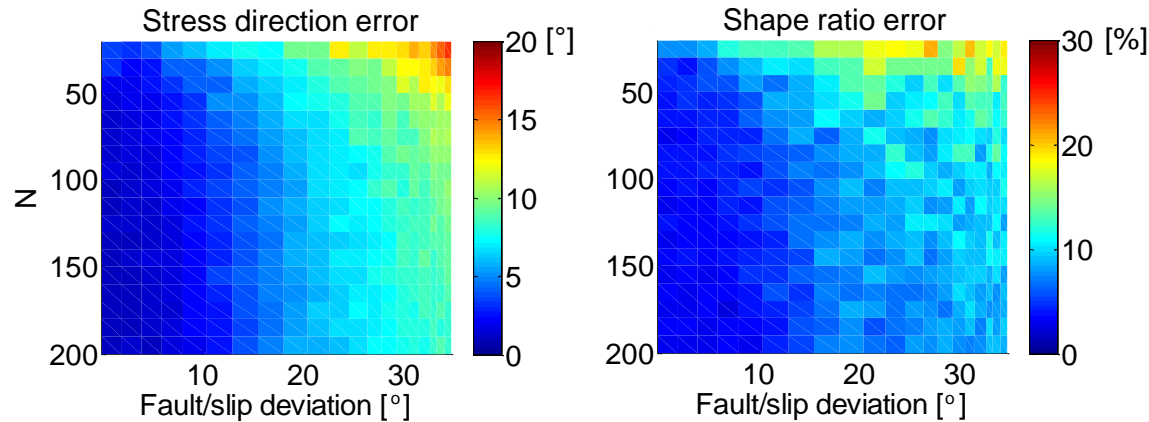


# Stress inversion: one-wing data

**Michael method**



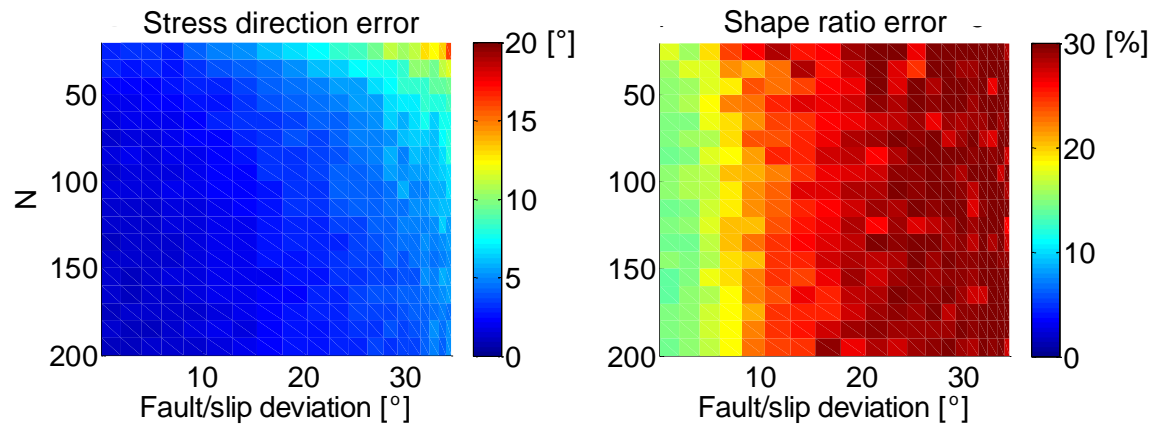
**Iterative method**



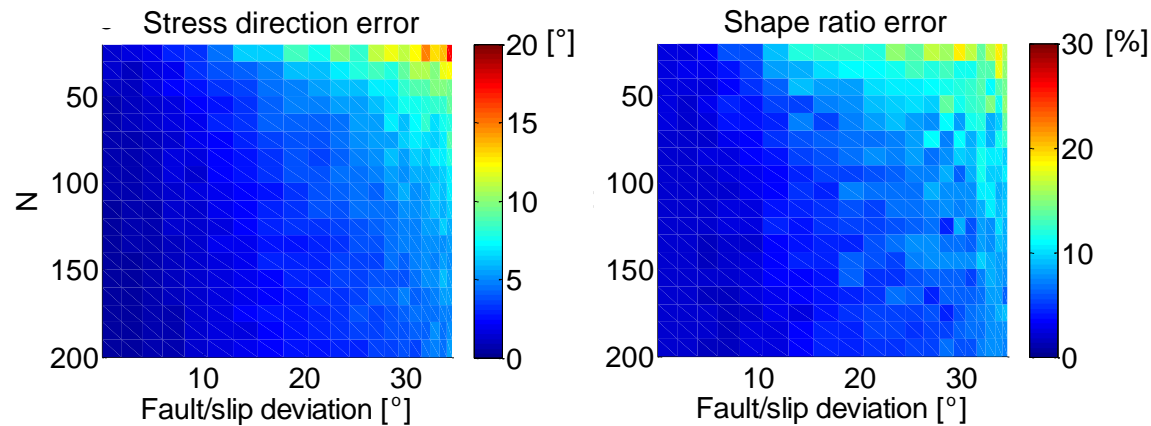
The accuracy of the shape ratio is **significantly** improved!

# Stress inversion: two-wing data

**Michael method**



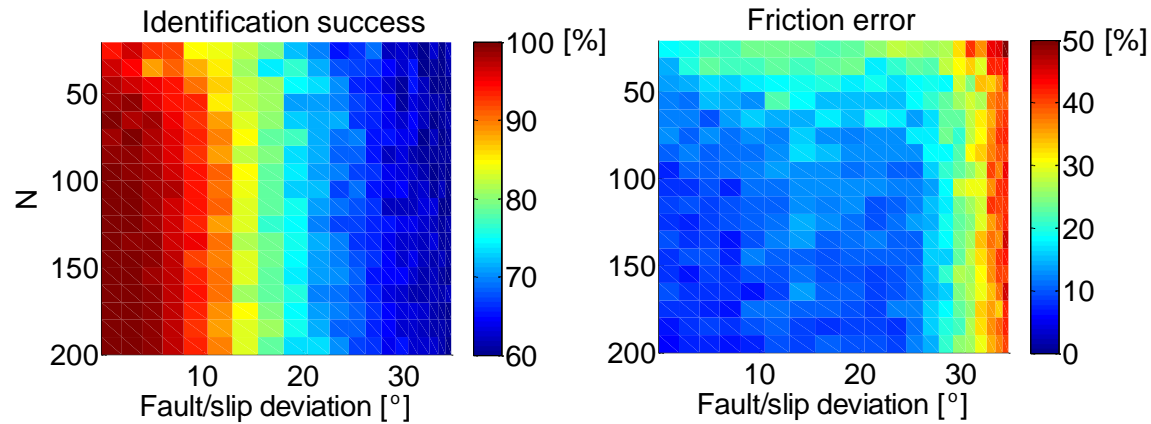
**Iterative method**



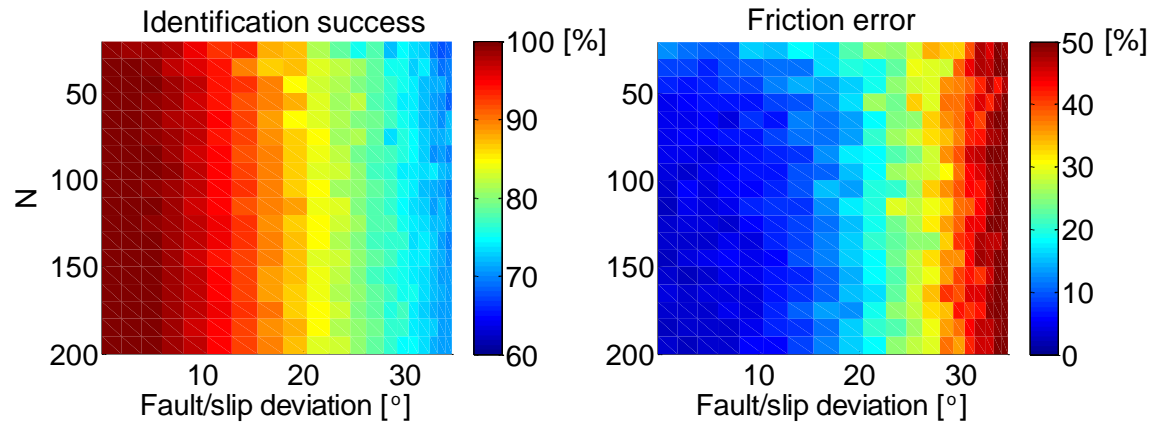
The accuracy of the shape ratio is **significantly** improved!

# Stress inversion: identification success and friction

## One-wing data

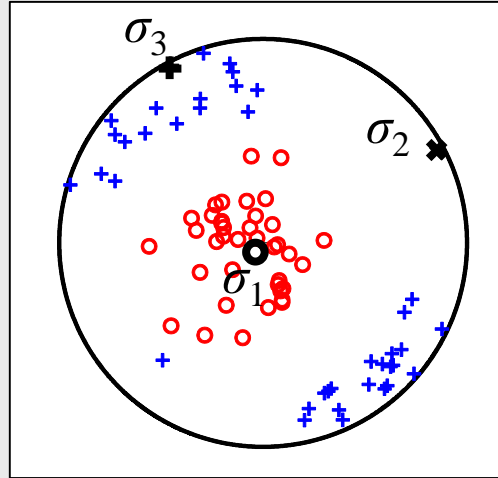
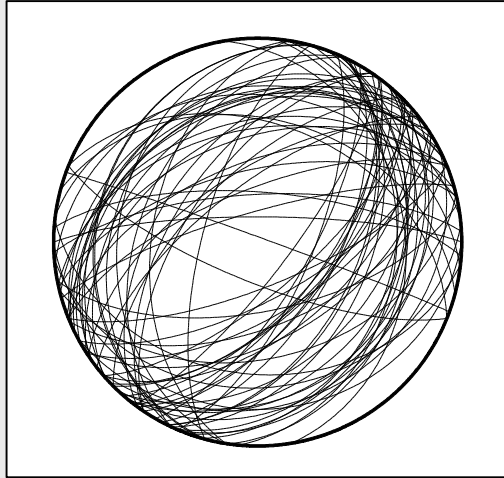


## Two-wing data



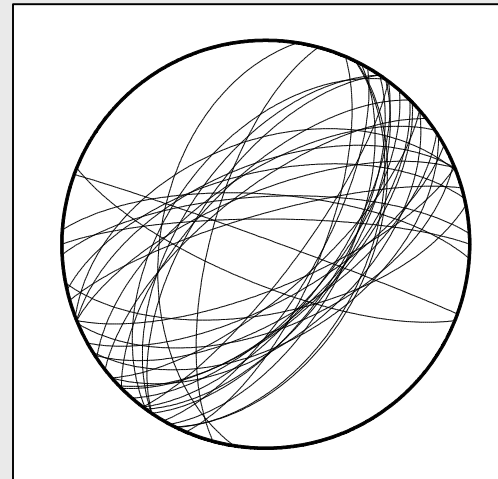
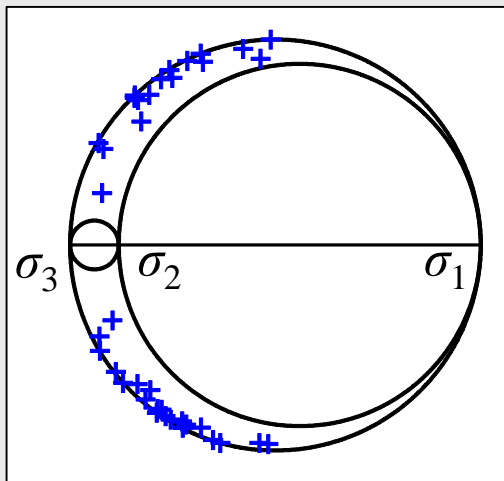
# **Inversion for shape ratio: Central Crete**

# Joint inversion for stress and faults: Central Crete



## Input data:

38 focal mechanisms  
with knowledge about the  
fault orientations



## Output of the inversion:

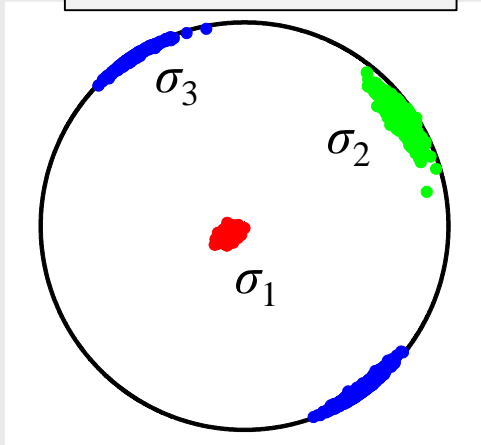
- stress axes
- shape ratio
- fault orientations

**36 of 38 faults were  
successfully identified!**

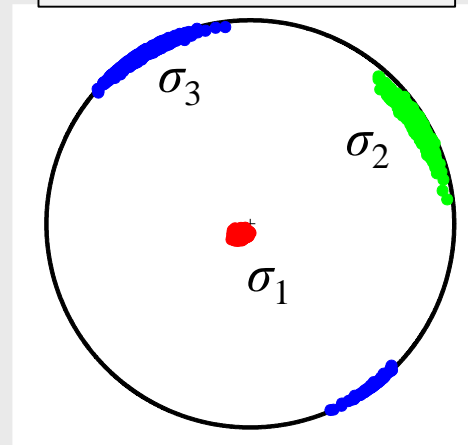
Slickenslide data taken from Angelier (1979) and Michael (1984, 1987)

# Joint inversion for stress and faults: Central Crete

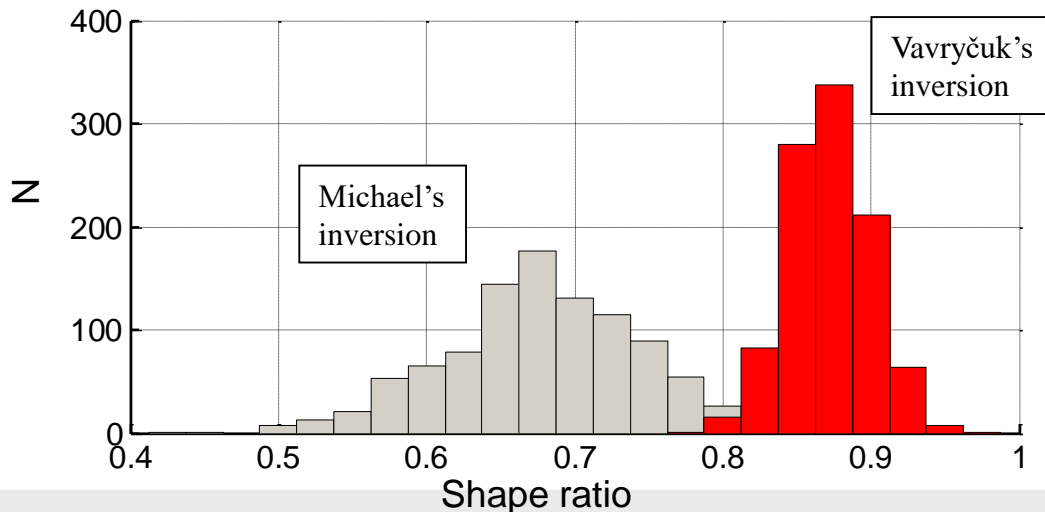
Michael's inversion



Vavryčuk's inversion



Uncertainties in the stress orientation



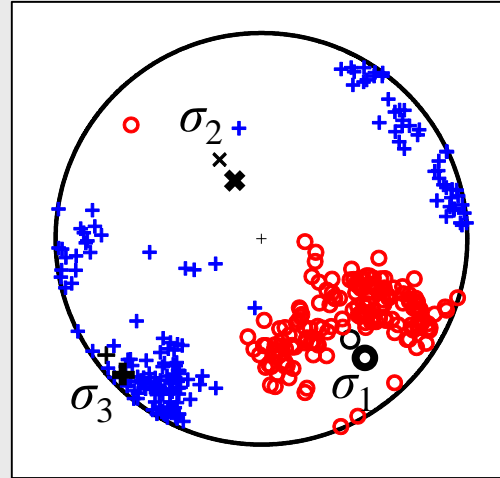
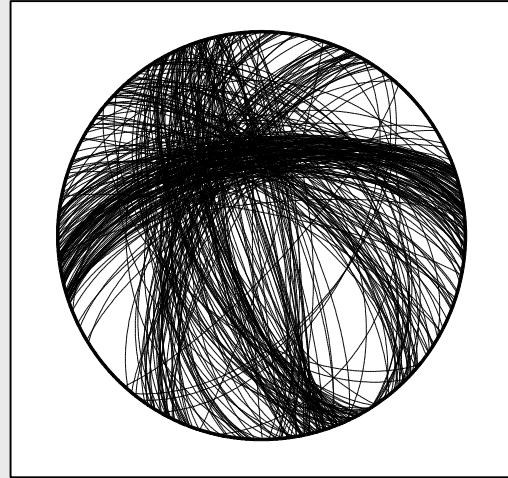
Uncertainties in the shape ratio

$$R = \frac{\sigma_1 - \sigma_2}{\sigma_1 - \sigma_3}$$

**Shape ratio is different!**

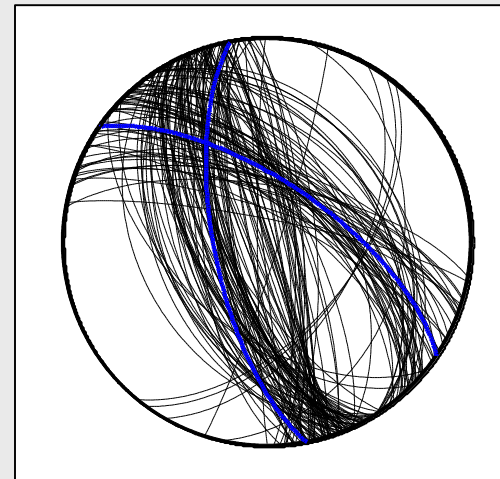
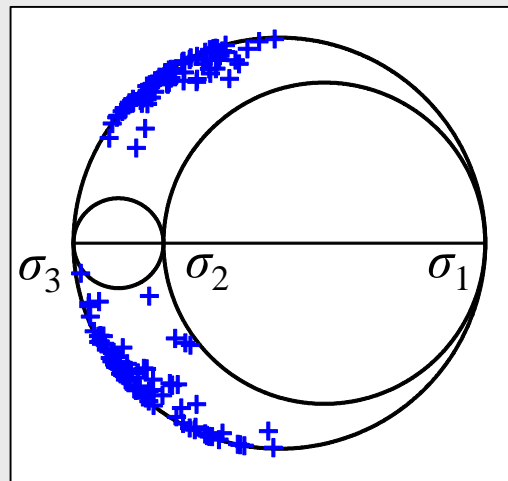
# **Inversion for shape ratio: West Bohemia**

# Joint inversion for stress and faults: West Bohemia



## Input data:

focal mechanisms  
with no knowledge about  
the fault orientations



## Output of the inversion:

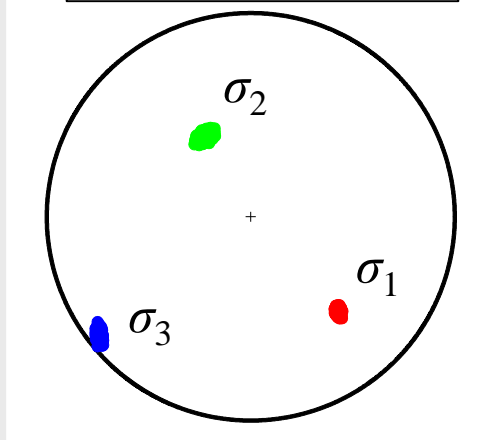
- stress axes
- shape ratio
- fault orientations

Earthquake swarm in West Bohemia in 2008

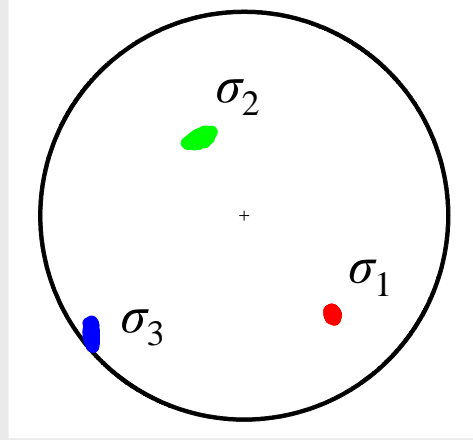


# Joint inversion for stress and faults: West Bohemia

Michael's inversion

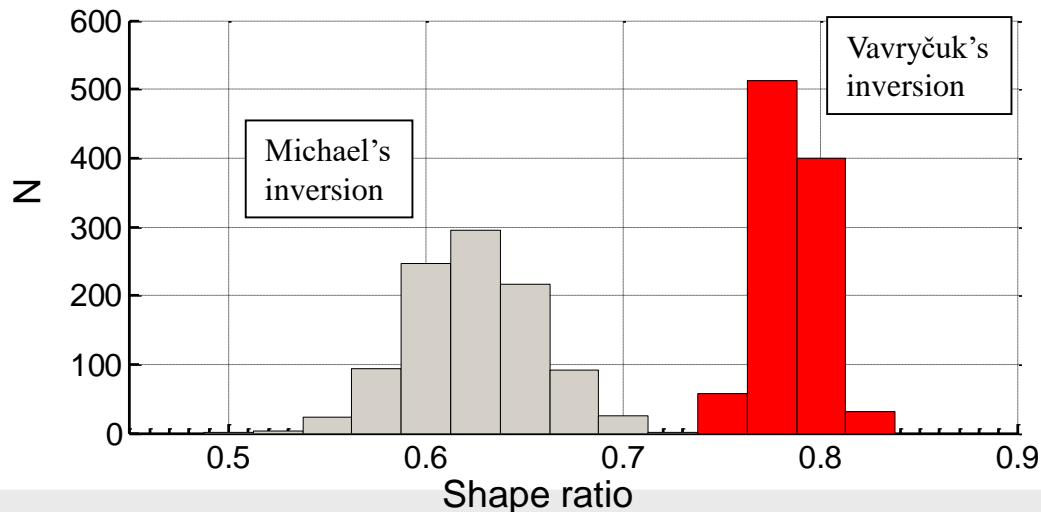


Vavryčuk's inversion



Uncertainties in  
the stress orientation

Uncertainties in  
the shape ratio

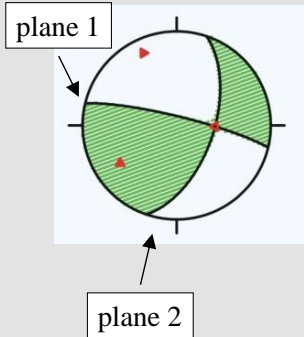


**Shape ratio is different!**

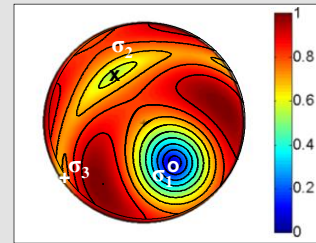
# Summary

# Summary

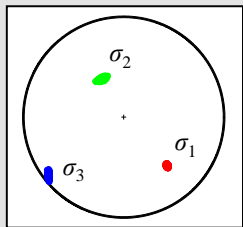
**Focal mechanisms** provide key information about stress field



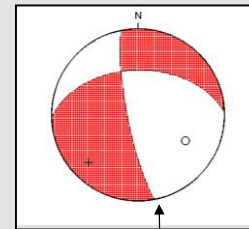
**Difficulty in stress inversions is the focal mechanism ambiguity**



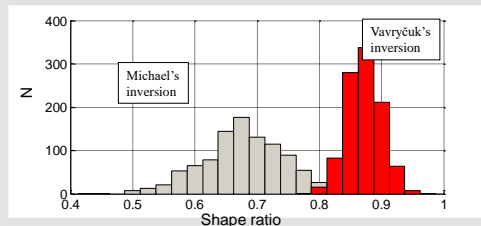
**Stress inversion using the instability criterion**



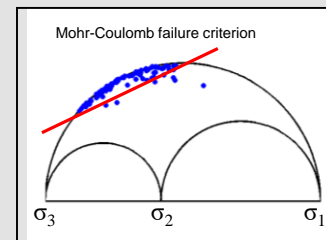
**Stress axes do not need knowledge of fault orientations**



**Orientation of faults**

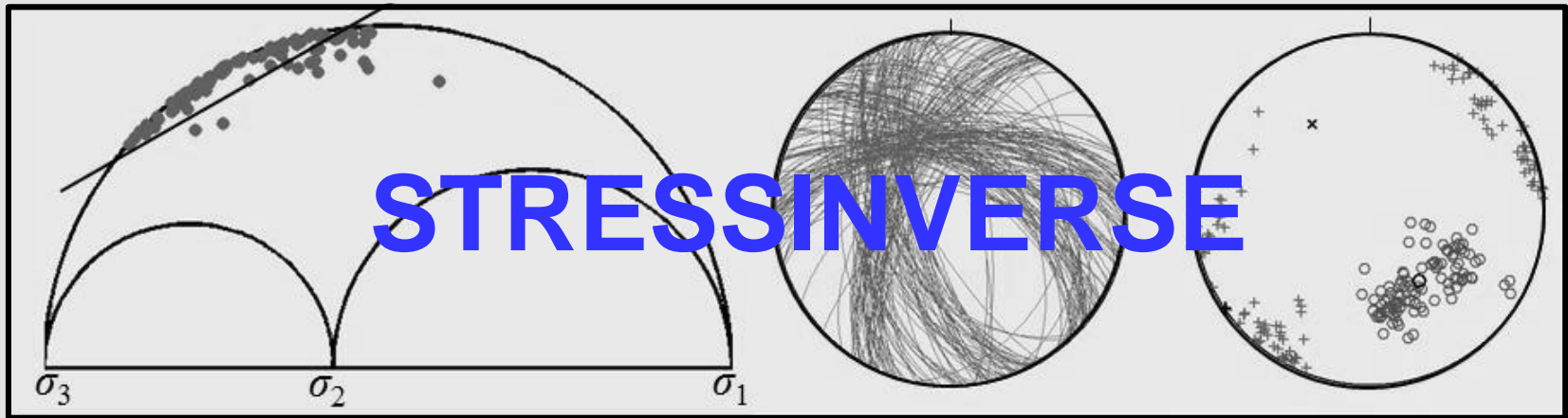


**Shape ratio needs knowledge of fault orientations**



**Friction on faults**

# Stress inversion software



**STRESSINVERSE** is a free Matlab and Python software package for an iterative joint inversion for stress and fault orientations.

**Link:** <http://www.ig.cas.cz/stress-inverse>

## Reference:

Vavryčuk, V., 2014. Iterative joint inversion for stress and fault orientations from focal mechanisms, *Geophysical Journal International*, **199**, 69-77.



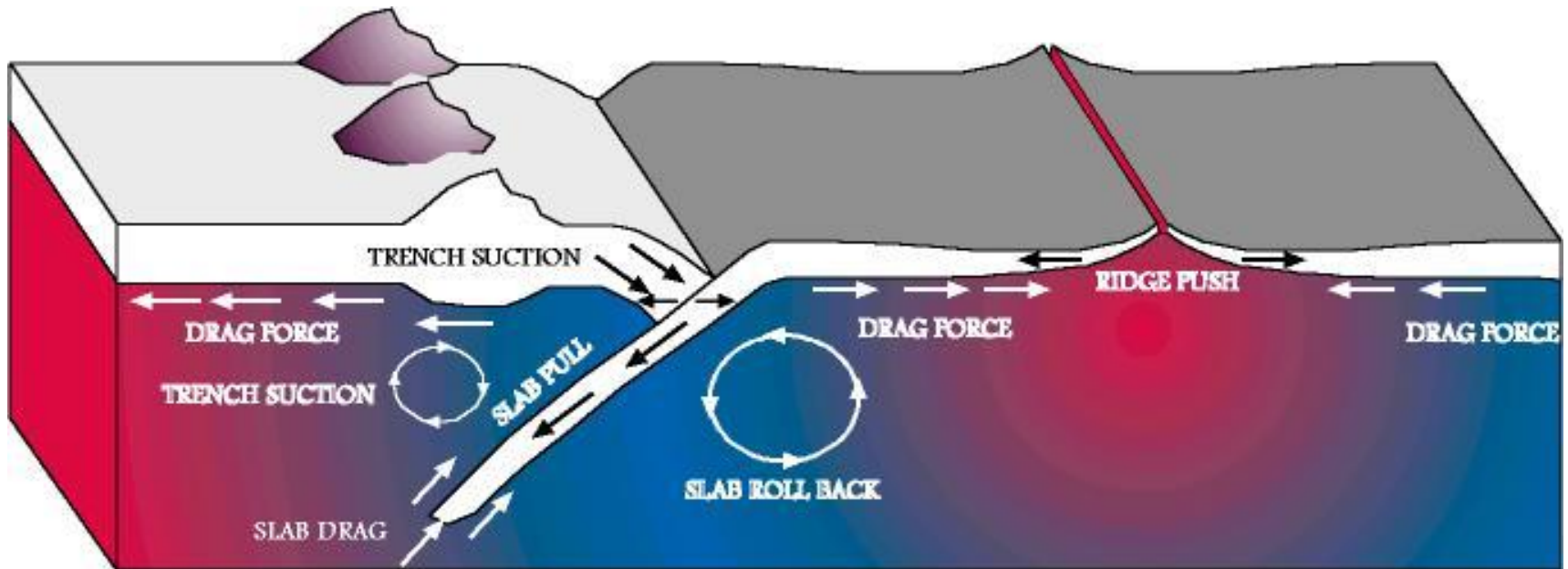
*Thank you  
for your attention!*

**Additional information**

# **Tectonic stress and seismicity**

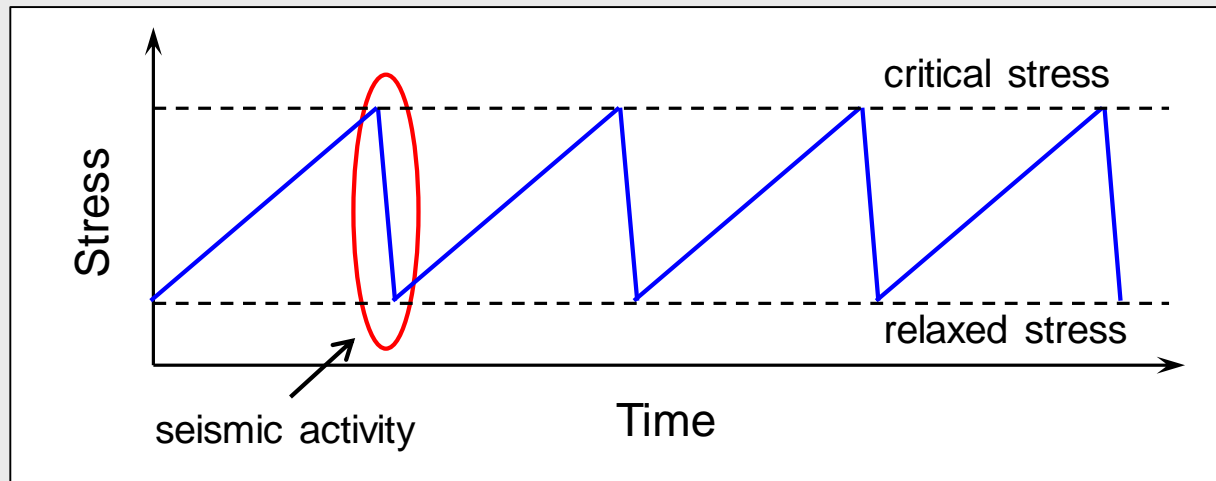
# Stress: origin of geodynamic phenomena in the Earth

## Basic schematic of different Plate Driving Forces





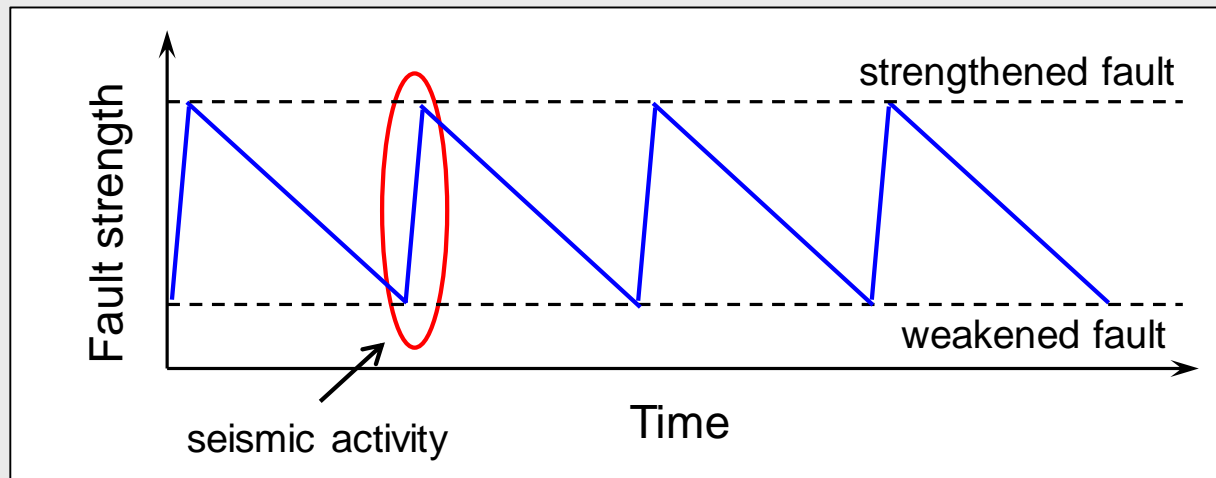
# Seismic cycles: two alternative scenarios



## Stress accumulation

(seismicity at margins of continental plates)

mainshock-aftershocks

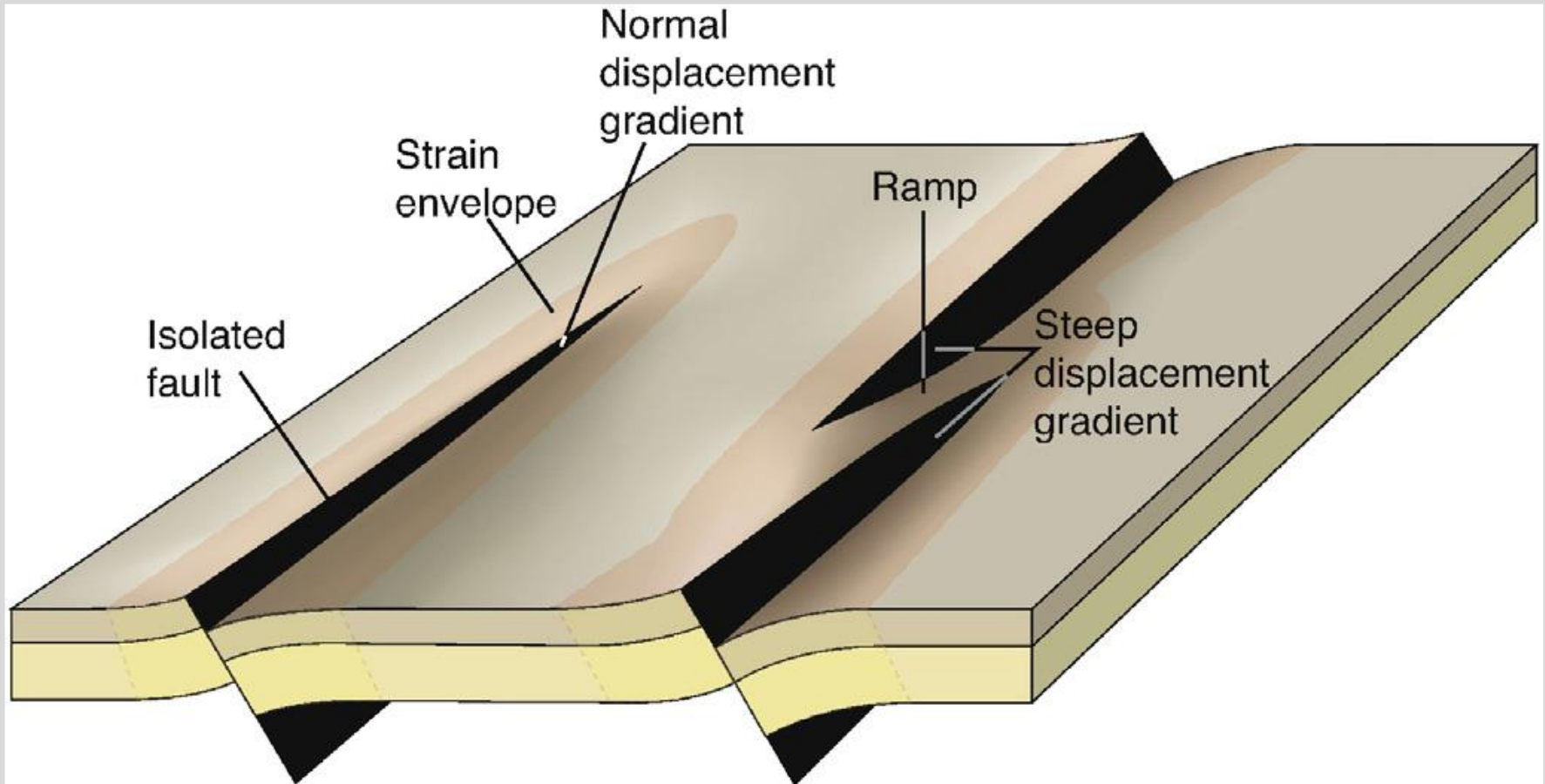


## Fault weakening due to fluid erosion

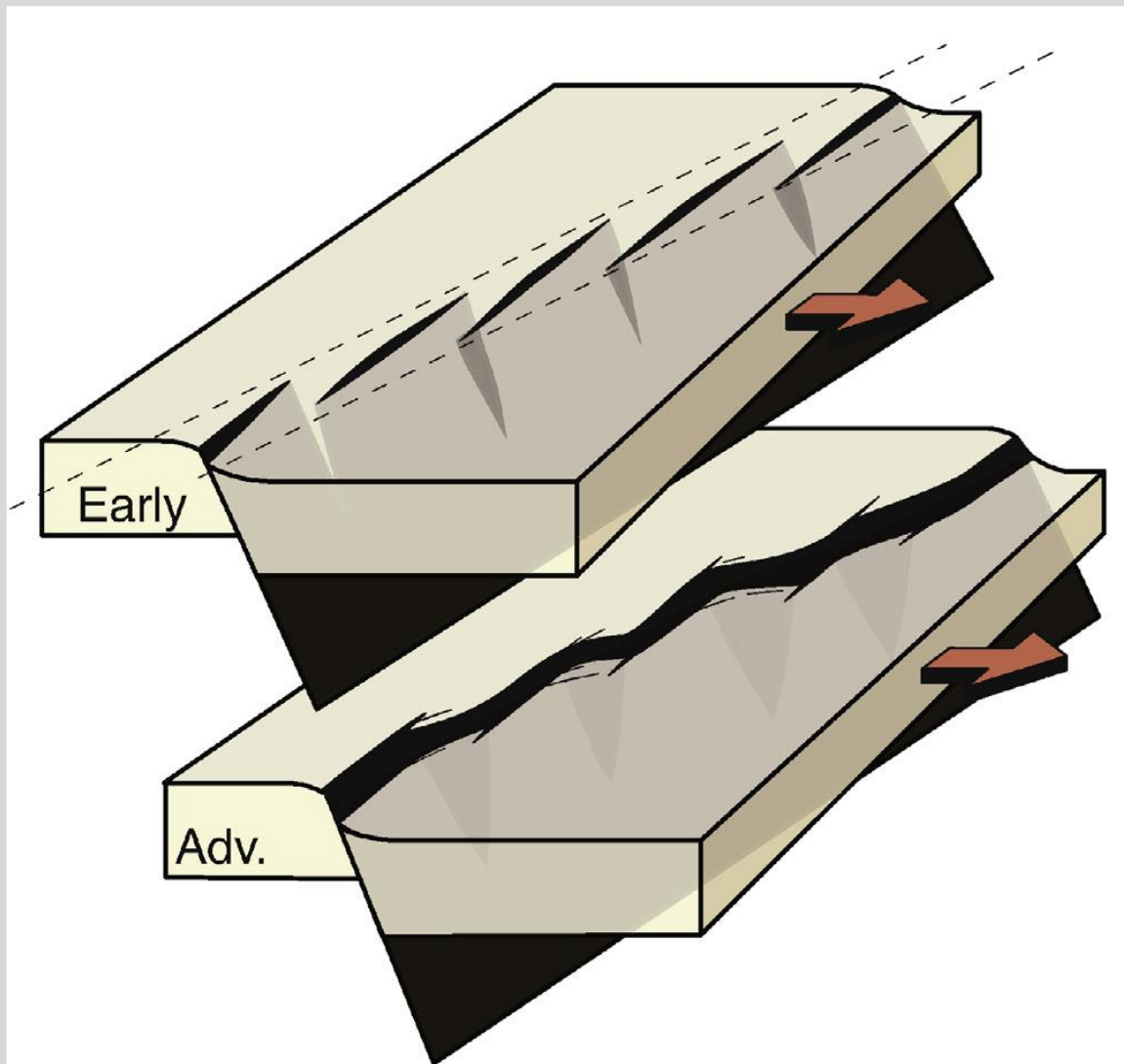
(intraplate seismicity)

earthquake swarms

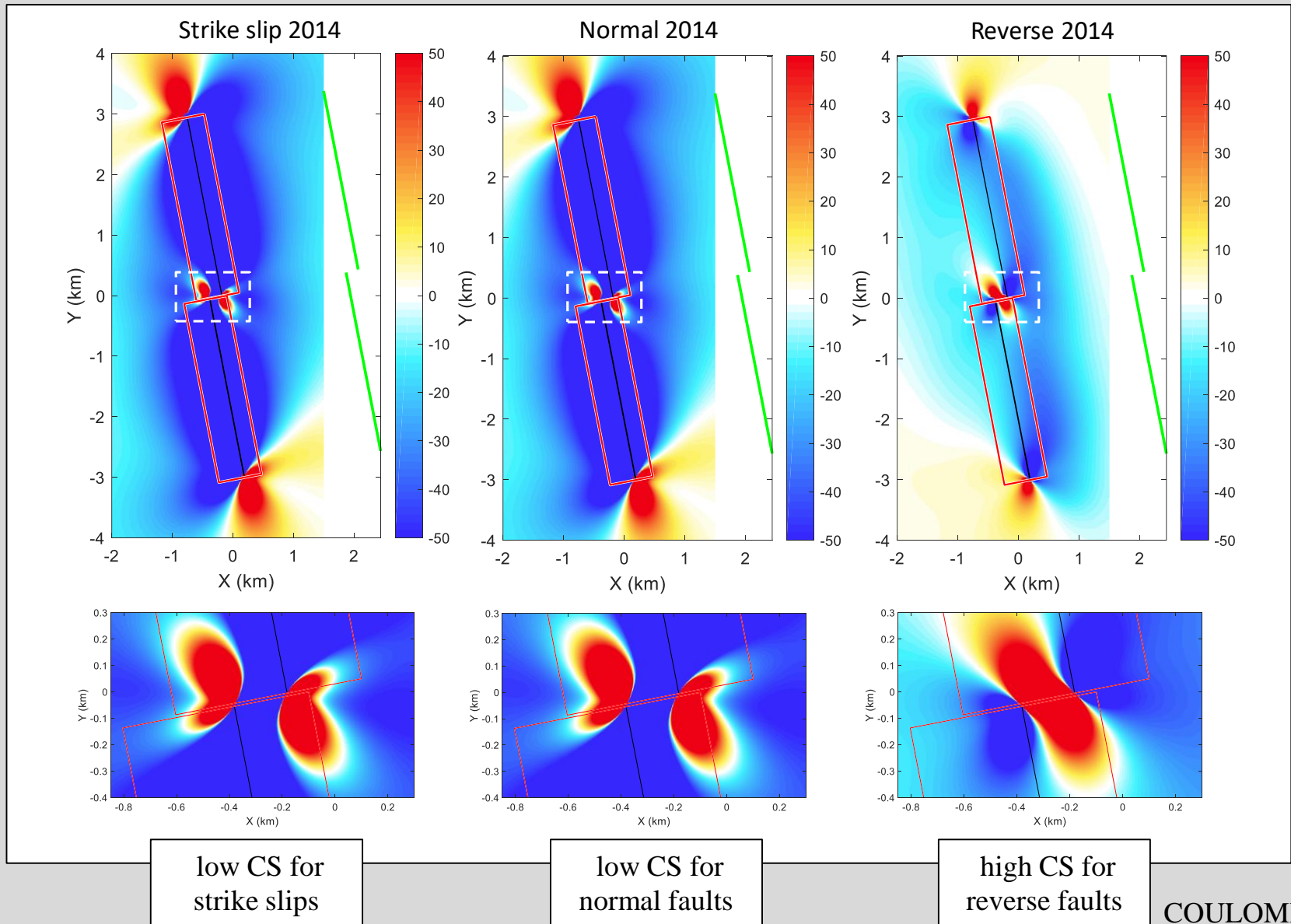
# Fault interaction



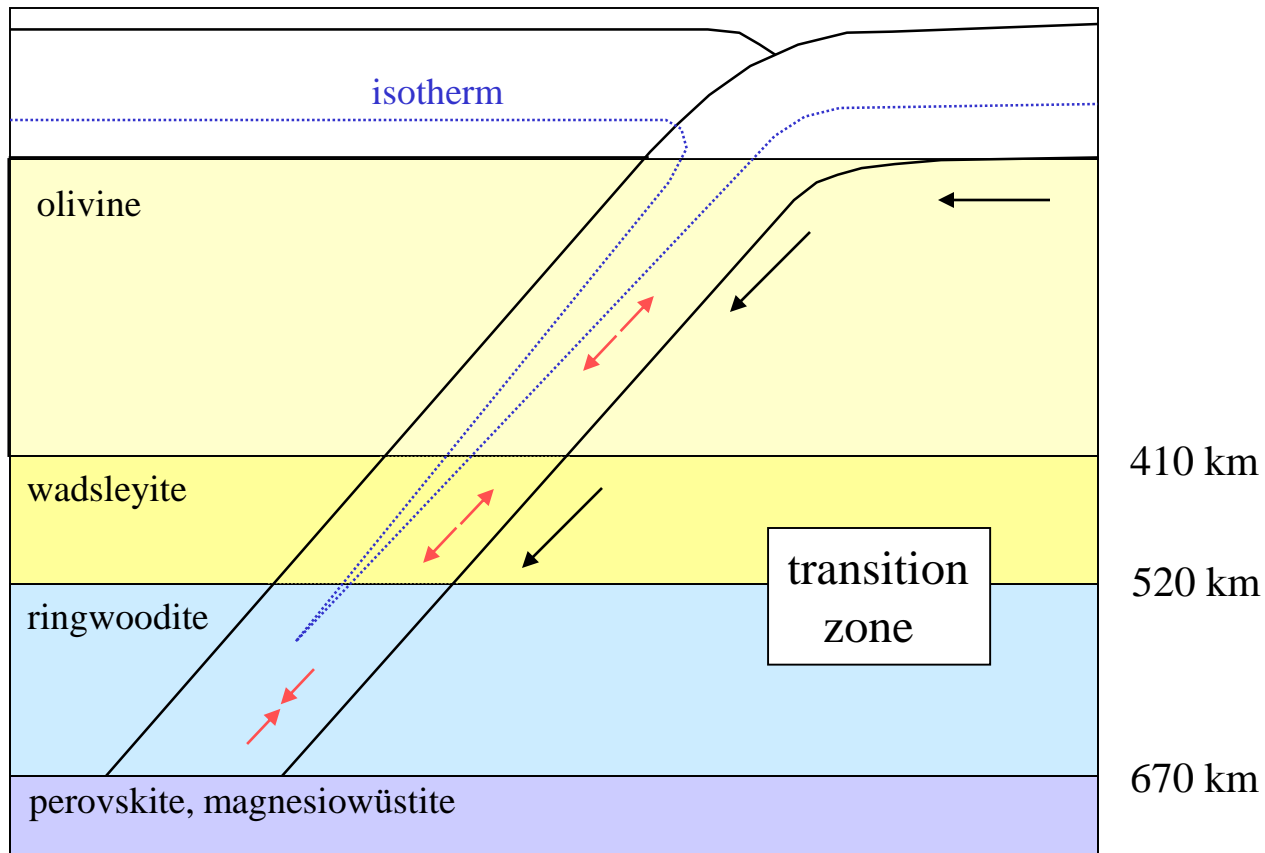
# Fault steps and fault linkage



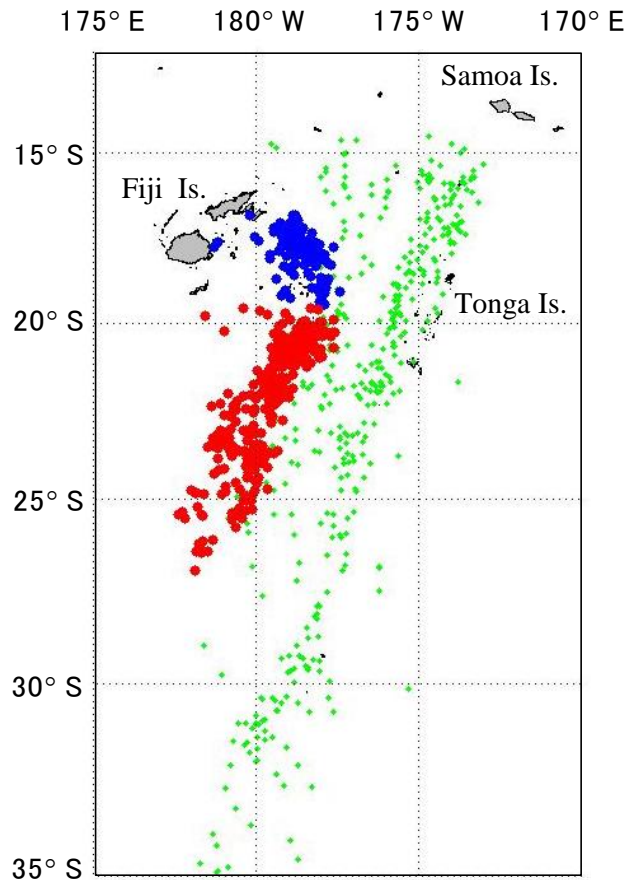
# Coulomb stress (CS) modelling



# Stress variation in a slab



# Seismicity in a slab: Tonga subduction zone



Harvard MT solutions  
(M>5, 1980-2002)

Pacific Plate subducts under the  
Australian Plate

Plate velocity is 10.5 cm/yr

Azimuth of the Tonga Trench is N210°E

Dip of the subducting slab is 60°

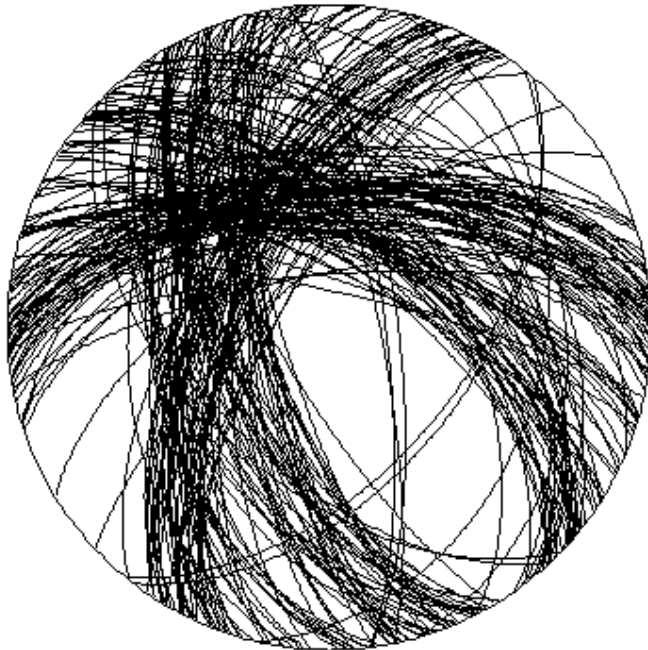
The highest deep seismicity in the world

- depth 100-500 km
- **depth 500-700 km, southern cluster** ←
- depth 500-700 km, northern cluster

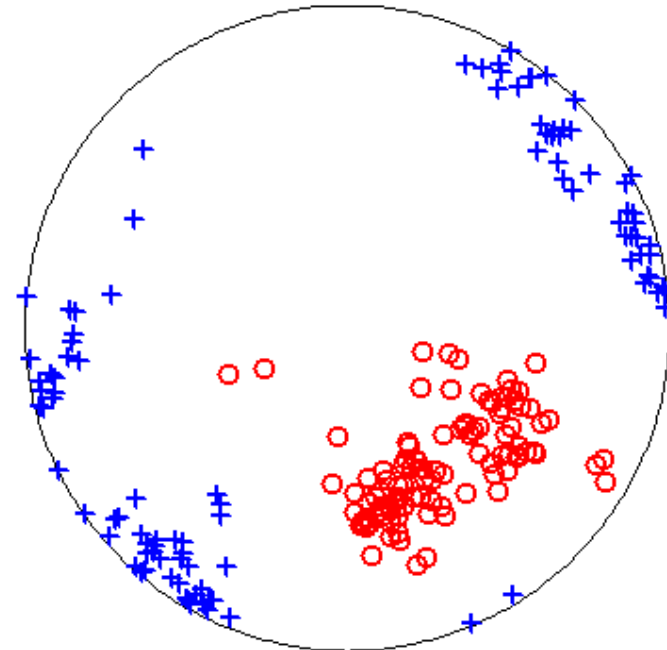
# Family of accurate focal mechanisms

## 101 most accurate focal mechanisms

Nodal lines

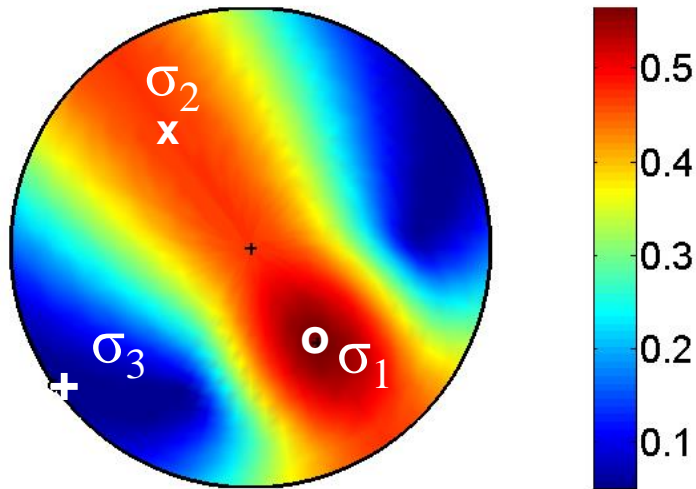


P(o)/T(+) axes

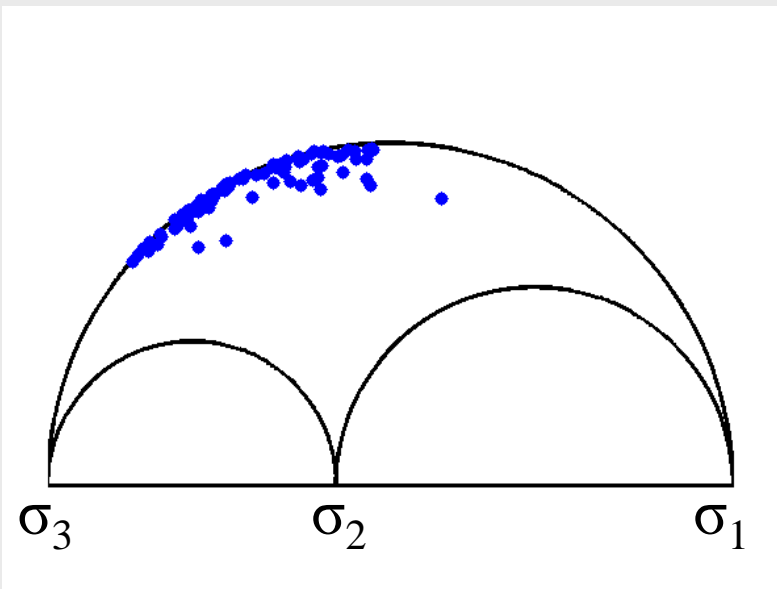


# Inversion for stress: Angelier method (2002)

## Fit function



## Mohr's diagram



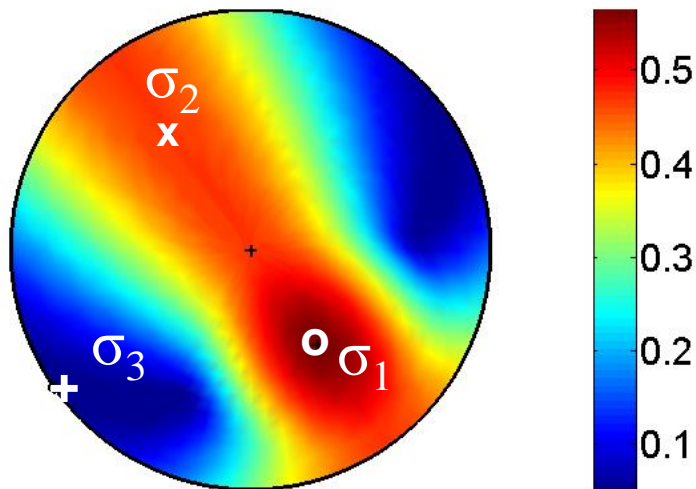
*SSSC criterion is maximized*

**Optimum stress:**  $\sigma_1 = 50^\circ/145^\circ$ ,  $\sigma_2 = 40^\circ/325^\circ$ ,  $\sigma_3 = 0^\circ/235^\circ$ ,  $R = 0.55$

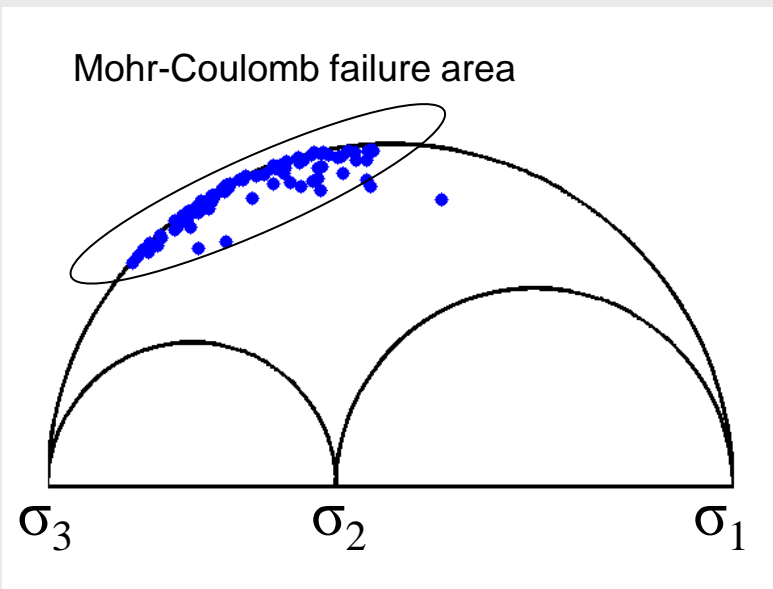


# Inversion for stress: Angelier method (2002)

## Misfit function



## Mohr's diagram

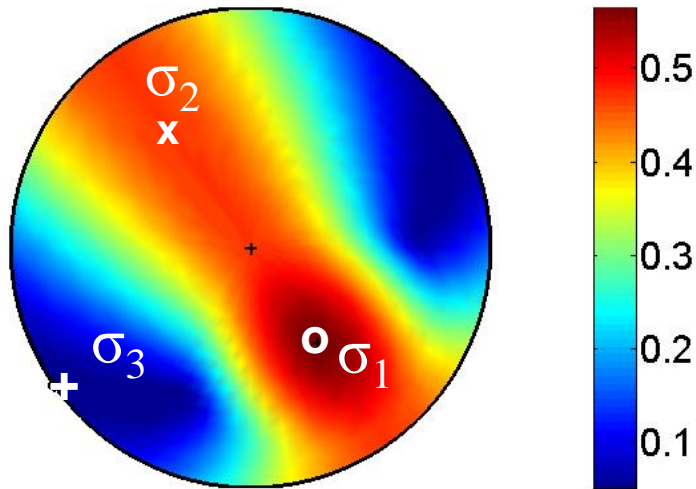


*SSSC criterion is maximized*

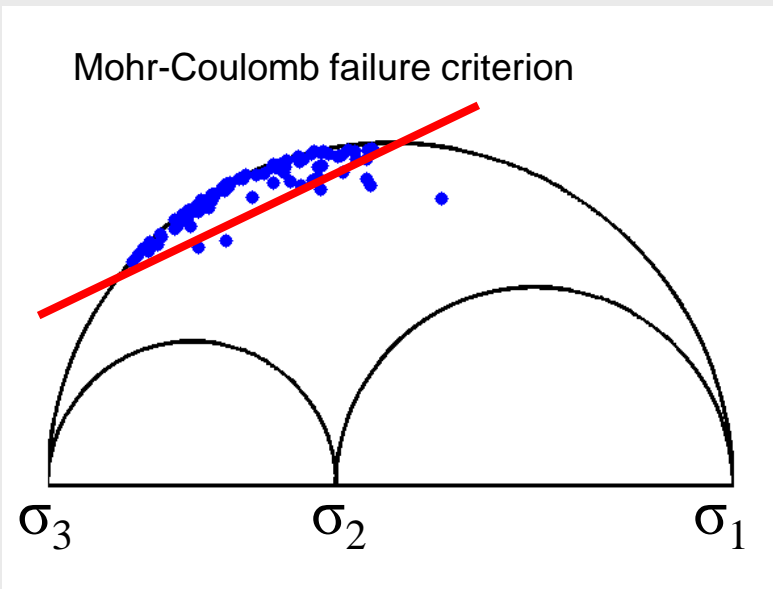
**Optimum stress:**  $\sigma_1 = 50^\circ/145^\circ$ ,  $\sigma_2 = 40^\circ/325^\circ$ ,  $\sigma_3 = 0^\circ/235^\circ$ ,  $R = 0.55$

# Inversion for stress: Angelier method (2002)

## Misfit function



## Mohr's diagram



*SSSC criterion is maximized*

**Optimum stress:**  $\sigma_1 = 50^\circ/145^\circ$ ,  $\sigma_2 = 40^\circ/325^\circ$ ,  $\sigma_3 = 0^\circ/235^\circ$ ,  $R = 0.55$

# Forward modelling

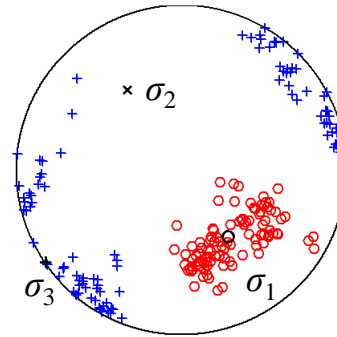
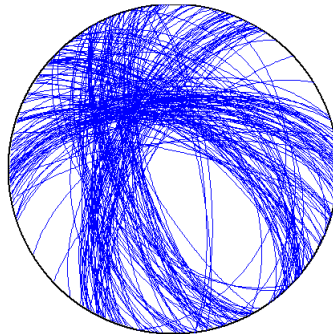
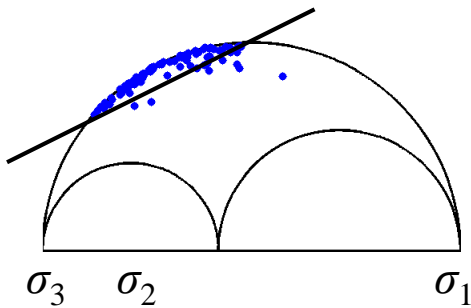
Mohr's diagram

Nodal lines

P/T axes

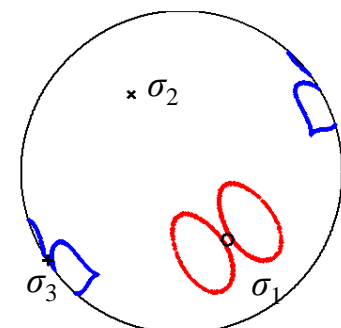
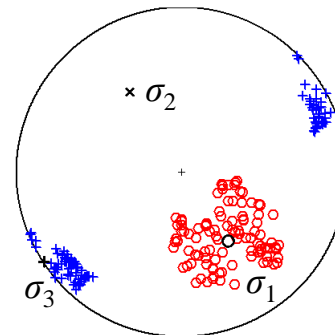
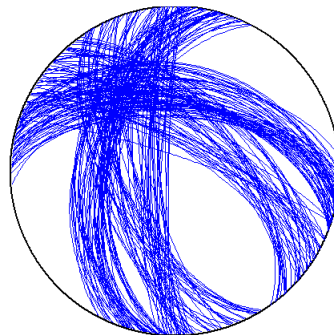
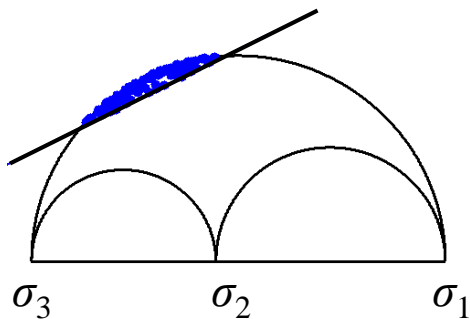
Failure curves

Real data



friction	= 0.50
shape ratio	= 0.55

Synthetic data



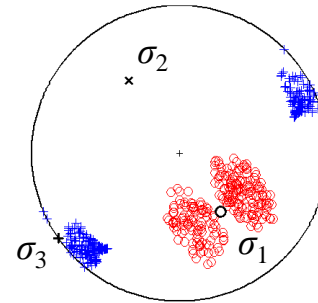
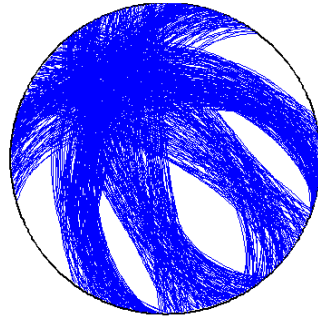
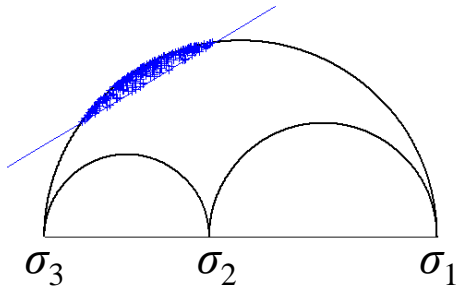
# Refining shape ratio I

Mohr's diagram

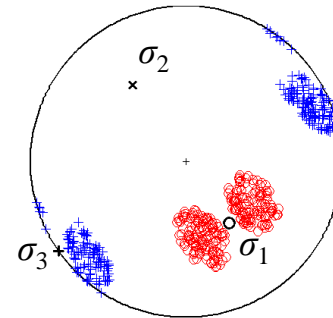
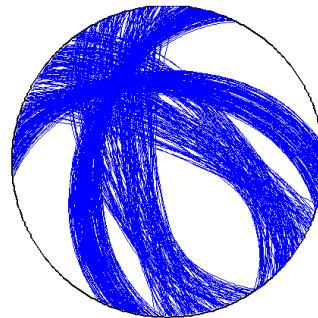
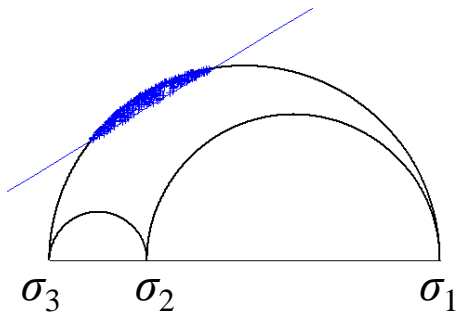
Nodal lines

P/T axes

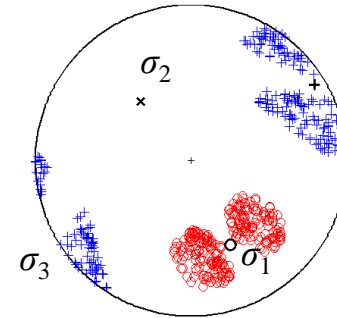
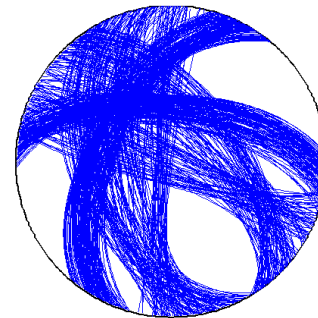
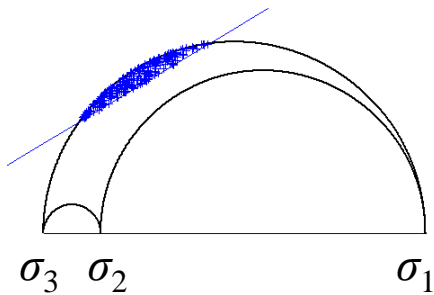
friction = 0.6



shape ratio = 0.60



shape ratio = 0.75



shape ratio = 0.85

# Refining shape ratio II

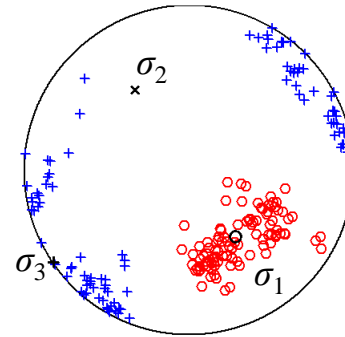
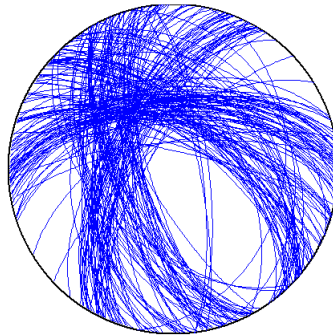
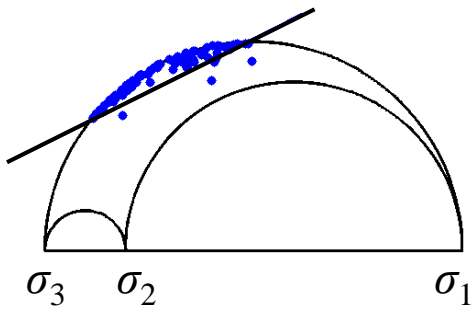
Mohr's diagram

Nodal lines

P/T axes

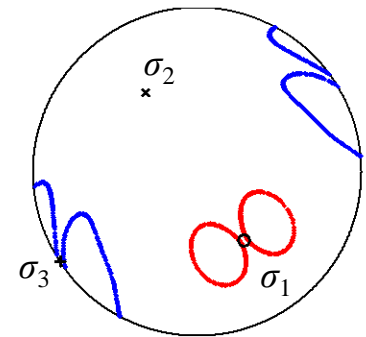
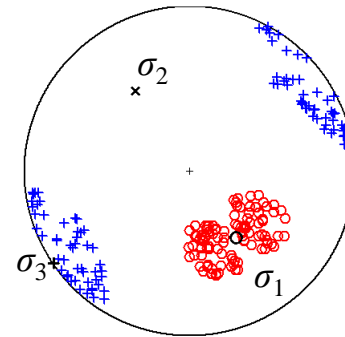
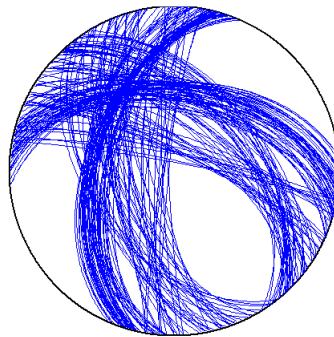
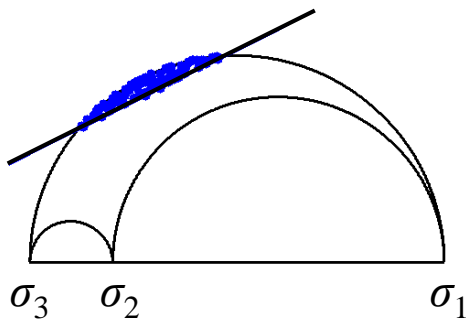
Failure curves

Real data



friction	= 0.5
shape ratio	= 0.8

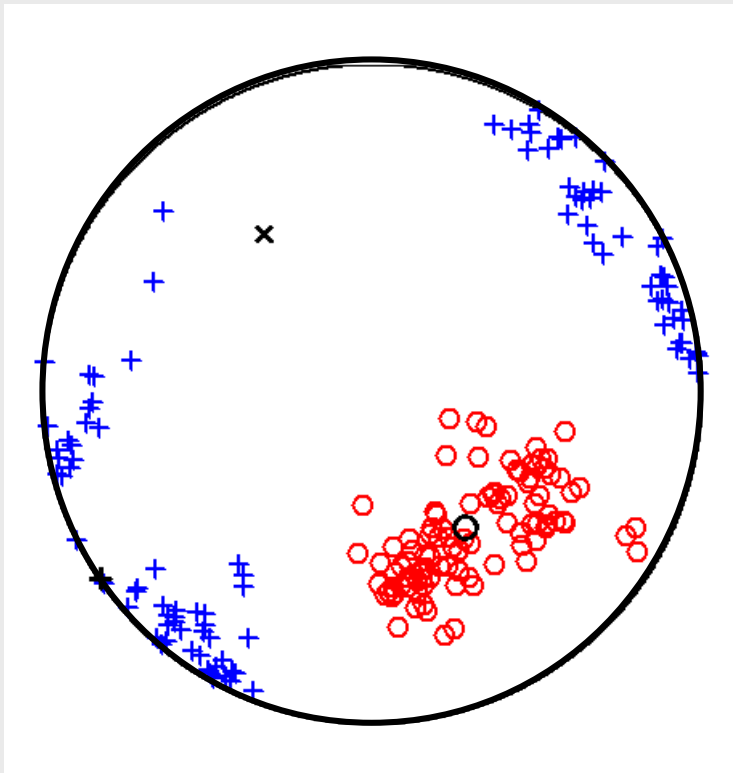
Synthetic data



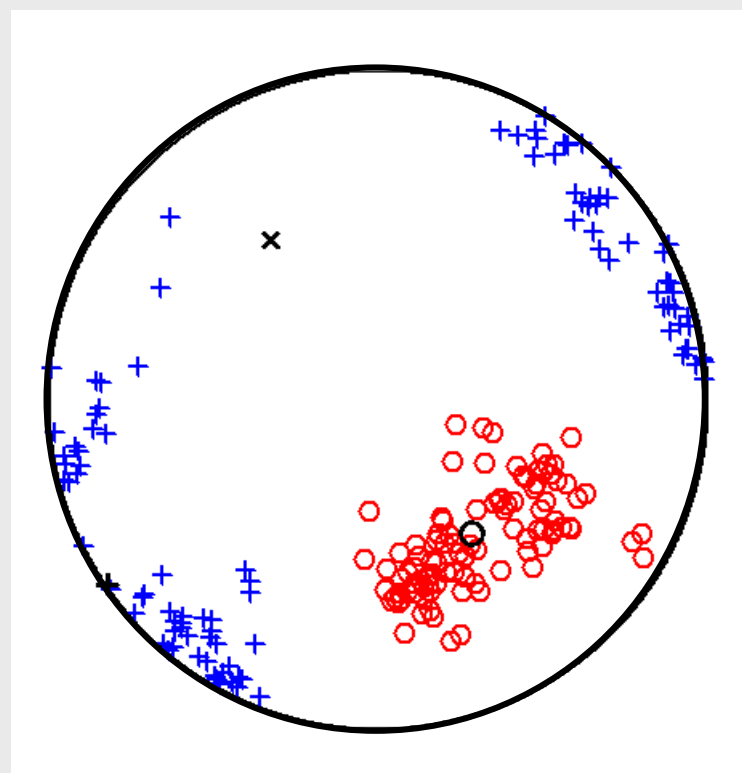
# Refining shape ratio III

Original shape ratio

Refined shape ratio



shape ratio = 0.55

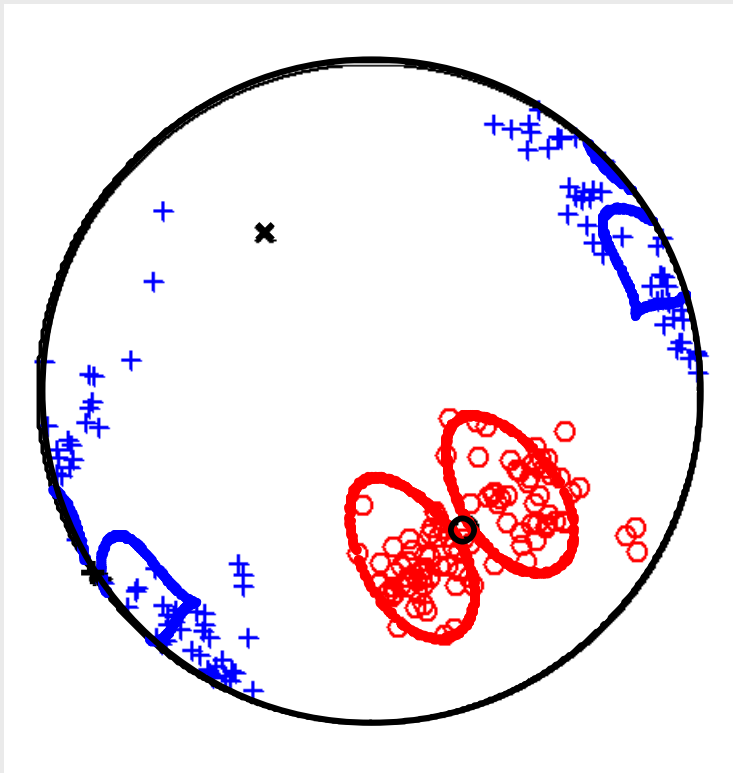


shape ratio = 0.80

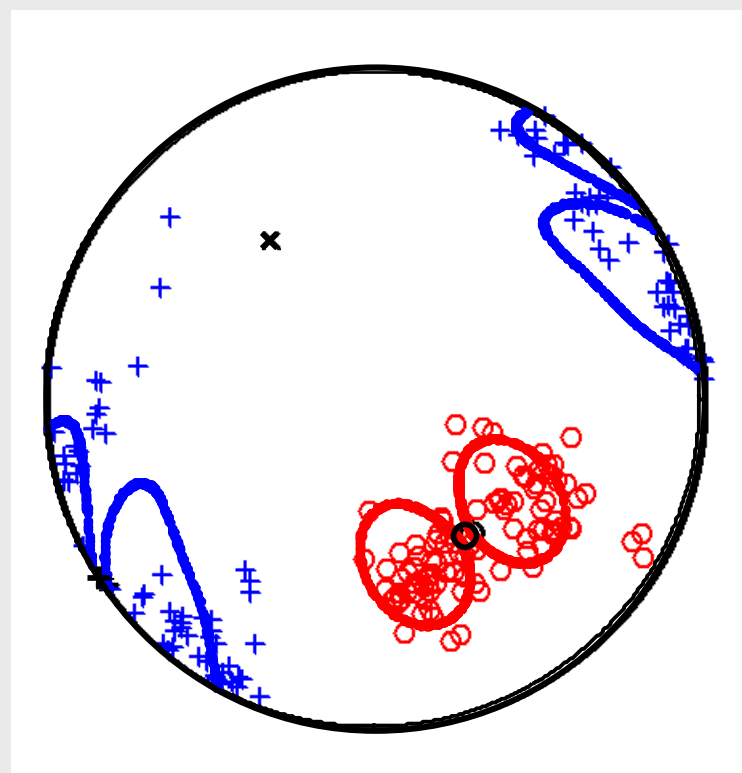
# Refining shape ratio III

Original shape ratio

Refined shape ratio

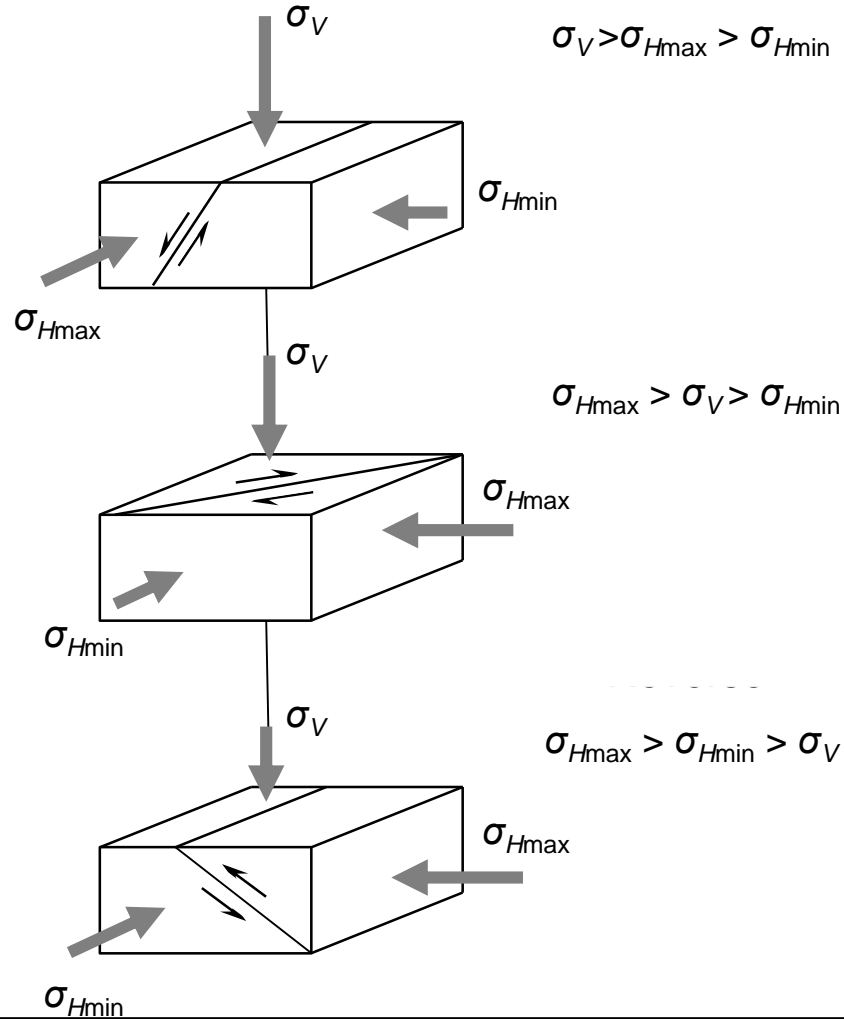


shape ratio = 0.55



shape ratio = 0.80

# Tectonic stress and faulting regime

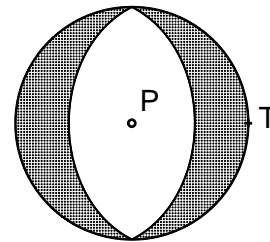
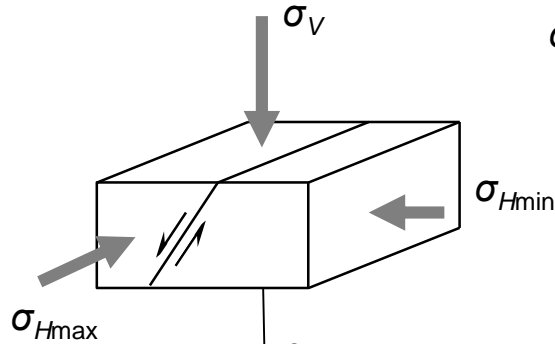




# Tectonic stress and faulting regime

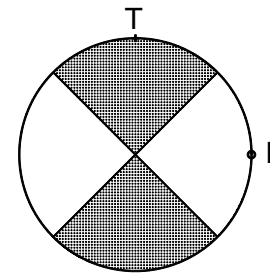
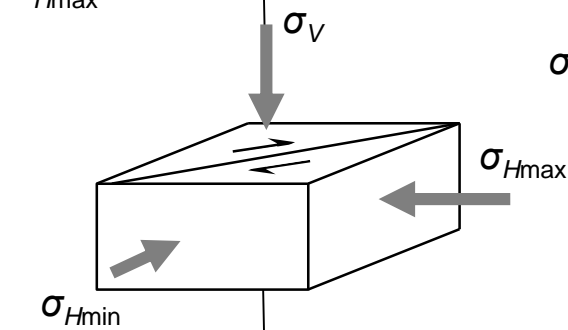
Normal

$$\sigma_V > \sigma_{Hmax} > \sigma_{Hmin}$$



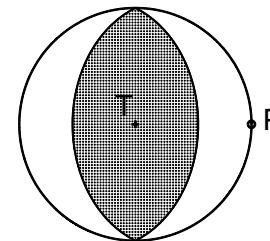
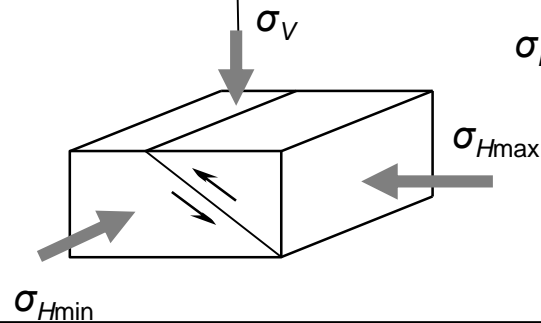
Strike-slip

$$\sigma_{Hmax} > \sigma_V > \sigma_{Hmin}$$



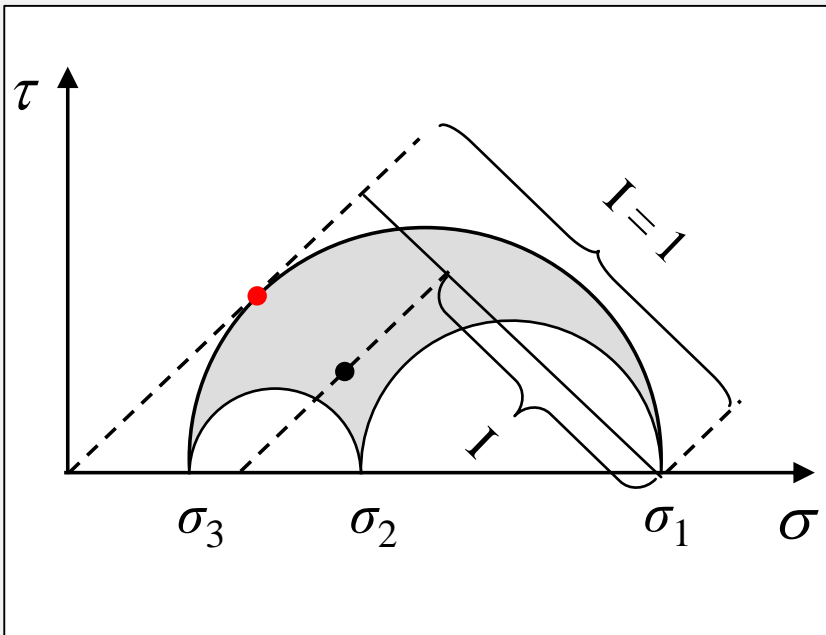
Reverse

$$\sigma_{Hmax} > \sigma_{Hmin} > \sigma_V$$

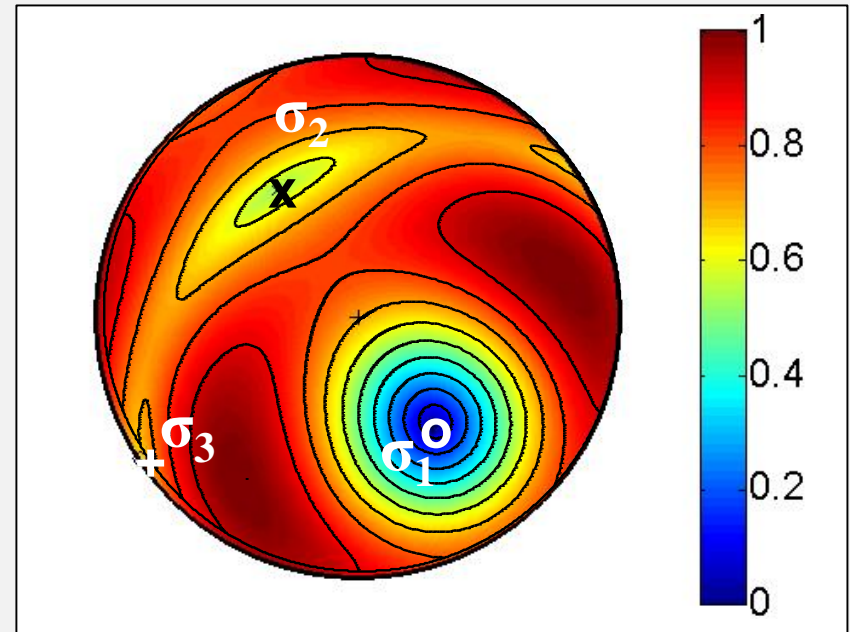


# Fault instability: visualization

Mohr circles



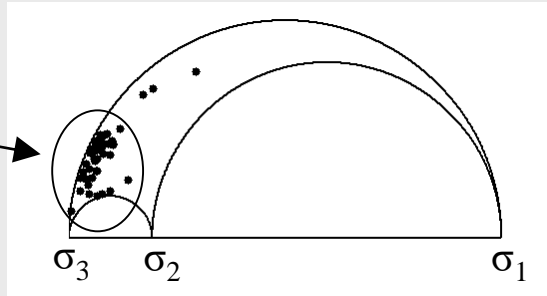
Focal sphere



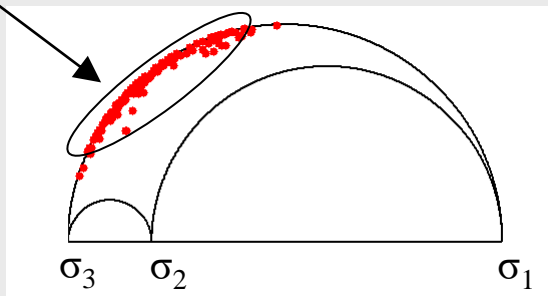
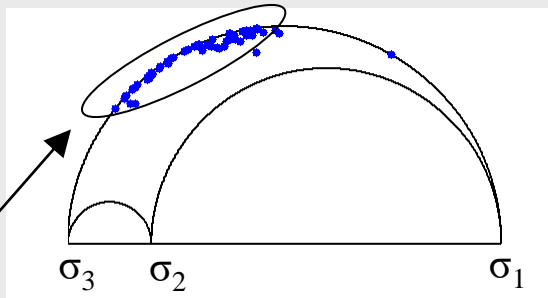
# Fault instability & Mohr's diagrams

Mohr's diagrams

low shear stress

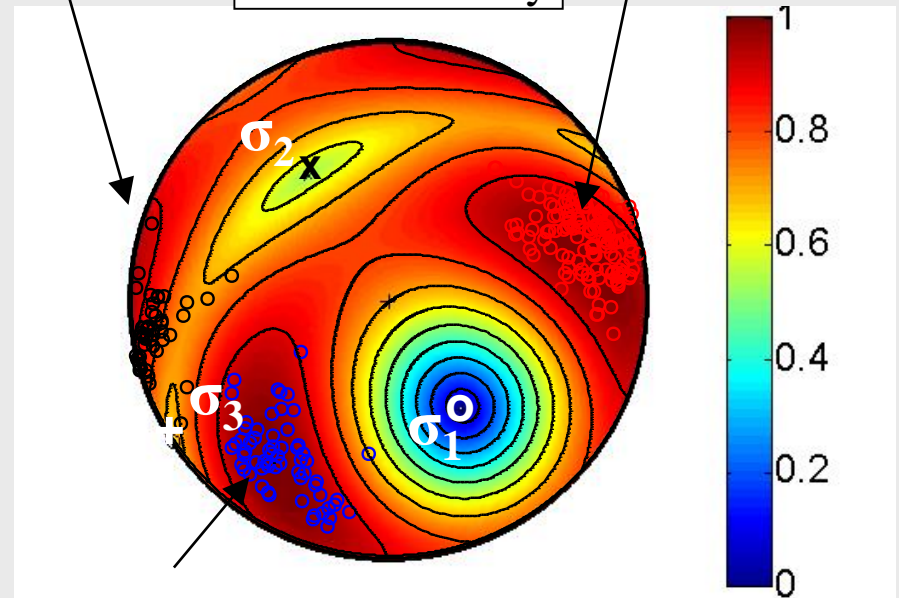


high shear stress



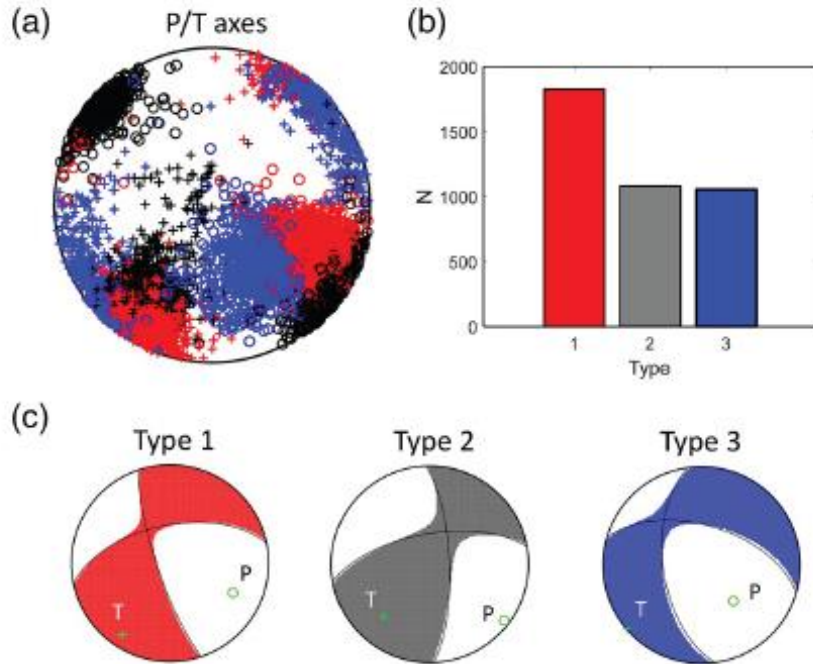
misoriented faults

Fault instability



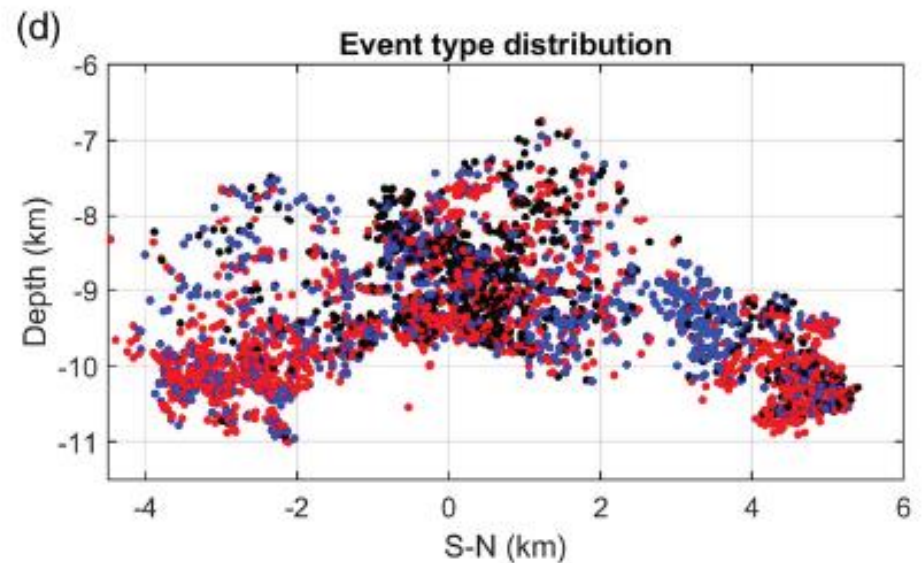
optimally oriented faults

# Earthquake activity in West Bohemia in 2008-2018



## Origins of the FM variety:

- differently oriented preexisting faults
- heterogeneous stress

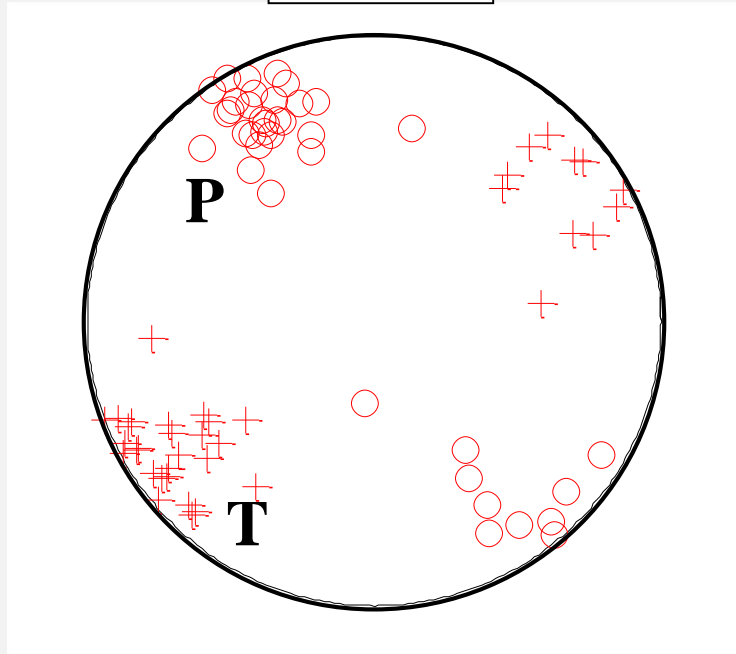


# **Inversion for stress: example**

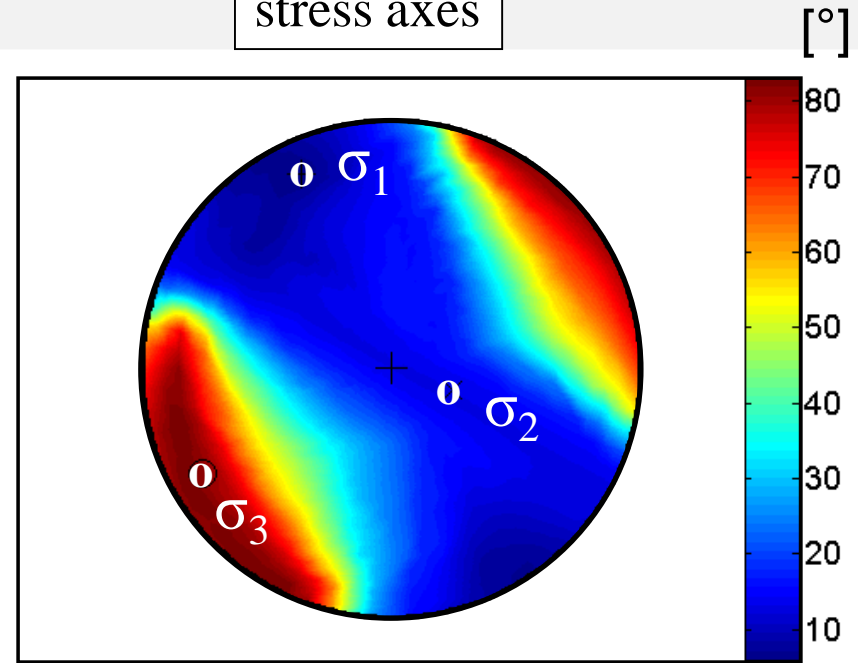
# Fluid injection in KTB: stress inversion

## Gephart & Forsyth stress inversion

P/T axes



stress axes



sigma 1 and sigma 3 lie within the P and T clusters

**Tectonic stress  
from focal mechanisms:  
Applications I**

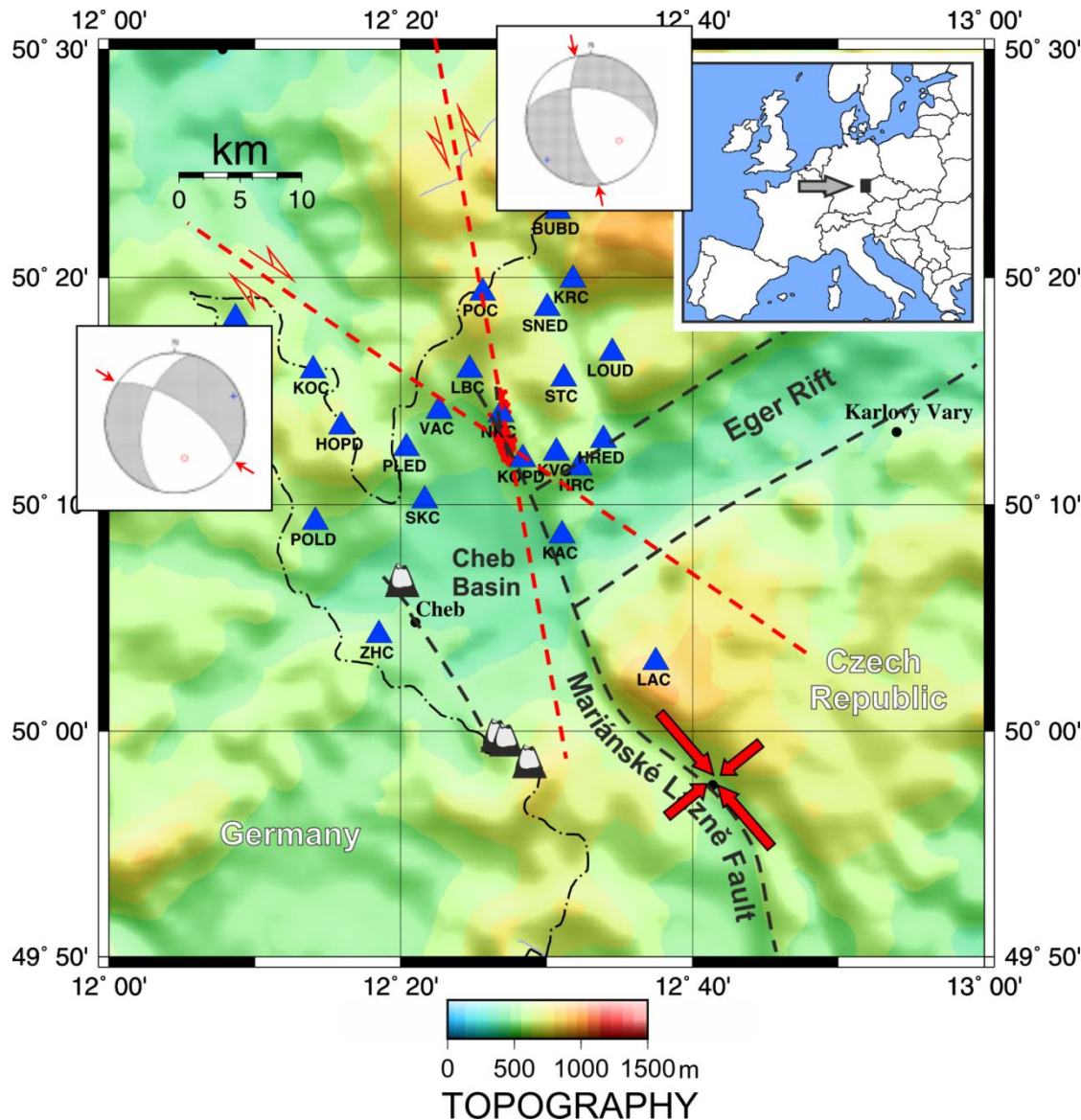
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**Václav Vavryčuk**  
*Institute of Geophysics, Prague*

**Swarm activity  
in West Bohemia**



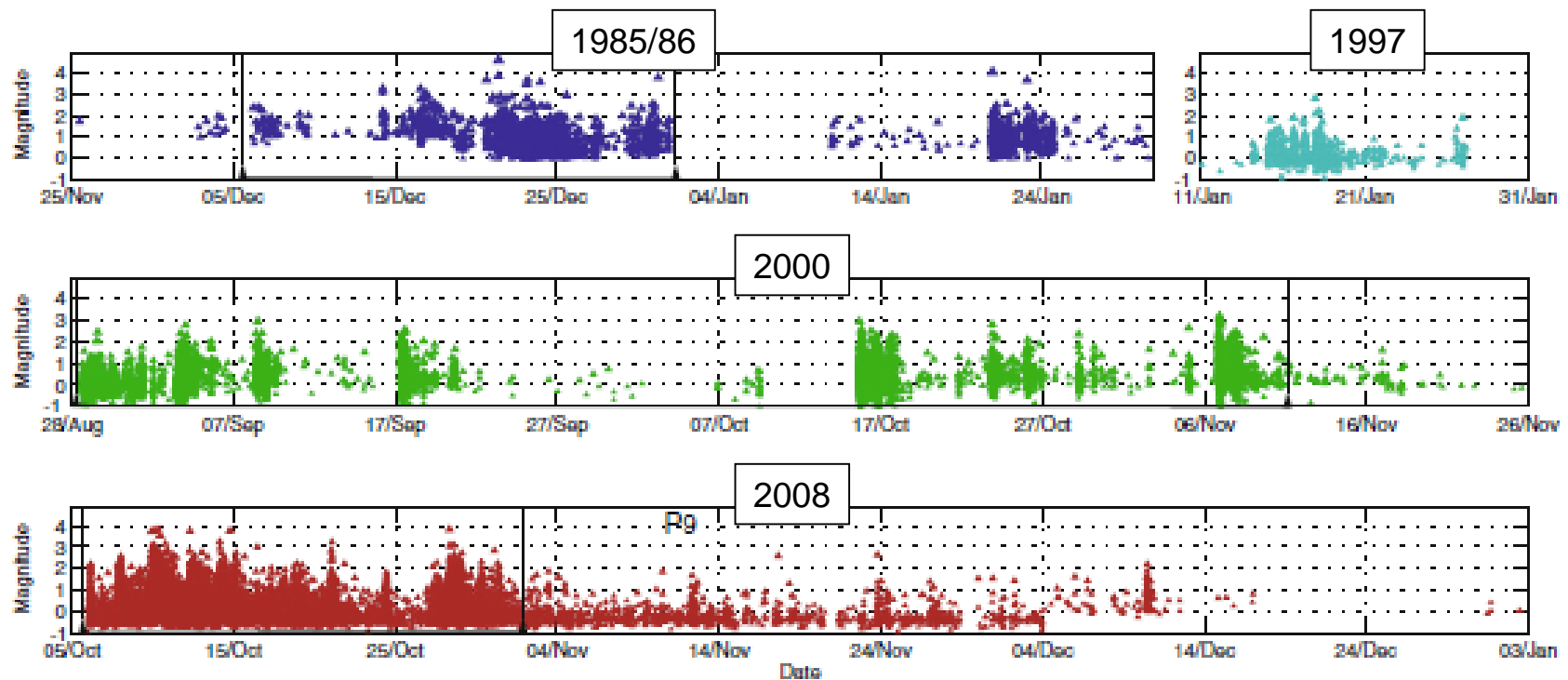
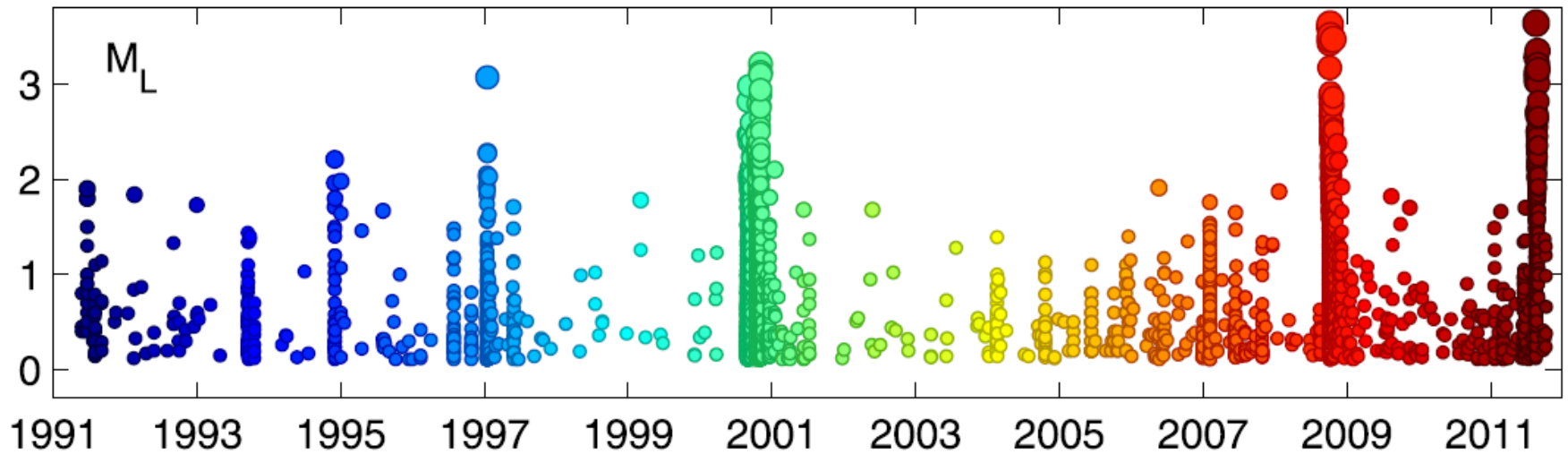
# Swarm area in West Bohemia, Czech Republic



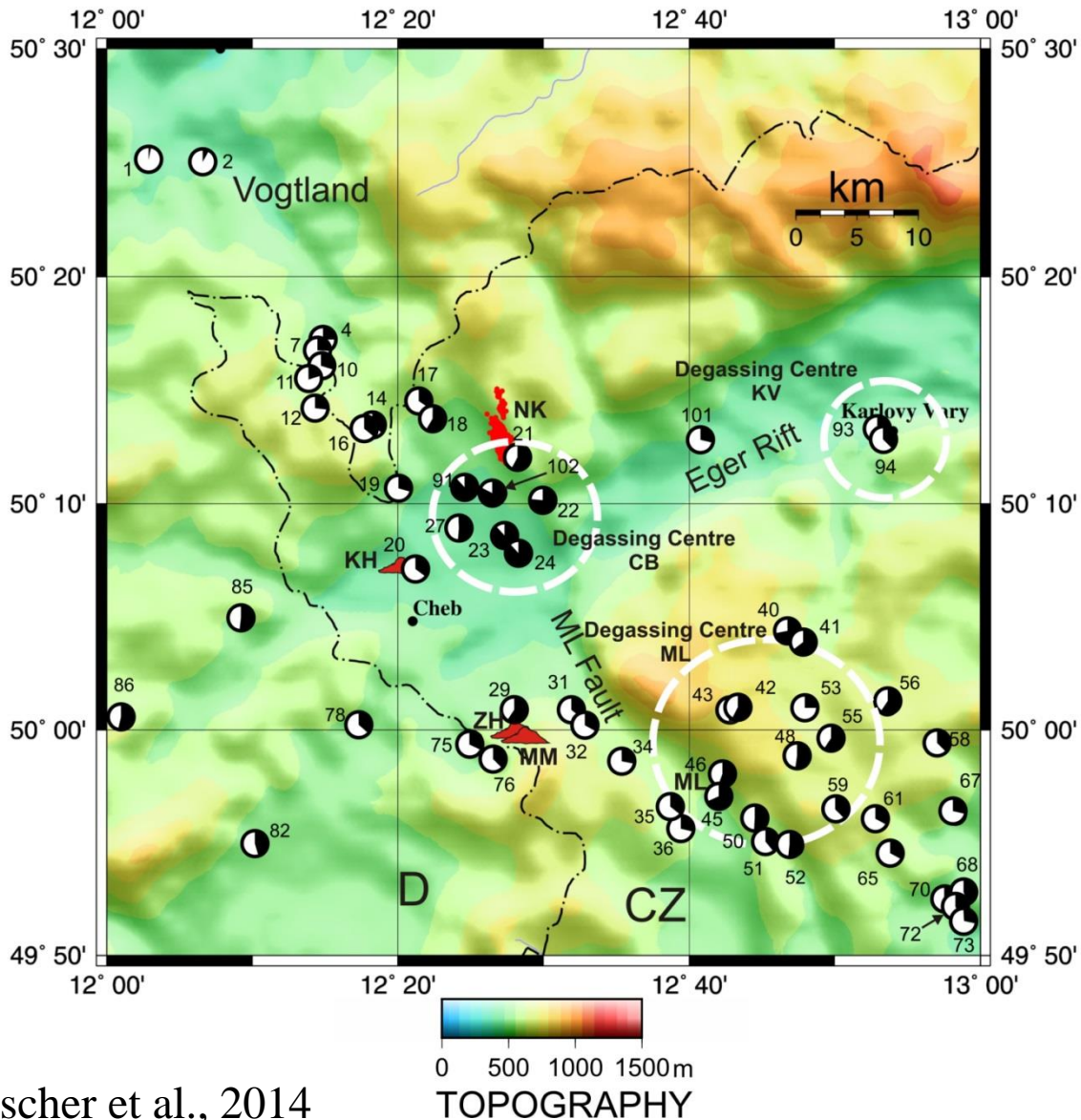
## Geodynamically active area:

- Intersection of two major fault systems
- Persistent seismicity
- Emanations of CO<sub>2</sub> rich fluids
- Springs of mineral water
- Quaternary volcanoes

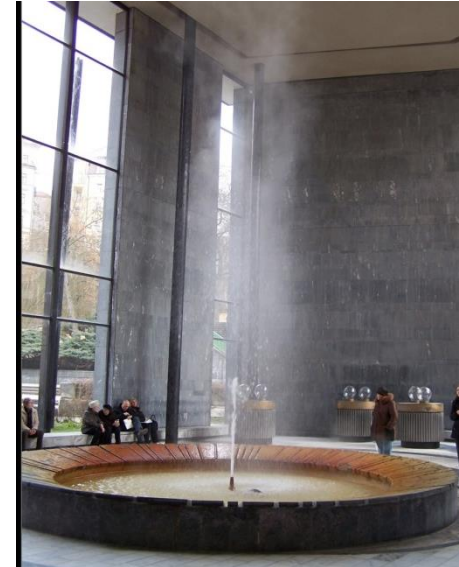
# Seismicity in West Bohemia: period 1991-2012



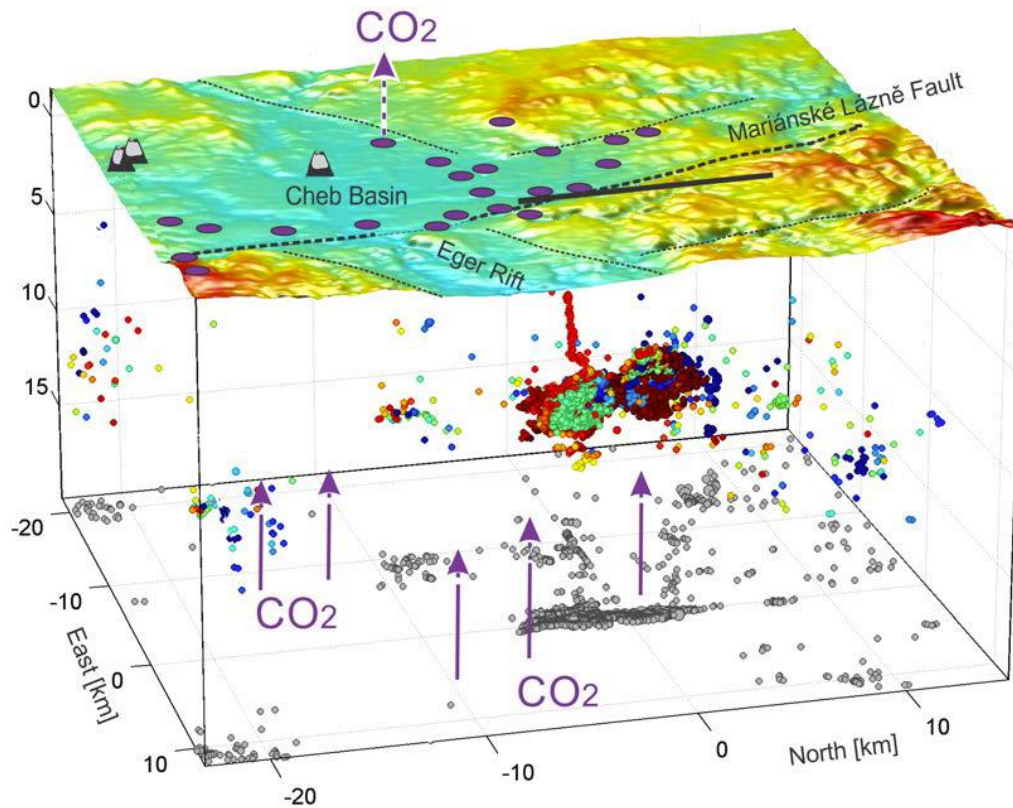
# Fluid emanations



Fischer et al., 2014



# Geodynamic activity in West Bohemia/Vogtland

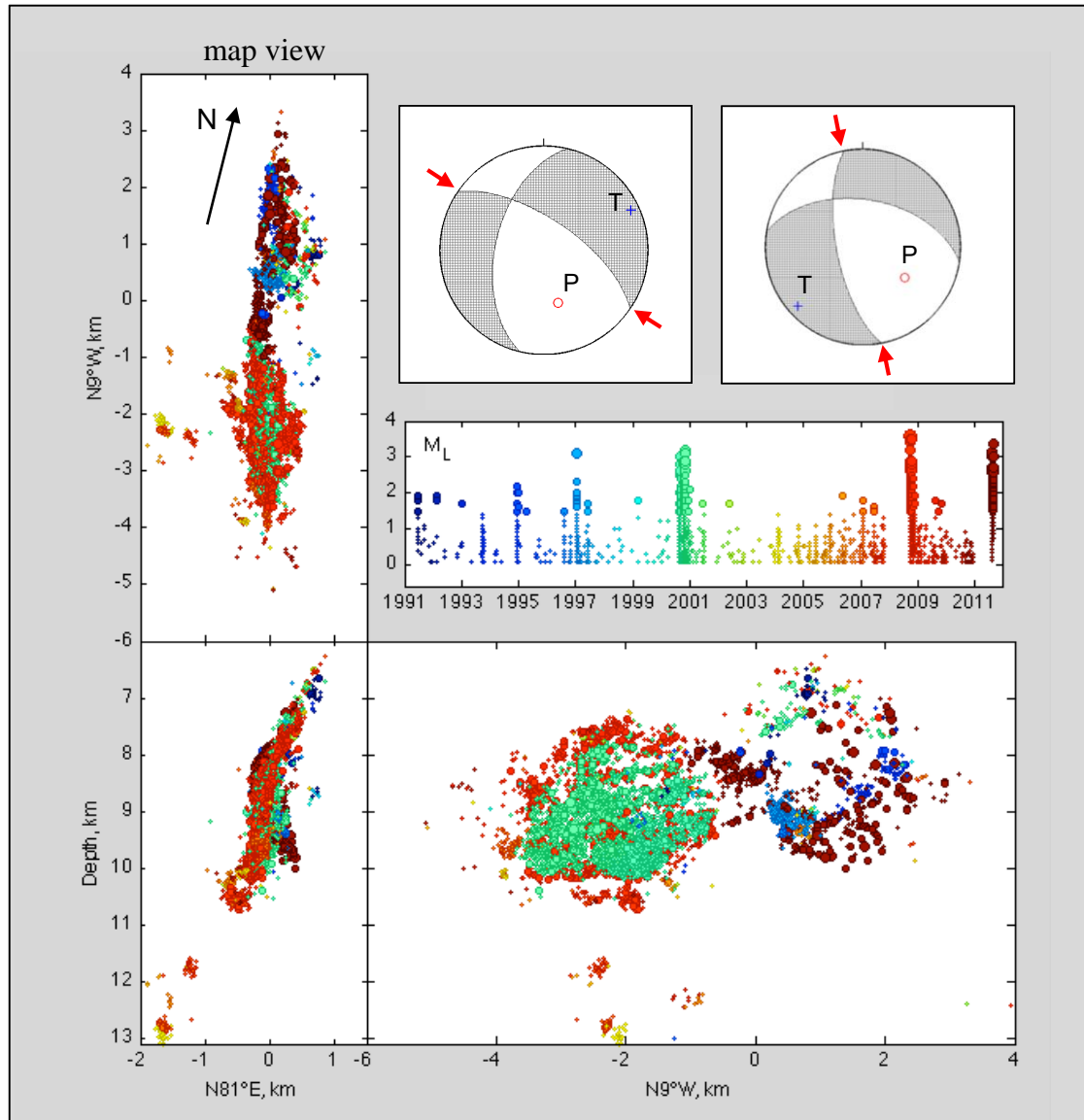


**unique European intra-continental area:  
mid-crustal, non-volcanic earthquake  
swarm seismicity and large-scale diffuse  
degassing of mantle-derived CO<sub>2</sub>**

- repeating earthquake swarms  
M<sub>L</sub> 4+
- emanation of fluids
  - high flow of CO<sub>2</sub> of mantle origin
  - mineral water & wet and dry mofettes
  - <sup>3</sup>He/<sup>4</sup>He, δ<sup>13</sup>C – mantle origin of gases
- **3 active Quaternary volcanoes**
  - Scoria cones (Komorní Hůrka, Železná Hůrka)
  - Mýtina Maar



# Foci clustering: period 1991-2011



**Size of the focal zone:**  
3 km x 8 km

**Depths:**  
7 – 13 km

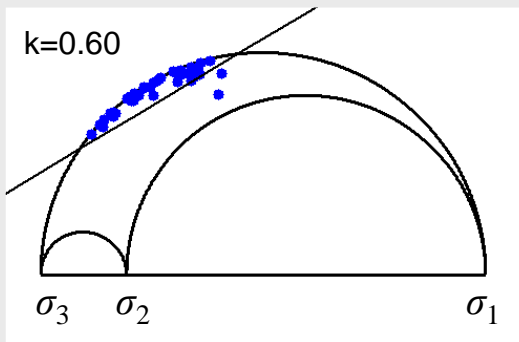
**Geometry of the focal zone:**  
Several differently oriented fault segments

**Activity:**  
Swarms lasting from few days to few months

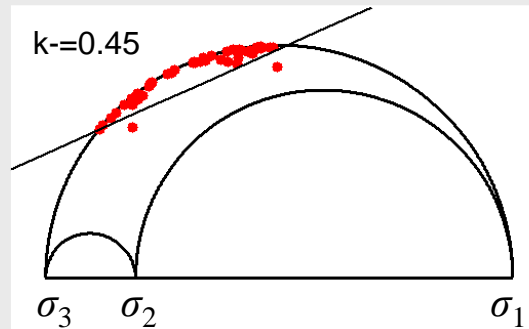
# **Principal earthquakes in West Bohemia**

# Properties of the individual principal faults

## Right-lateral faults



## Left-lateral faults

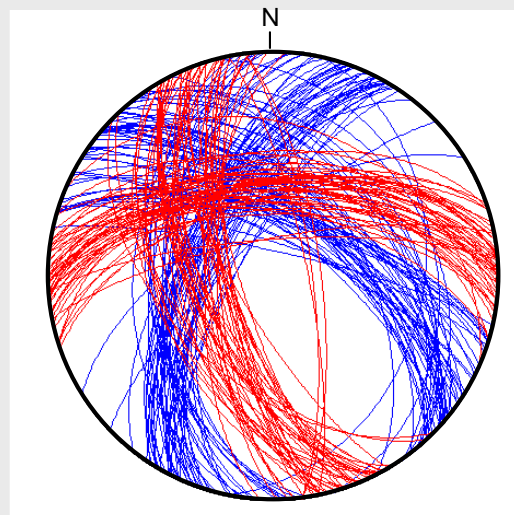
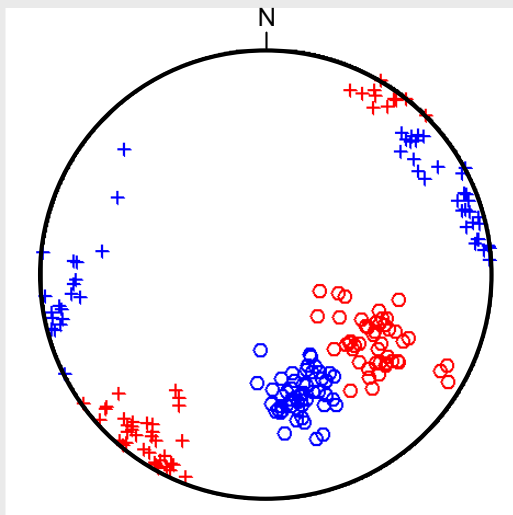


### Left-lateral faults:

- most active fault

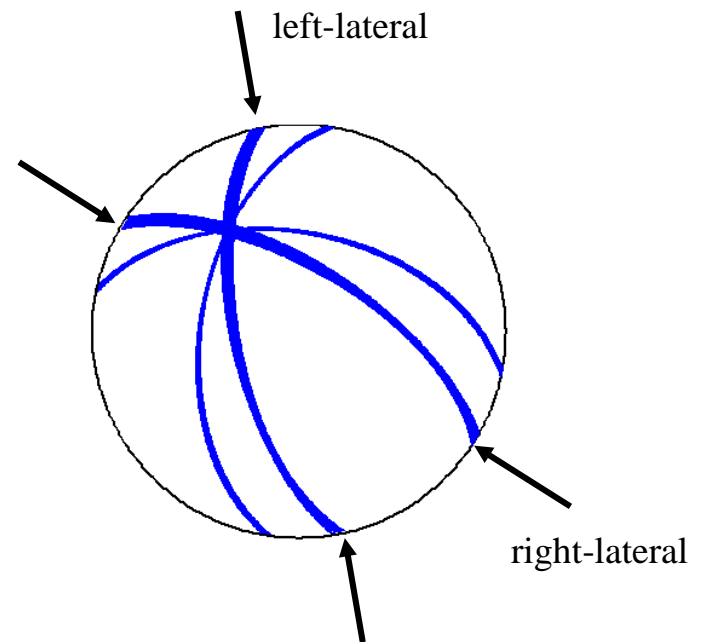
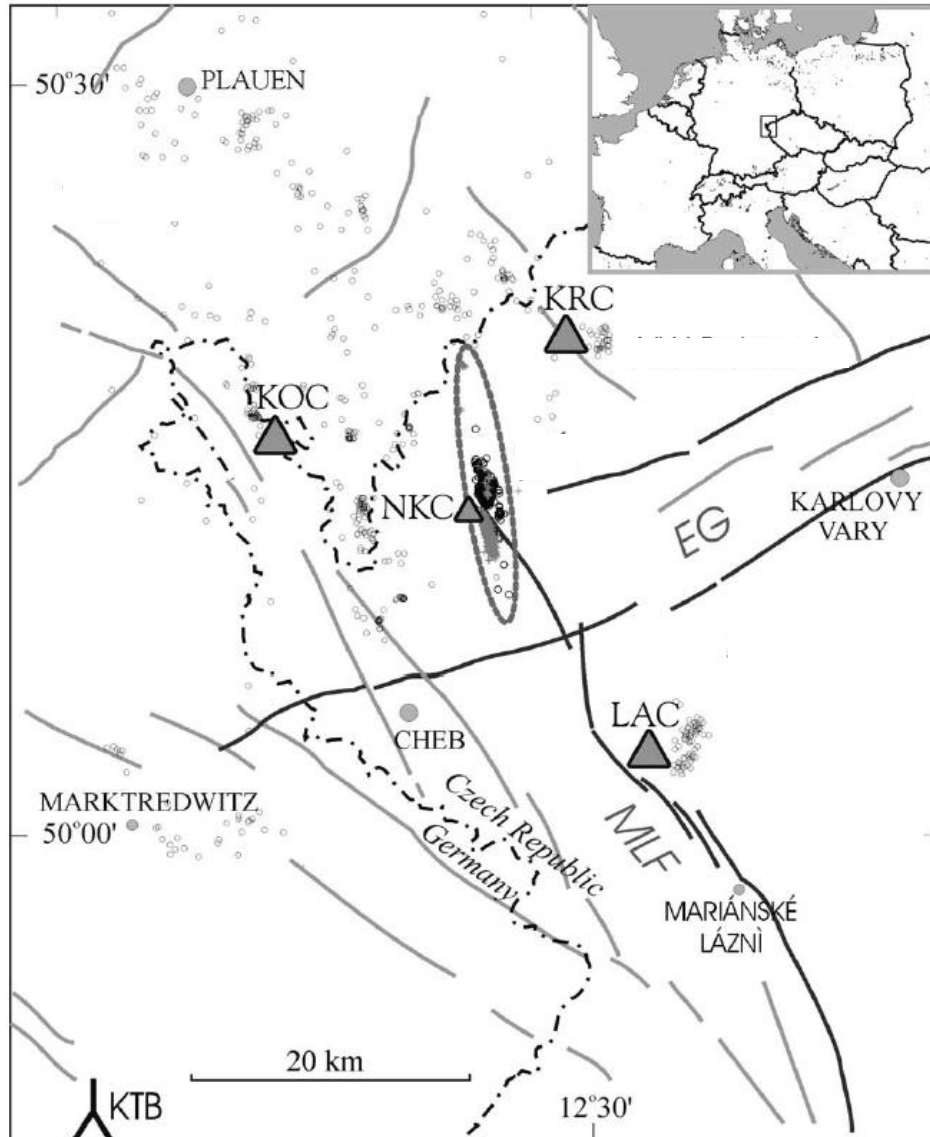
### Right-lateral faults:

- less active



2008 swarm  
activity

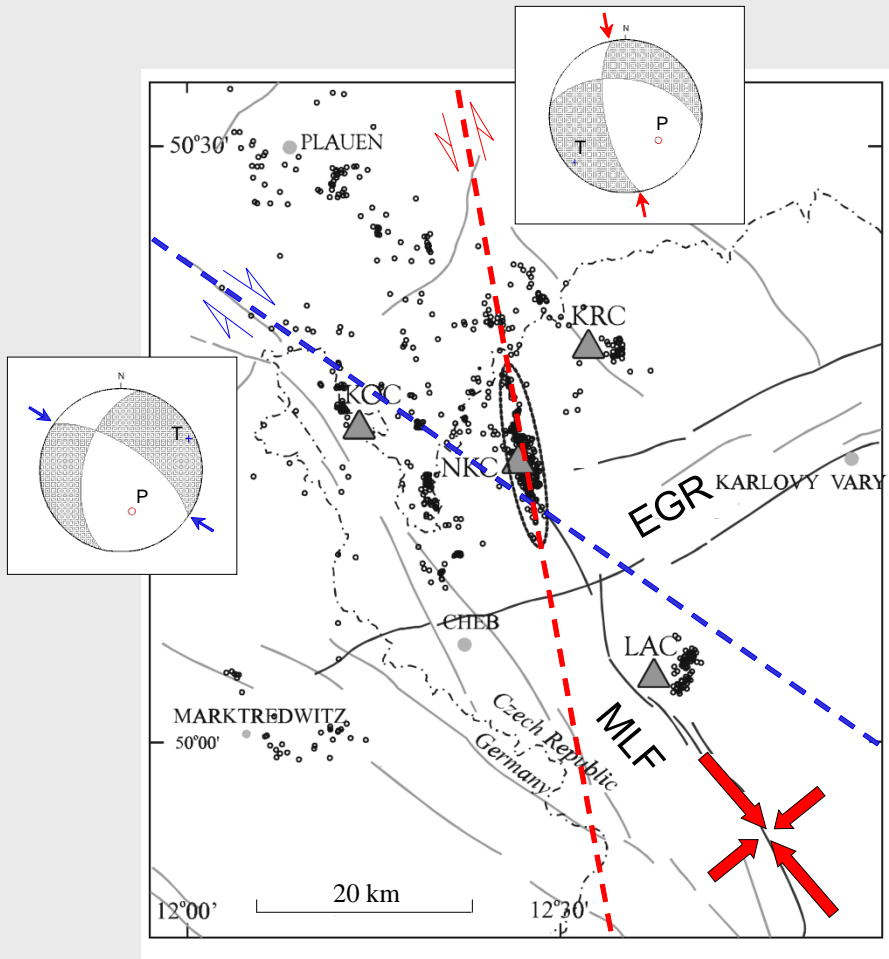
# Principal faults versus tectonics in West Bohemia



Fischer et al. (2003)



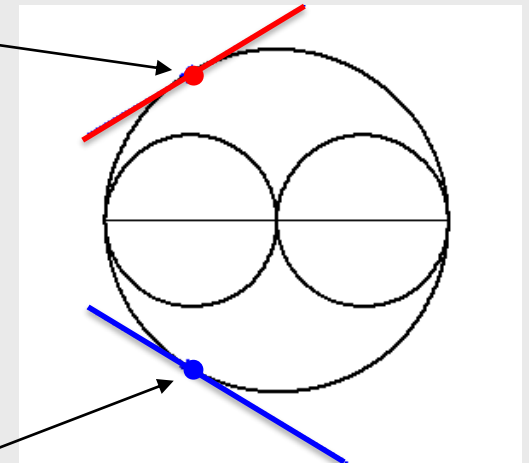
# Tectonic sketch and principal faults



Mohr's diagram

first principal fault

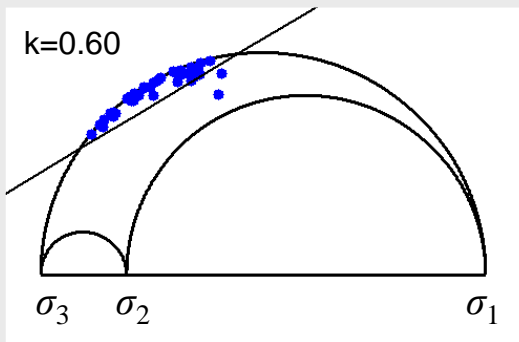
second principal fault



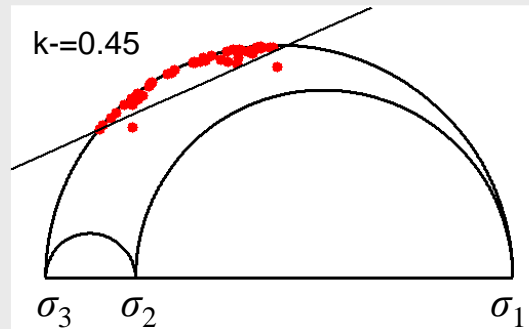
maximum compression coincides with that for western and central Europe

# Properties of the individual principal faults

## Right-lateral faults



## Left-lateral faults

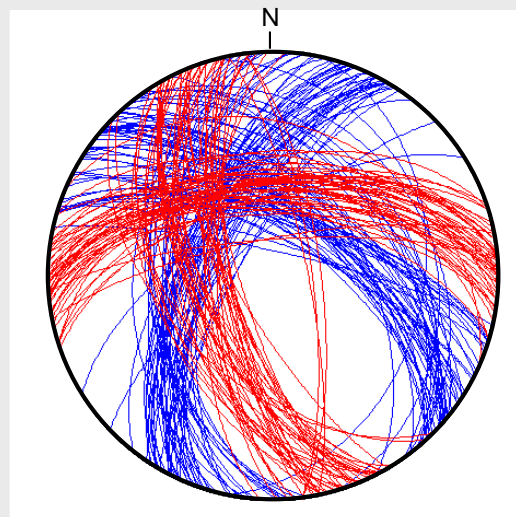
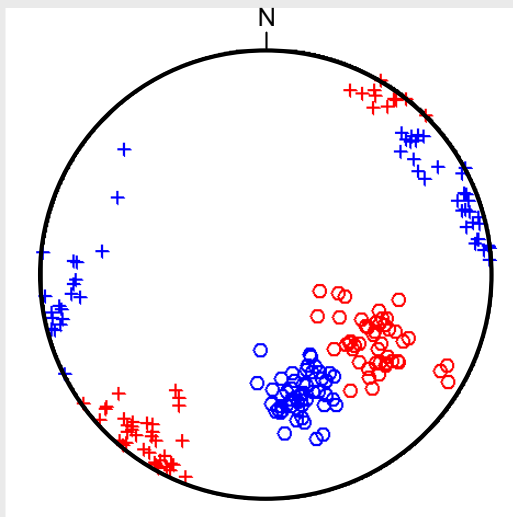


### Left-lateral faults:

- friction = 0.45
- most active fault

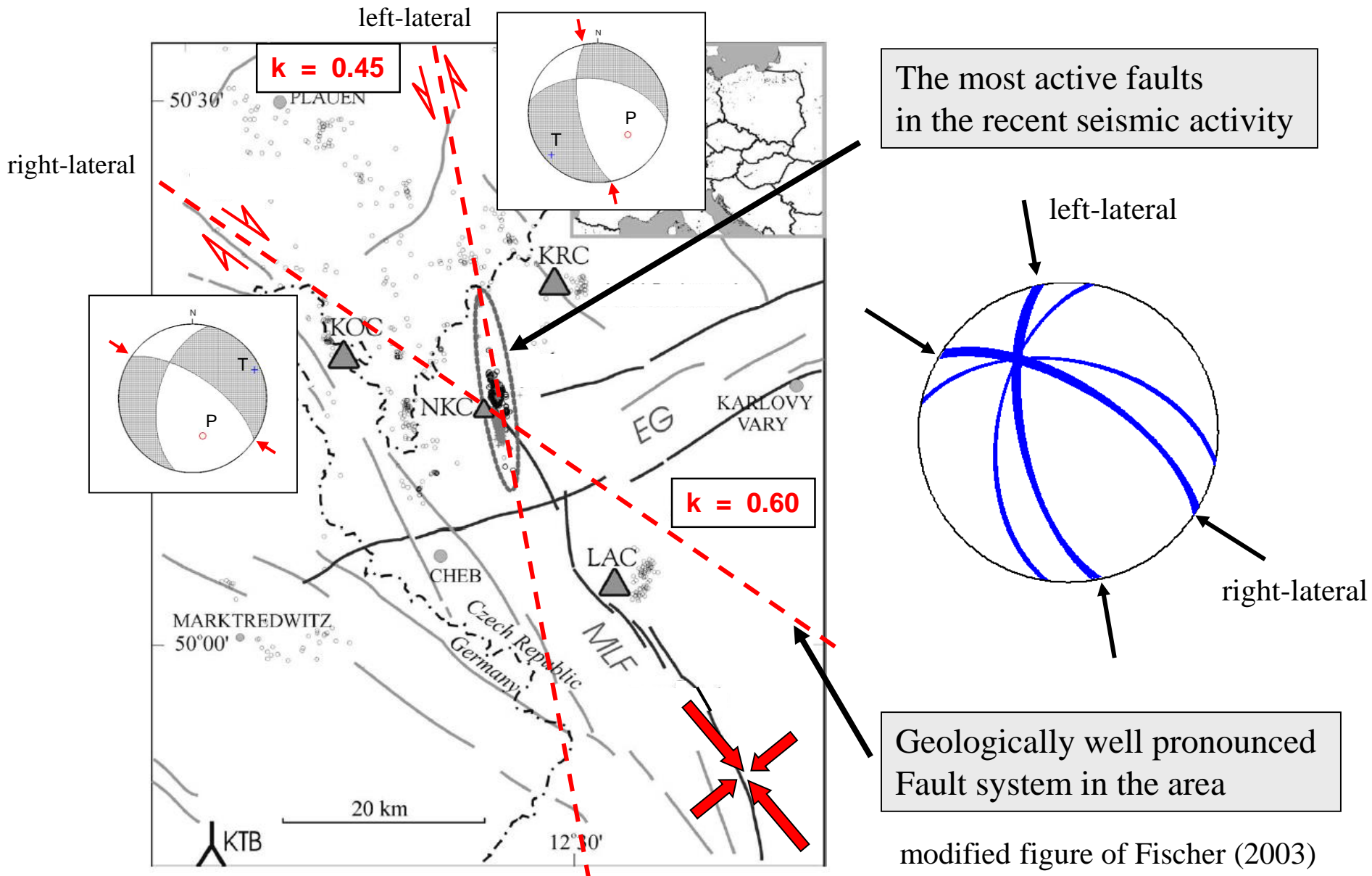
### Right-lateral faults:

- friction = 0.60
- less active



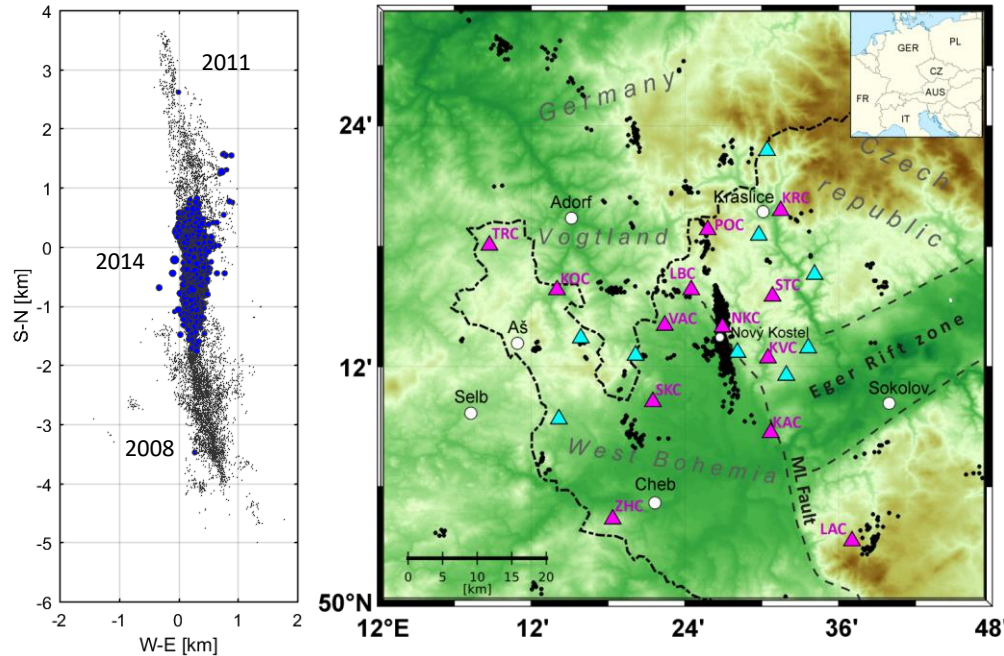
2008 swarm  
activity

# Principal faults versus tectonics in West Bohemia



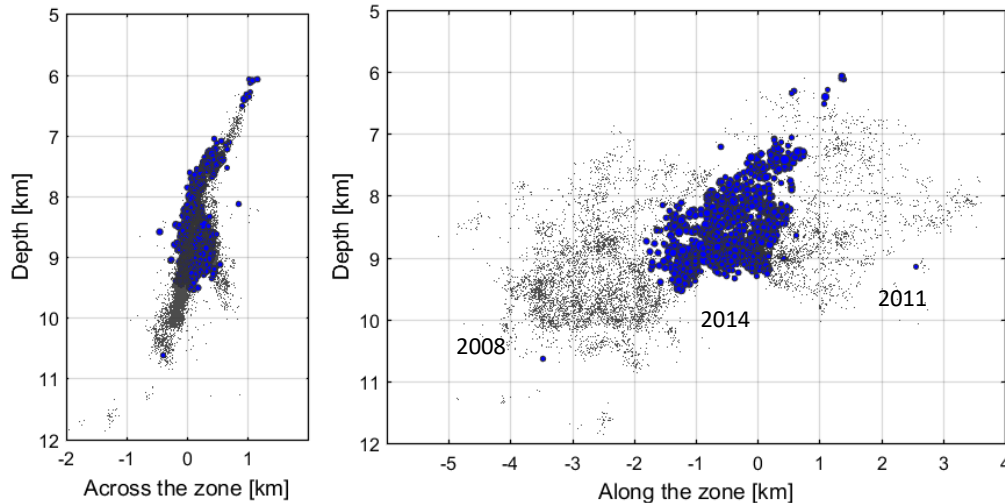
# **Interaction of faults: 2014 seismic sequence**

# The 2008-2014 seismicity in West Bohemia



## West Bohemia area:

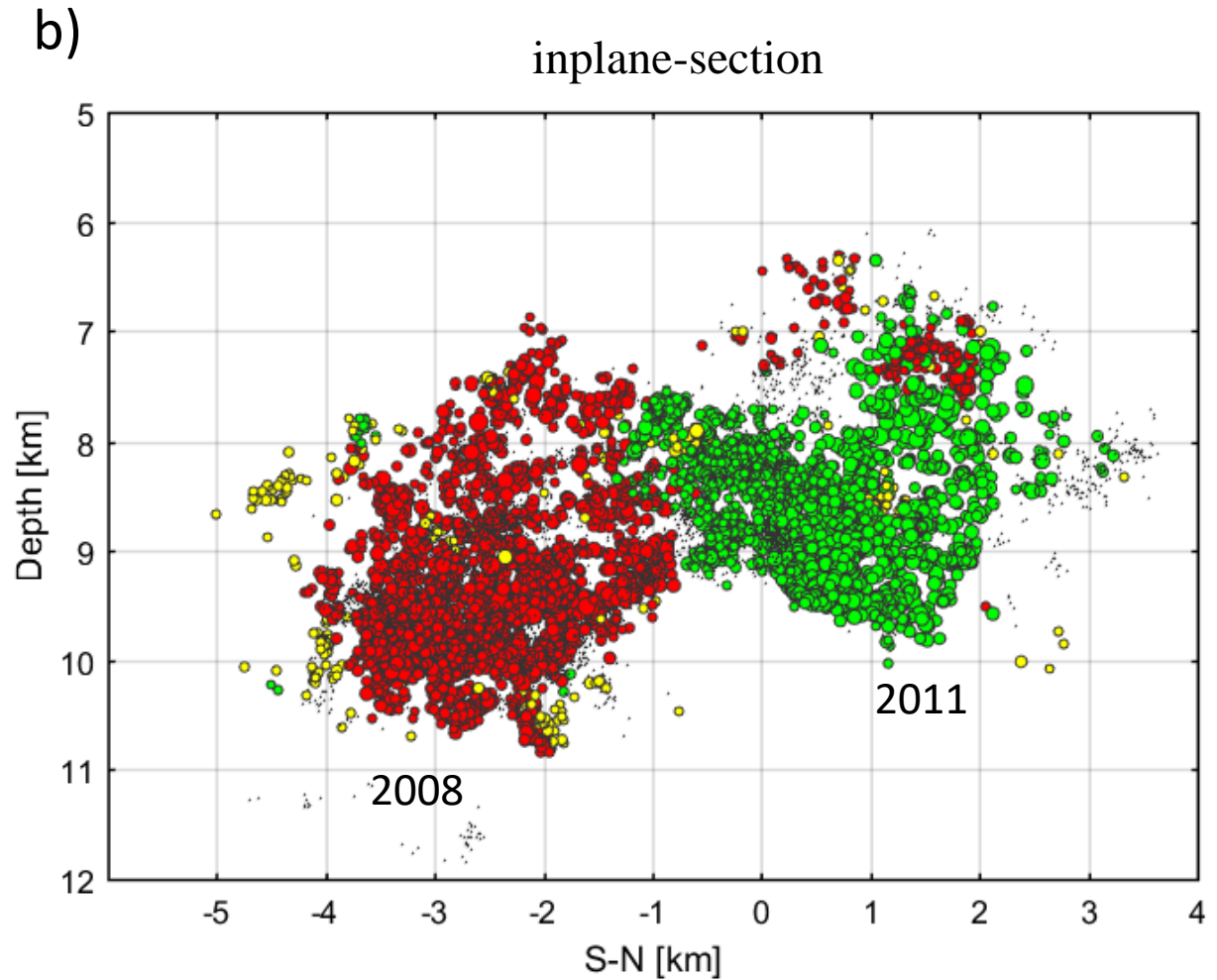
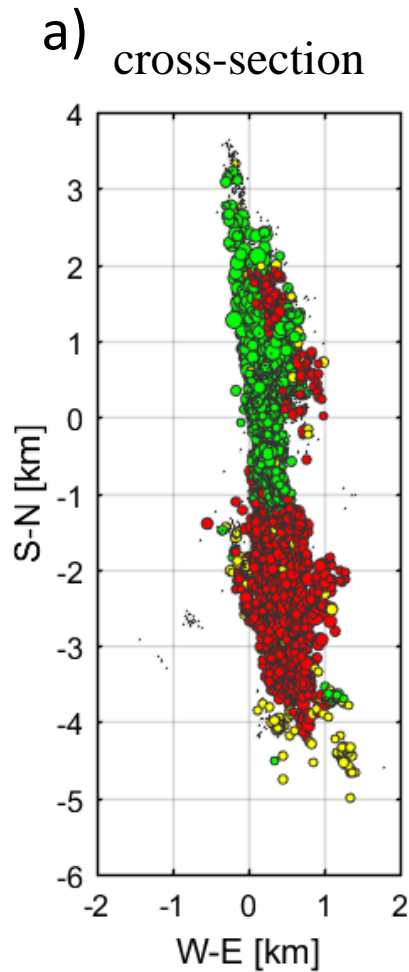
- Geodynamically active area
- Intersection of two major fault systems
- Emanations of CO<sub>2</sub> rich fluids, springs of mineral water
- Persistent seismicity



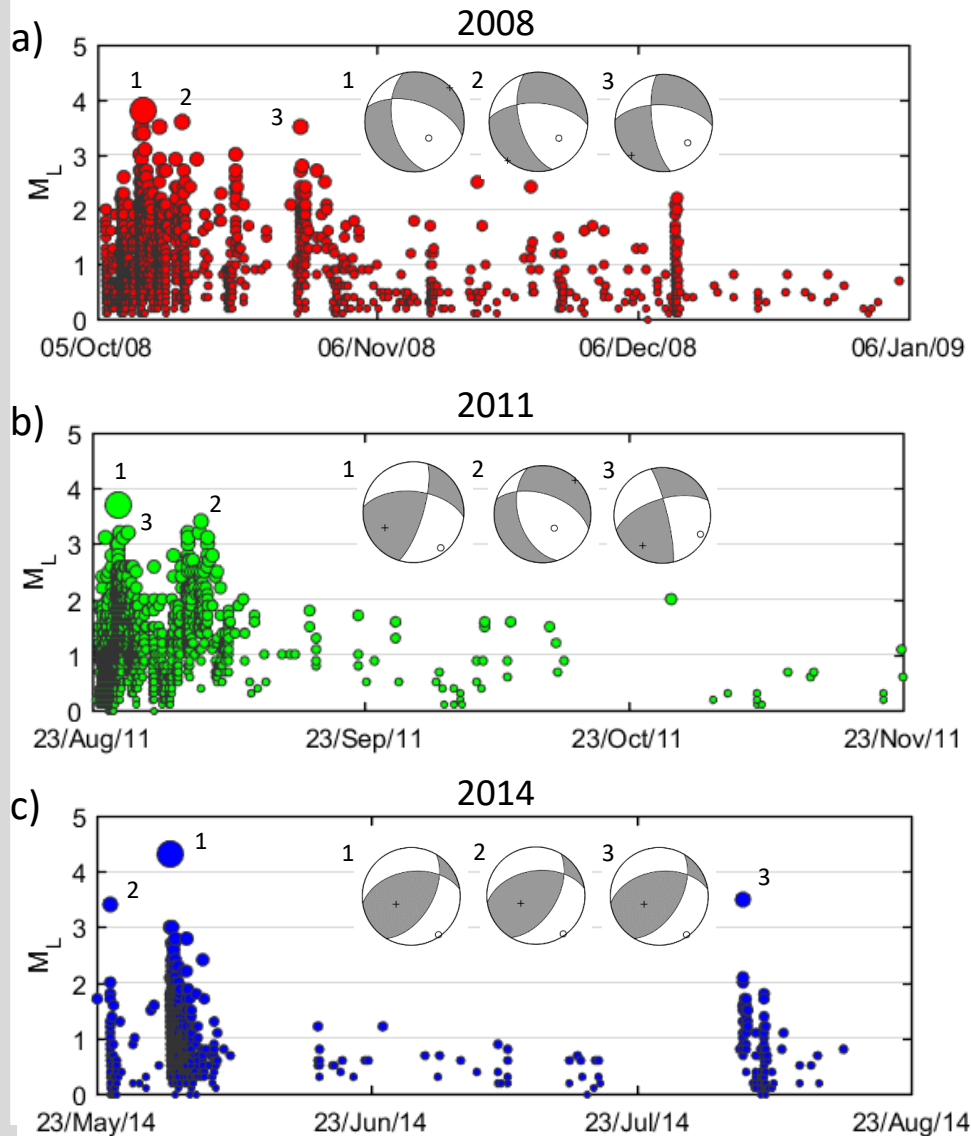
## Seismic observations:

- 22 local 3-component stations
- Sampling frequency 250 Hz
- Flat response 1-60 Hz
- Earthquake swarms
- Strongest event:  $M_L = 4.6$  (1985)
- Depth: 6-12 km

# The 2008 and 2011 earthquake swarms



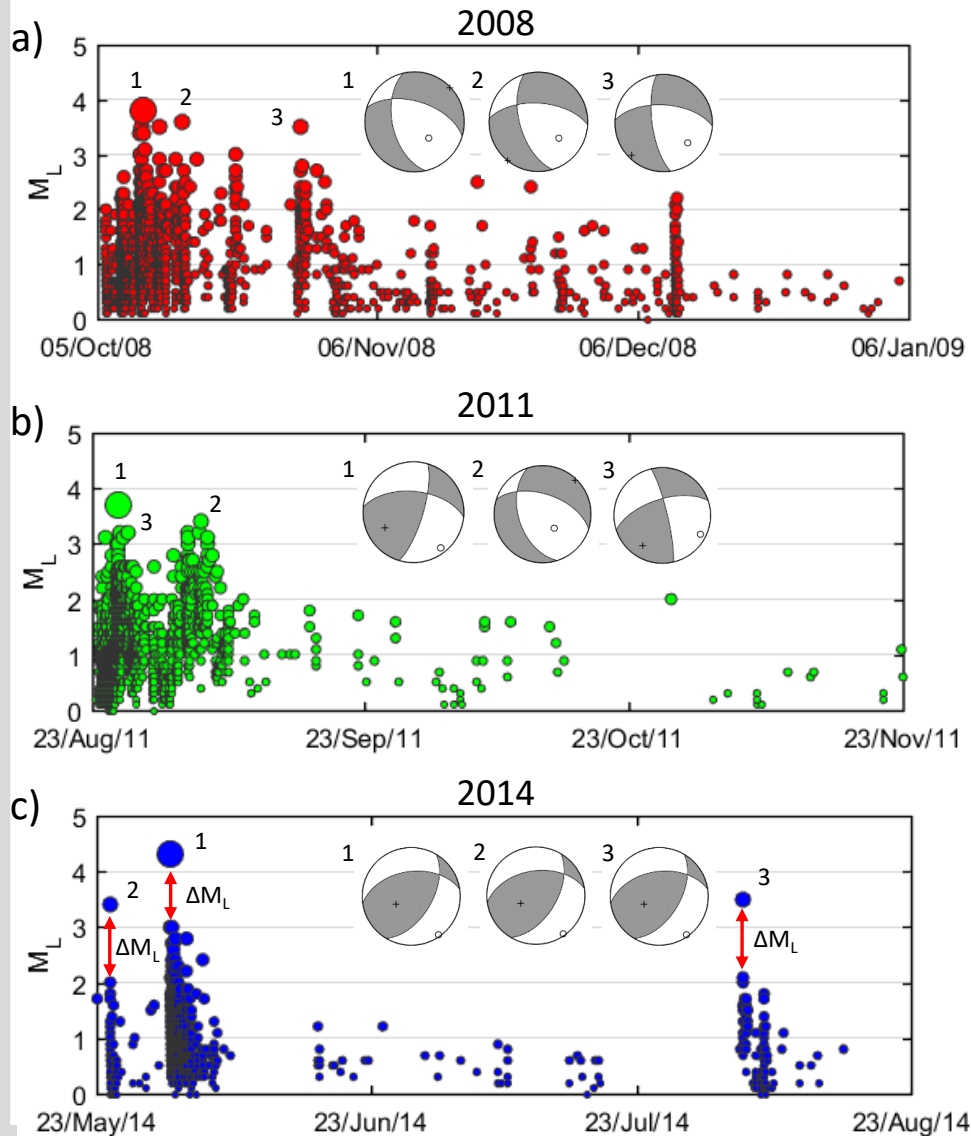
# The 2008, 2011 and 2014 earthquake sequences



## Basic characteristics:

- Duration of about 3 months
- Several distinct phases
- Number of events  $M_L > -0.5$ :
  - 2008 – 25000 events
  - 2011 – 23000 events
  - 2014 – 7000 events
- Strongest events:
  - 2008 –  $M_L = 3.8$
  - 2011 –  $M_L = 3.7$
  - 2014 –  $M_L = 4.2$
- Energy release:
  - 2008 – swarm
  - 2011 – swarm
  - 2014 – mainshock-aftershock

# The 2008, 2011 and 2014 earthquake sequences



## Basic characteristics:

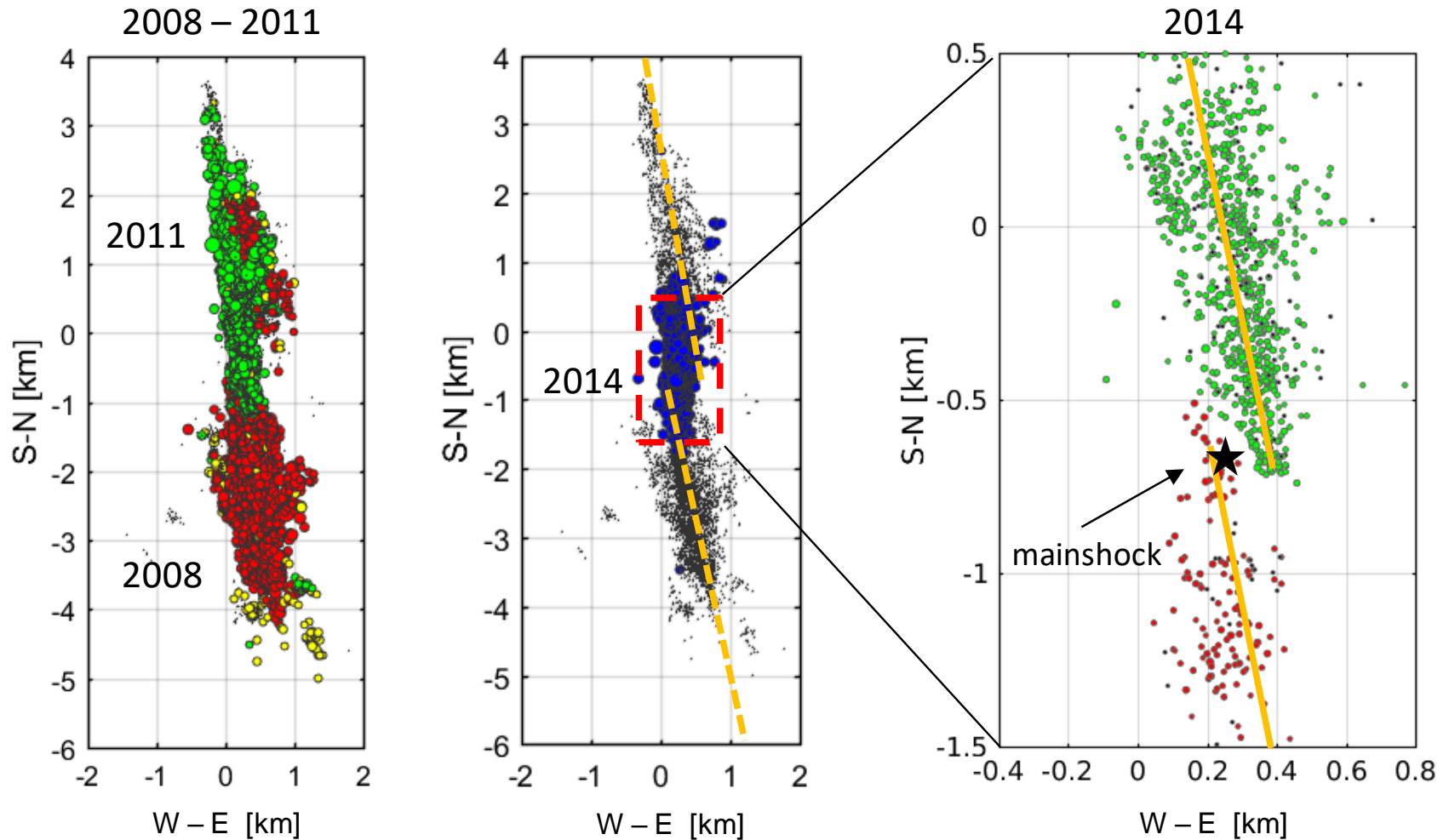
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  - 2014 –  $M_L = 4.2$
- Energy release:
  - 2008 – swarm
  - 2011 – swarm
  - 2014 – mainshock-aftershock



## Questions related to the 2014 activity to be solved

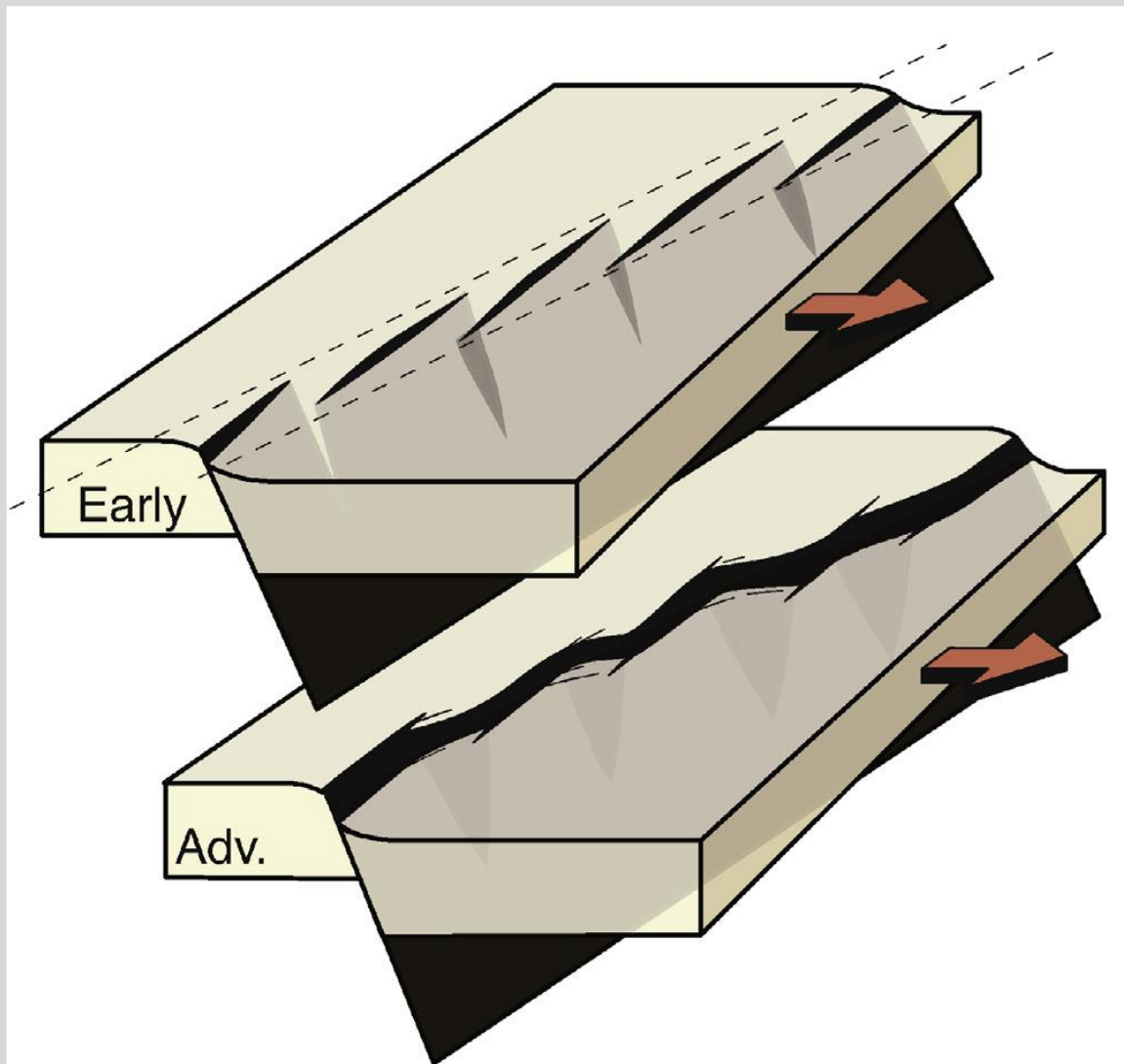
- **Why repeating reactivation of the fault segments in the NK focal zone?** (Vavryčuk & Hrubcová, JGR, 2017)
- **Why the 2014 activity is just between two patches activated in the previous seismic periods?**
- **Why the activity has an untypical mainshock-aftershock character?**
- **Why the three strongest earthquakes had anomalous reverse focal mechanism?**
- **Why the aftershock decay is very fast?**

# Fault geometry: parallel fault steps



Step width: ~200 m

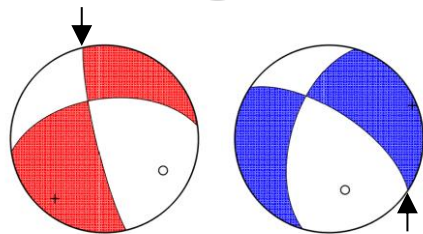
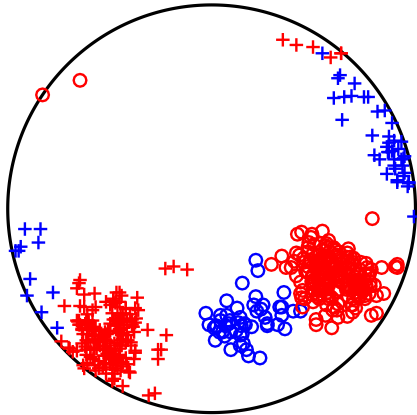
# Fault steps and fault linkage



# Types of focal mechanisms

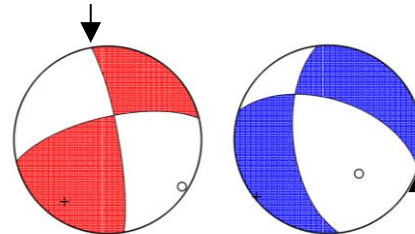
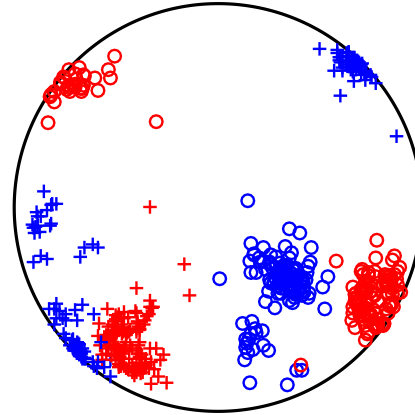
P/T axes

2008



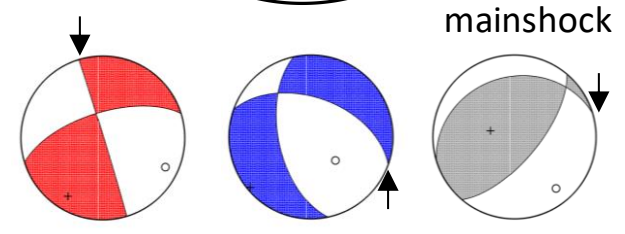
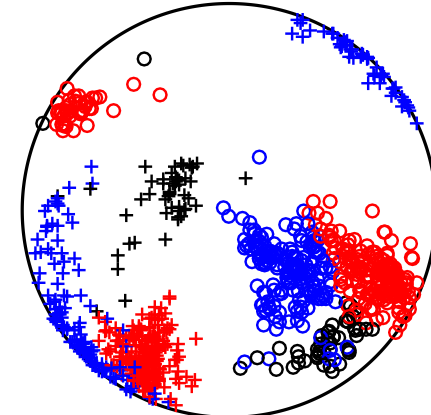
246 events

2011



261 events

2014

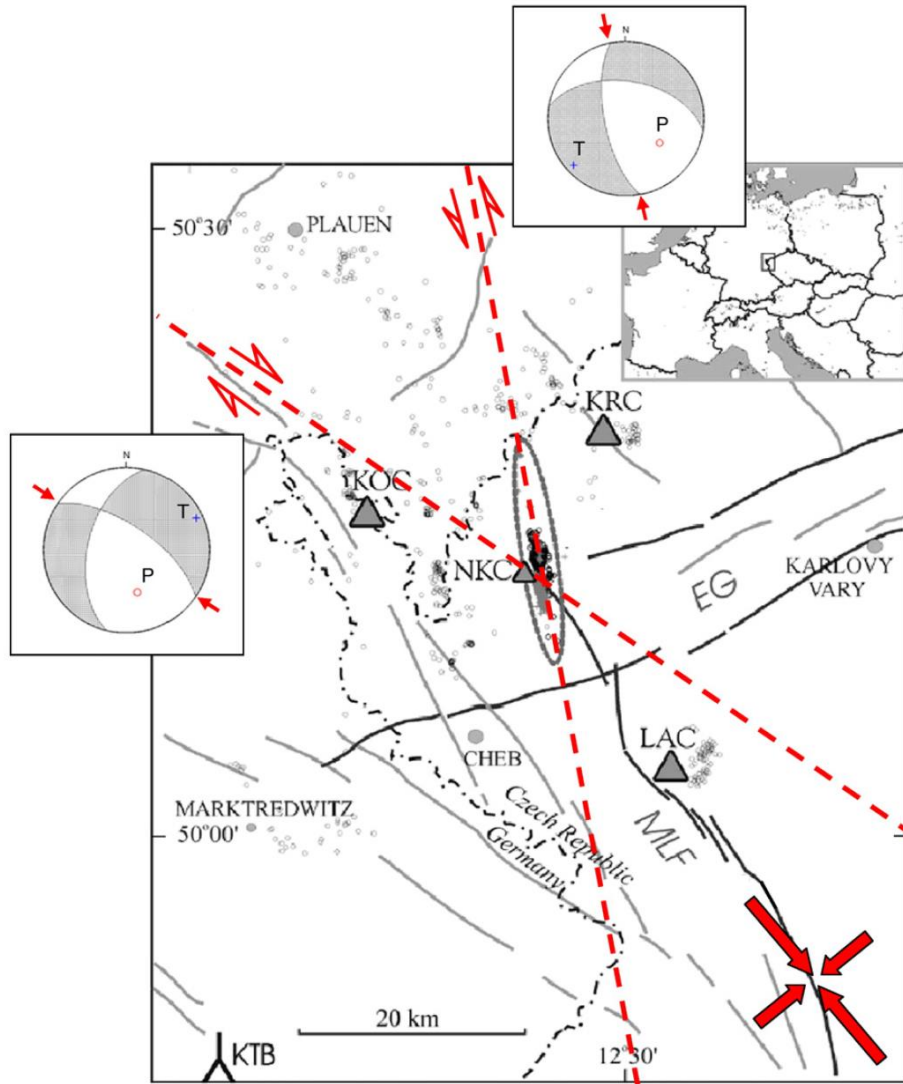


450 events

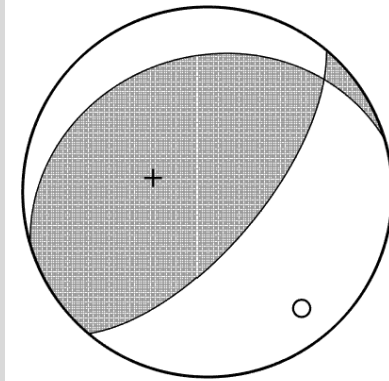
**2014 sequence:** anomalous reverse mechanisms  
inconsistent with existing active faults

P axes: ○ ○  
T axes: + +

# Tectonic sketch of West Bohemia



**??  
unfavourably  
oriented**



# Stress inversion

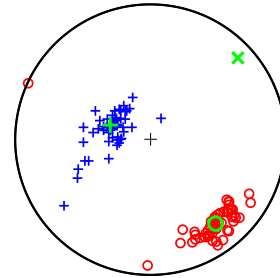
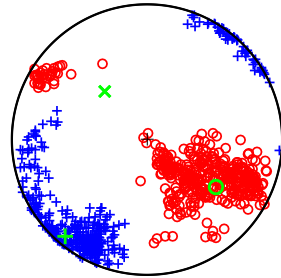
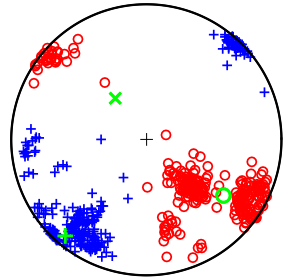
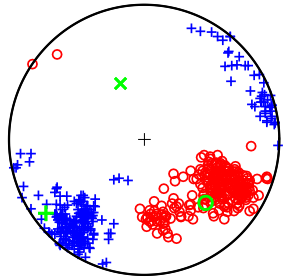
2008

2011

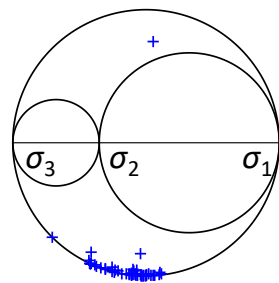
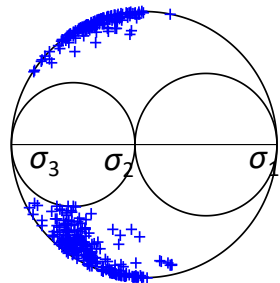
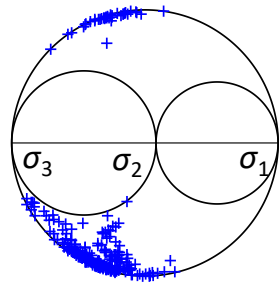
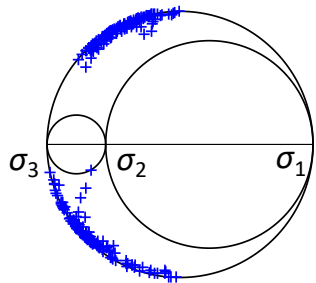
2014a

2014b

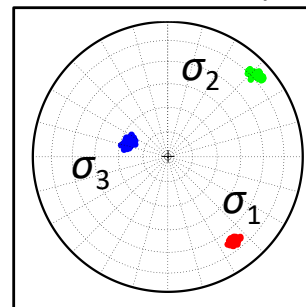
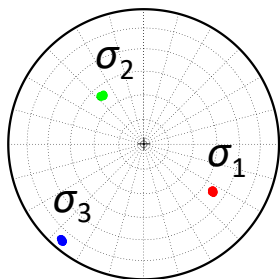
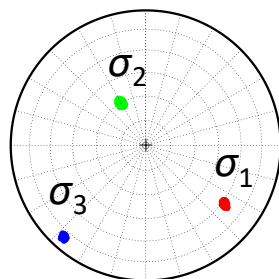
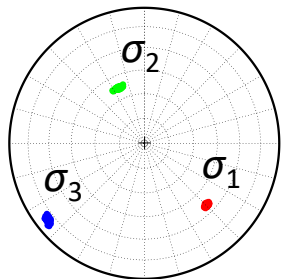
P and T axes



Mohr's circles

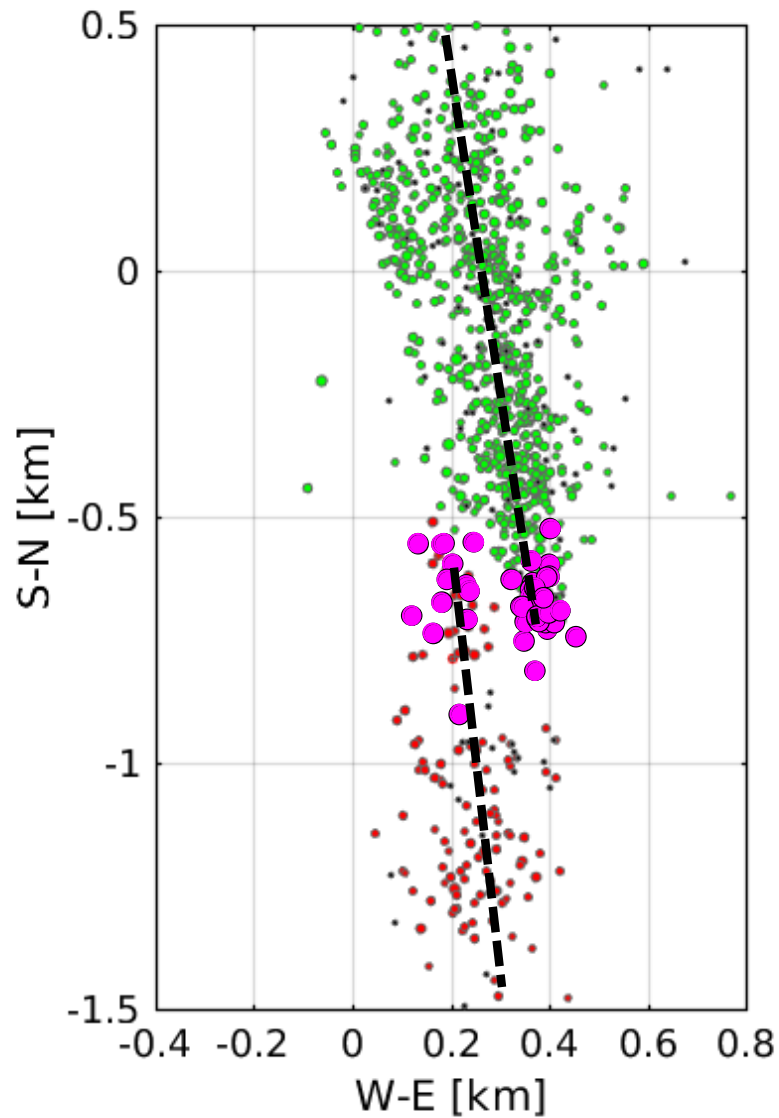


Stress anomaly

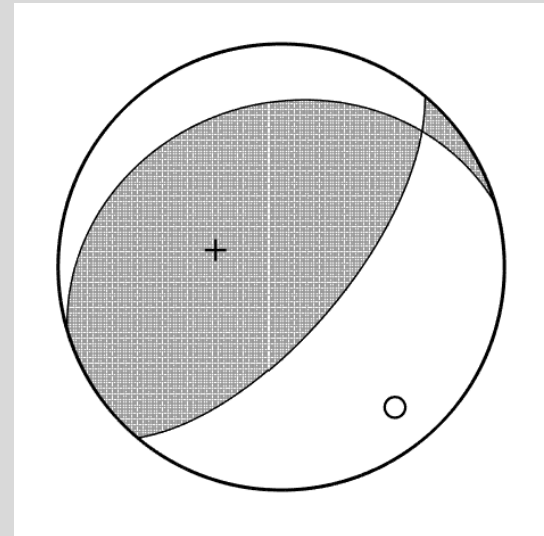


Principal stress axes

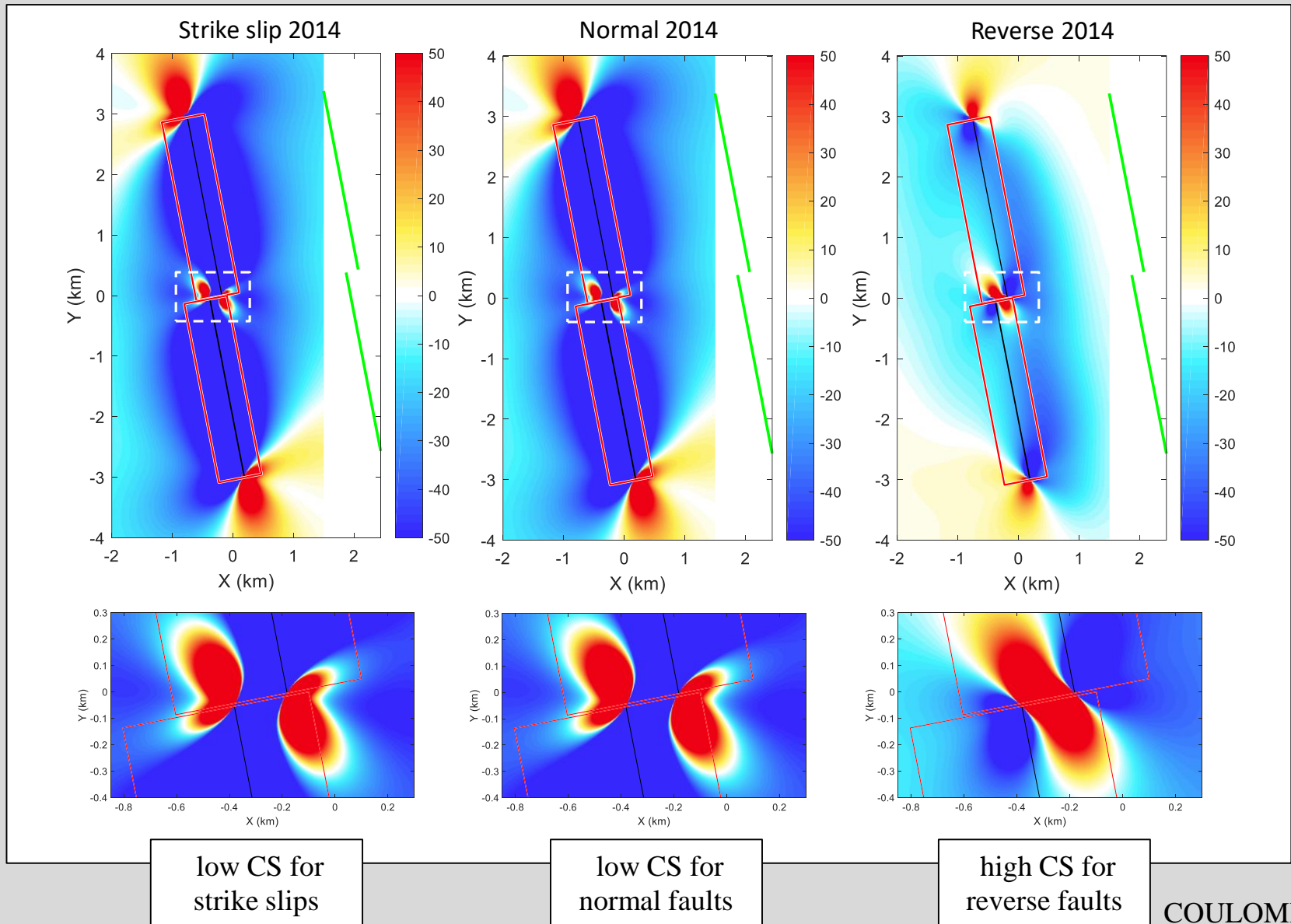
# Locations of events with the reverse mechanism



Locations of events with the reverse mechanism define the area of the stress anomaly!

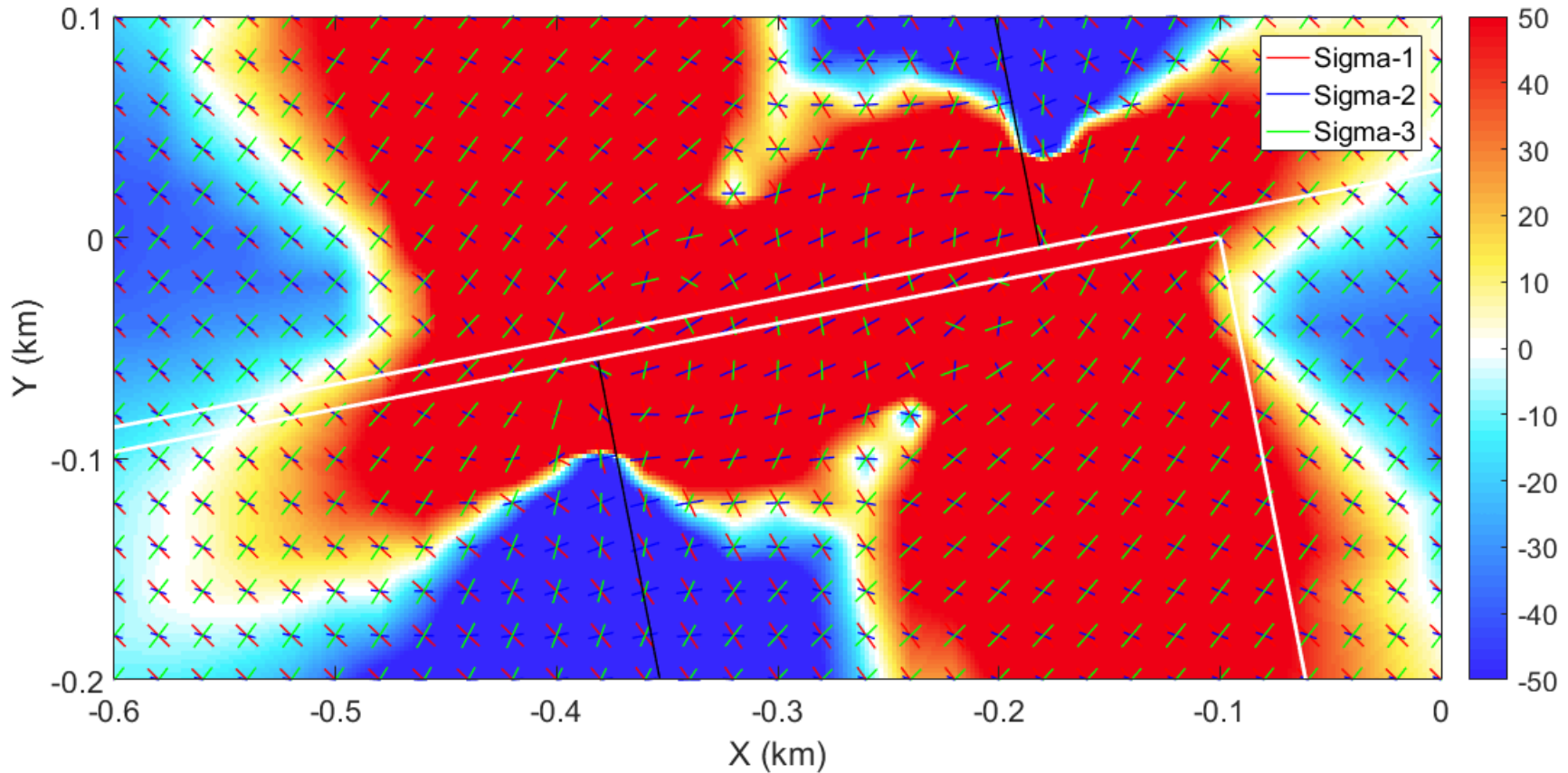


# Coulomb stress (CS) modelling



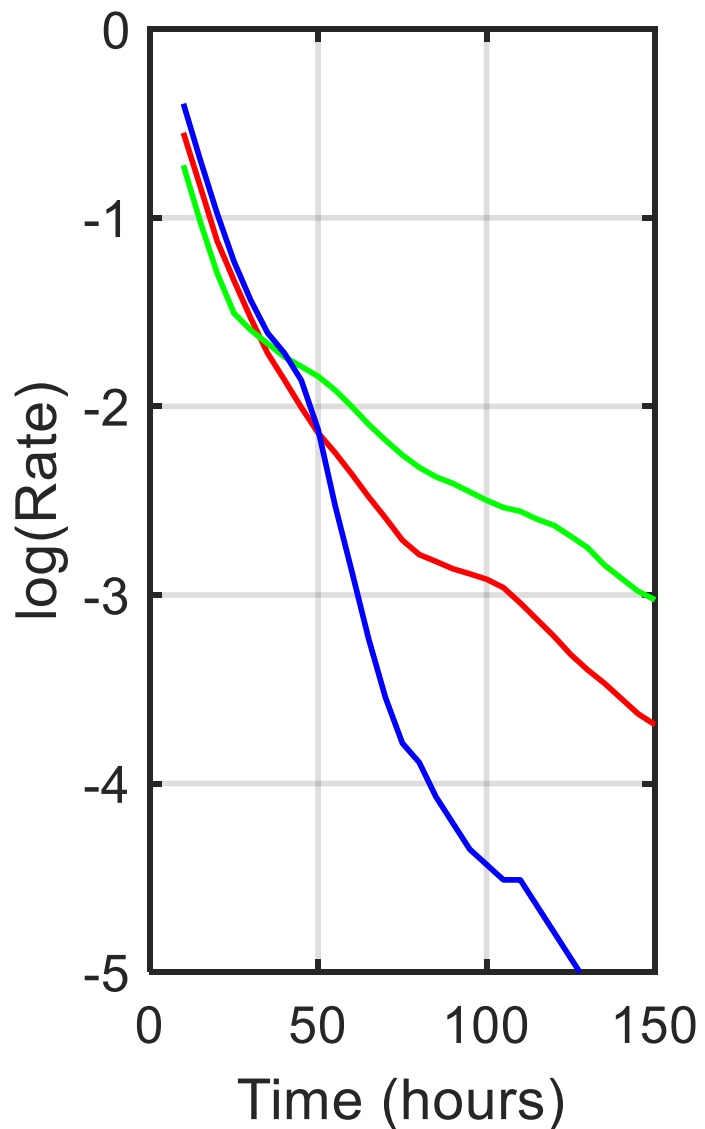
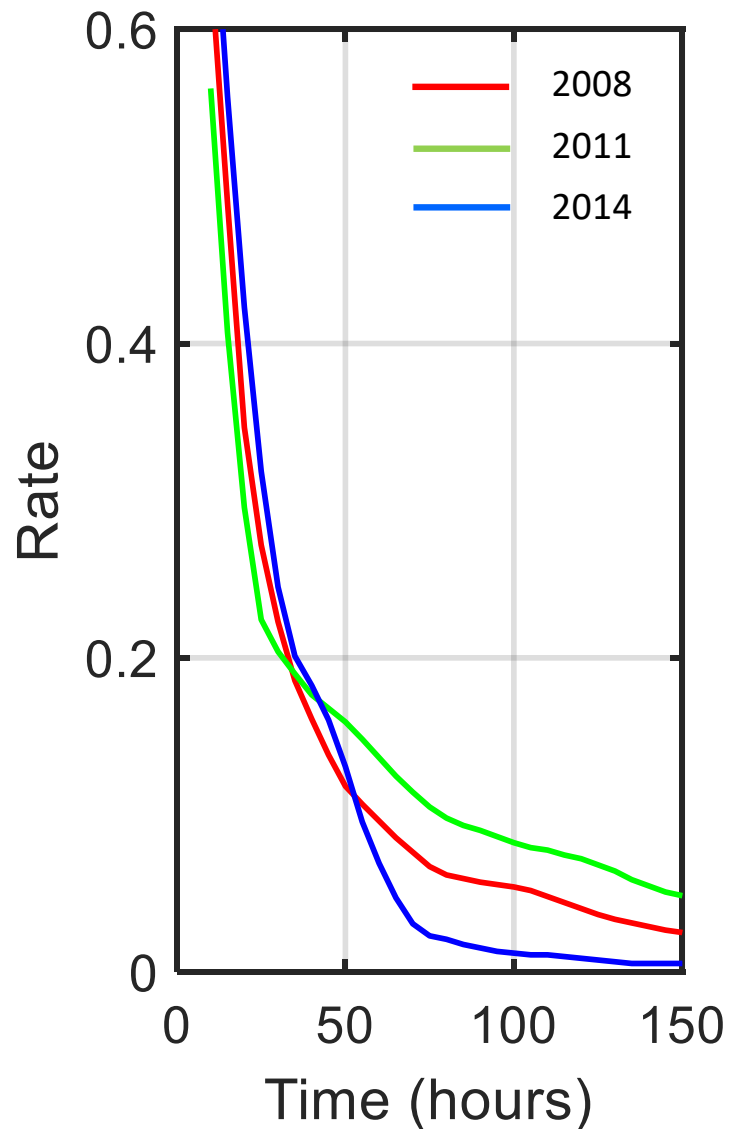


# Rotation of principal stress axes



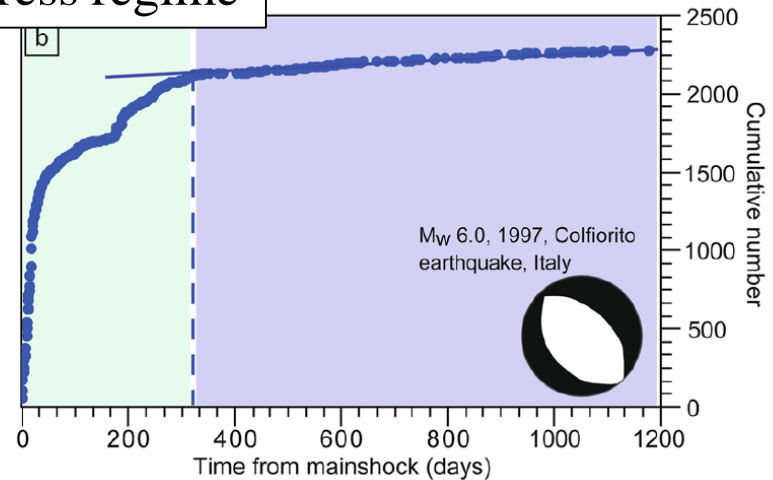
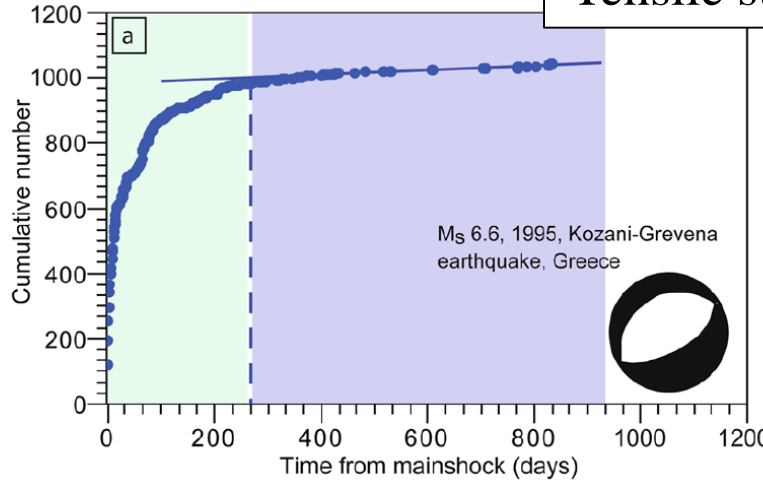
Interaction of faults causes a local stress anomaly between fault tips expressed by rotation of principal stress axes

# Aftershock decay in the 2014 sequence

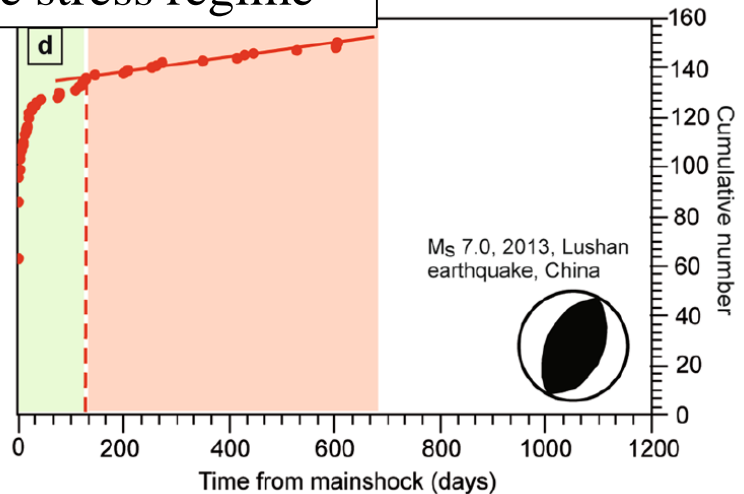
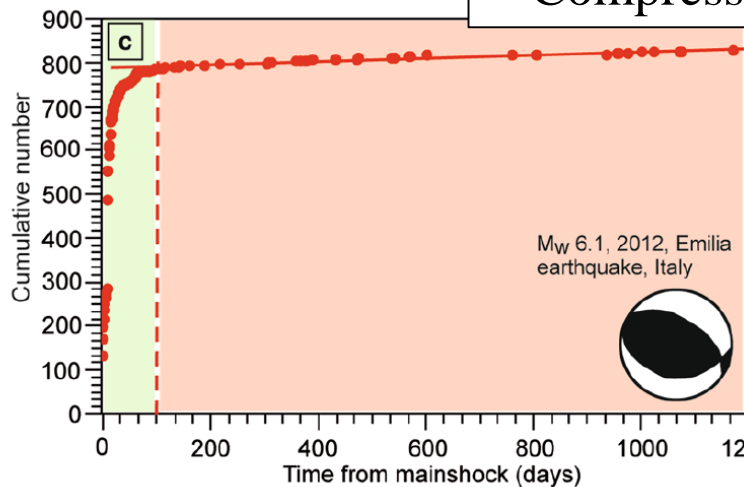


# Aftershock decay in other seismogenic regions

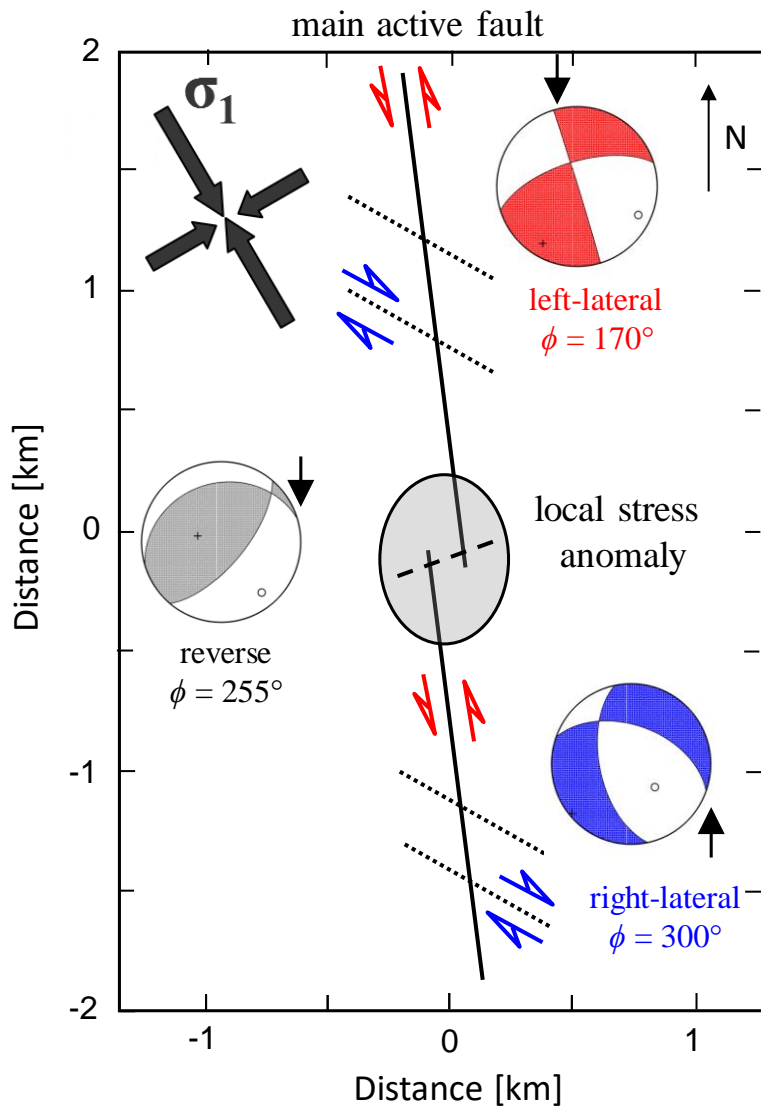
## Tensile stress regime



## Compressive stress regime



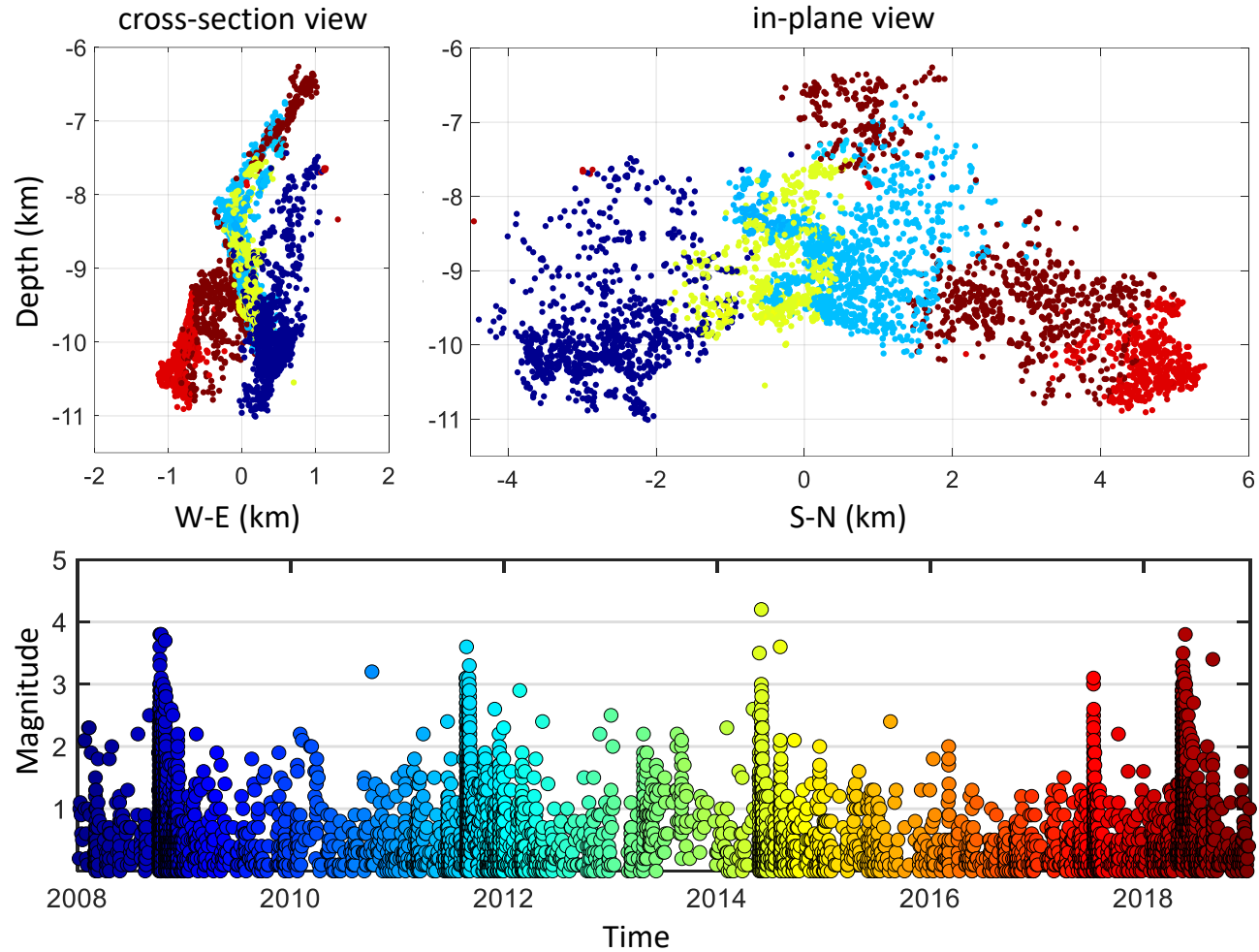
# Summary



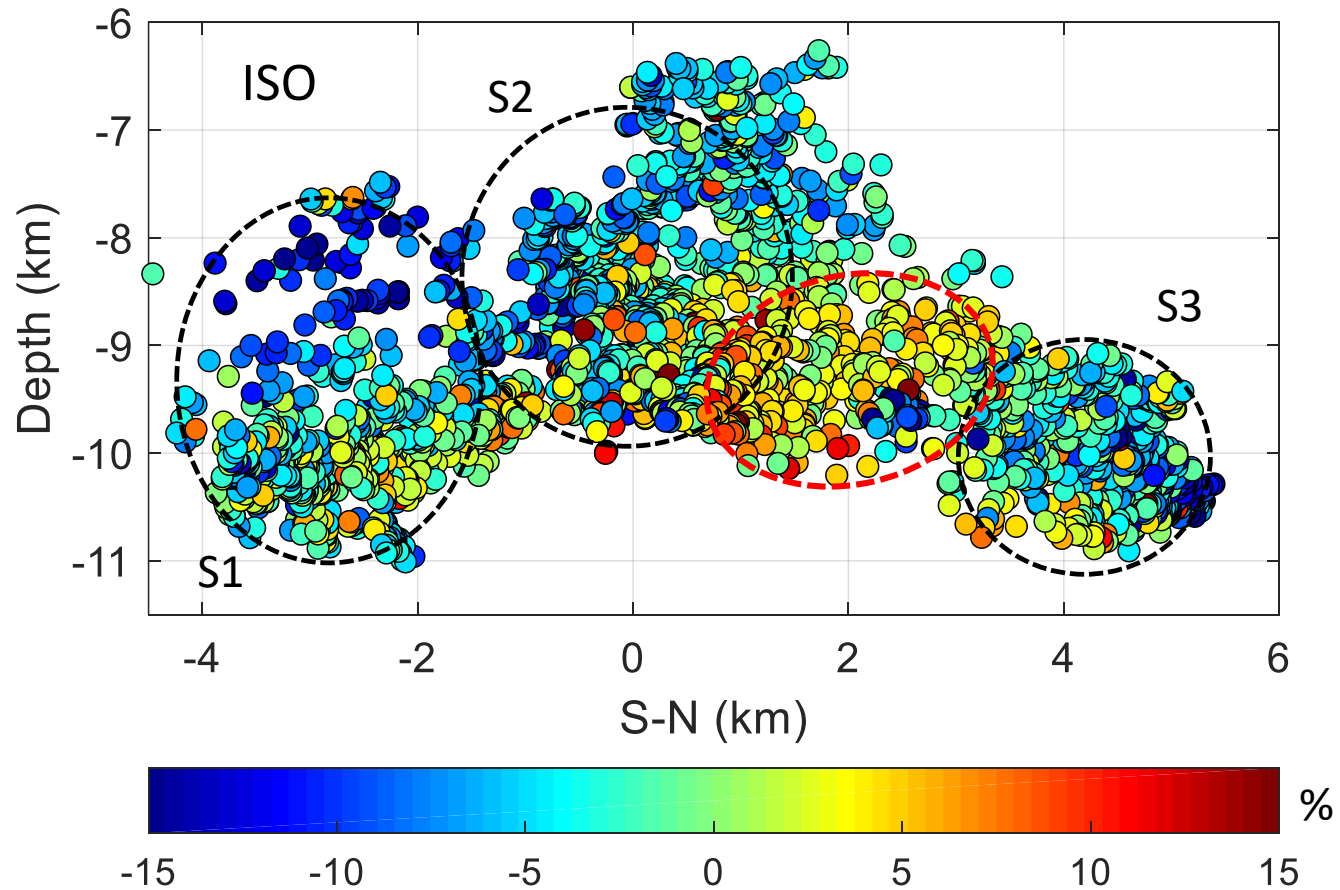
- Identification of compressive fault steps, step width  $\sim 200$  m
- Compressive local stress anomaly between the fault tips due to previous activity – **no fluids** (!)
- Anomalous reverse focal mechanisms – new fractures linking existing faults
- Mainshock-aftershock sequence – **new fractures with no fluids**
- Fast aftershock decay – **compressive stress regime**
- Linking the existing active faults increases the **seismic hazard** in the area from Mw 5.0 to Mw 5.4 (corresponding fracture area –  $20 \text{ km}^2$ )

# **Interaction of faults: 2018 seismic sequence**

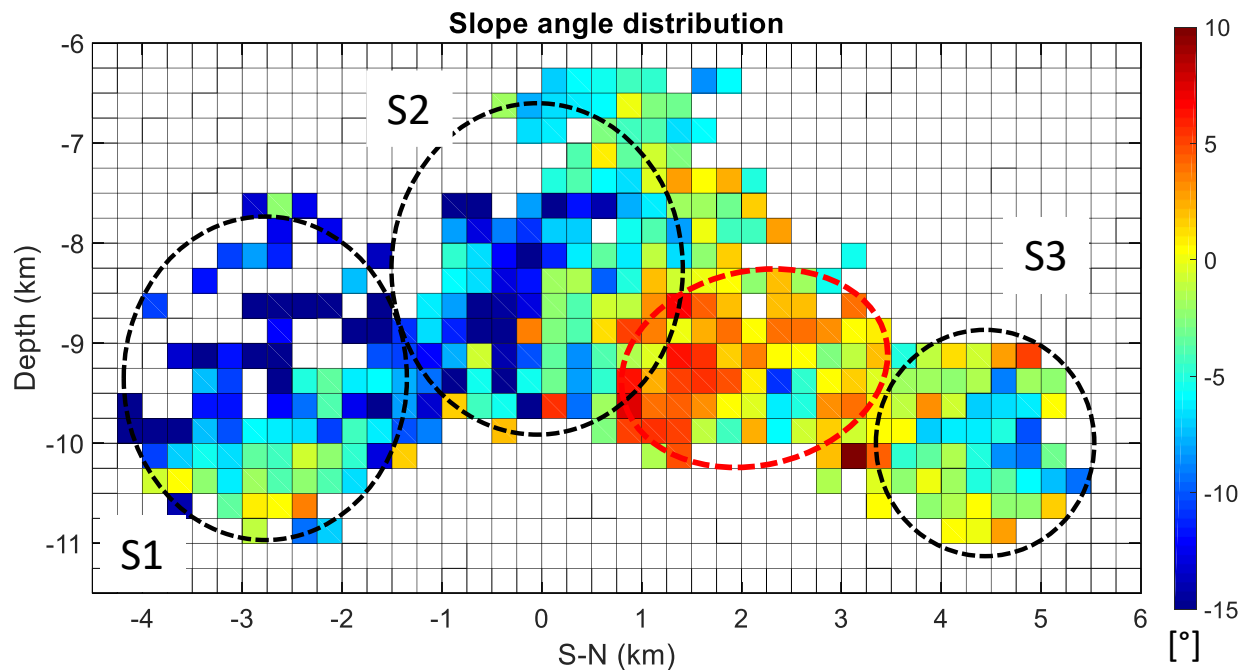
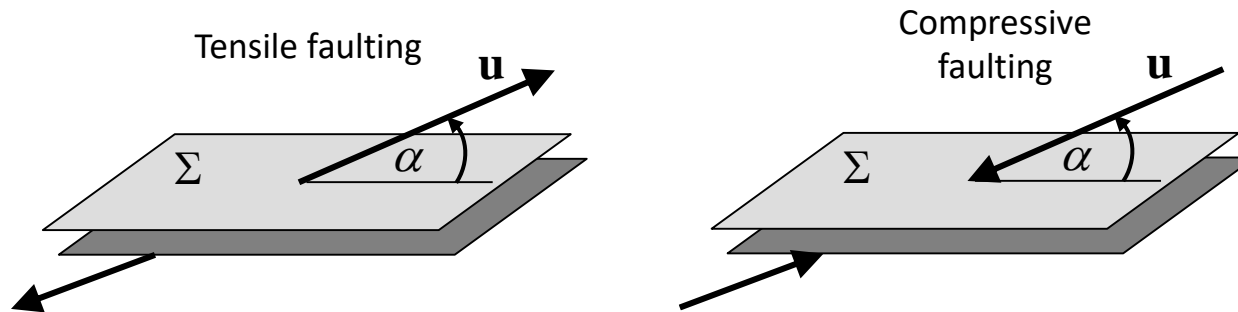
# 218 seismic sequence



# ISO components in the 2018 seismic sequence

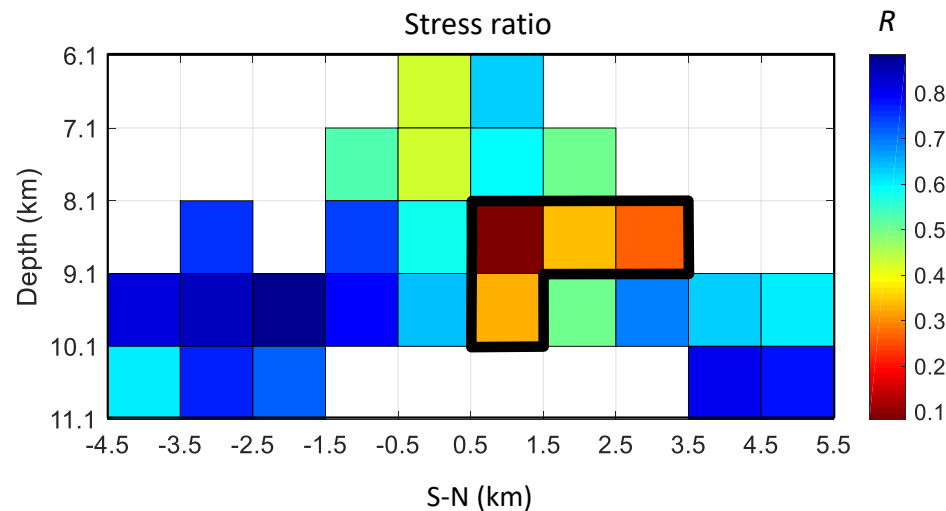
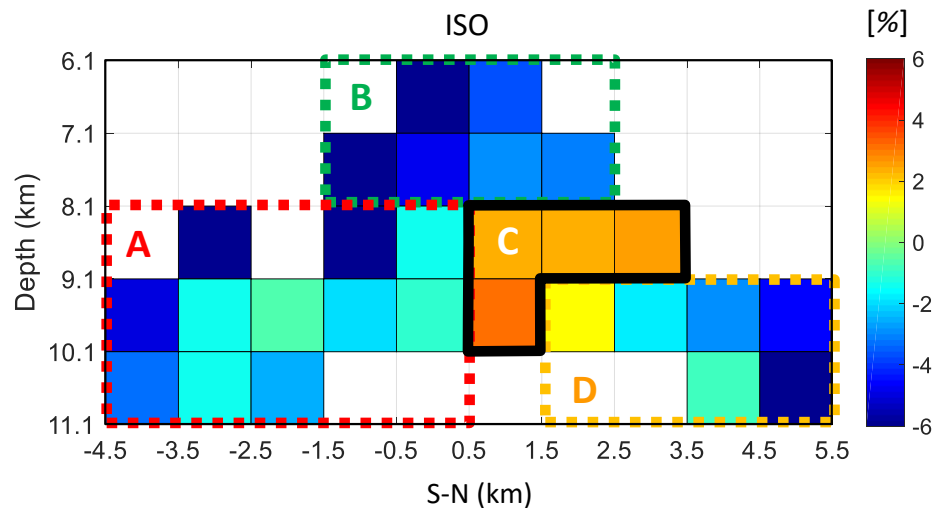


# Tensile faulting in the 218 seismic sequence



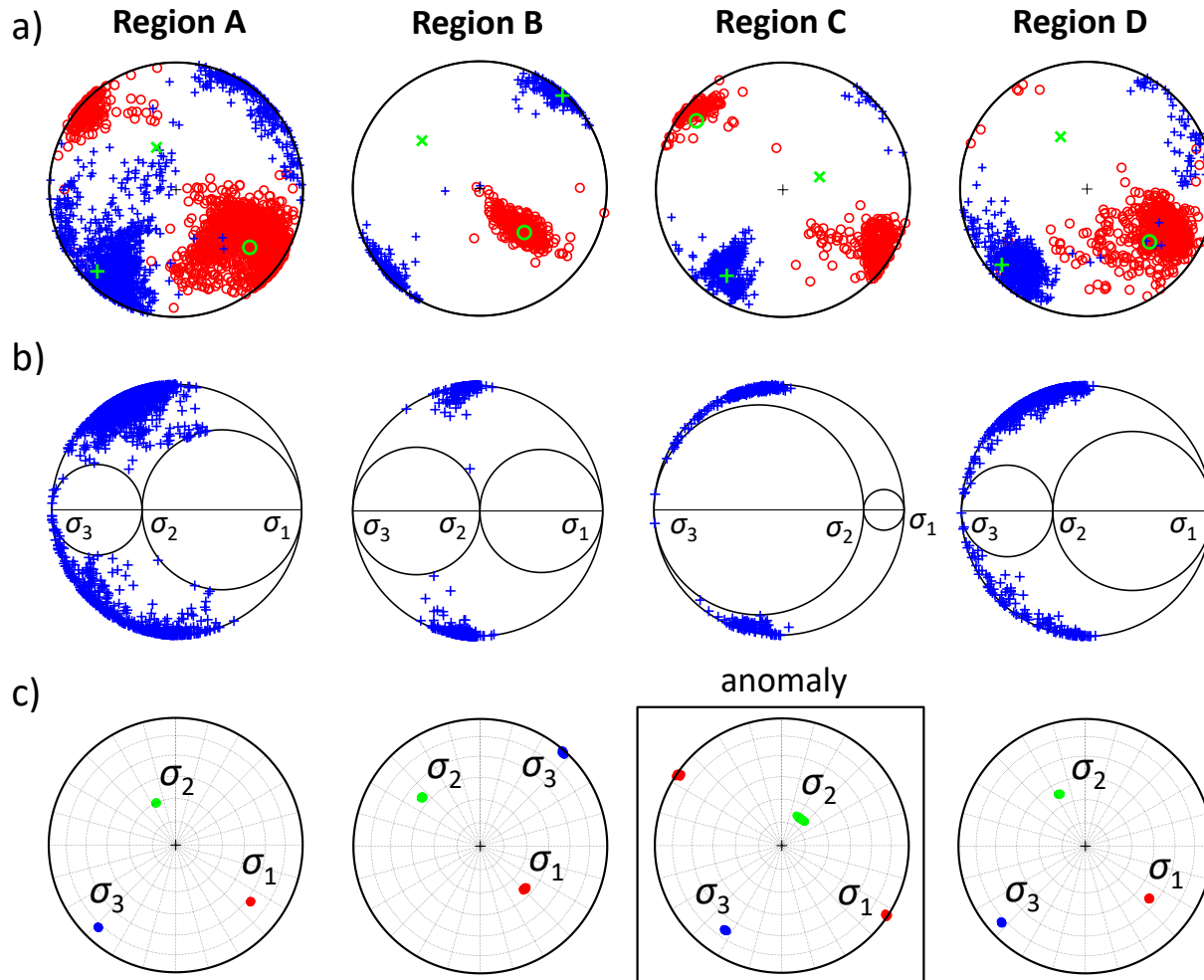


# Spatial variation of ISO and stress ratio

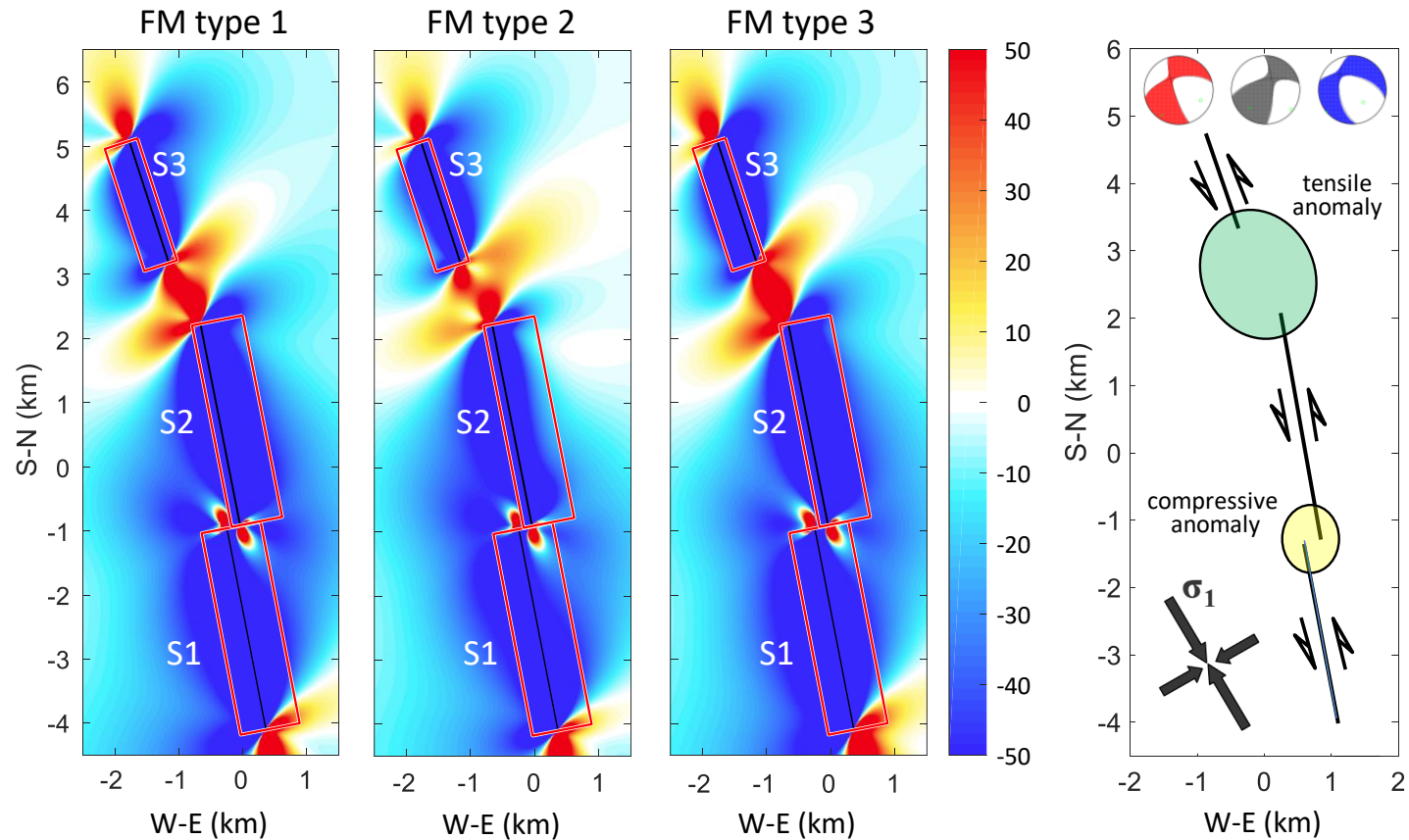


- Spatial variation of ISO maps
  - stress variations
  - changes in fracture mode
- **Negative ISO** indicates compressive regime
- **Positive ISO** indicates tensile regime
- Tensile regime might be an indicator of **fluid flow**

# Spatial variation of ISO and stress ratio



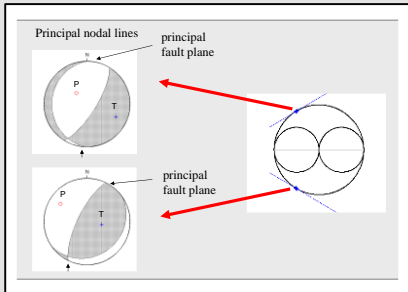
# Spatial variation of ISO and stress ratio



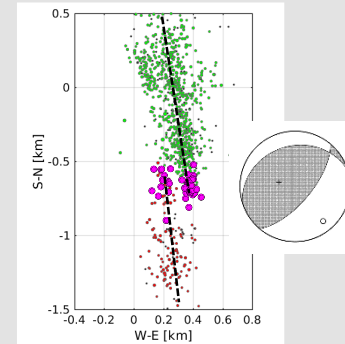
# Summary

# Summary

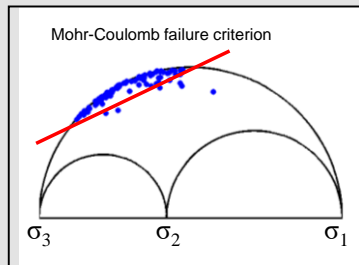
**Focal mechanisms** provide key information about stress field



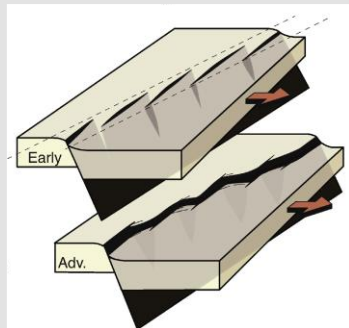
**Principal faults and principal earthquakes**



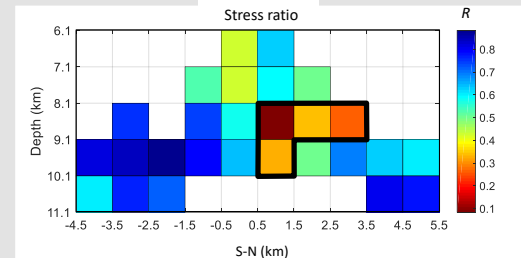
**Stress anomaly produces anomalous focal mechanisms**



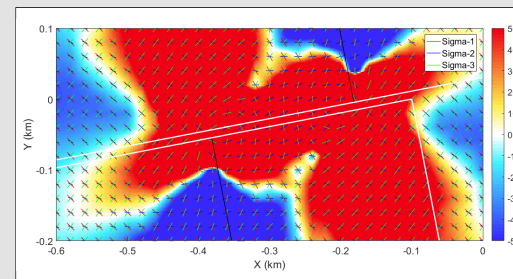
**We can determine friction on faults**



**Stress might be heterogeneous due to interaction of faults**



**Stress inversion in cells**



**Coulomb stress modelling**

Karlovy Vary spa



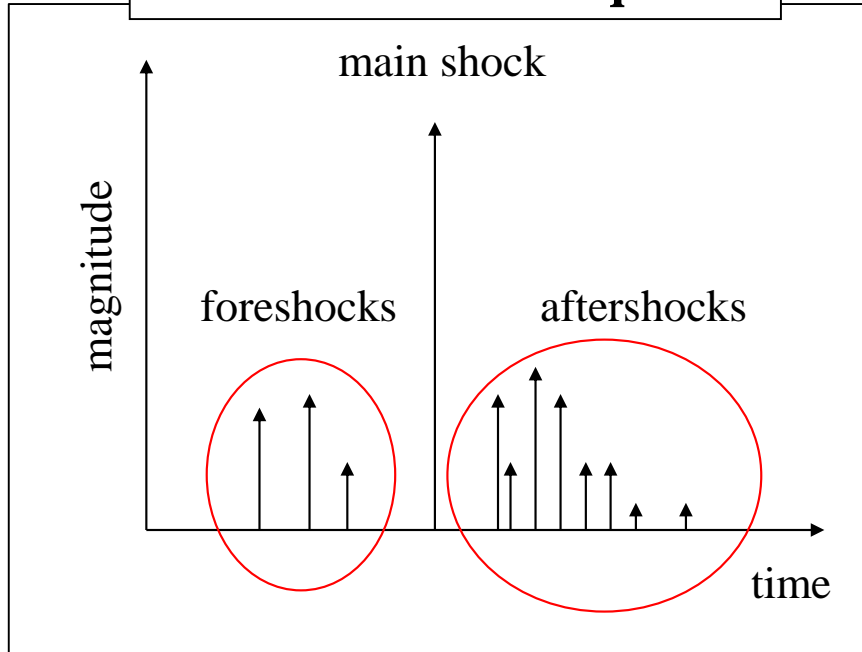
*Thank you for your attention*

Reference: Vavryčuk & Adamová (Tectonics, 2018)



# Seismic sequences

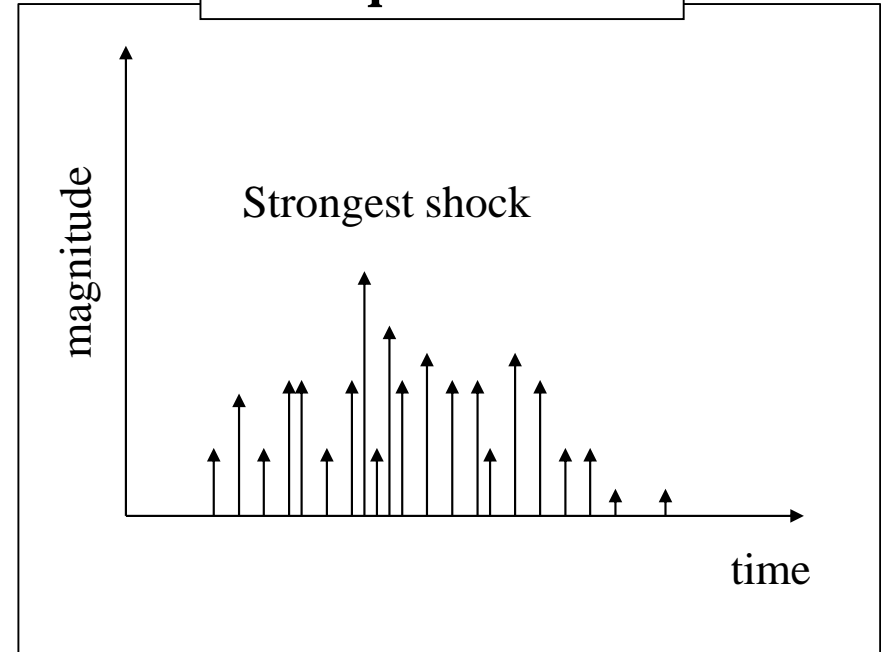
## Standard seismic sequence



- foreshocks
- main shock
- aftershocks

high fault strength

## Earthquake swarm

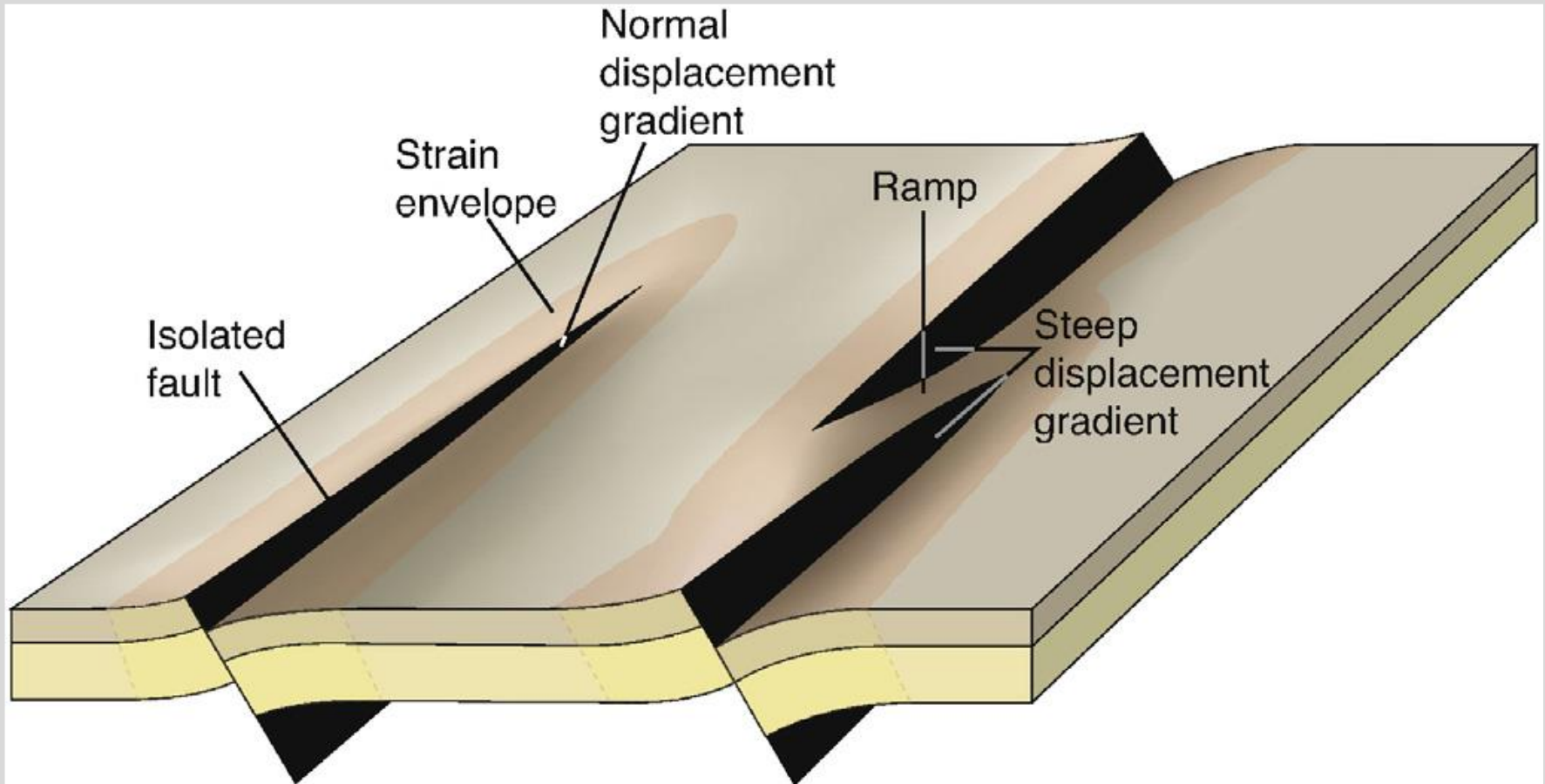


- many small earthquakes
- no main shock
- the strongest event is comparable with other events

low fault strength



# Fault interaction



# Lessons from the 2014 seismic activity: I

- **Swarm-like activity versus mainshock-aftershock activity**

**swarms** – high heat flow, elevated temperatures, more ‘ductile’ rheology  
weak faults eroded by long-term rock-fluid interactions  
(Vavryčuk & Hrubcová, JGR, 2017)

**mainshocks-aftershocks** – cold, brittle compact rocks, high-strength faults  
(Ben-Zion & Lyakhovsky, 2006; Zaliapin & Ben-Zion, 2013)

**mixture of both types of seismicity at the same area is quite unique!**

- **Explanation:** focal zone with low-strength as well as high-strength faults

**weak faults** – eroded by fluids, associated with a long-term seismicity

**strong faults** – newly created faults or reactivated closed faults  
faults with no previous influence of fluids

**unfavourably oriented faults with respect to the regional stress  
faults inside local compressive anomaly – prevents fluid flow**

## Lessons from the 2014 seismic activity: II

- **Regional background stress versus local stress anomaly**

**background stress** – very uniform, homogeneous over large distances  
disturbance by individual earthquakes is minor  
(stress drop ~ MPa, stress – hundreds of MPa)

**local stress anomaly** – associated with irregularities on faults  
(kinks, step overs, barriers), small in size,  
result of many individual earthquakes

- **local stress anomalies are rather exceptions and do not destroy the regional background stress**
- **mainshock can be produced by the local stress anomaly (questions the applicability of the declustering procedure)**
- **the stress anomalies change the magnitudes of principal stresses rather than their directions**
- **variability of focal mechanisms must be studied**

# Lessons from the 2014 seismic activity: III

## Role of fluids in seismicity

### Long-term role

- **high pore fluid pressure** – decrease of effective normal stress
  - destabilizes faults and triggers earthquakes
- **fault weakening by fluids** – affects the type of seismicity (swarms)

### Short-term role

- **fluid flow during seismicity** – causes migration of seismicity
  - tensile faulting and overpressure due to fault compaction

**However, not everything is caused by fluids!**

- Hainzl et al. (2016)** – fluids are responsible for a fast decay of aftershocks (??)  
– fluids trigger mainshock in 2014 on a misoriented fault (??)

# Moment tensors and focal mechanisms

## Microseismic data

- Complex high-frequency waveforms
- Waveforms are noisy because of low magnitude of events
- Large number of earthquakes requiring automatic processing

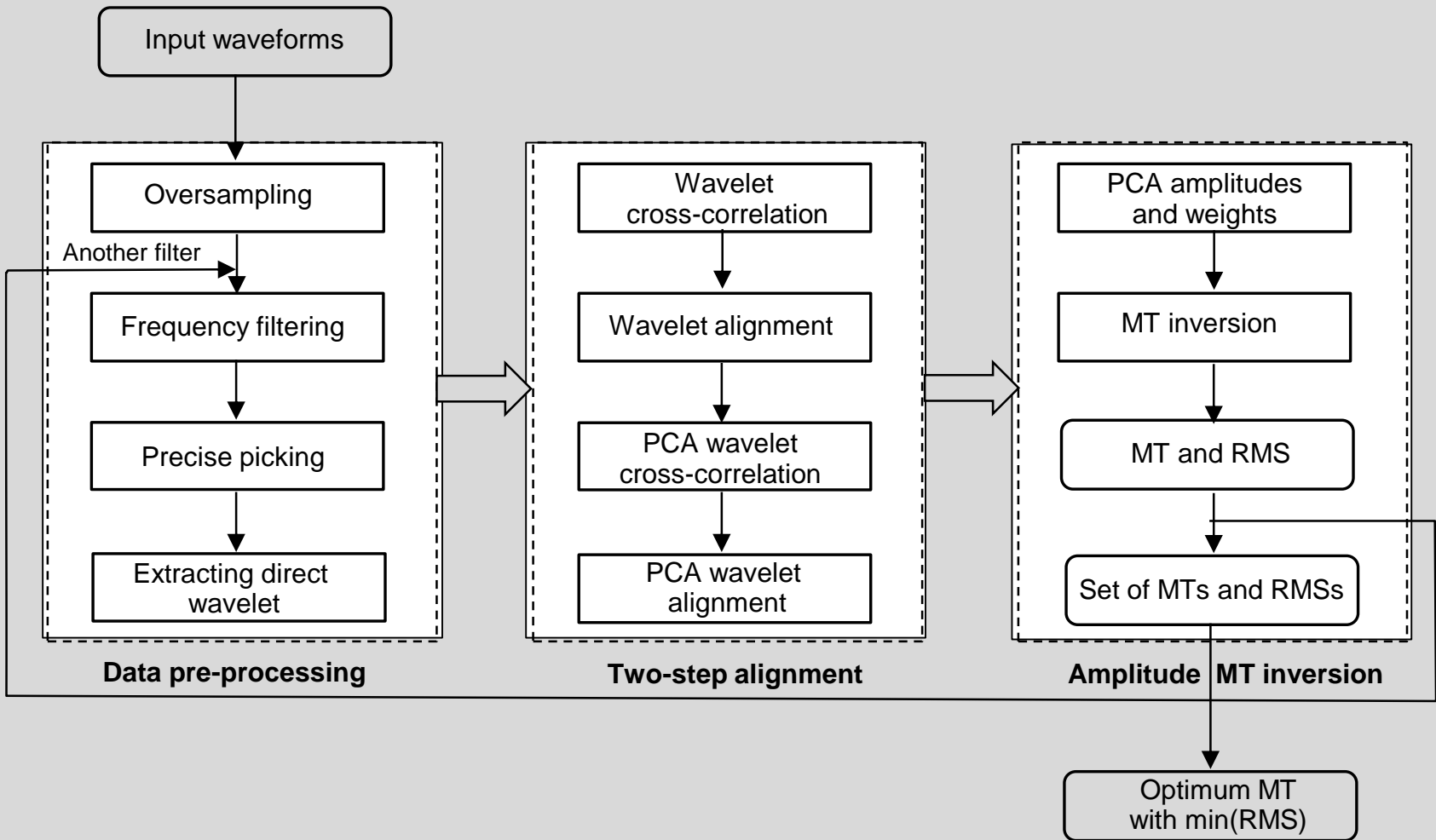
## Waveform inversion

- Accurate detailed velocity model
- Computing high-frequency waveforms is time consuming (FD method, discrete wavenumber method, reflectivity)

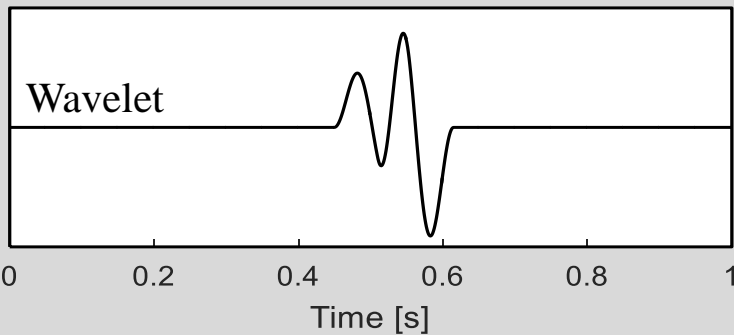
## Amplitude inversion

- Simple model is sufficient
- Computing is fast (GF amplitudes calculated by ray theory)
- Many stations, good coverage of the focal sphere
- Sensitive to noise in data

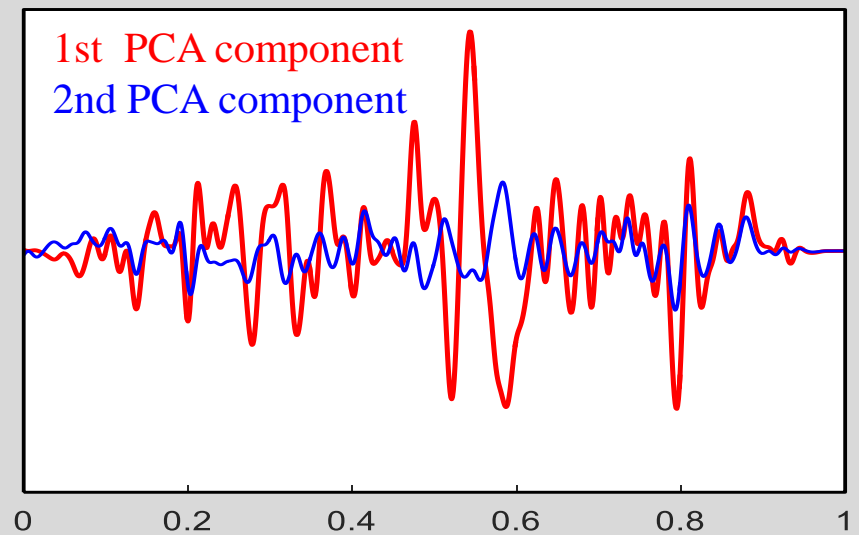
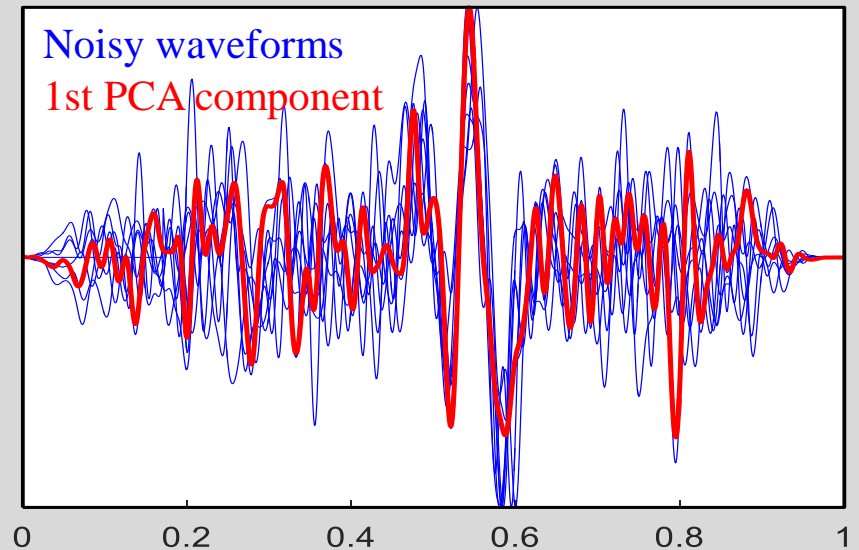
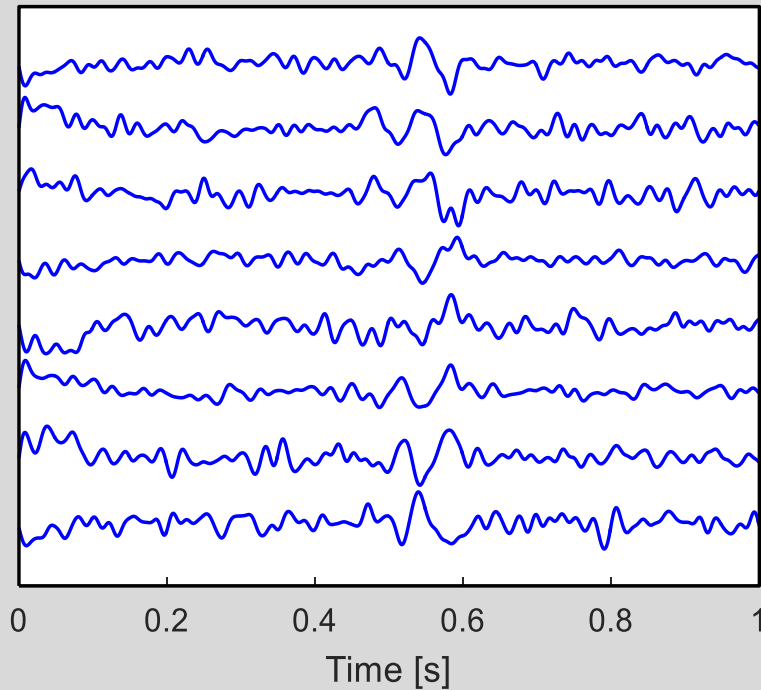
# PCA: inversion scheme



# PCA: numerical example II

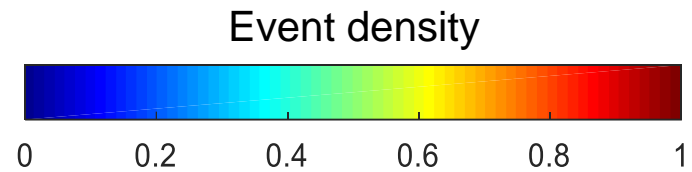
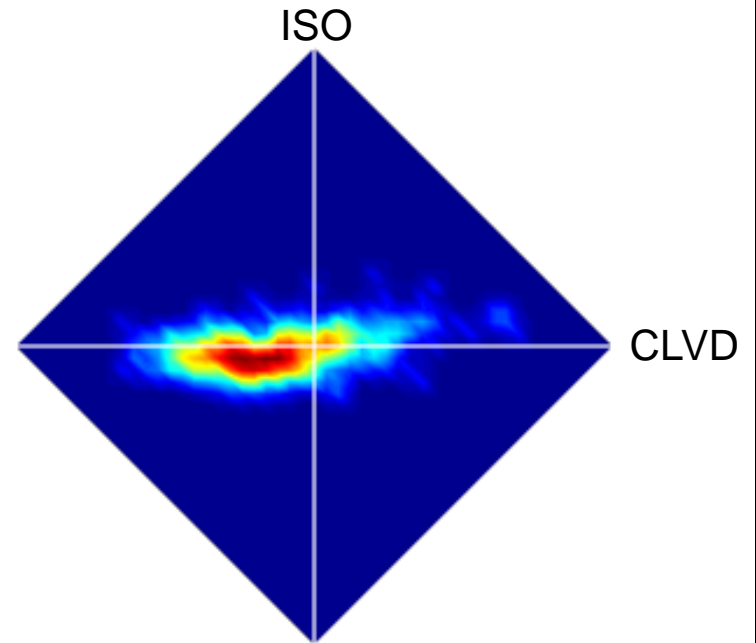
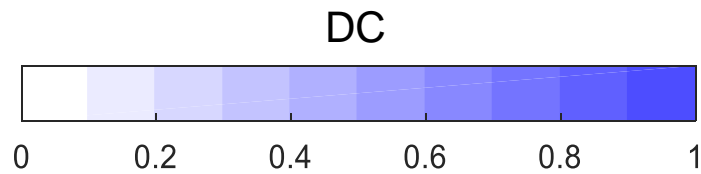
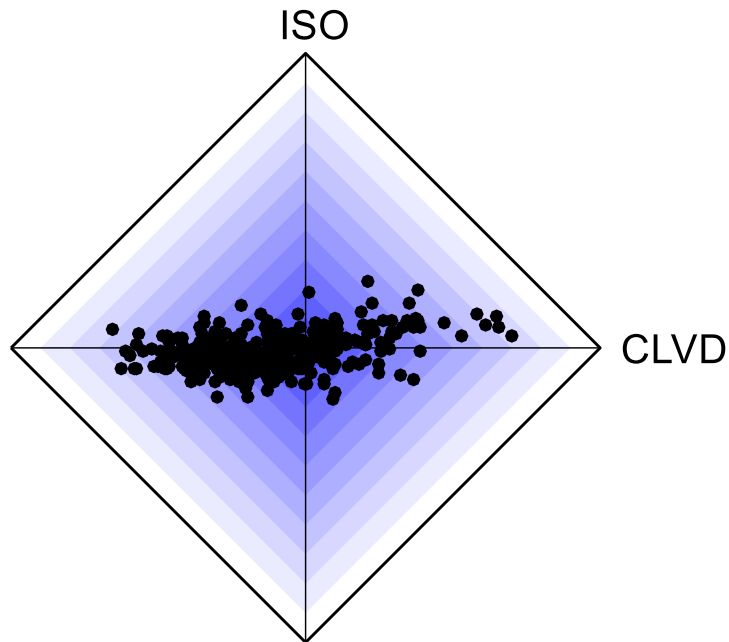


Noisy waveforms



# Swarm 2014: non-DC components

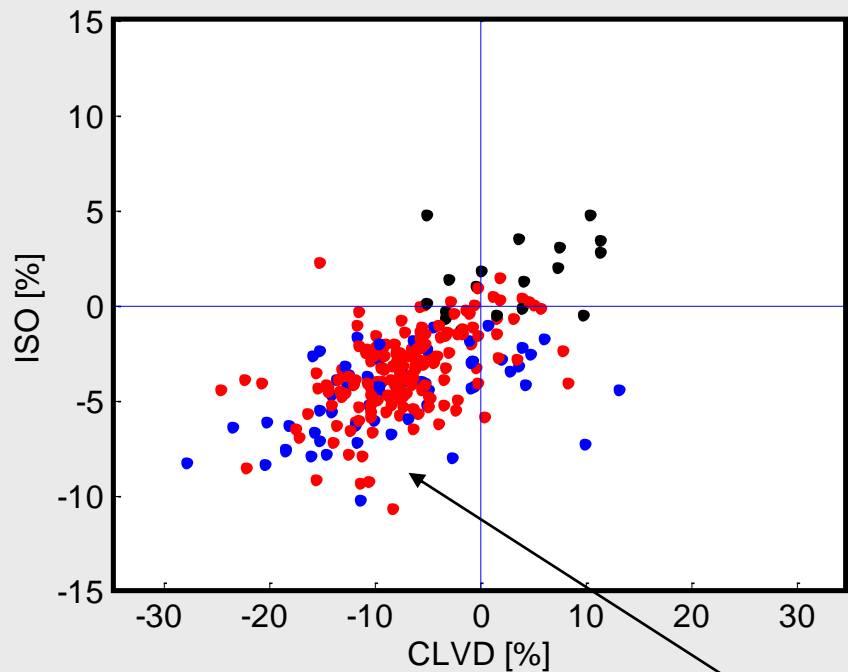
CLVD-ISO diamond plot



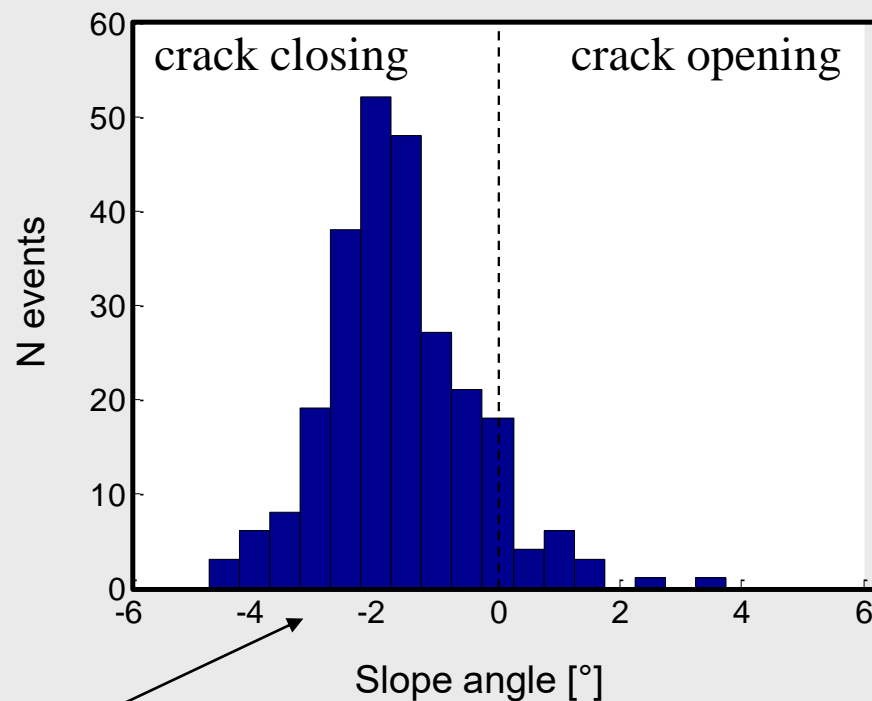


# Non-DC components and shear-tensile faulting

Non-DC components

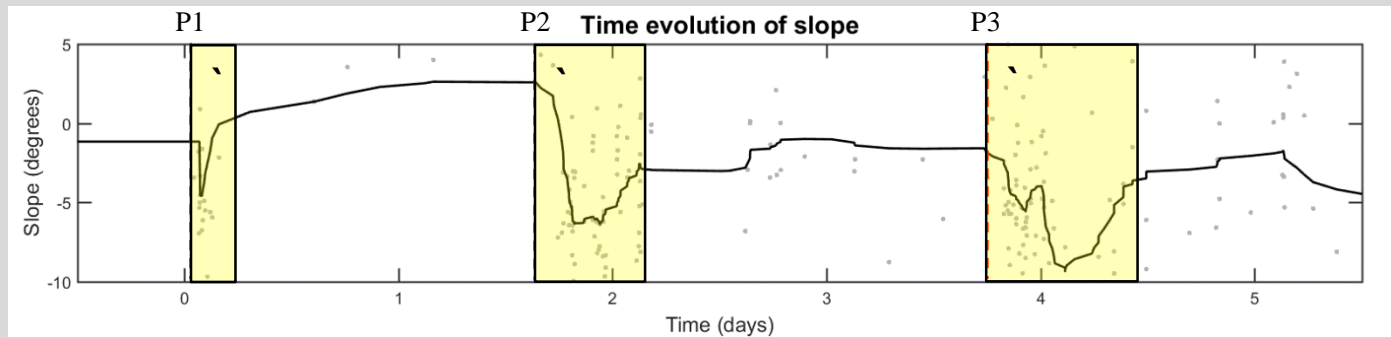
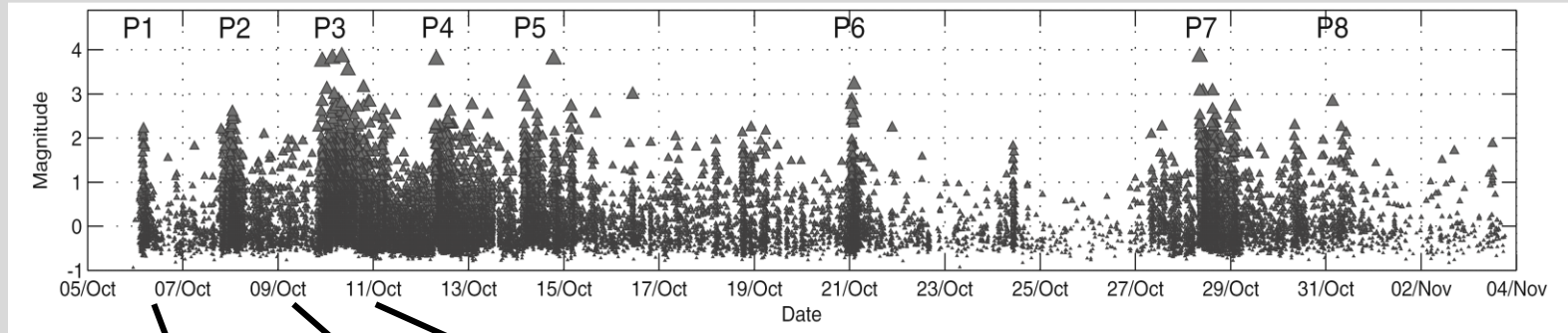


Slip deviation from the fault

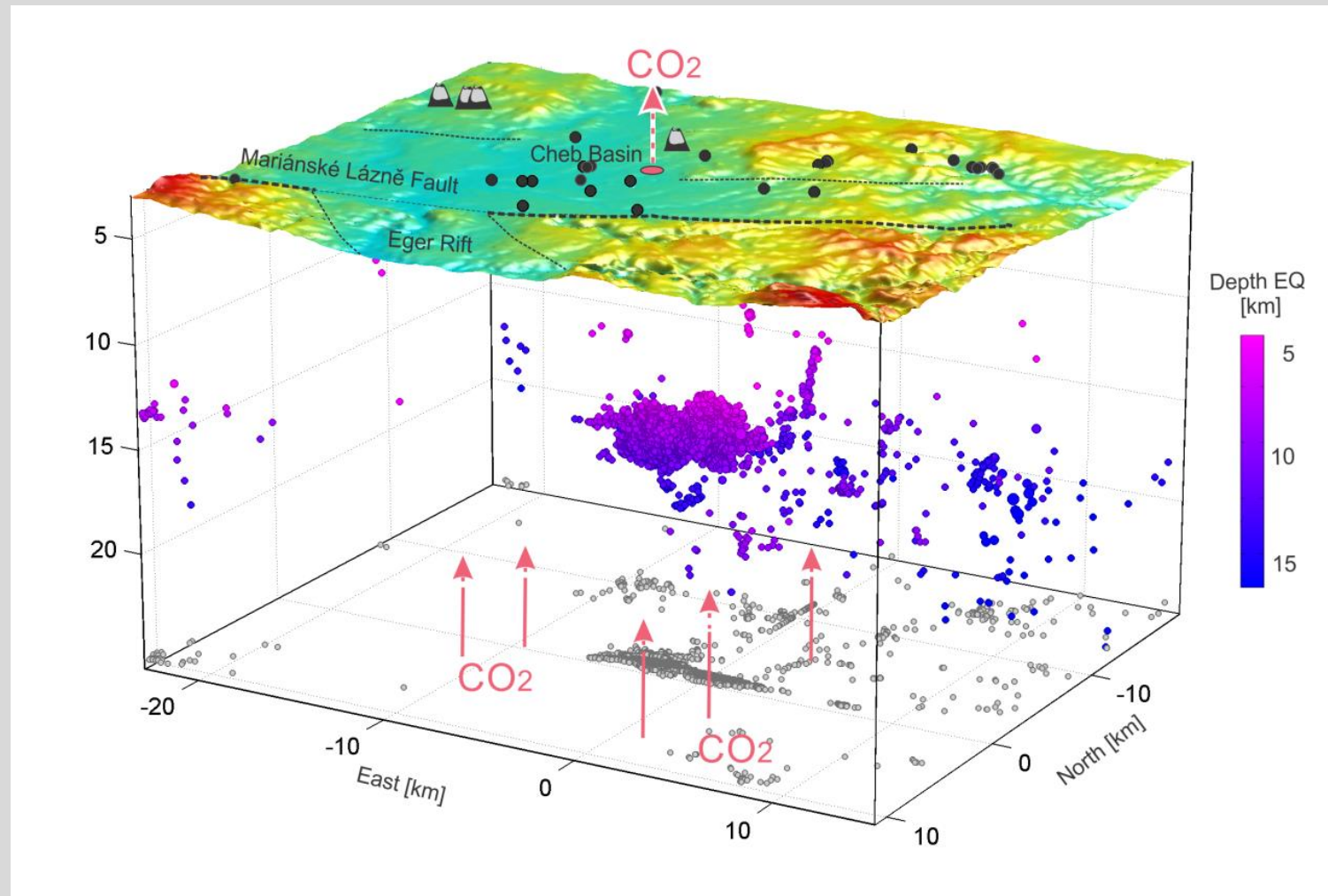


closing of a fault, rock compaction

# Data and methods



# Seismicity in West Bohemia, Czech Republic



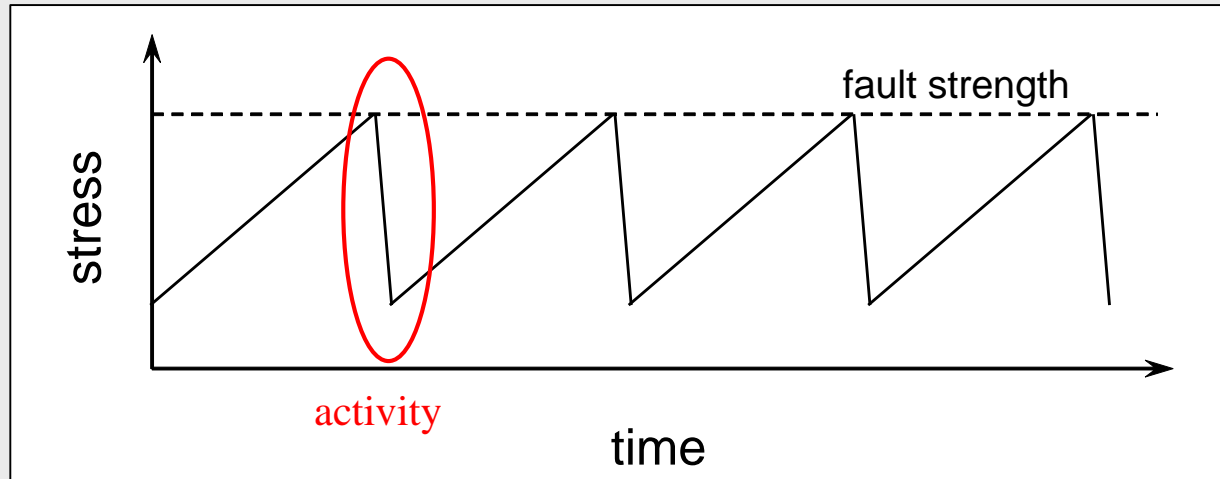
# Origins of rock compaction: fluid-rock interaction



- Permanent fluid flow in the Earth's crust
- Hydrothermal alteration of rocks
- Dissolution of minerals
- Transport of dissolved material to the surface
- Fault erosion by fluids

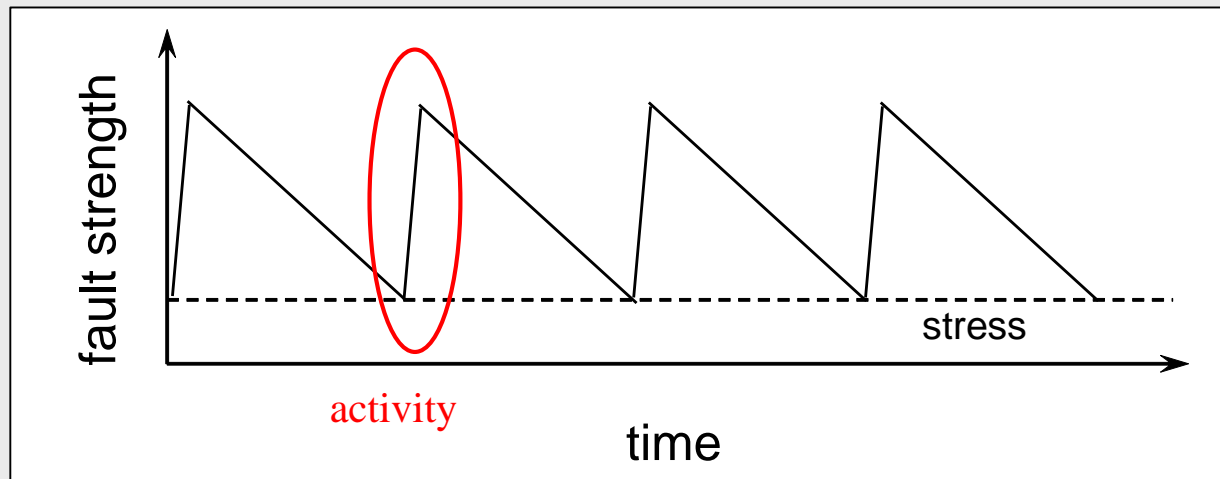
Borehole picture of open fluid-filled fracture at depth of 111 m  
The fracture width is 1-2 cm  
After Heinicke et al. (2009)

# Seismic cycles: two alternative scenarios



## Stress accumulation

(seismicity at margins of continental plates)

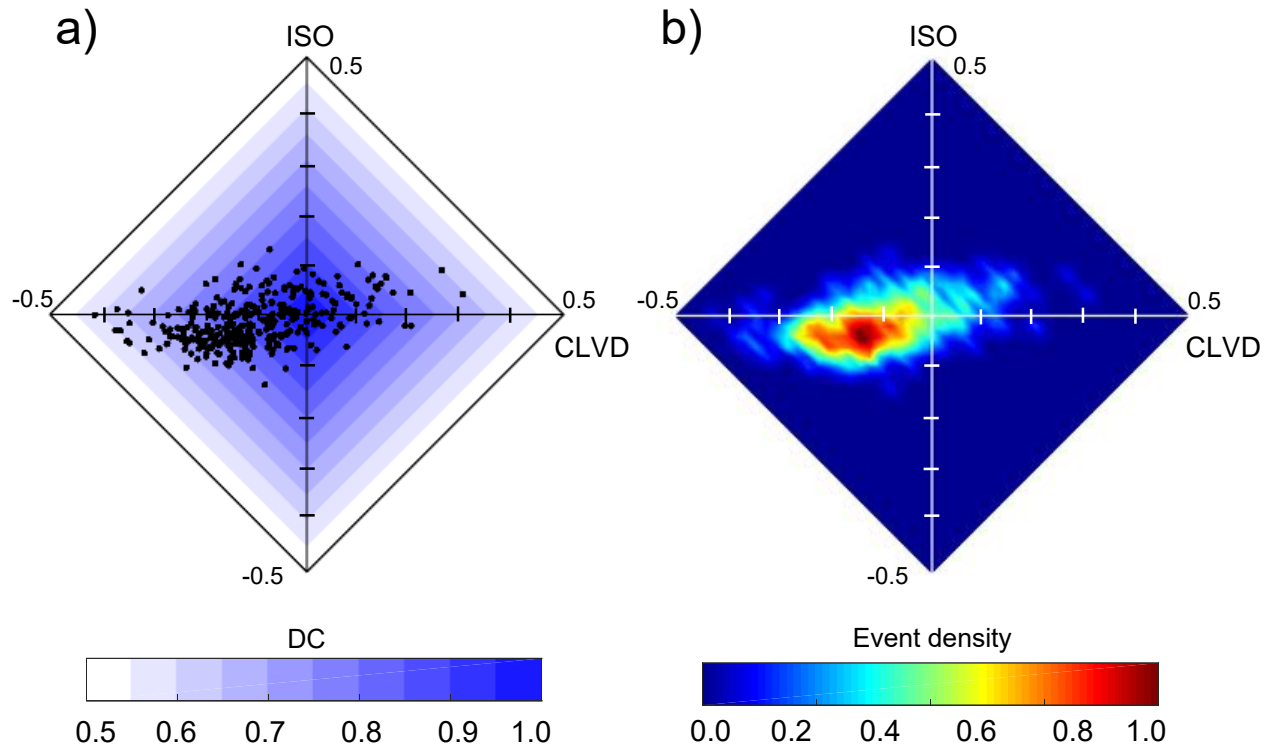


## Fault weakening

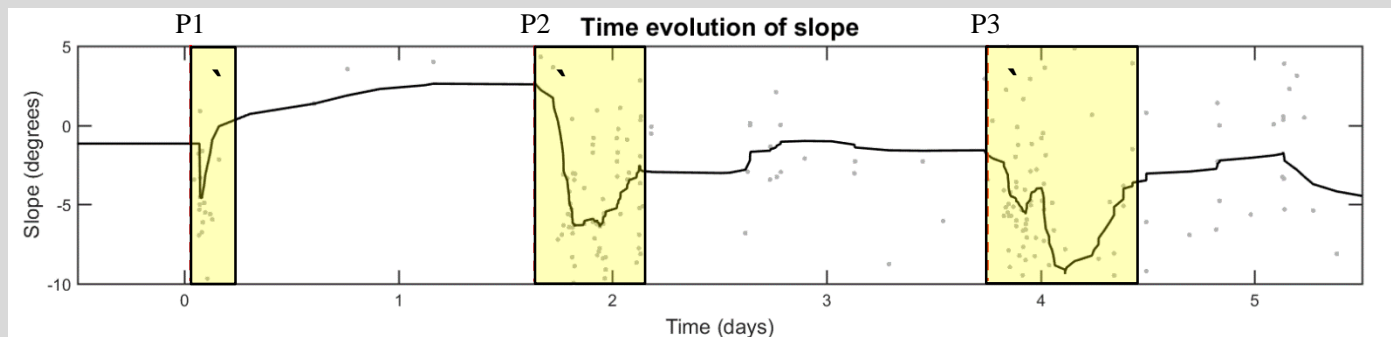
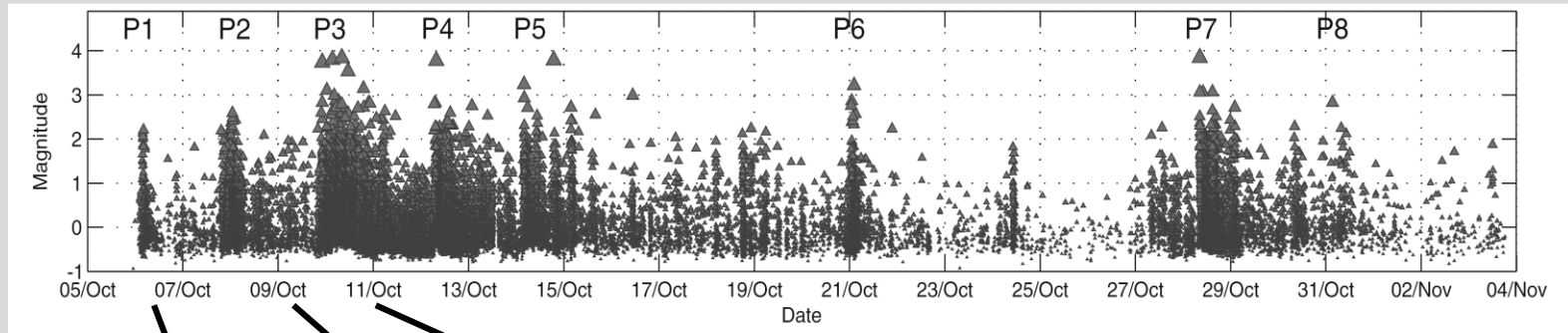
(intraplate seismicity)

# **Anomalous sequence in 2014**

# Non-DC components in 2008 swarm

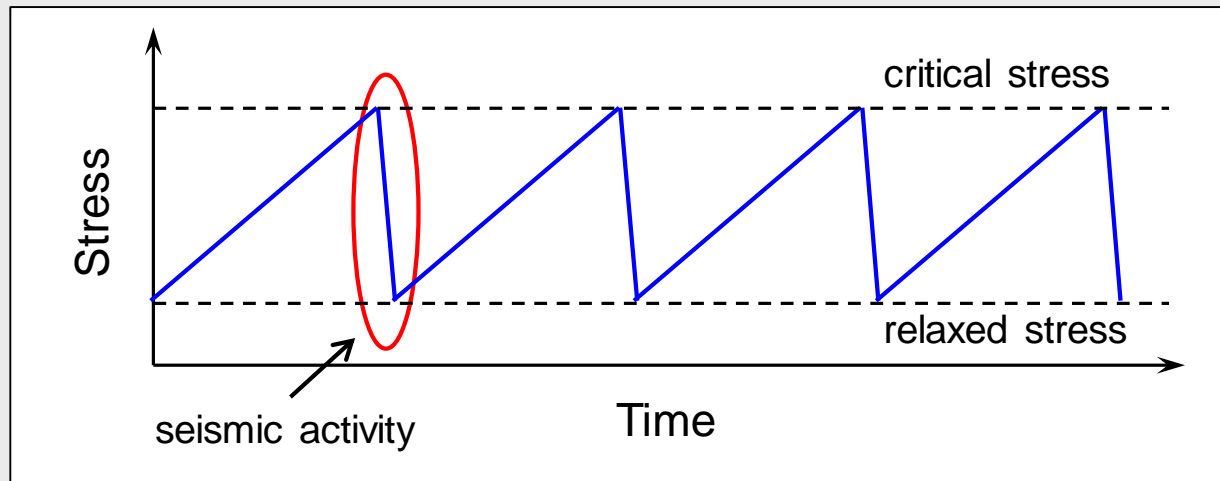


# Data and methods





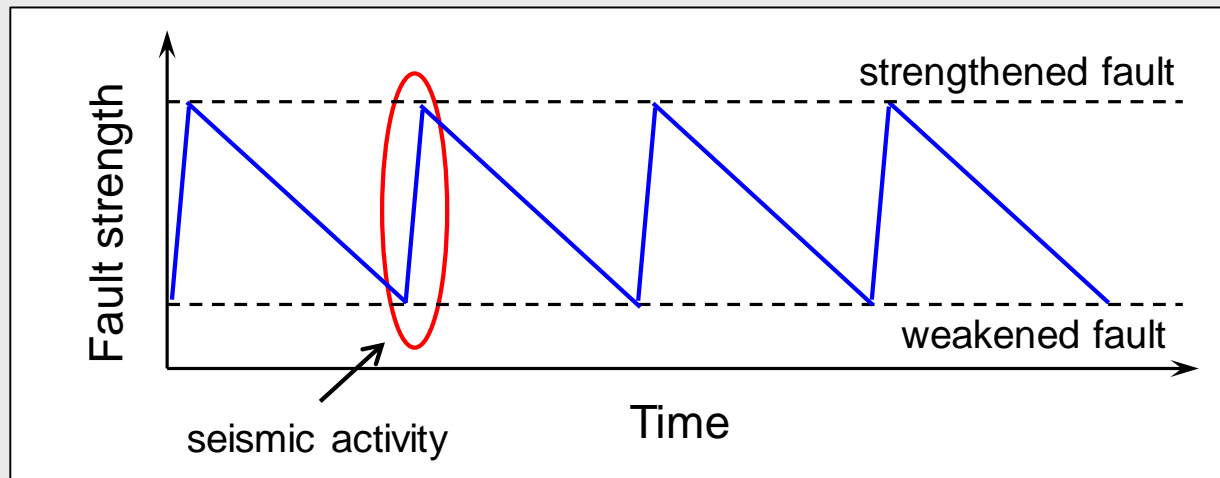
# Seismic cycles: two alternative scenarios



## Stress accumulation

(seismicity at margins of continental plates)

mainshock-aftershocks



## Fault weakening due to fluid erosion

(intraplate seismicity)

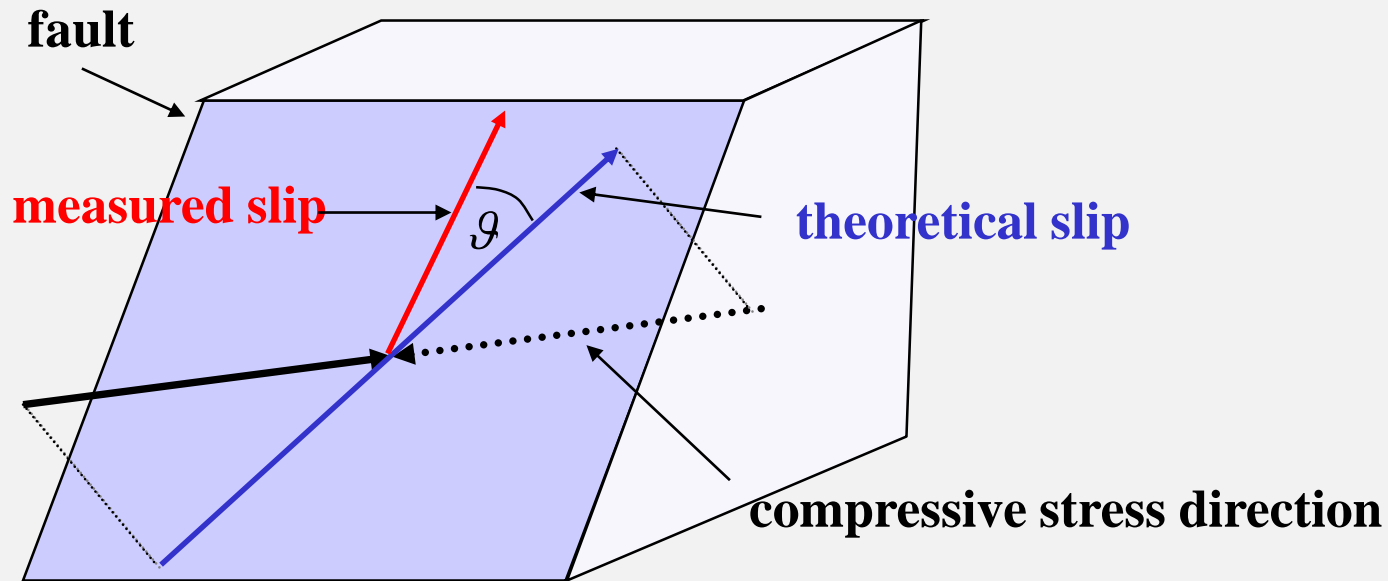
earthquake swarms

# Inversion for stress – Gephart & Forsyght (1981)

$$\sum_{i=1}^N |\mathcal{G}_i| = \min$$

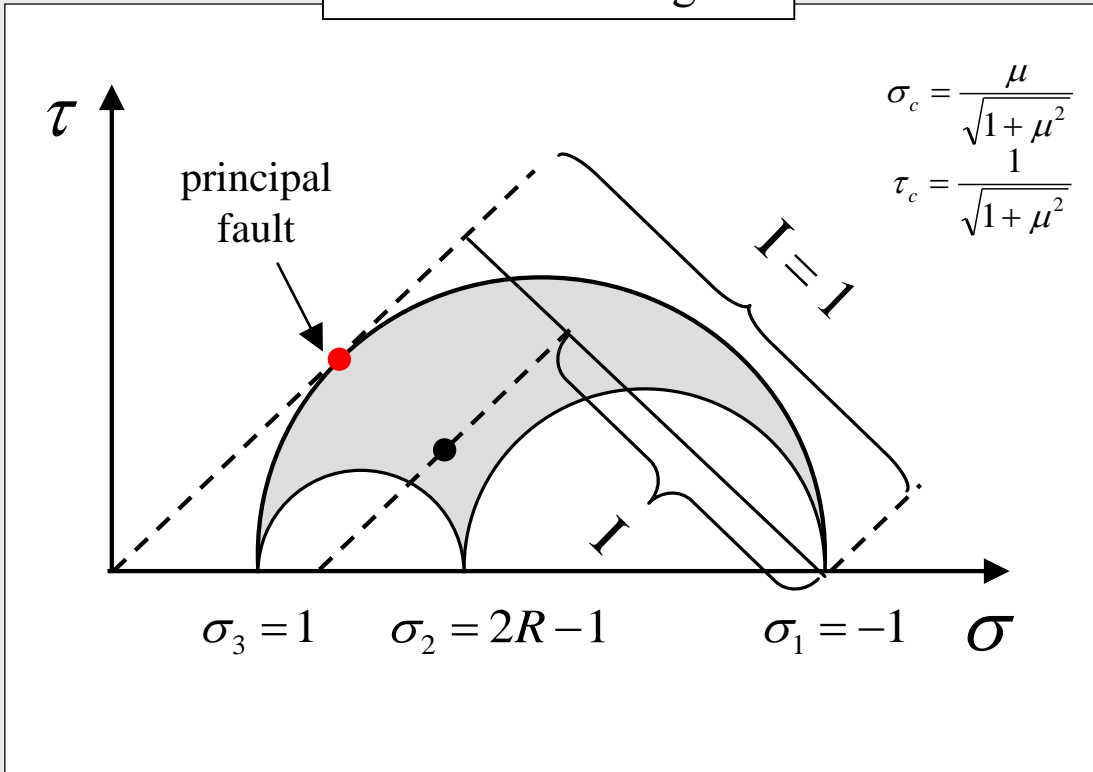
theoretical slip – predicted by stress

measured slip – determined from the mechanism  
(by inversion of P and S amplitudes)



# Fault instability: definition

Mohr's circle diagram



$$I = \frac{\tau + \mu(\sigma + 1)}{\mu + \sqrt{1 + \mu^2}}$$

Shape ratio:

$$R = (\sigma_1 - \sigma_2) / (\sigma_1 - \sigma_3)$$

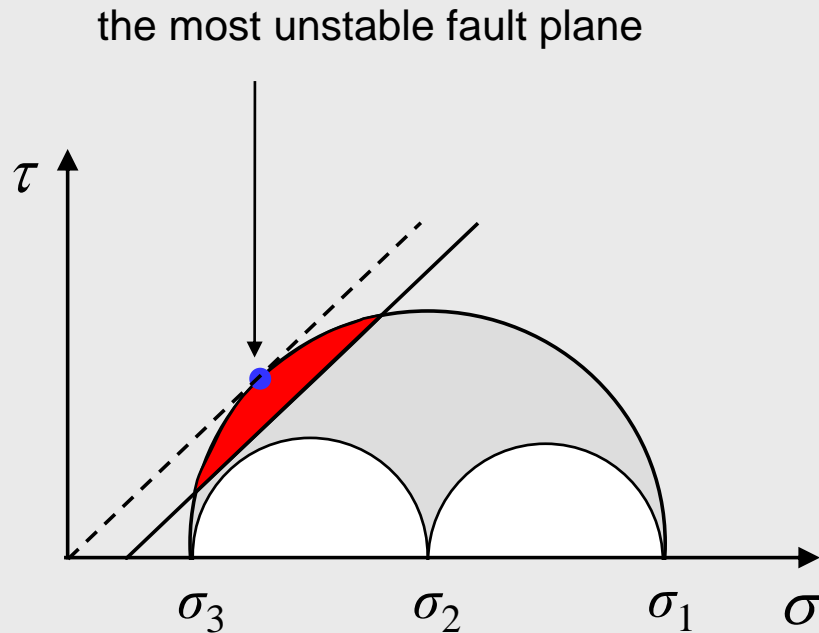
**Principal fault** is the most unstable fault in the stress field

$$\sigma = -n_1^2 + (2R - 1)n_2^2 + n_3^2$$

$$\tau = \sqrt{n_1^2 + (2R - 1)^2 n_2^2 + n_3^2 - (-n_1^2 + (2R - 1)n_2^2 + n_3^2)^2}$$

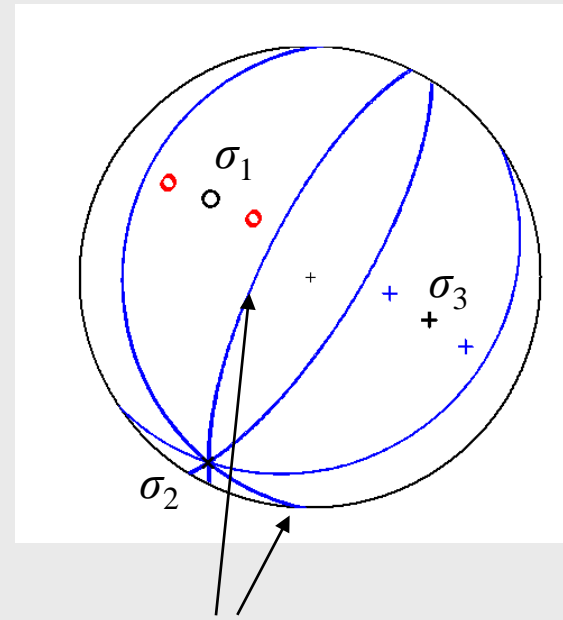
# Principal focal mechanisms

**Principal focal mechanisms** – the mechanisms which occur on the most unstable (optimally oriented) fault planes



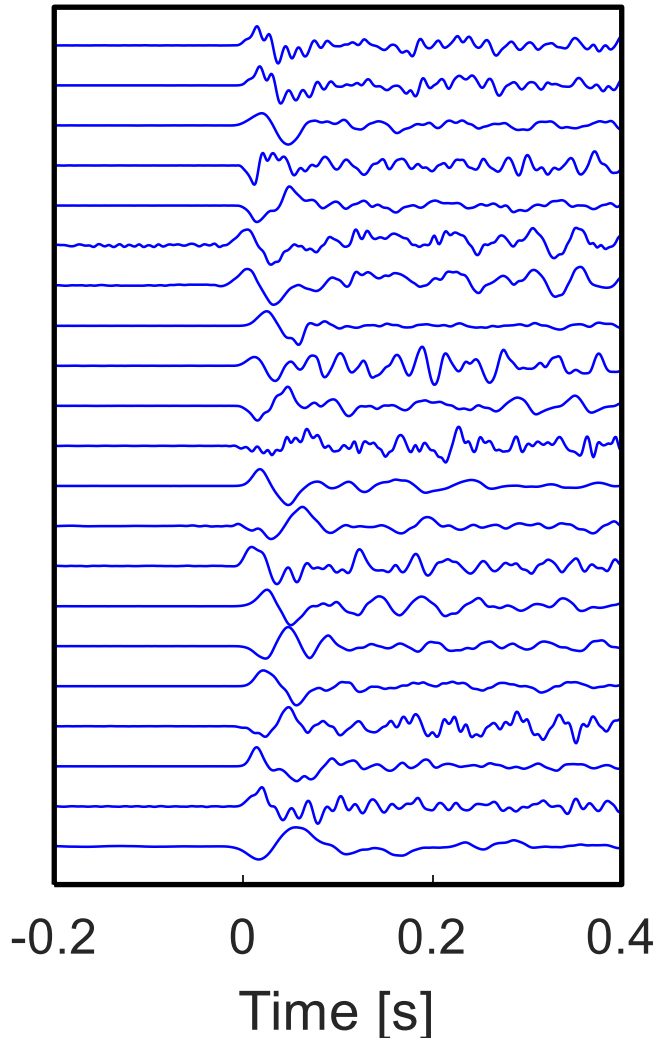
shape ratio = 0.5  
friction = 0.6

Principal nodal lines and P/T axes

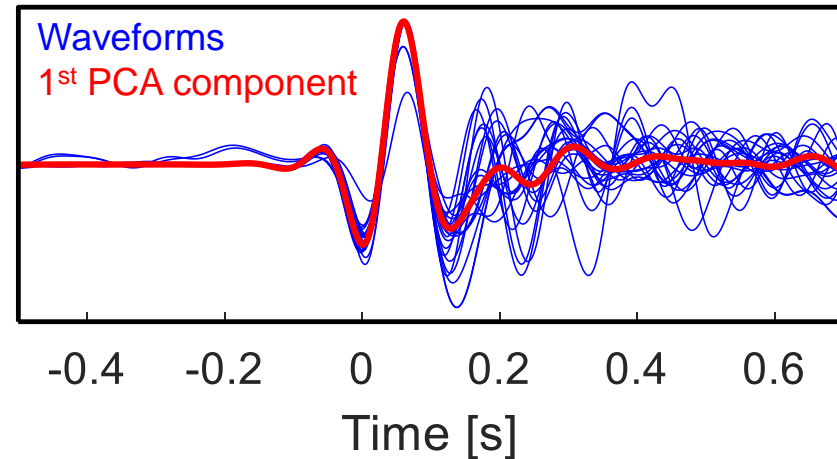


# MT inversion - principal component analysis (PCA)

P waves



PCA decomposition

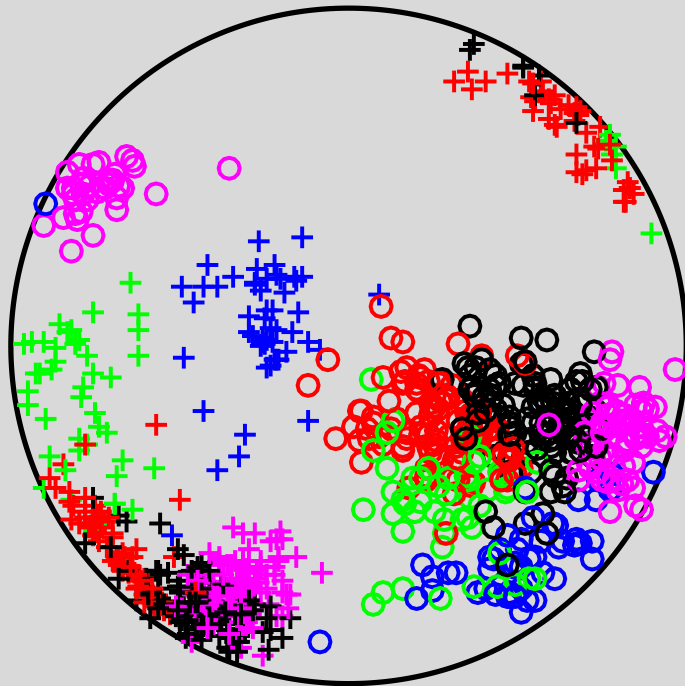


## MT inversion of P waves:

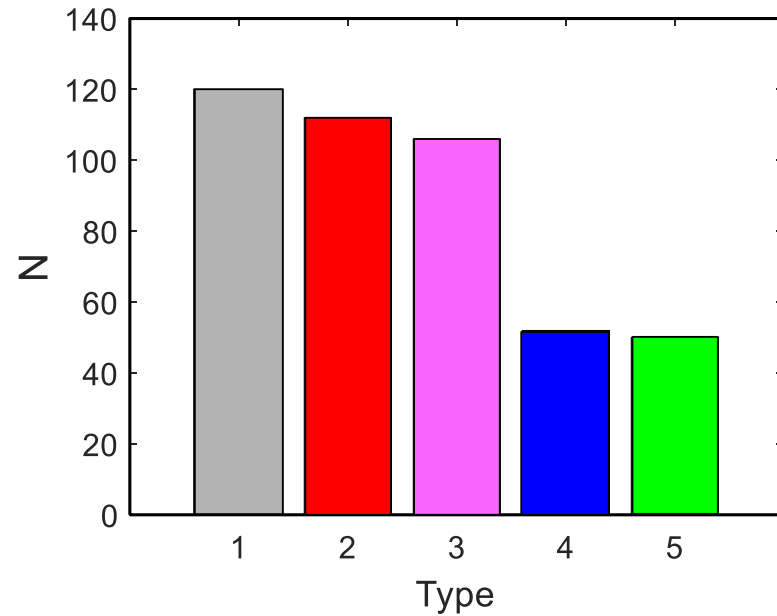
- suitable for analysis of large microseismic datasets
- more robust and less sensitive to noise than the amplitude inversion
- more accurate results than for manually processed earthquakes

# Cluster analysis of 440 focal mechanisms

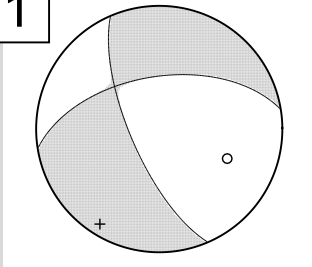
P/T axes



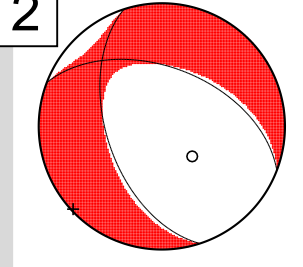
Number of events in clusters



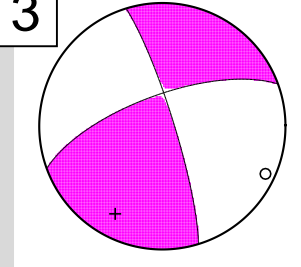
1



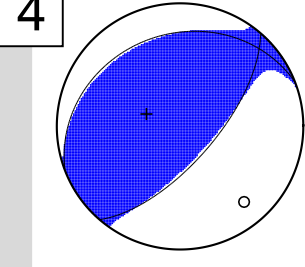
2



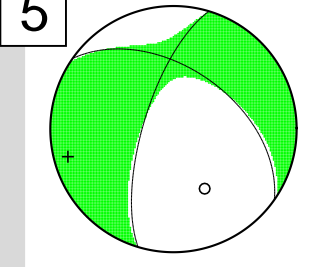
3



4



5



## Previous explanations of the 2014 sequence

- **Hainzl, Fischer, Jakoubková, Bachura, Vlček, 2016.** Aftershocks triggered by fluid intrusion: Evidence for the aftershock sequence occurred 2014 in West Bohemia/Vogtland, *J. Geophys. Res., Solid Earth*, 121, doi:10.1002/2015JB012582

usage of ‘fluid/fluids’: 29 times

- **Jakoubková, Horálek, Fischer, 2017.** 2014 Mainshock-aftershock activity versus earthquake swarms in West Bohemia, Czech Republic, *Pure Appl. Geophys.*, doi: 10.1007/s00024-017-1679-7

usage of ‘fluid/fluids’: 5 times

# Previous explanations of the 2014 sequence

## Jakoubková et al (PAAG, 2017)

- Repeating reactivation of the focal zone  
complex system of fault segments, local fast stress accumulation, fluid pressure increase
- The mainshock activated a barrier between the fault segments
- Why mainshock-aftershock sequence? Why the aftershock decay is fast?  
low stress – swarms, high stress aftershocks, increased stress in the fault zone due to fluids
- The local magnitude ML of the mainshock is 4.4
- Maximum expected magnitude ML 4.8

## Our concept

Fault weakening due to rock-fluid interaction

OK, stress accumulated in 2008-2011

No fluids in the focal zone!

Compressive stress, new fractures.

Our estimate is ML 4.2  
M<sub>w</sub> = 3.9-4.0

M<sub>w</sub> 5.0 → M<sub>w</sub> 5.4  
fault linkage



# Previous explanations of the 2014 sequence

## Hainzl et al. (JGR, 2016)

- The mainshock is on the jog of the fault segments
- The mainshock occurred on an unfavourable fracture
- Origin of the mainshock

To bring the unfavorably oriented mainshock rupture to failure requires the decrease of the effective normal stress, most likely by high fluid pressure

- Why mainshock-aftershock sequence? Why the aftershock decay is fast?

A strong aseismic driving force with exponential decay – fluid intrusion

## Comment

Why? Fluid injection exactly on the jog?

??, no explanation

??

Fluid injection would activate favourably oriented fractures

??

Fluids played the key role also in swarm-like activities!



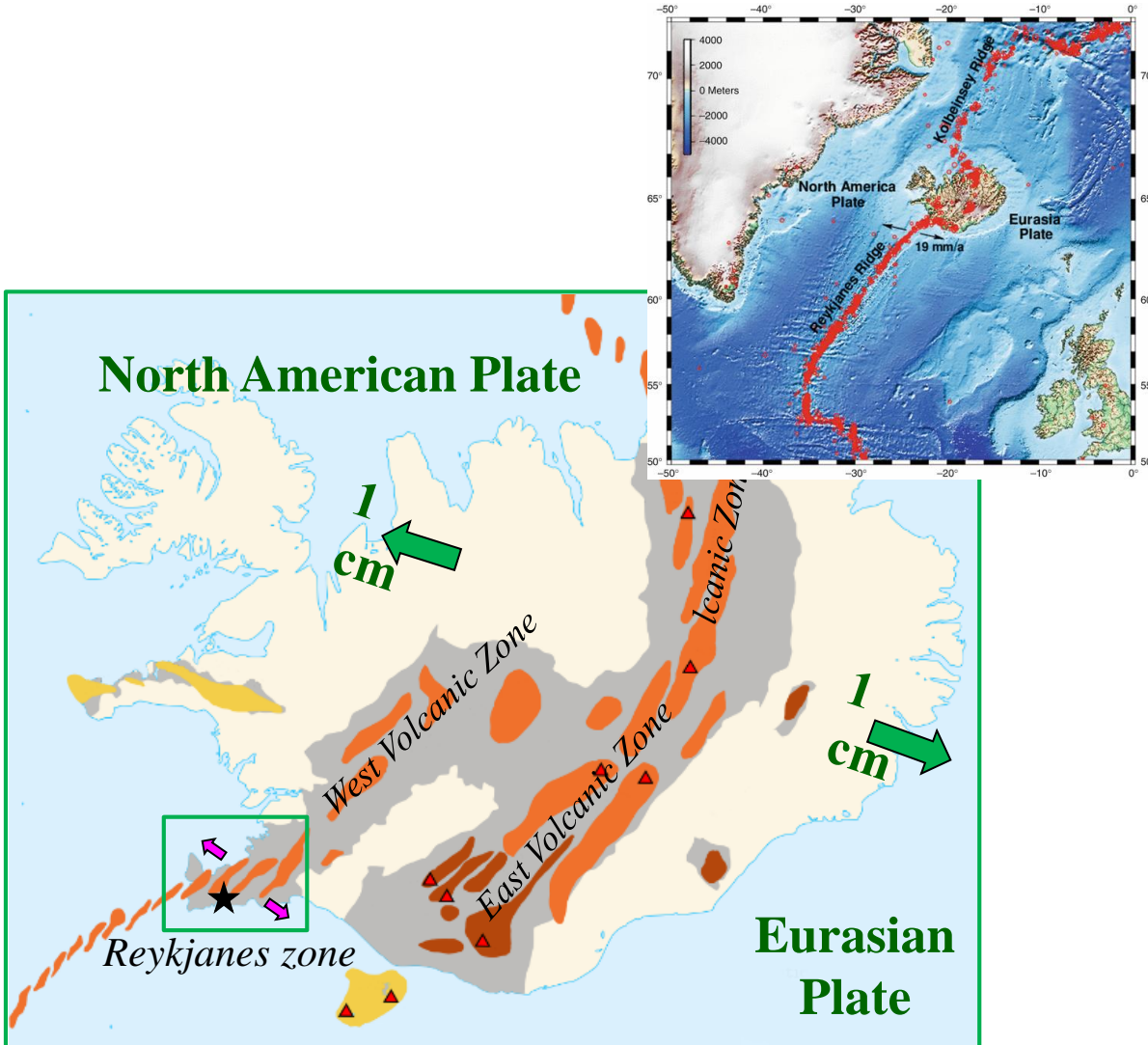
**Tectonic stress  
from focal mechanisms:  
Applications II**

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**Václav Vavryčuk**  
*Institute of Geophysics, Prague*

# **2017 swarm activity in Iceland**

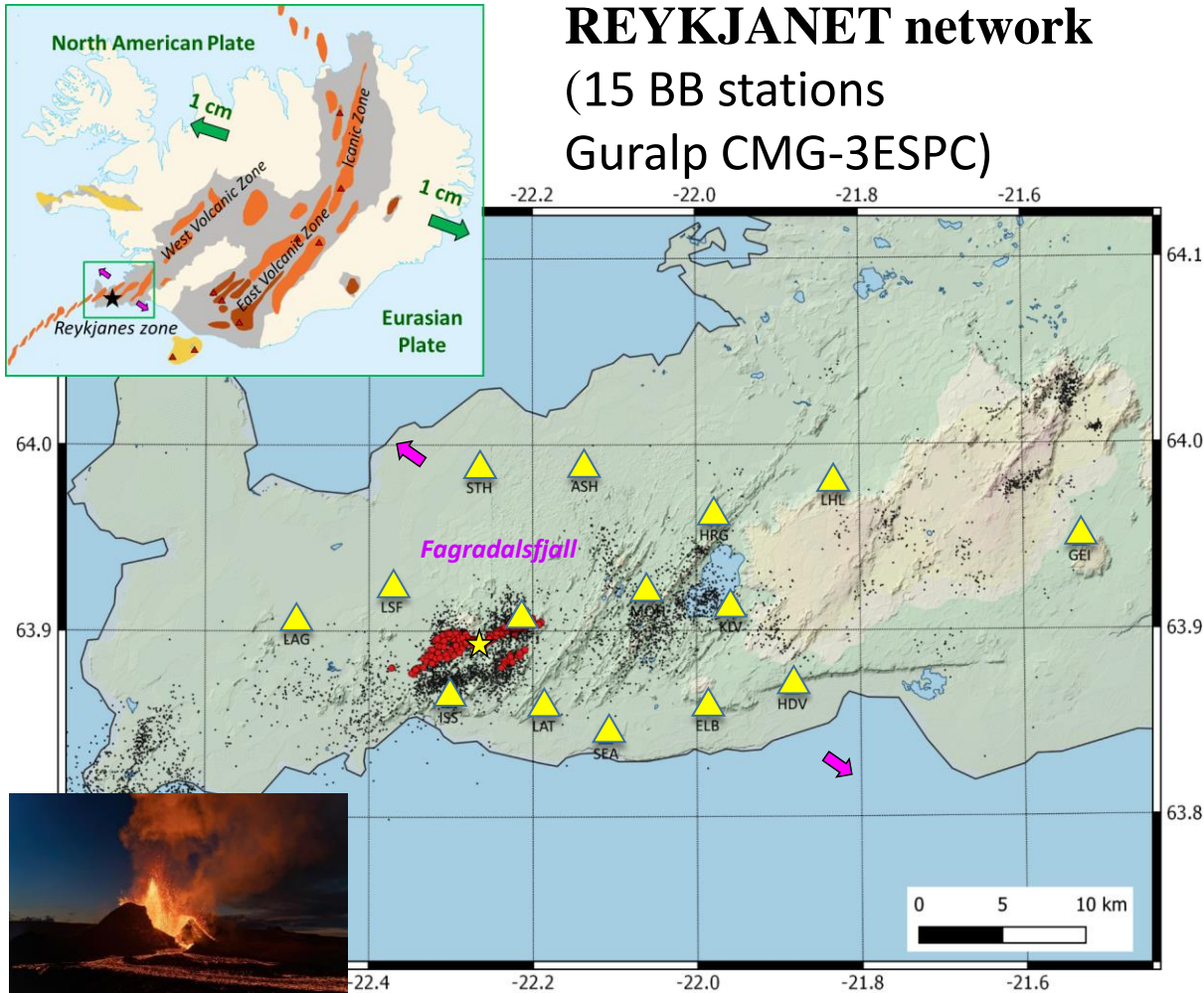
# Tectonic setting in Iceland



## Geodynamically active area:

- Transtensional plate boundary
- Slow-spreading rift
- Spreading rate 1.9 cm/y,  $\sim 105^\circ/285^\circ$
- Volcanic fissures
- Seismicity, volcanism, geothermal fields
- Mantle plume

# 2017 swarm in Reykjanes Peninsula

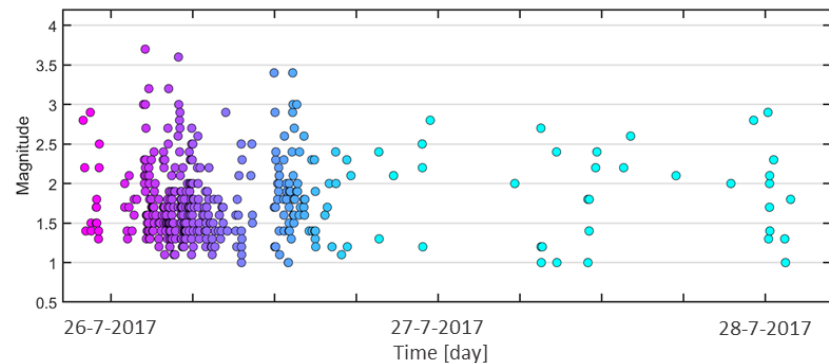
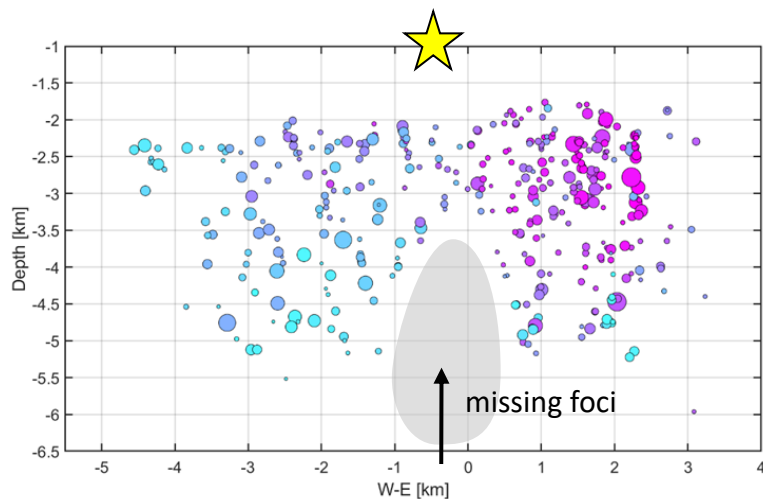
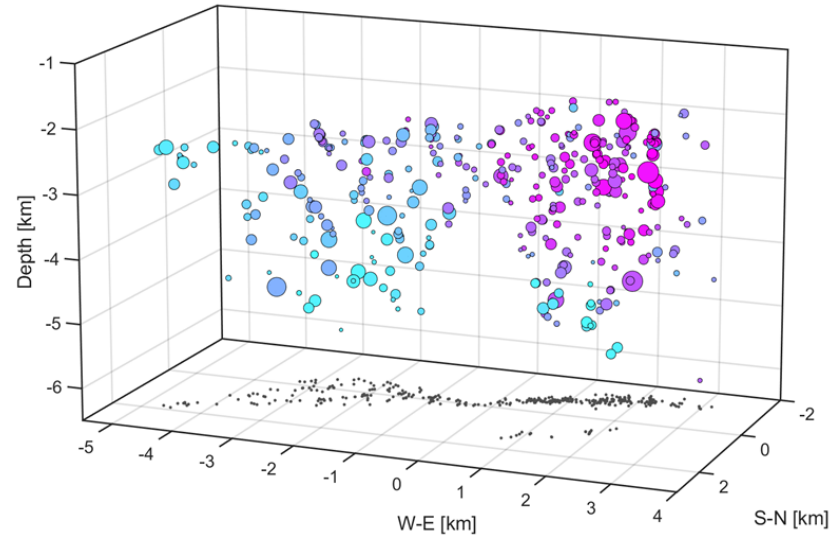
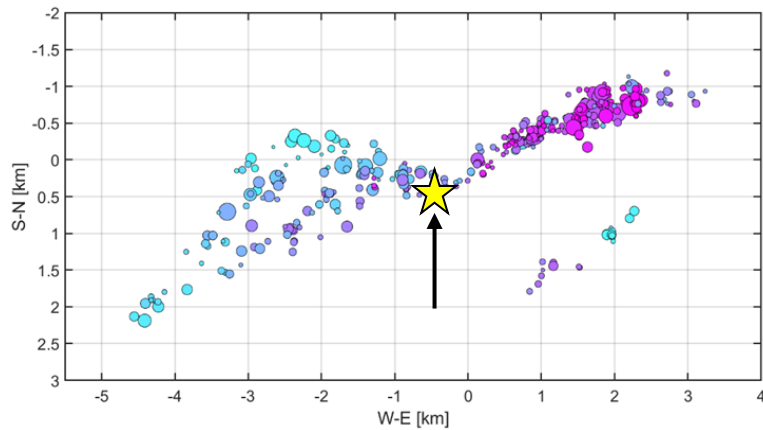


**Fagradalsfjall eruption**, 19 March 2021

## Reykjanes Peninsula:

- High, episodic seismicity
- every ~30 yrs (M < 6)
- 2016, 2017, 2019, 2020-2022
- Swarm duration – few days or weeks
- Fagradalsfjall volcano-tectonic segment

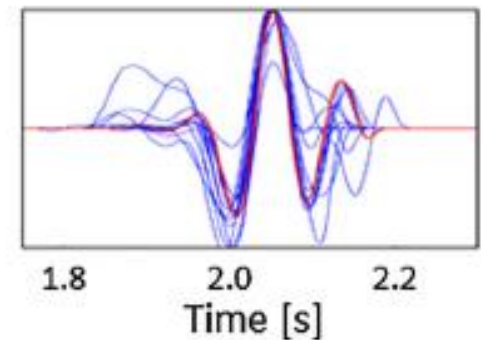
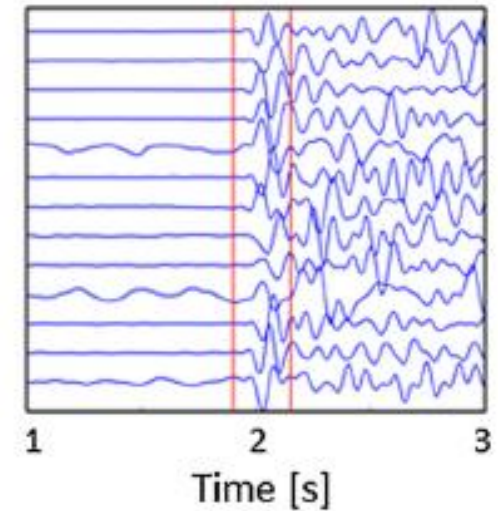
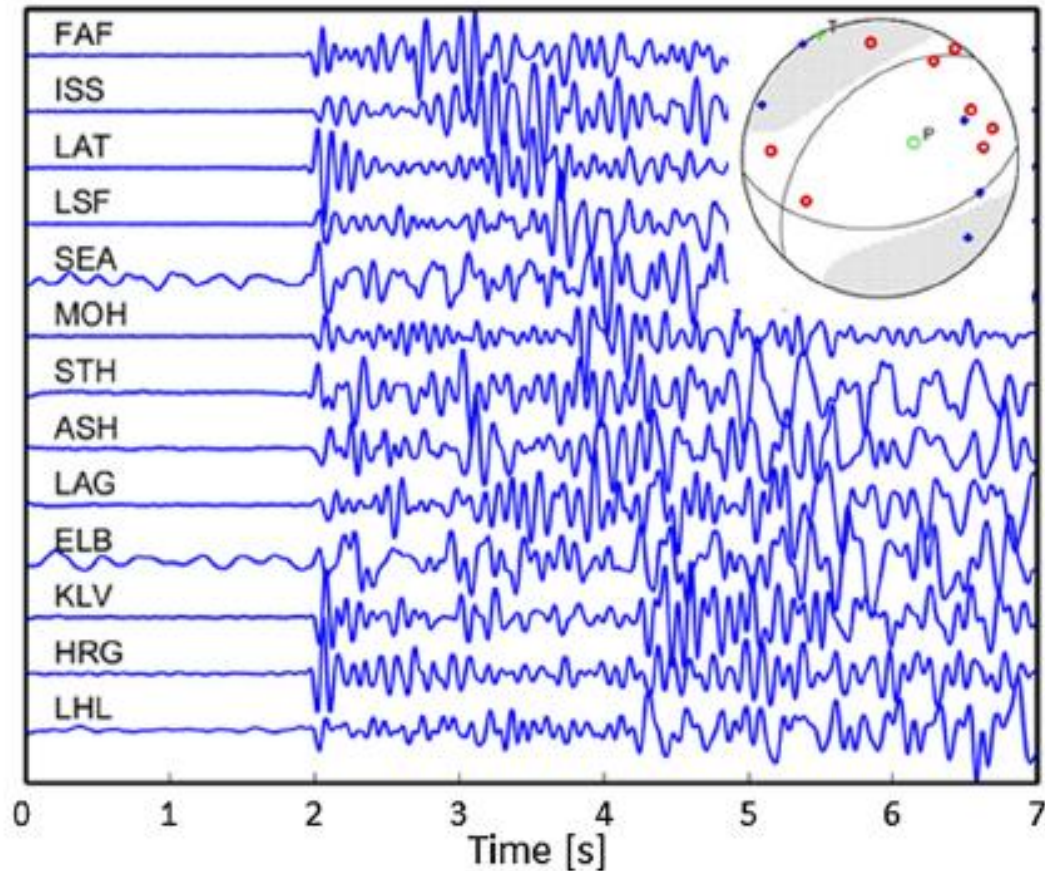
# 2017 swarm: spatiotemporal evolution



Duration: July 26-28, 2017  
Size: 9 km long cluster  
Strongest shock: ML=3.7

More than 2000 earthquakes with  $ML > 1.0$   
389 events with DD-locations

# PCA moment tensor inversion

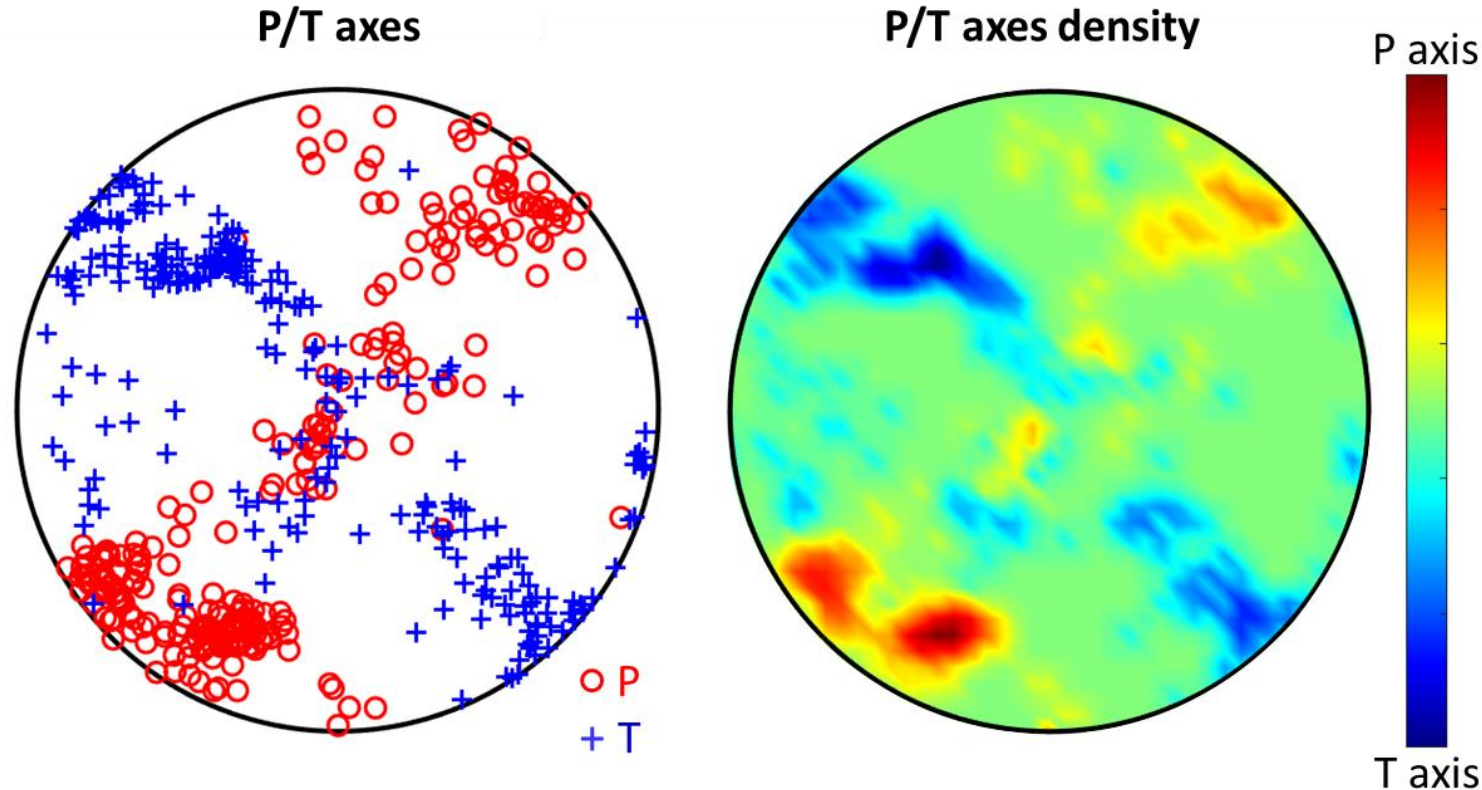


**Input:** Z-components of P wavelets

**Method:** inversion for full moment tensors using the PCA analysis



# Focal mechanisms of selected 251 events



## Reliable MTs criteria:

Number of stations  $> 10$

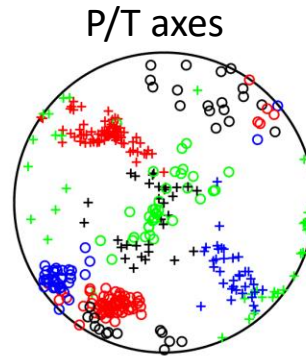
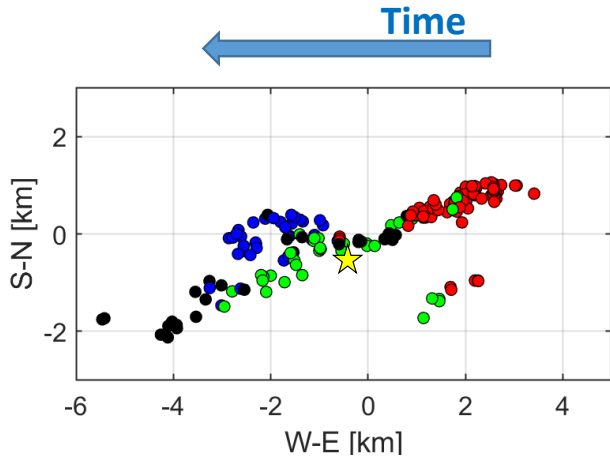
RMS  $< 0.3$

P/T axes deviation  $< 12^\circ$

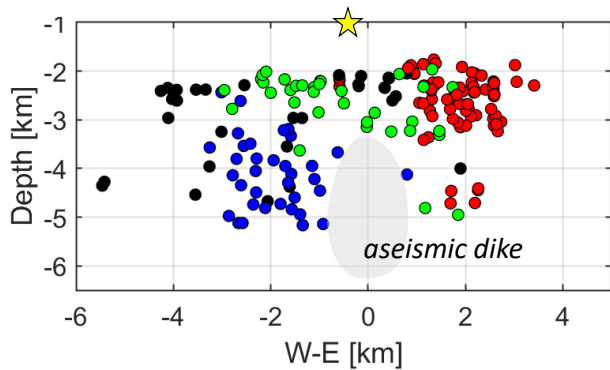
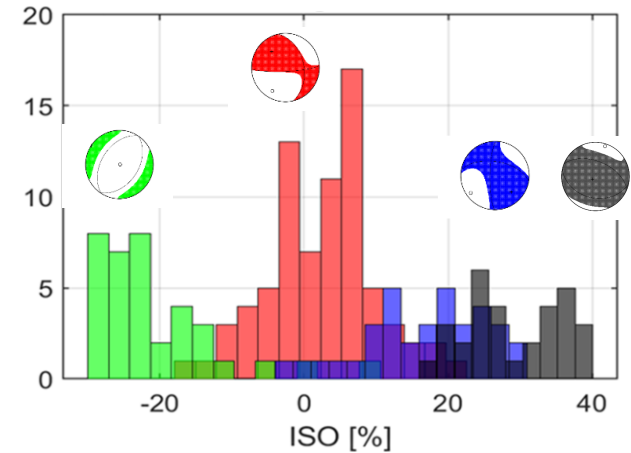
ISO error  $< 12\%$

P/T axes are well-separated  
mixed only in near-vertical directions

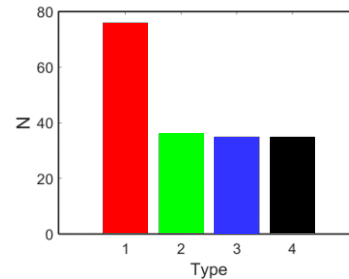
# Classification of focal mechanisms



## Volumetric components

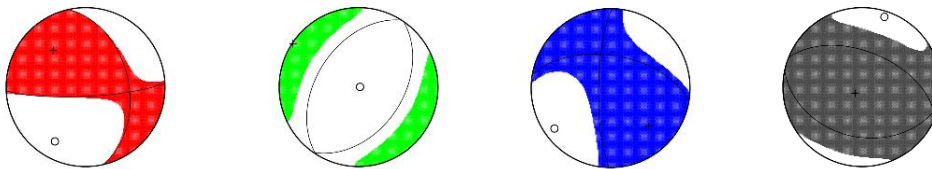


## Distribution of events

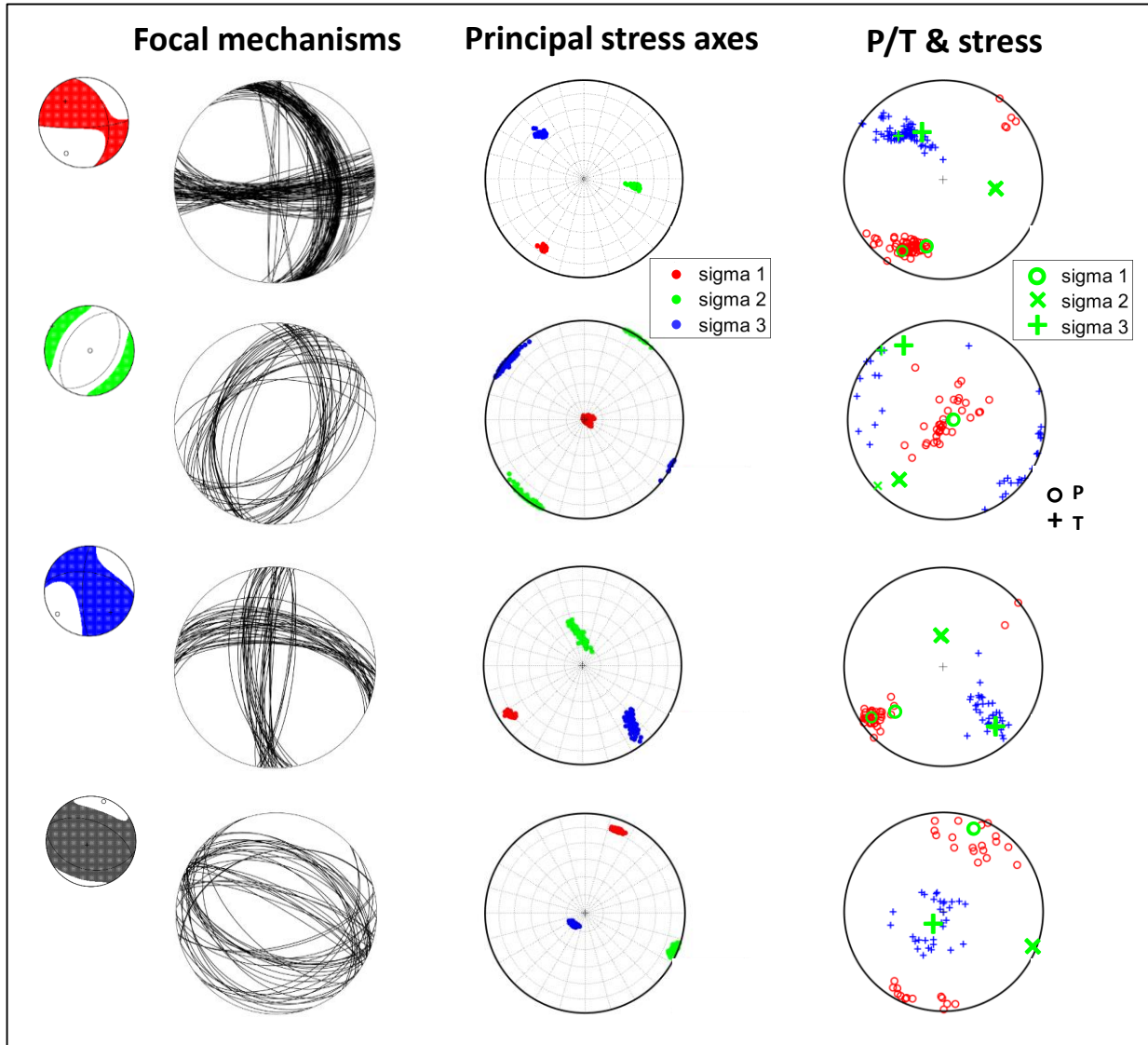


## Focal mechanisms

- Shear (strike slips)
- Tensile (reverse)
- Compressive (normal)



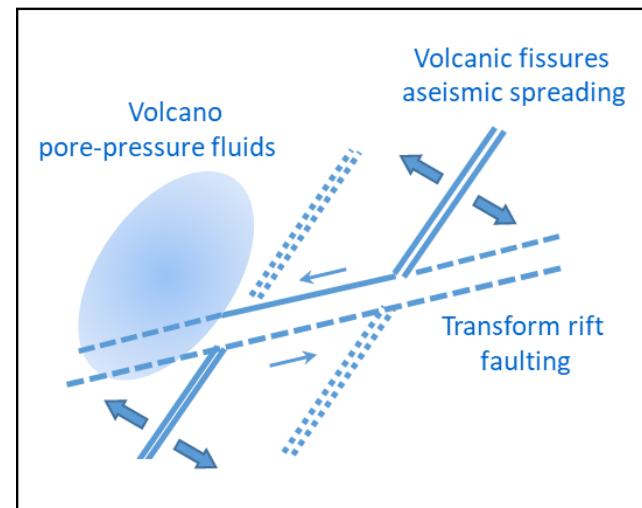
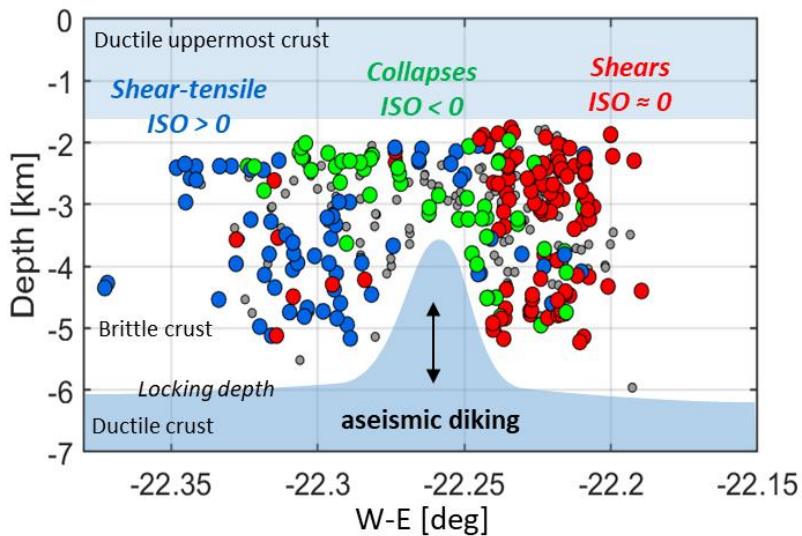
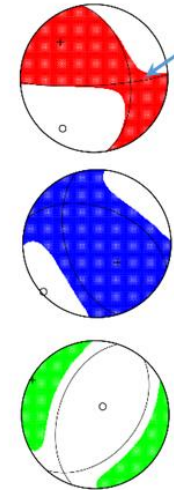
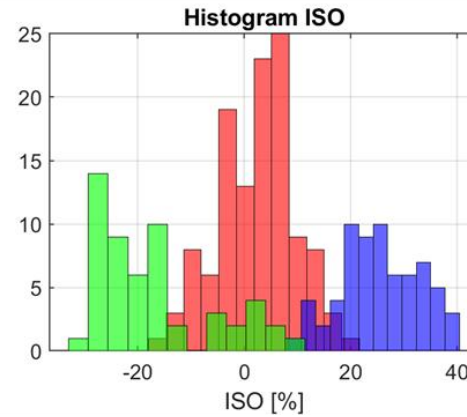
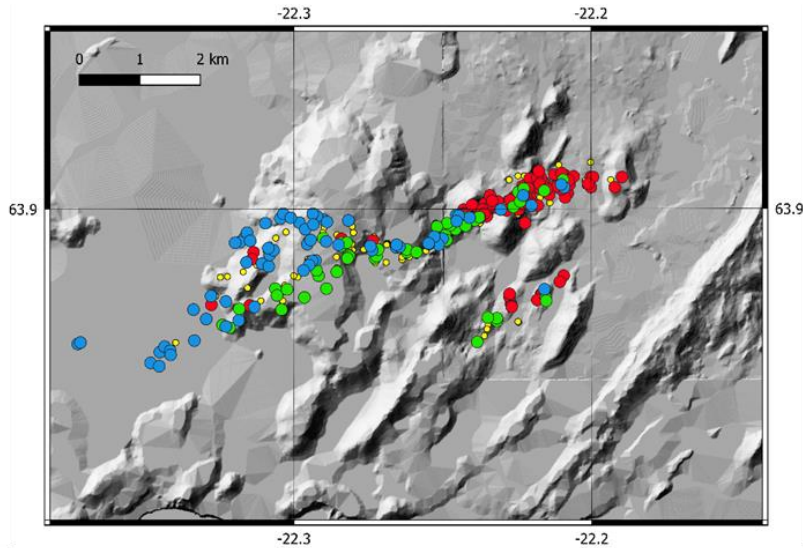
# Inversion for stress



## Stress pattern

- Strongly heterogeneous
- Stable principal stress directions
- Stress axes are switching
- Strike/reverse/normal regimes
- Dominant stable extension ( $\sigma_3$ )

# Tectonic interpretation

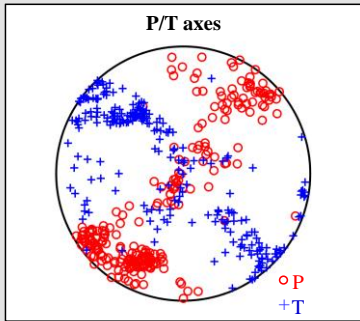


# **Summary**

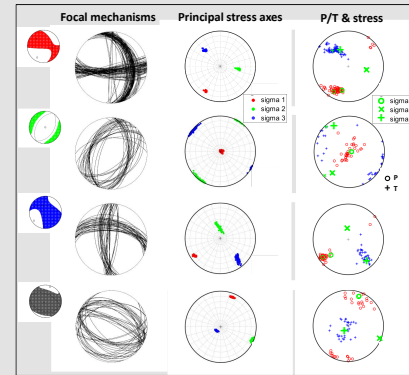
## **Iceland seismicity**

# Summary

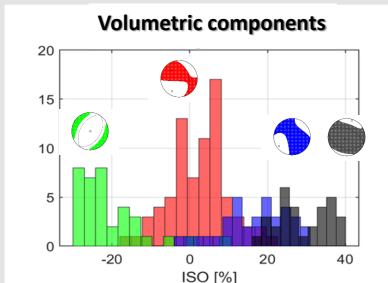
**Focal mechanisms** provide key information about stress field



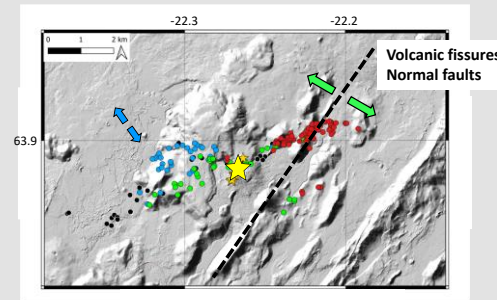
**Diversity of FMs indicates heterogeneous stress pattern**



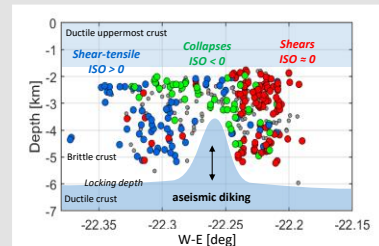
**Stress inversion must be done for individual types of FMs**



**The presence of extensional/compressional fracturing**



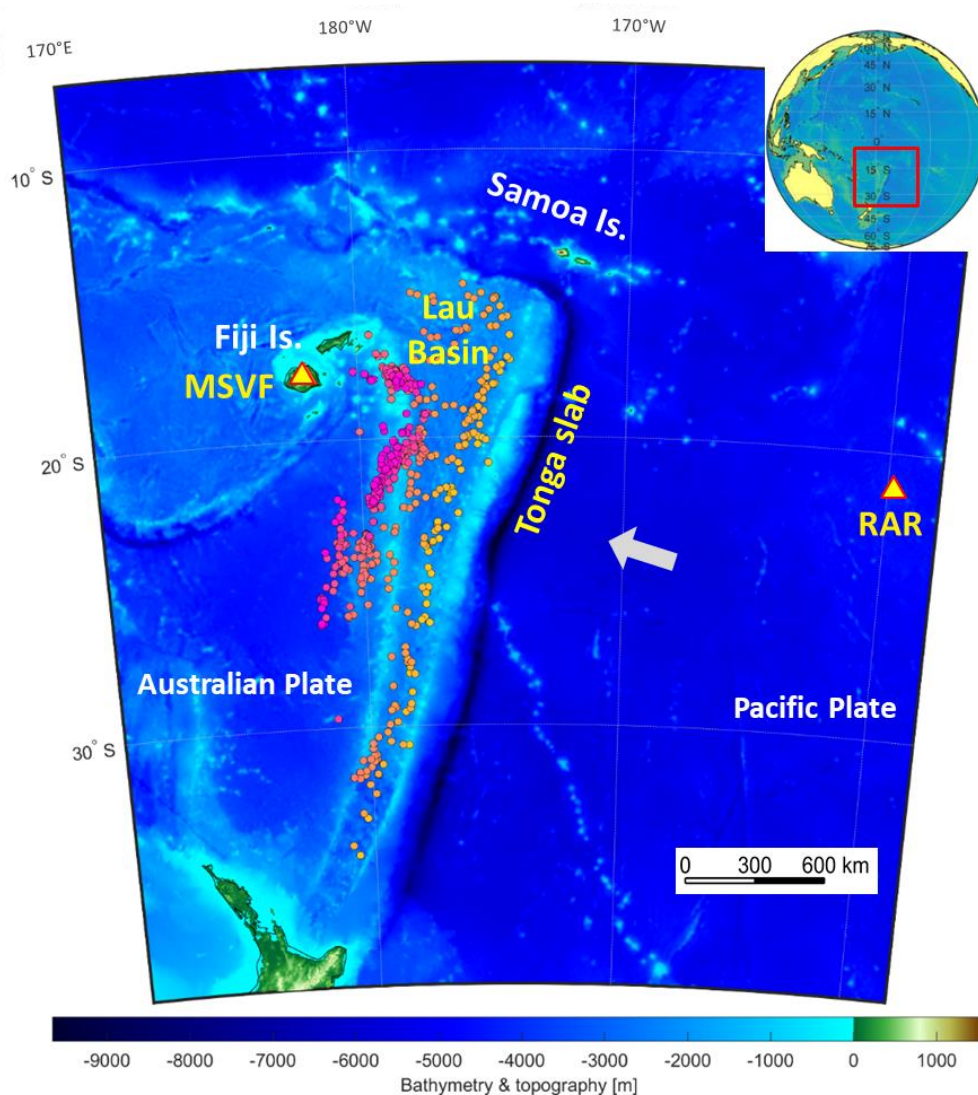
**Stress directions are stable, but stress axes can switch**



**Seismicity delineated an aseismic dike activated in 2021**

**Stress variations in Tonga  
derived from  
deep earthquakes**

# Tonga subduction zone

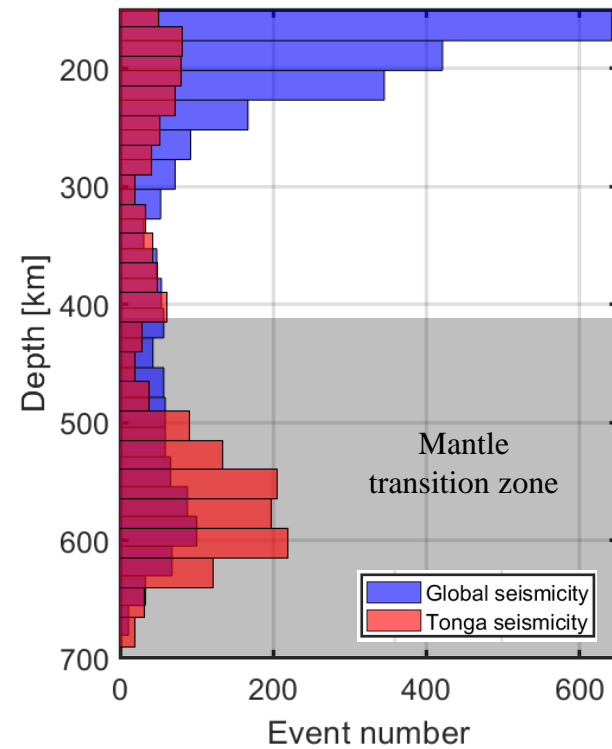
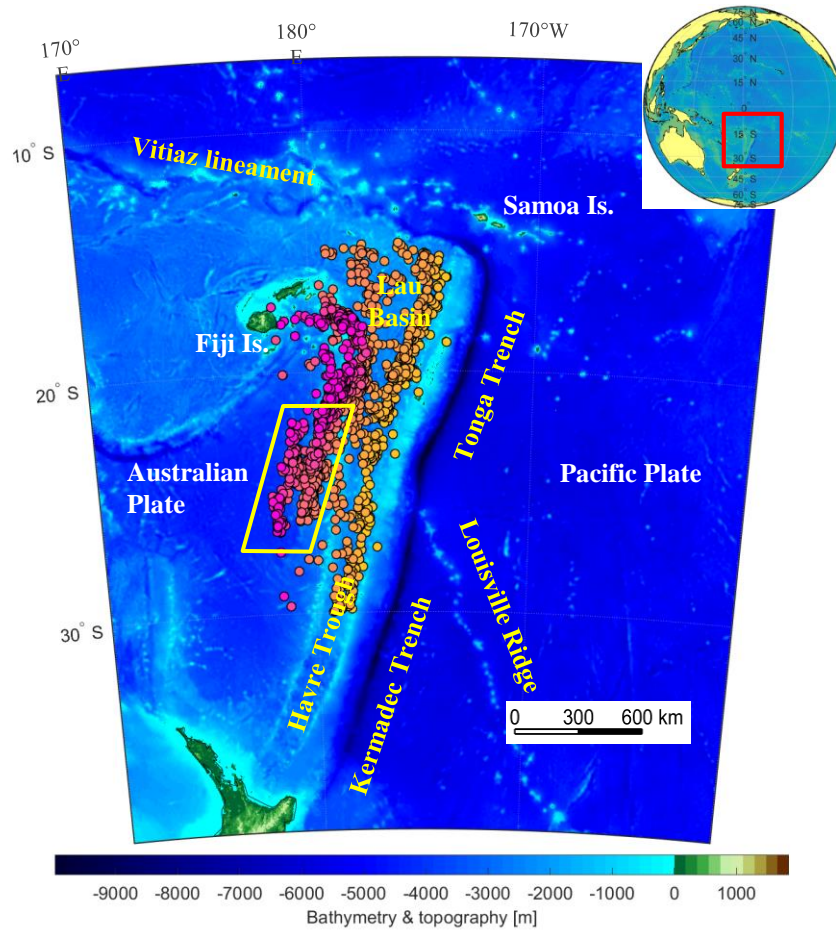


## Tectonic setting:

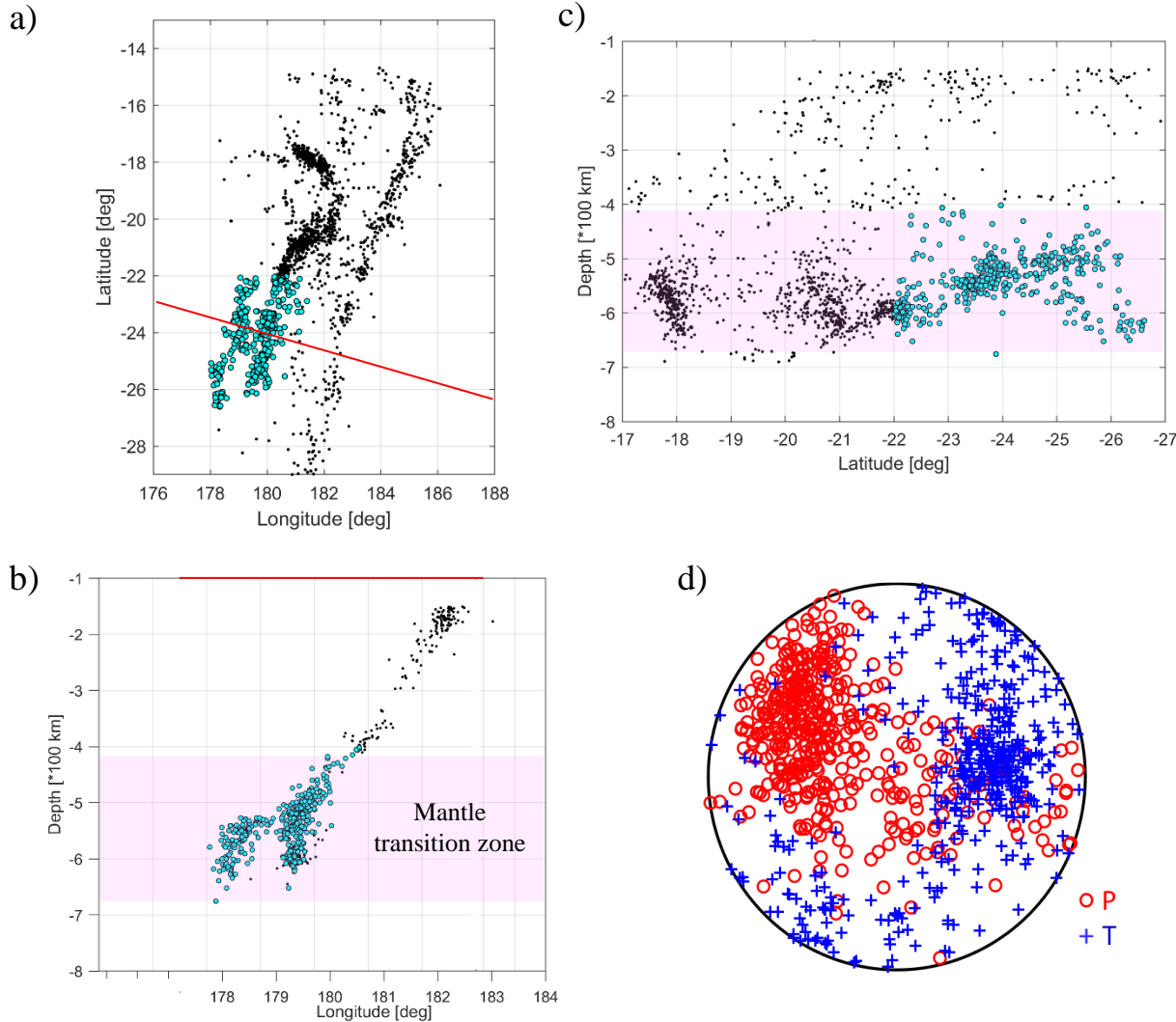
- Collision of Pacific & Australian plates
- Fastest convergent boundary (24 cm/yr)
- The most active deep seismicity
- Complex geometry due to the interaction with the Samoa plume



# Data – Harvard CMT catalogue



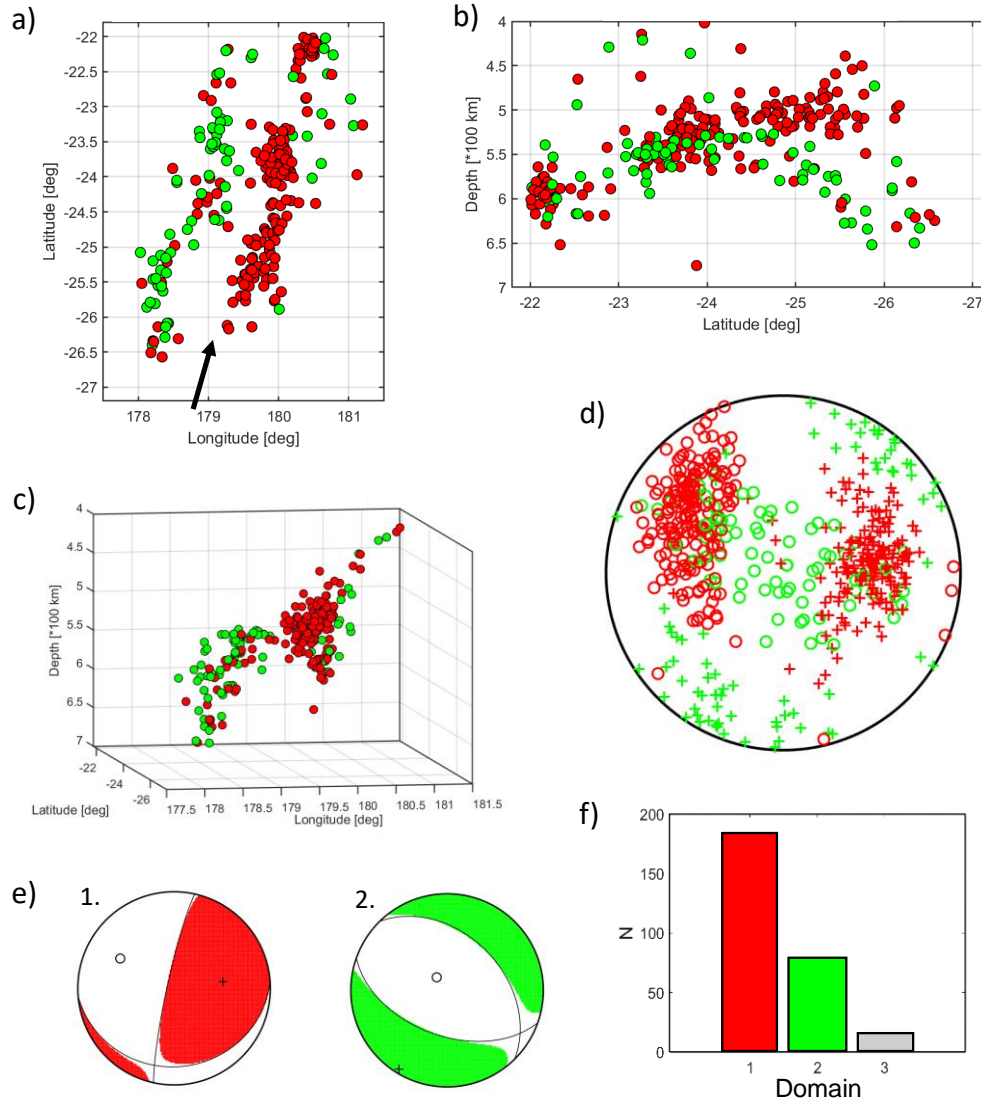
# Locations & focal mechanisms



## Global CMT Catalog

- Double seismic zone
- 430 earthquakes
- depths of 400-700 km
- $m_b \geq 4.8$
- period of 1976-2022
- Complex pattern of P/T axes

# Classification of focal mechanisms



## Clustering of FMs

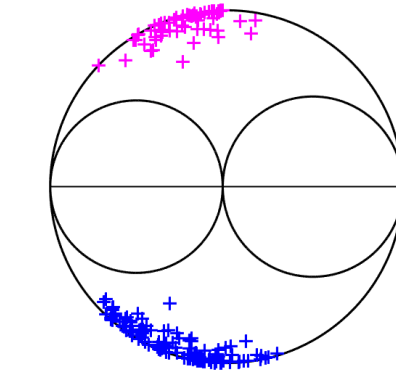
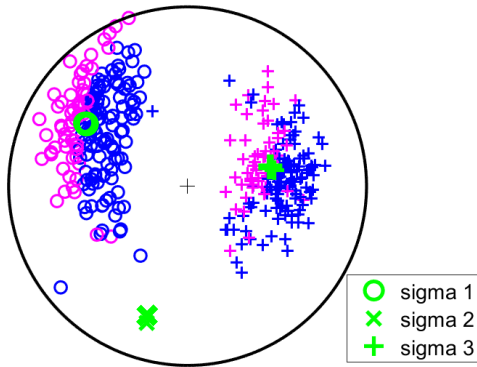
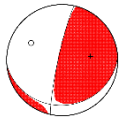
- Double seismic zone
- 277 earthquakes with most reliable MTs
- Two domains separated in space
- Two different stress regimes

# Inversion for stress

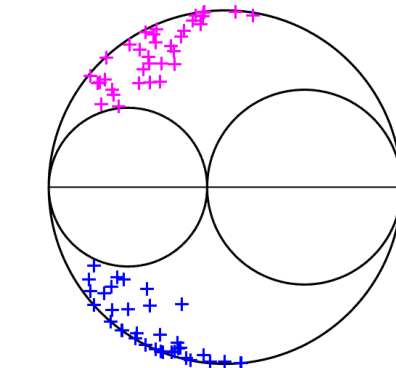
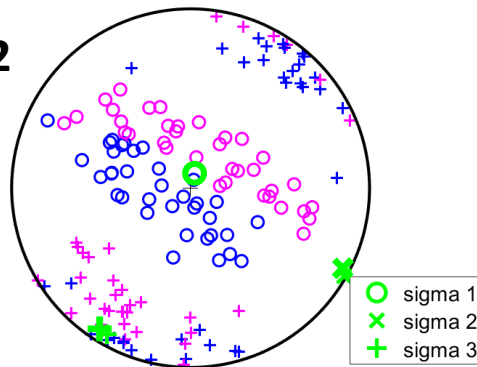
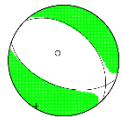
P/T axes

Mohr's diagram

Domain 1



Domain 2



## Domain 1

- condensed clusters of P/T axes
- low friction

## Domain 2

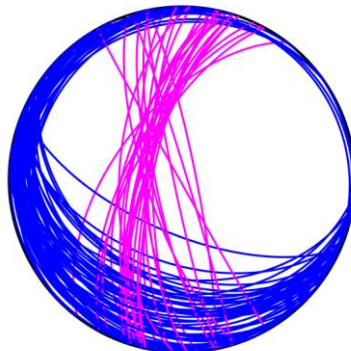
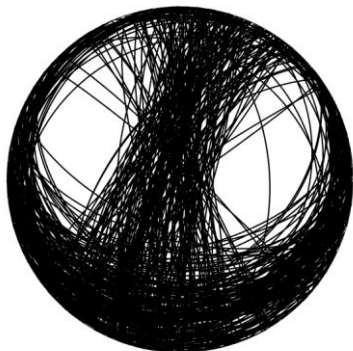
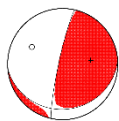
- separated but scattered clusters of P/T axes
- high friction

# Orientation of fault planes

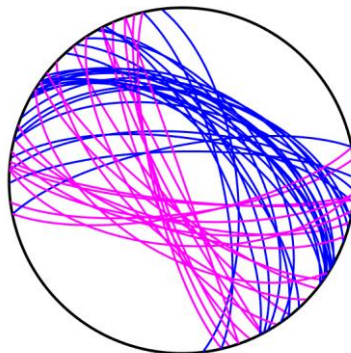
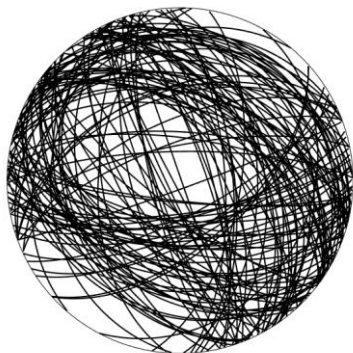
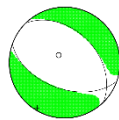
Nodal lines

Fault plane solutions

Domain 1



Domain 2



After instability  
 $I > 0.8$

After instability contrasts  
 $\Delta I > 0.15$   
Well-constrained

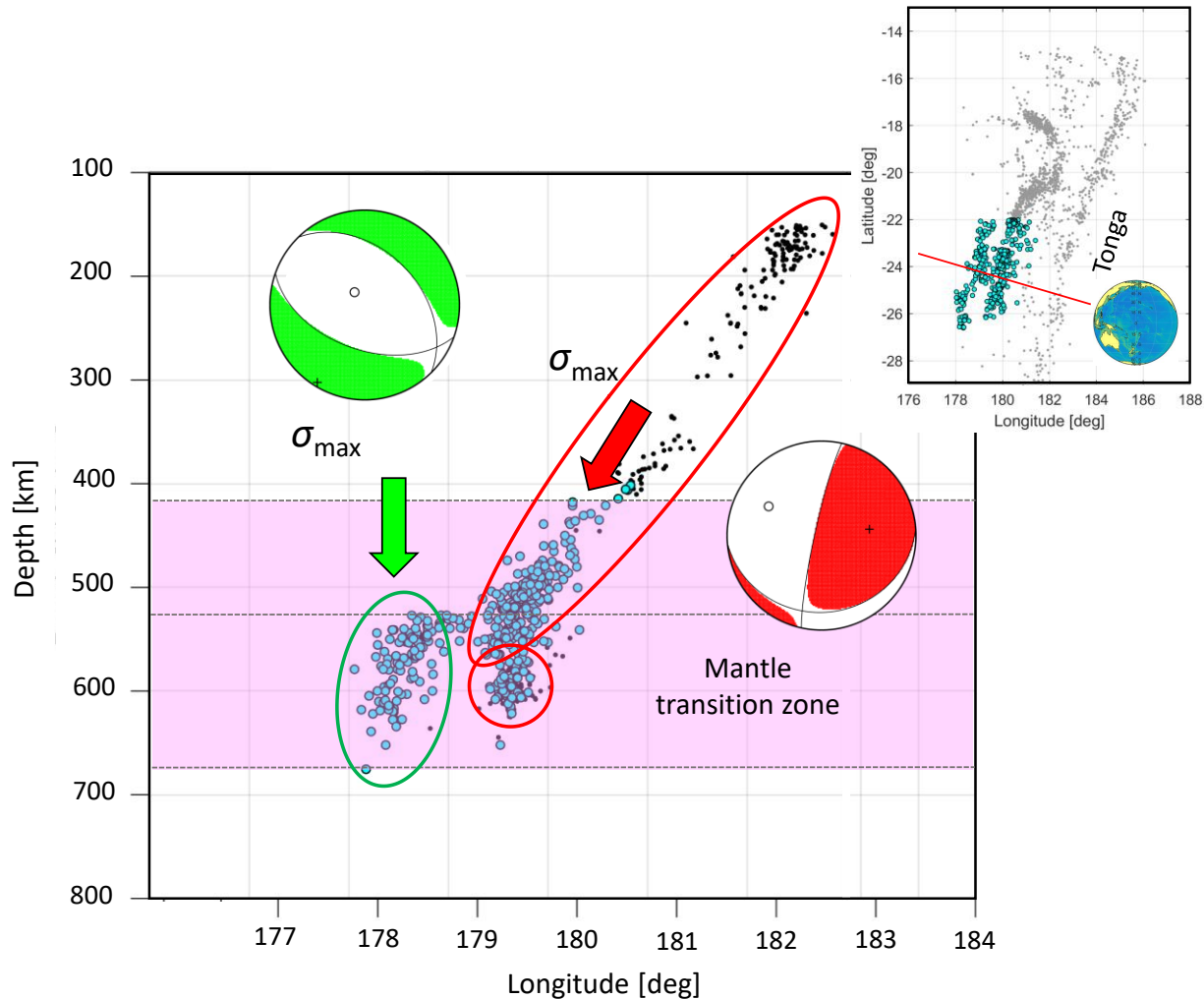
## Domain 1

- Near-vertical or near-horizontal faults
- Near-horizontal faults prevail

## Domain 2

- Faults planes are significantly inclined

# Tectonic interpretation



## Domain 1

- Integral part of the slab
- Max. compression is along the down dip motion

## Domain 2

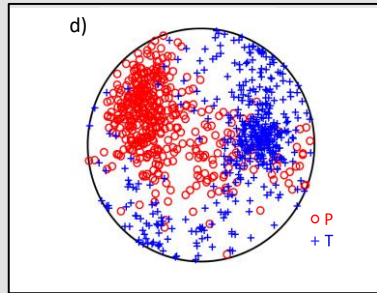
- Detached slab segment
- Max. compression is vertical (lithostatic)

# Summary

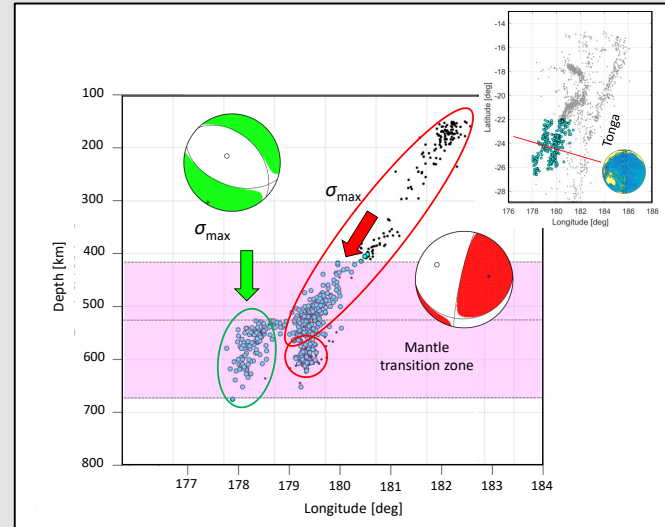
## Tonga deep seismicity

# Summary

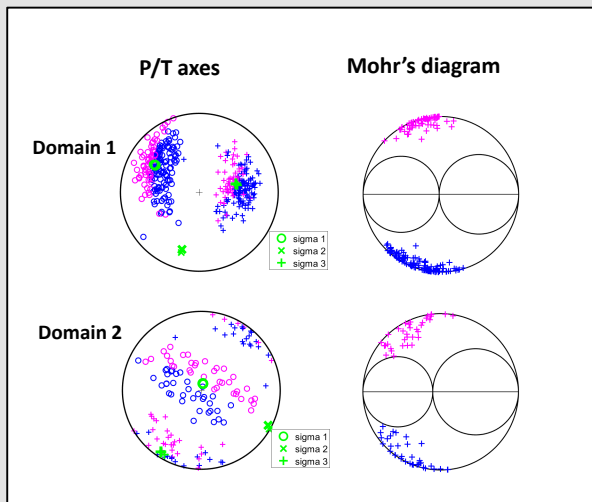
**Focal mechanisms** provide key information about stress field



**Diversity of FMs indicates heterogeneous stress pattern**



**Stress inversion revealed a detached slab segment**



**Two types of FMs and two different stress domain**



*Fagradalsfjall eruption – 19 March 2021*

*Thank you for attention*



# Attenuation of seismic waves in the area of REYKJANET (Iceland)

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*Malek@irsm.cas.cz*, *Fojtikova@irsm.cas.cz*



Prague, December, 2023

## Overlook:

- Motivation
- Selection of seismograms
- Amplitude determination
- Method
- P-wave attenuation
- S-wave attenuation
- Local magnitude for REYKJANET
- Amplitude tomography (preliminary results)

## Motivation:

**Attenuation model is necessary for seismic hazard assessment  
Site-specific Ground Motion Prediction Equations (GMPE)**

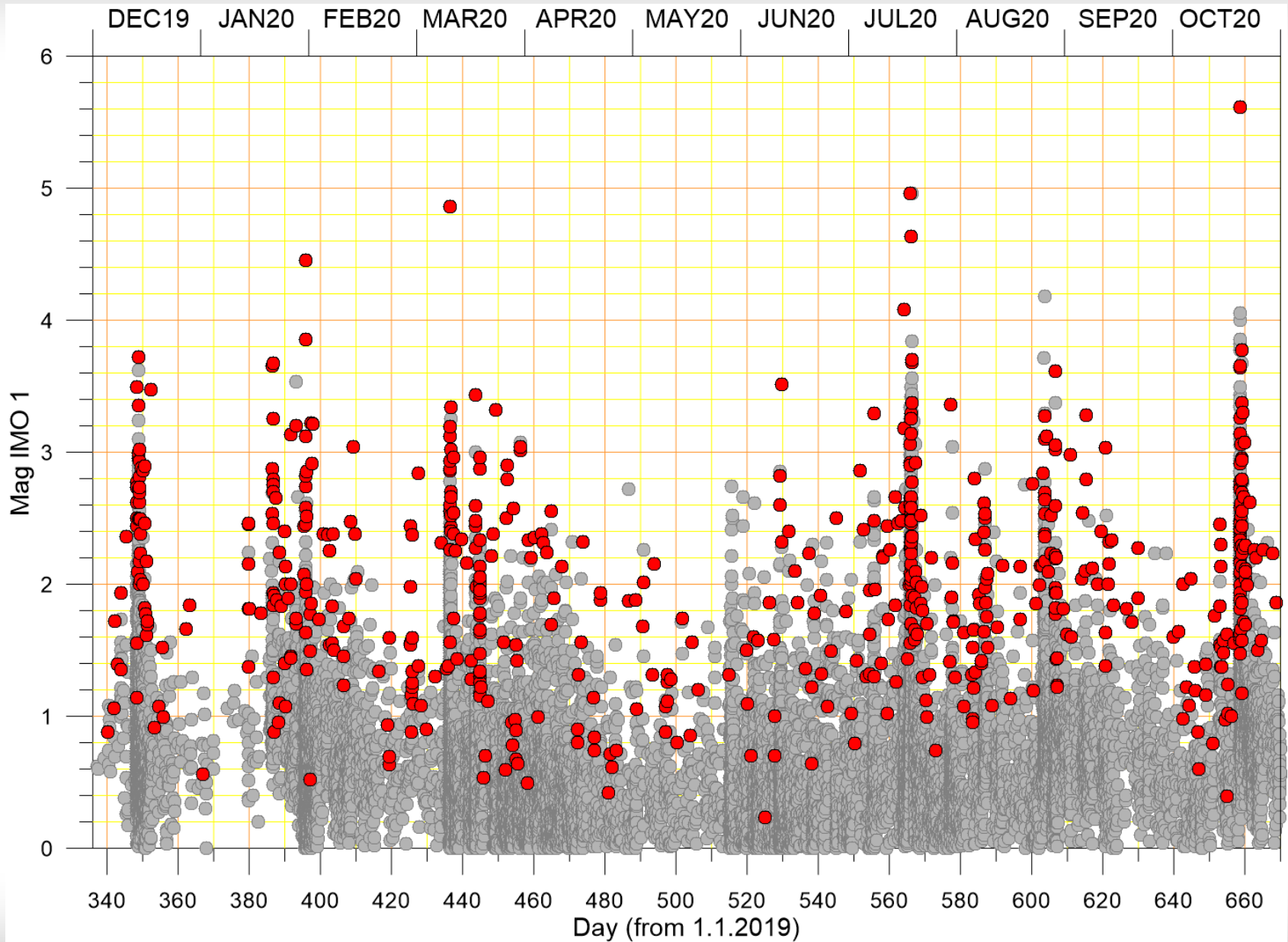
**Attenuation anomalies of S-waves can be connected with  
partially melted rocks and with a high-temperature localities**

**The same method could be used also for Vrancea region**

## Selection of seismograms:

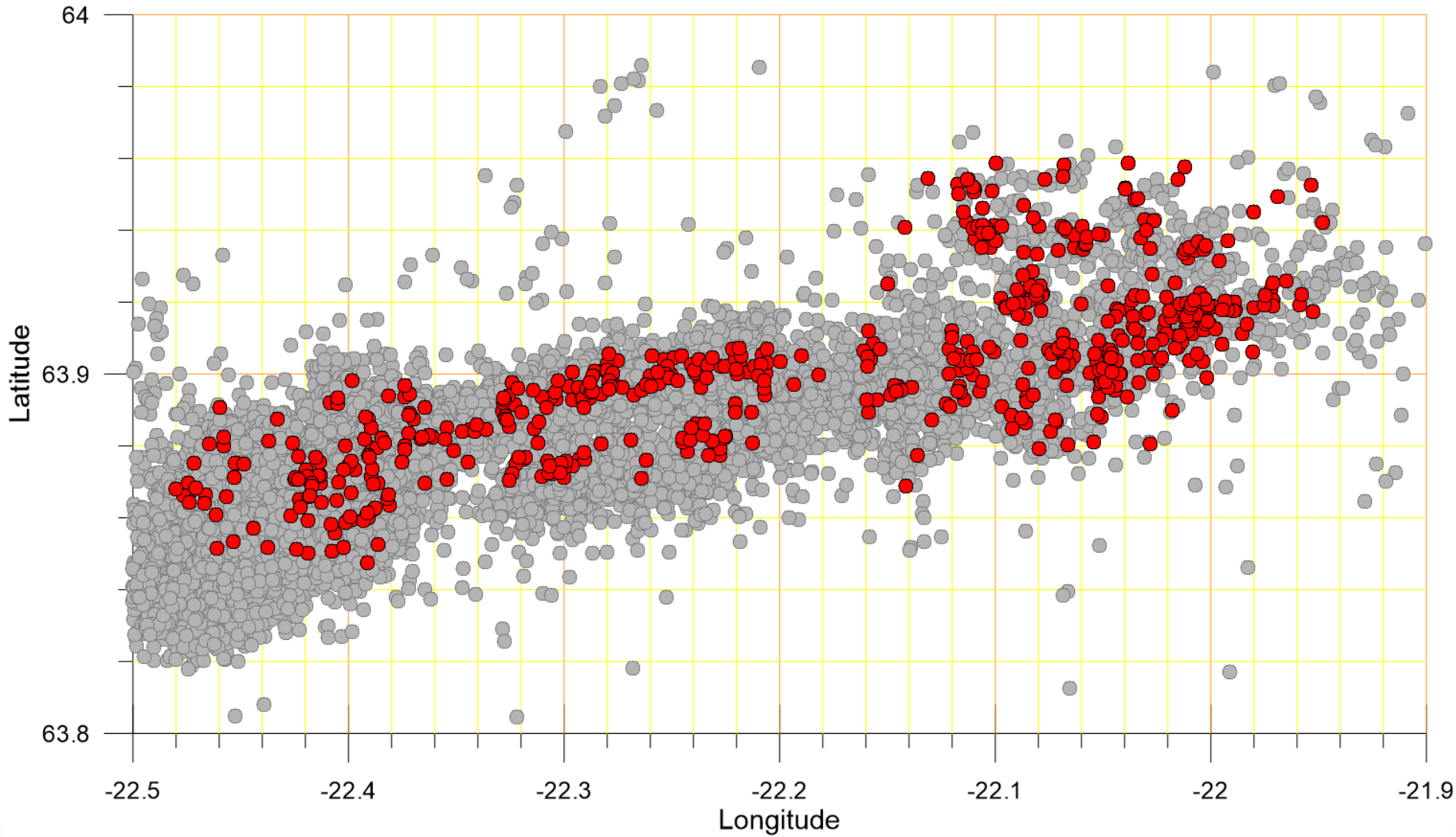
- **December 2019 – October 2020 - before eruption**
- **Wide range of magnitudes 0.2 – 5.6 (IMO type 1)**
- **Sharp P-wave onsets at seismograms**
- **Detectable S-wave onsets,**  
**we use only seismograms with both P and S onsets**
- **Epicenters distributed inside REYKJANET**

# Selection of seismograms:



Attenuation of seismic waves in the area of REYKJANET

# Selection of seismograms:



Attenuation of seismic waves in the area of REYKJANET

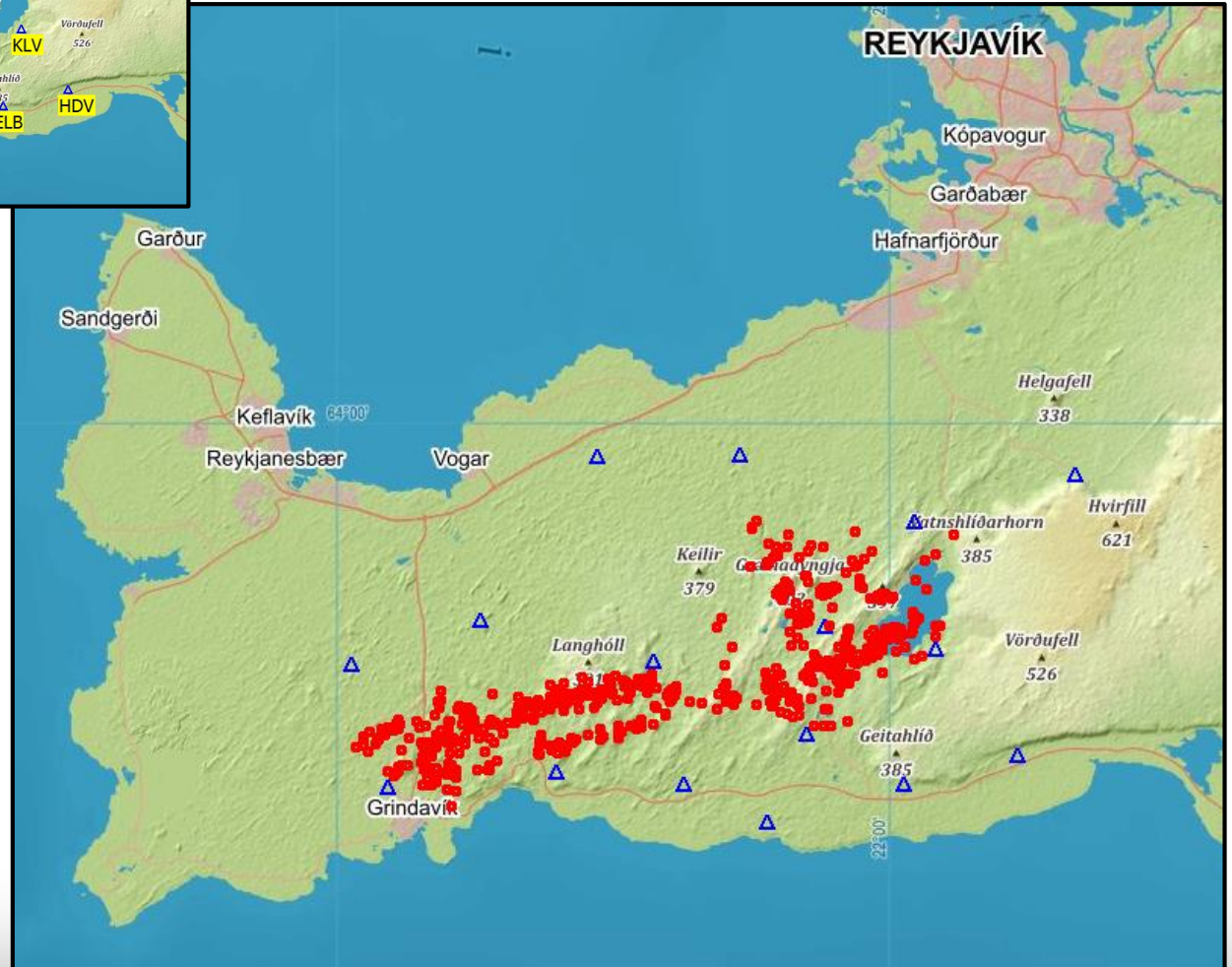


## Selection of seismograms:



681 Earthquakes

14 REYKJANET  
stations



Attenuation of seismic waves in the area of REYKJANET

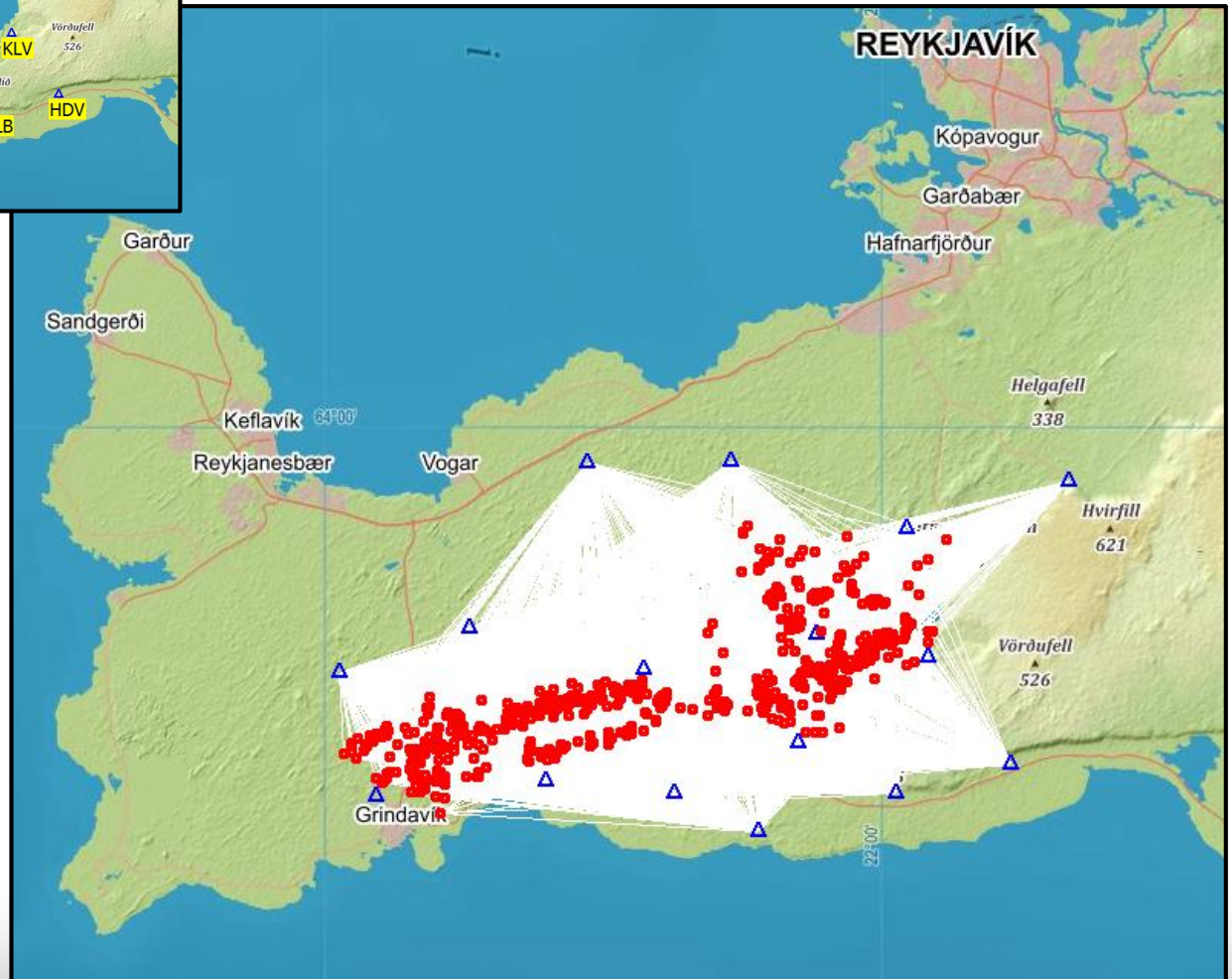
## Selection of seismograms:



**681 Earthquakes**

**14 REYKJANET  
stations**

**8614 Seismograms  
90 %**



**Attenuation of seismic waves in the area of REYKJANET**

## Amplitude determination:

**APZ** Maximum amplitude of the velocity of P wave,  
vertical component,  
the first peak after onset  
polarity

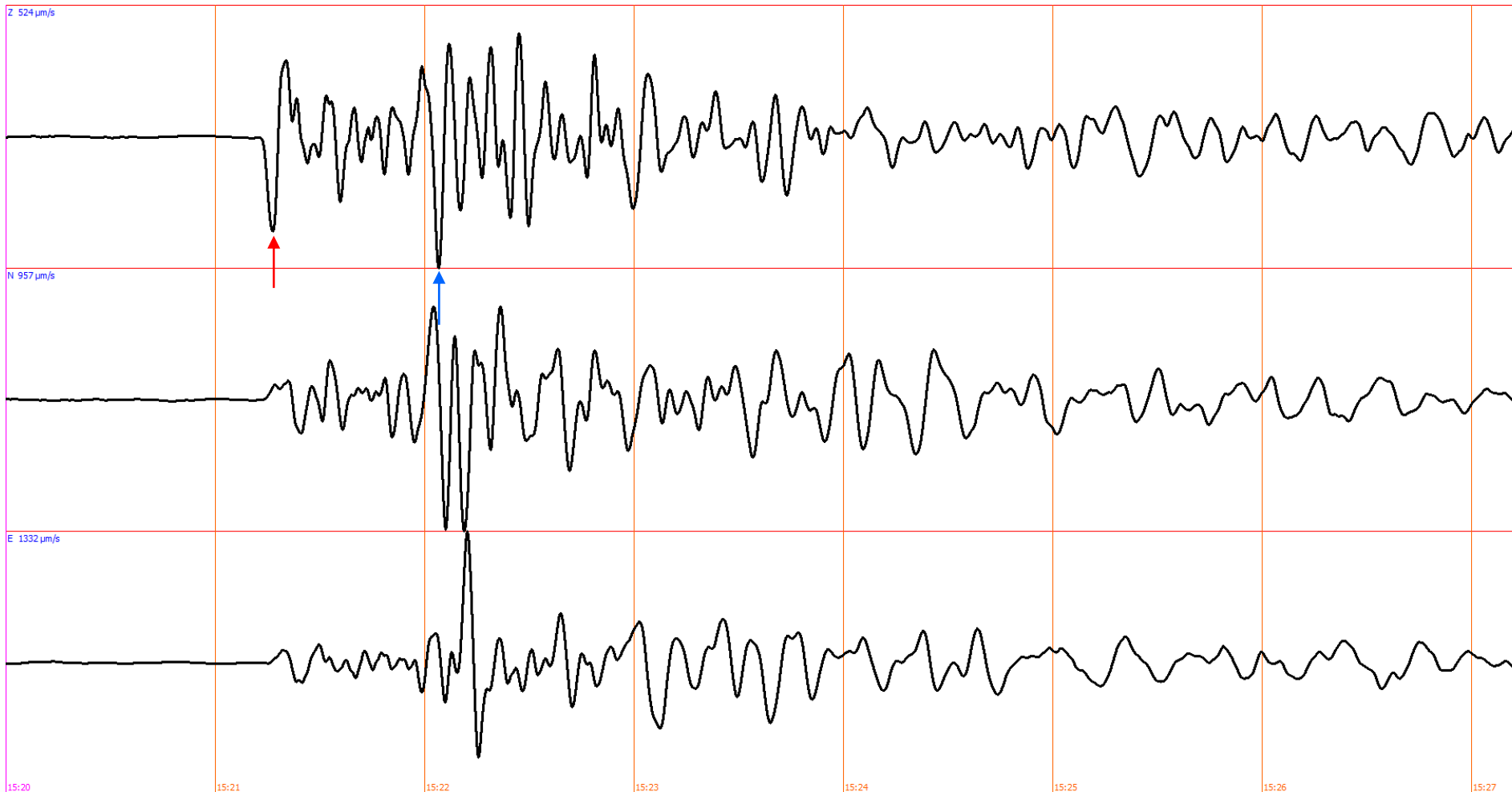
**ASZ** Maximum amplitude of the velocity of S wave group,  
vertical component,  
the maximum in window from S-onset, length Ts-Tp  
without polarity, only absolute value

**High-pass filter from 1 Hz (to avoid microseisms)**

**Automatic mode**

# Amplitude determination:

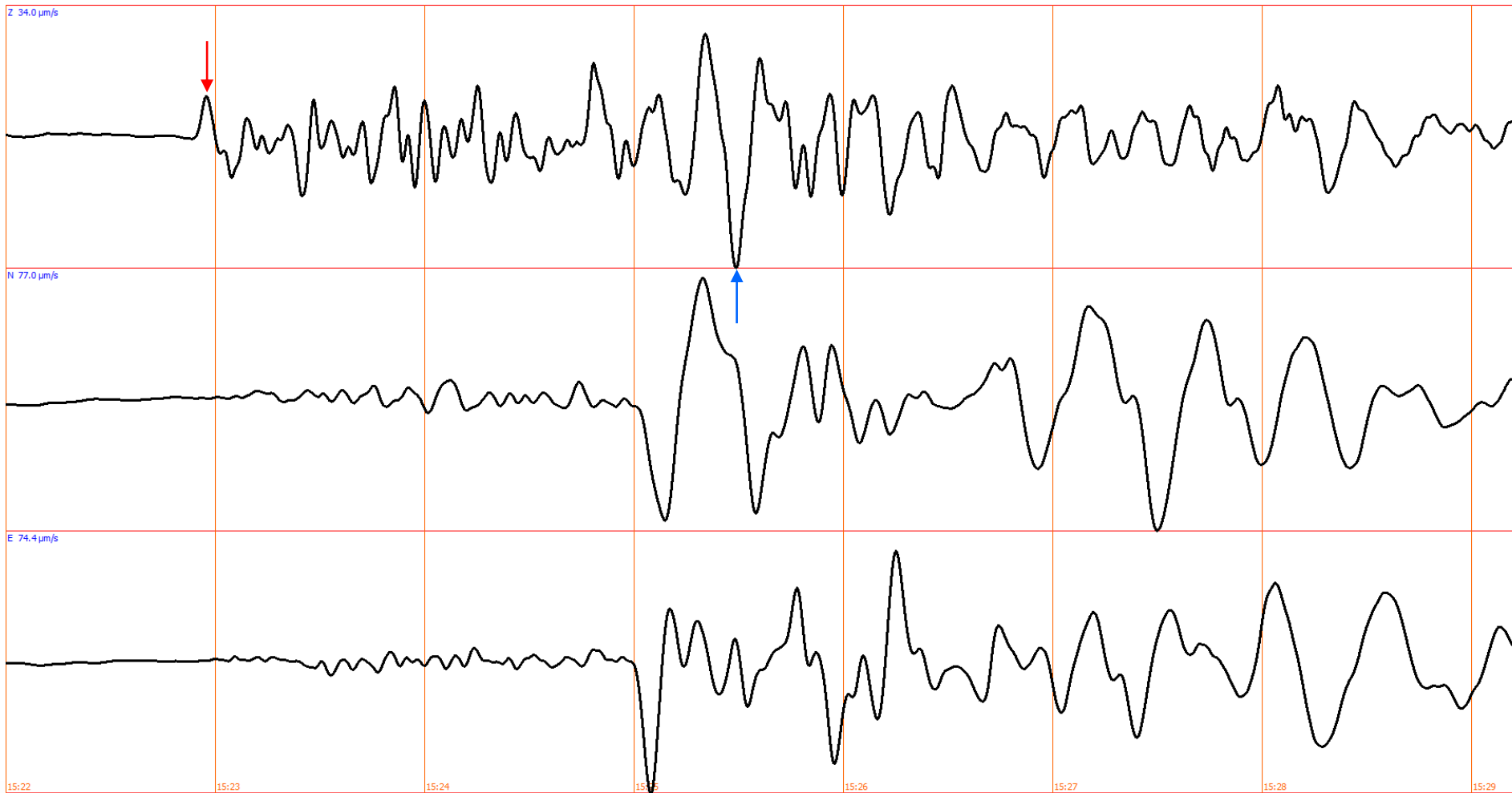
FAF 15.12.2019 20:15:20



Attenuation of seismic waves in the area of REYKJANET

# Amplitude determination:

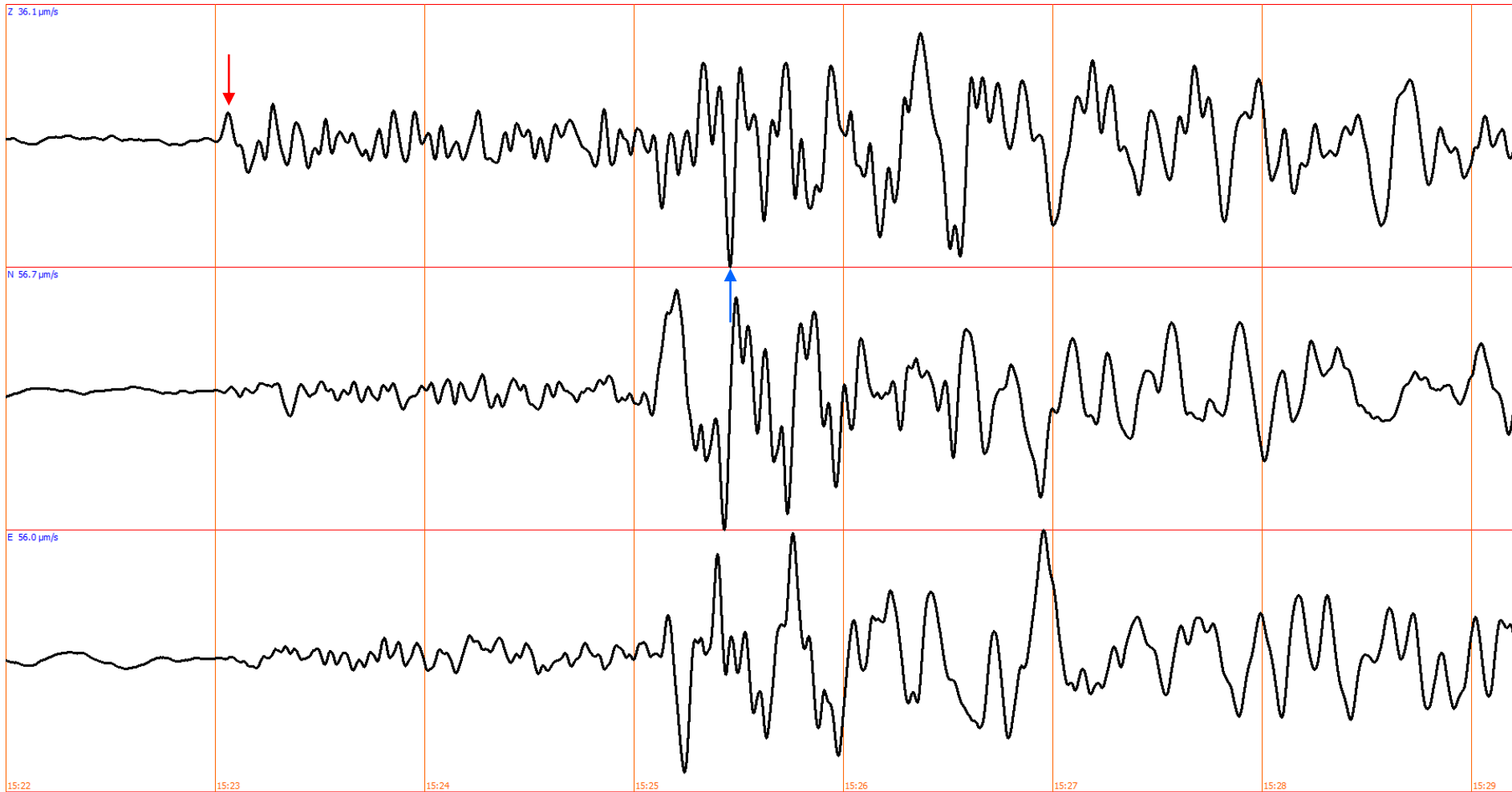
LAG 15.12.2019 20:15:22



Attenuation of seismic waves in the area of REYKJANET

# Amplitude determination:

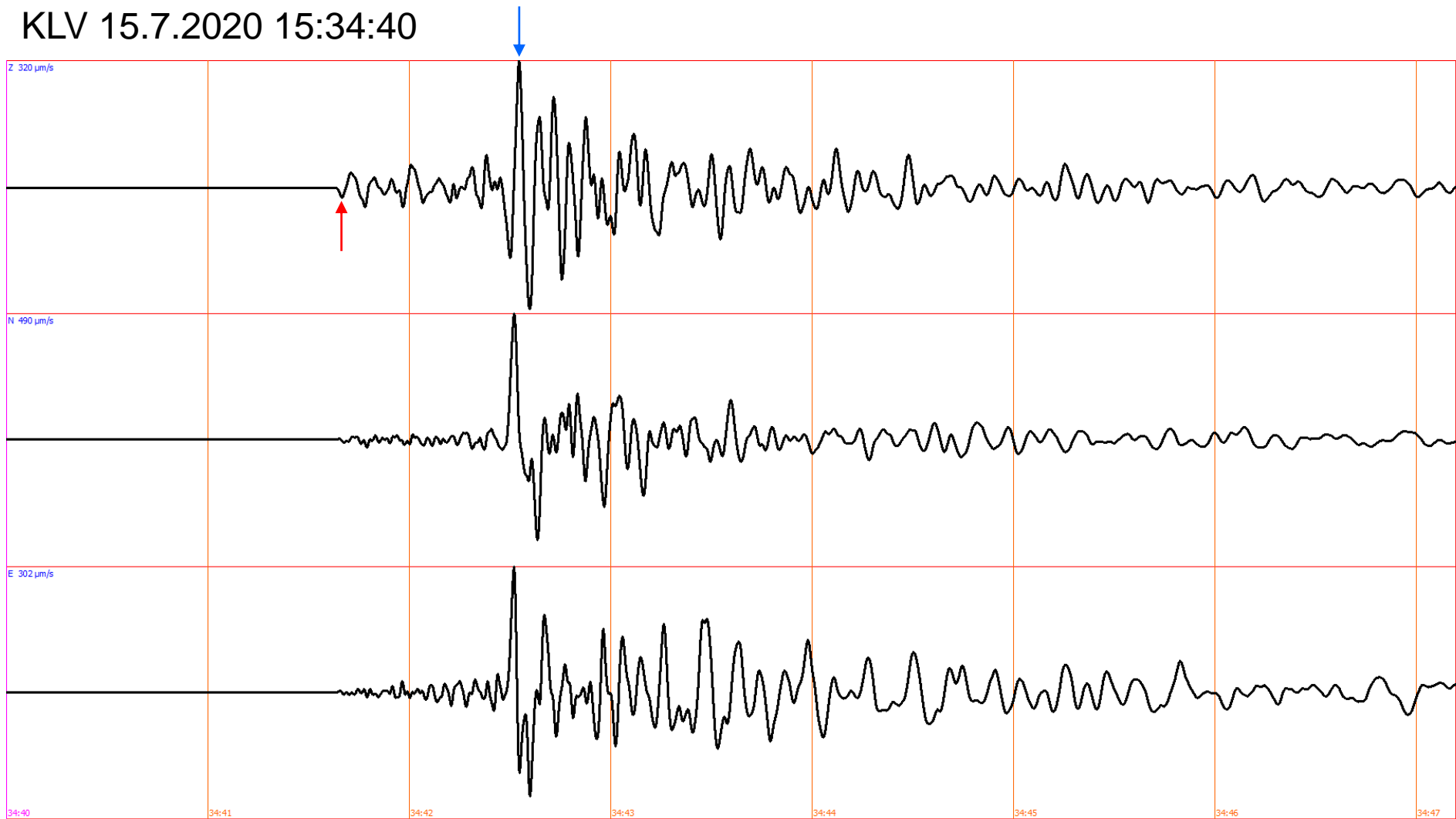
KLV 15.12.2019 20:15:22



Attenuation of seismic waves in the area of REYKJANET

# Amplitude determination:

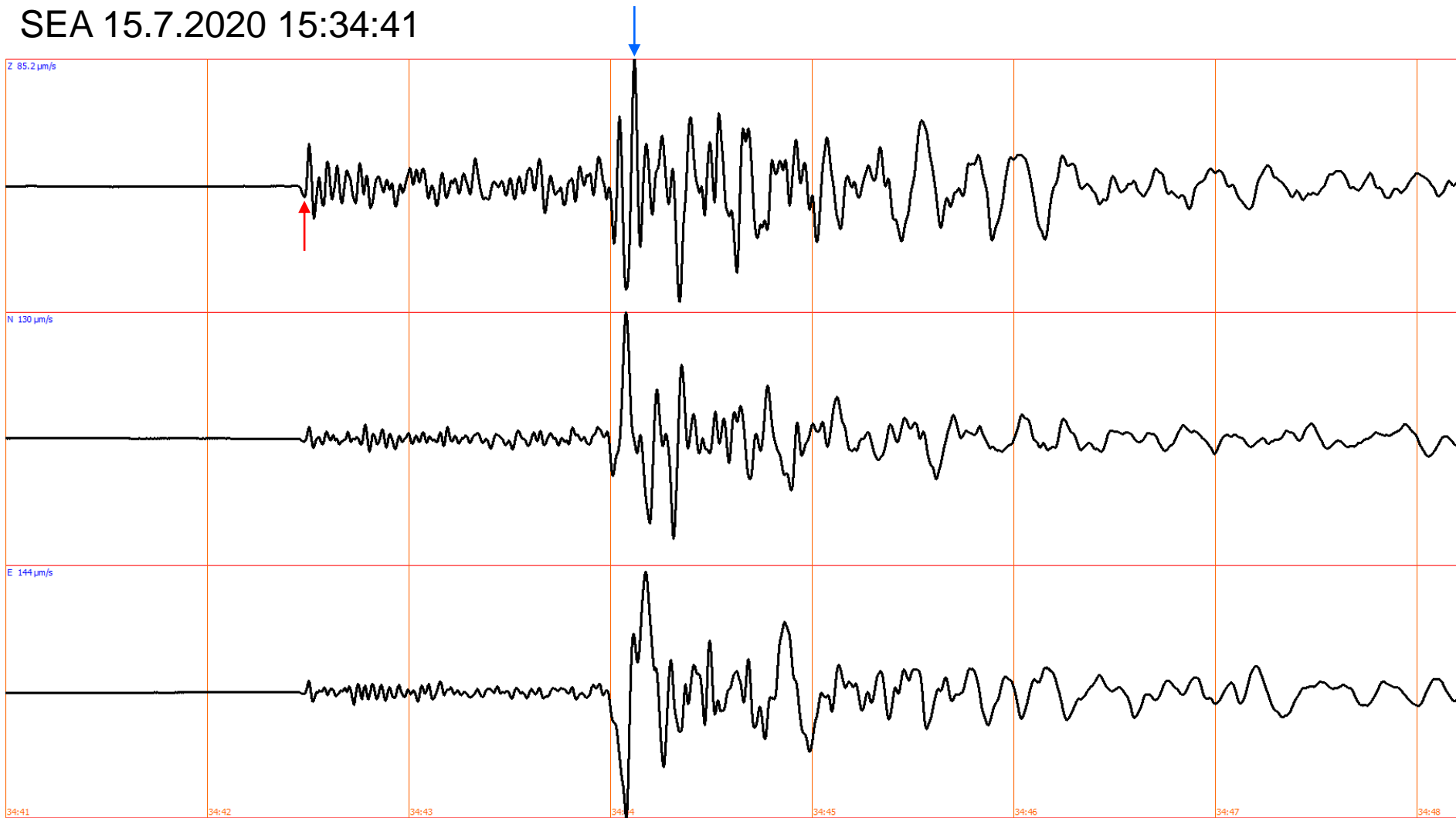
KLV 15.7.2020 15:34:40



Attenuation of seismic waves in the area of REYKJANET

# Amplitude determination:

SEA 15.7.2020 15:34:41

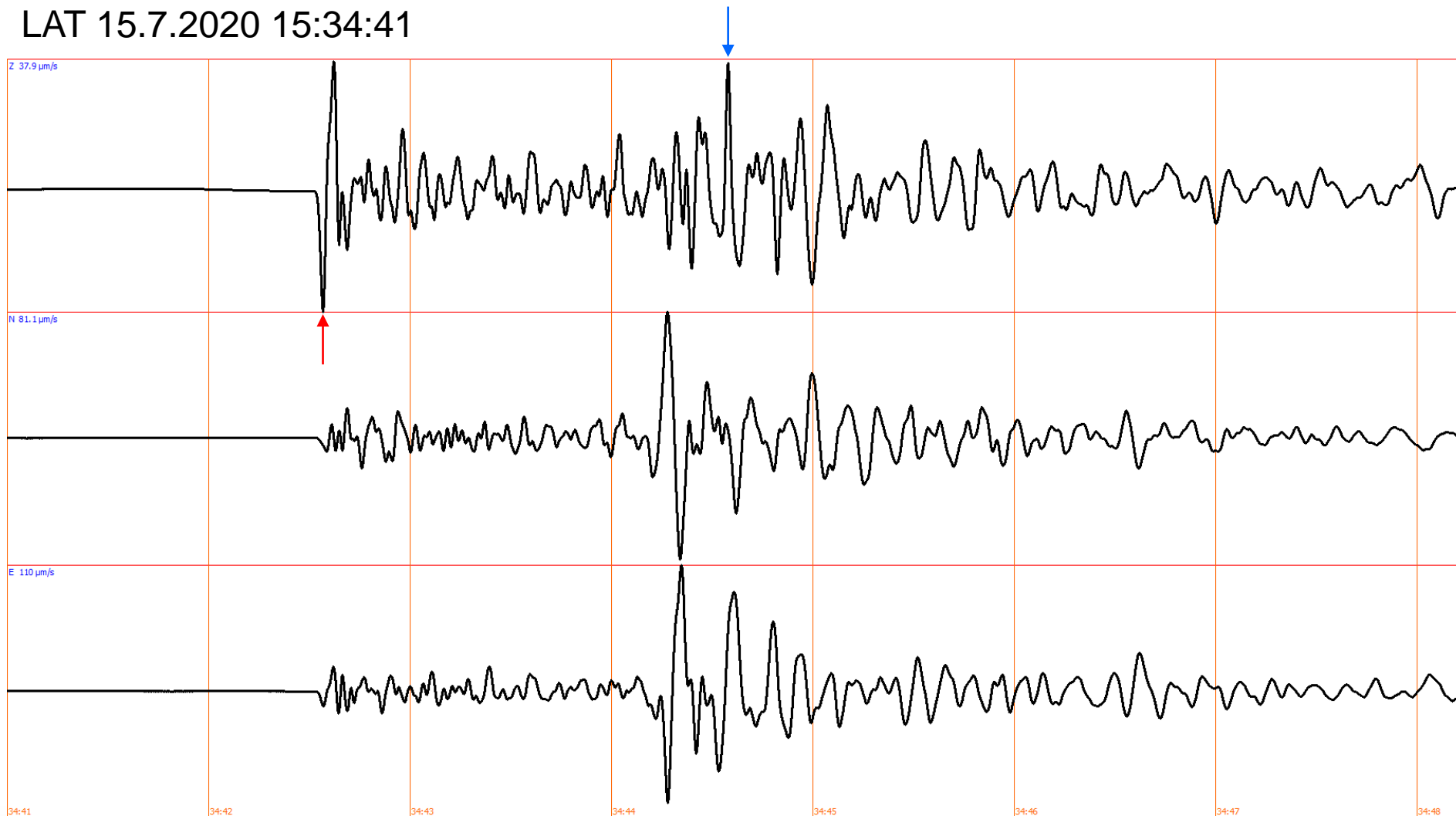


Attenuation of seismic waves in the area of REYKJANET



# Amplitude determination:

LAT 15.7.2020 15:34:41



**Attenuation of seismic waves in the area of REYKJANET**

## Method: overdetermined inverse problem solution

$$A_i^j = A_0 10^{M_i - \alpha(r_H - r_0)} G S^j V_i$$

$$\log(A_i^j) = \log(A_0) + M_i - \alpha(r_H - r_0) + \log(G) + \log(S^j) + \log(V_i)$$

$A_i^j$  Measured amplitude for i-th earthquake at j-th station [Data \(8614\)](#)

$r_H$  Hypocentral distance

$r_0$  Reference distance 10 km

$A_0$  Amplitude for magnitude 0 at reference distance **Parameter**

$M_i$  Magnitude of i-th earthquake

$G$  Geometrical spreading  $r_0/r_H$

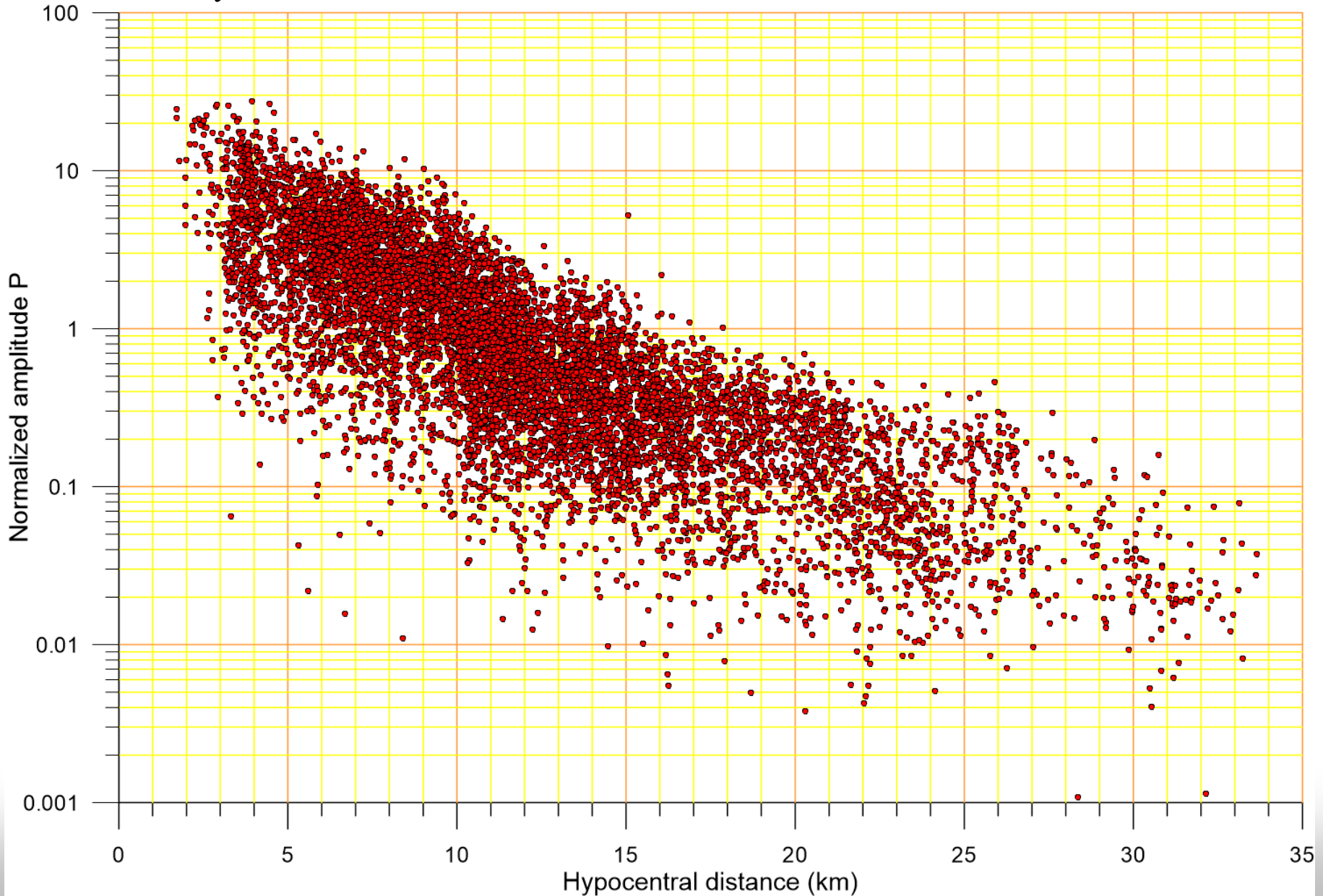
$\alpha$  Attenuation coefficient **Parameter**

$S^j$  Local amplification constant for j-th station

$V_i$  Radiation pattern for i-th earthquake (only for APZ)

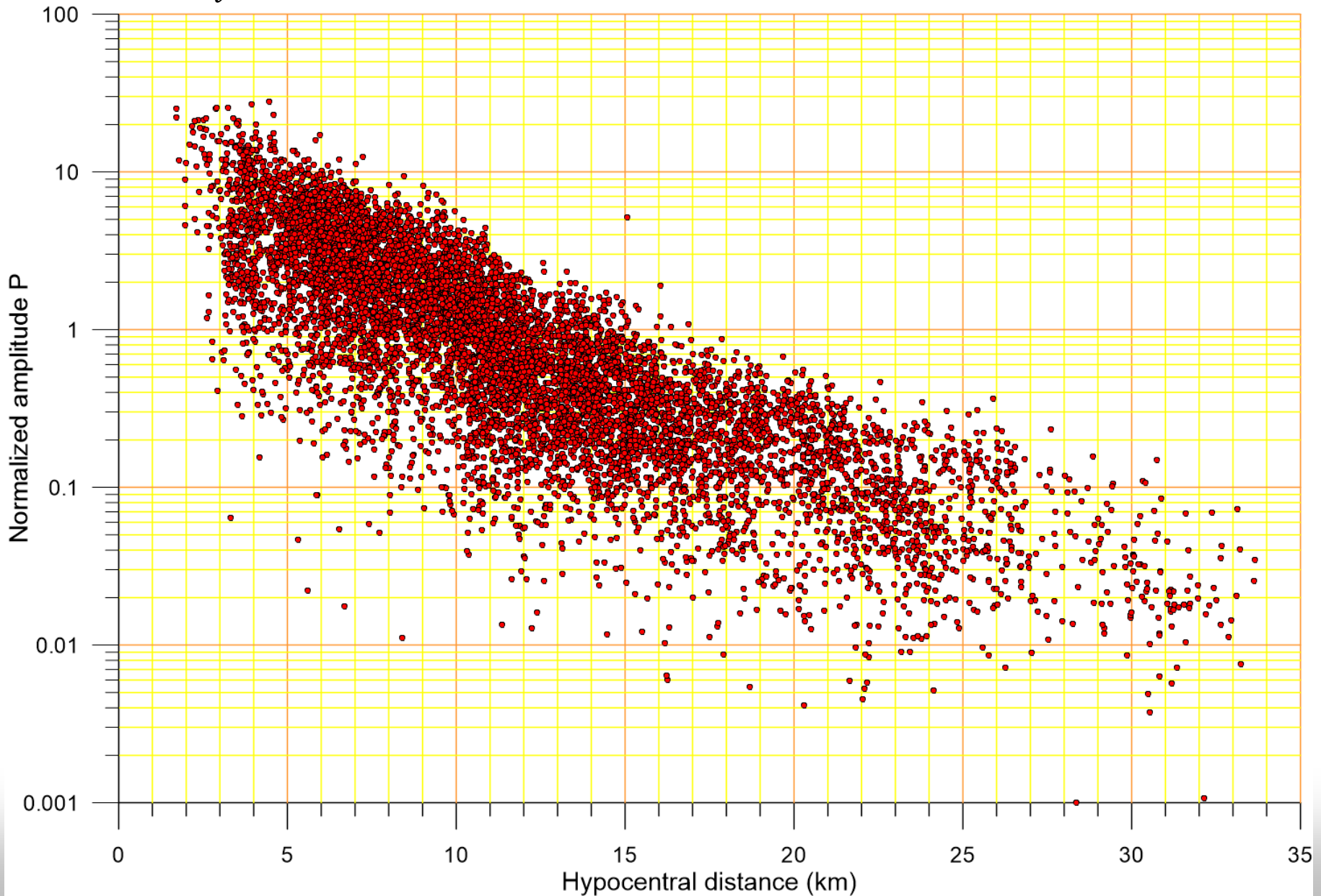
## P-Wave (APZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i = -\alpha(r_H - r_0) + \log(G) + \log(S^j) + \log(V_i)$$



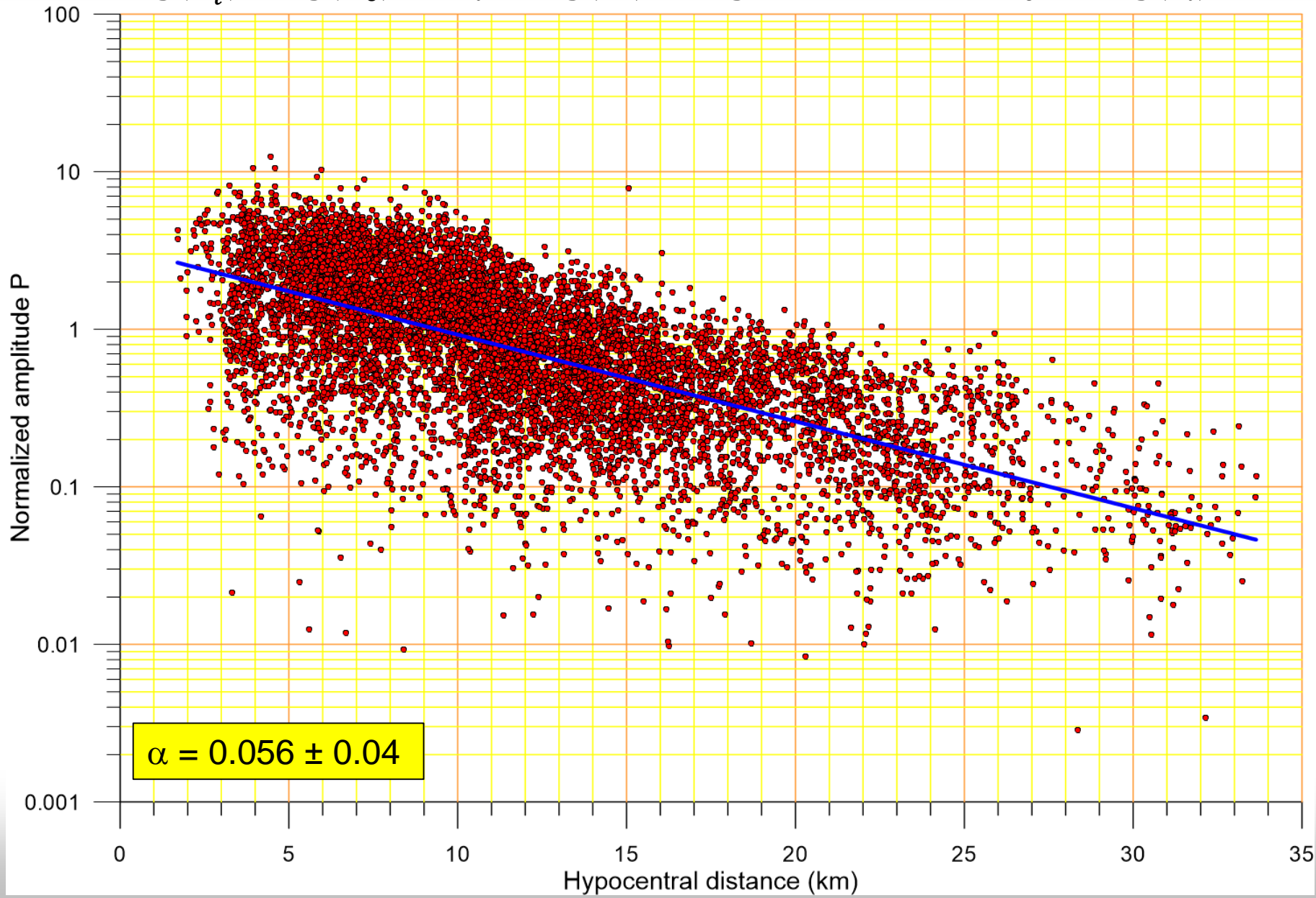
## P-Wave (APZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) = -\alpha(r_H - r_0) + \log(G) + \log(V_i)$$



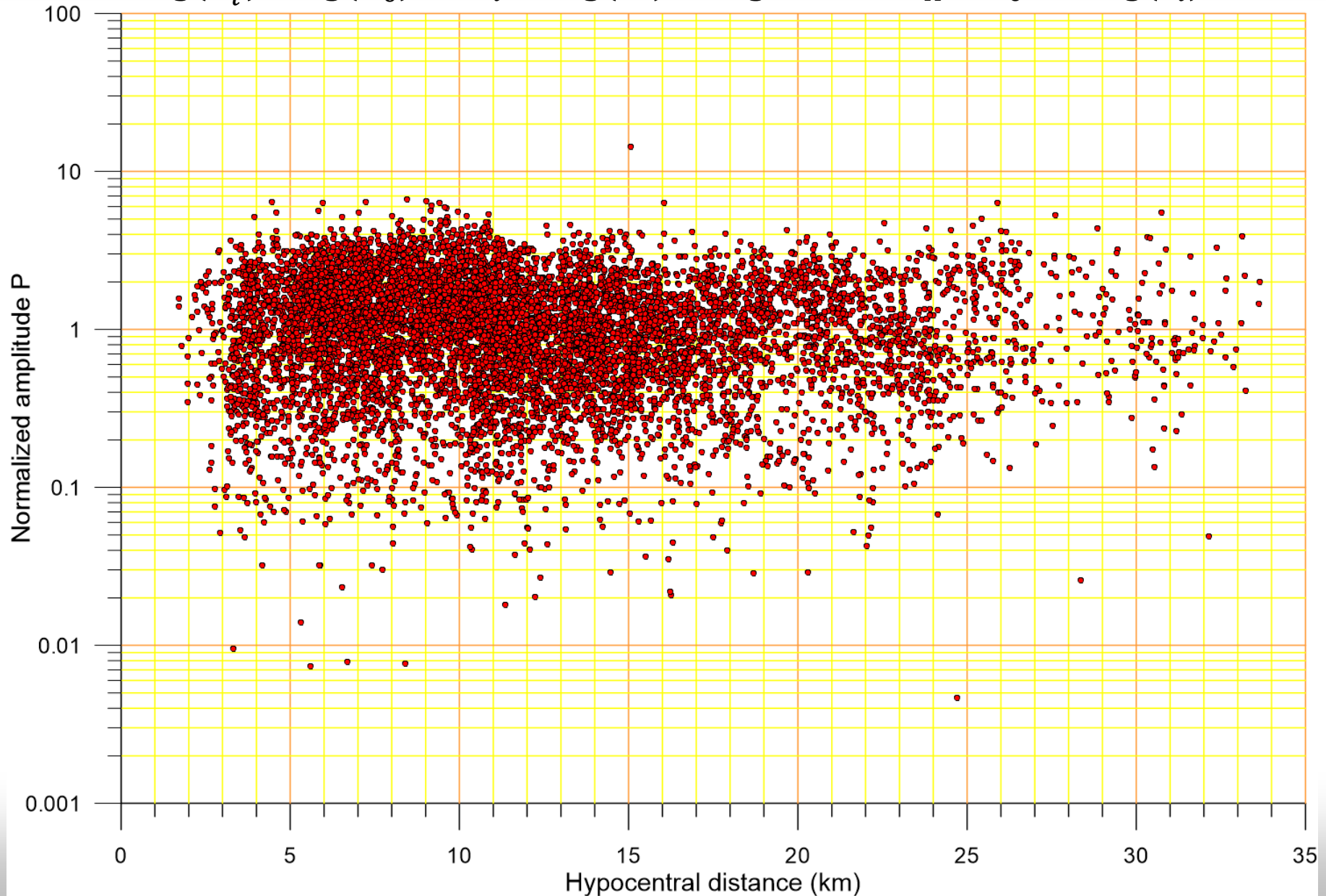
## P-Wave (APZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) - \log(G) = -\alpha(r_H - r_0) + \log(V_i)$$



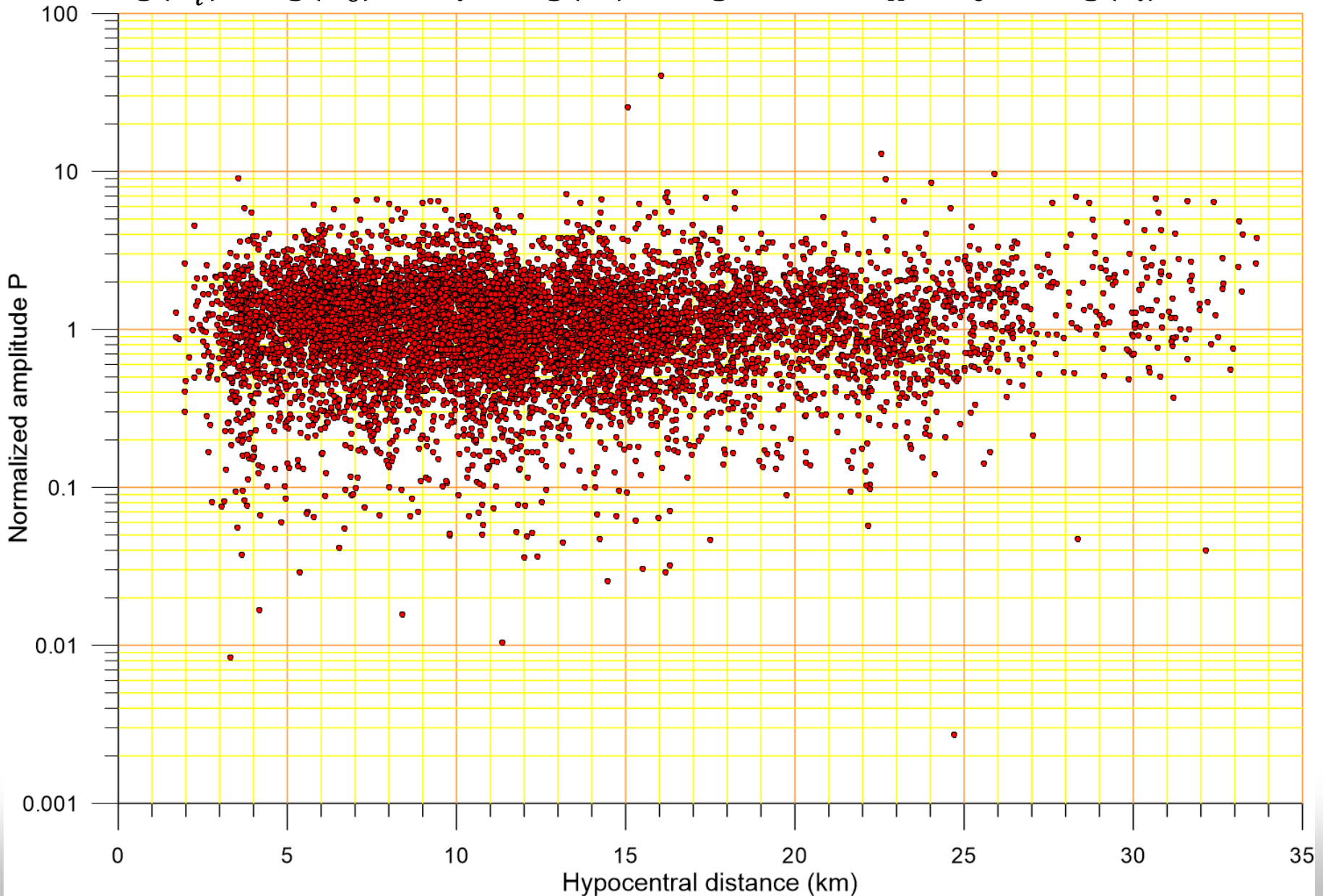
## P-Wave (APZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) - \log(G) - \alpha(r_H - r_0) = \log(V_i)$$



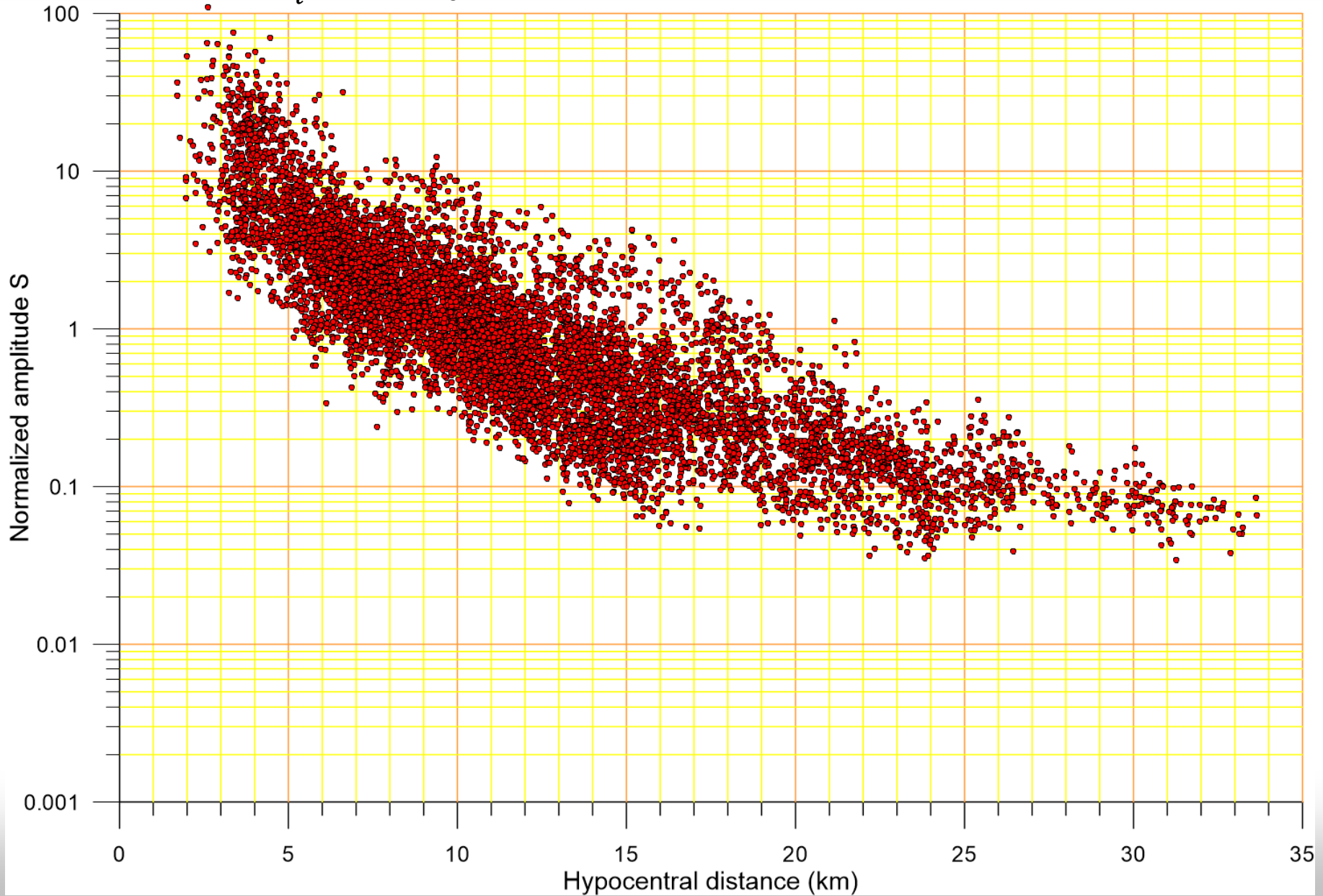
## P-Wave (APZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) - \log(G) - \alpha(r_H - r_0) - \log(V_i) = 1$$



## S-Wave (ASZ) attenuation

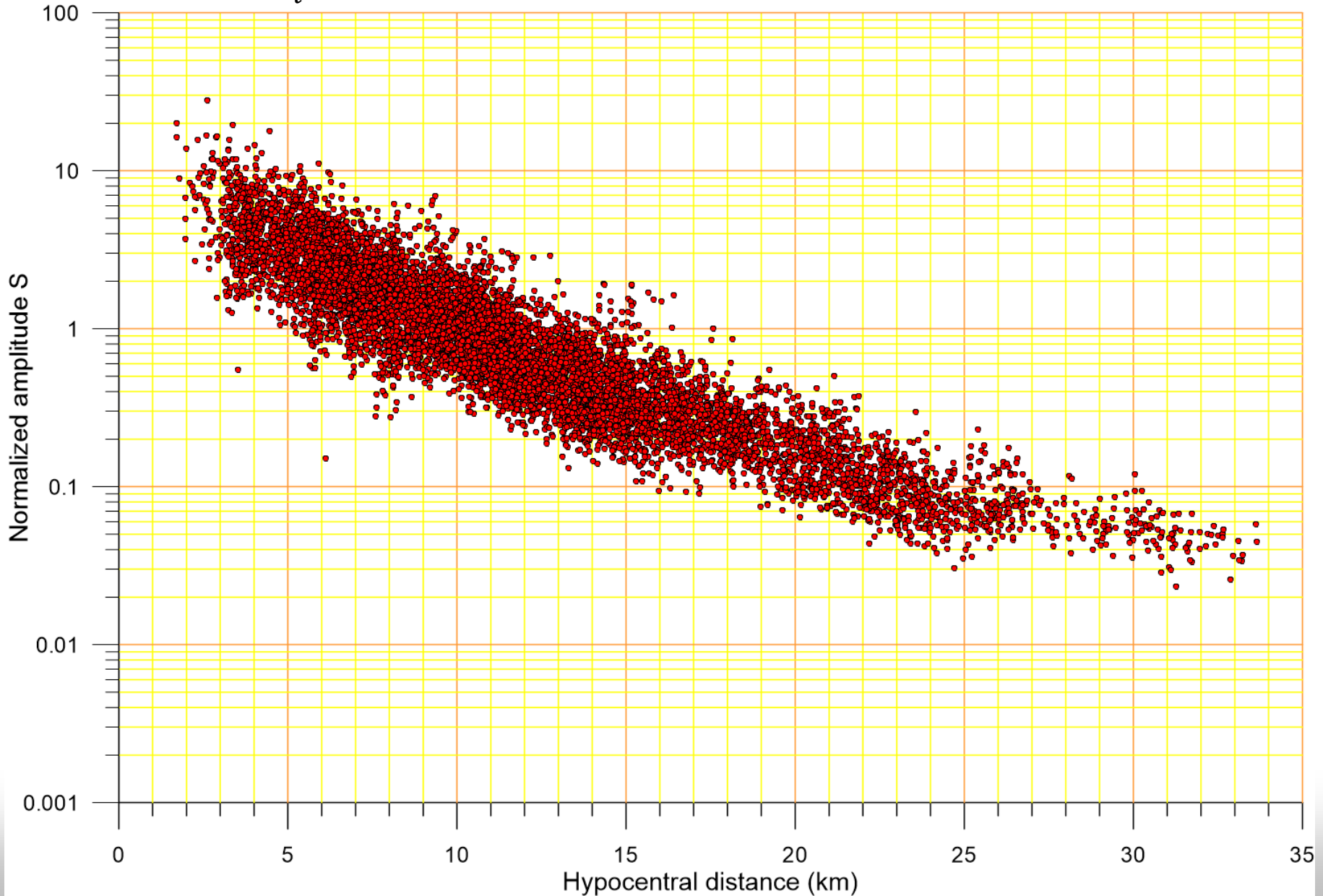
$$\log(A_i^j) - \log(A_0) - M_i = -\alpha(r_H - r_0) + \log(G) + \log(S^j)$$





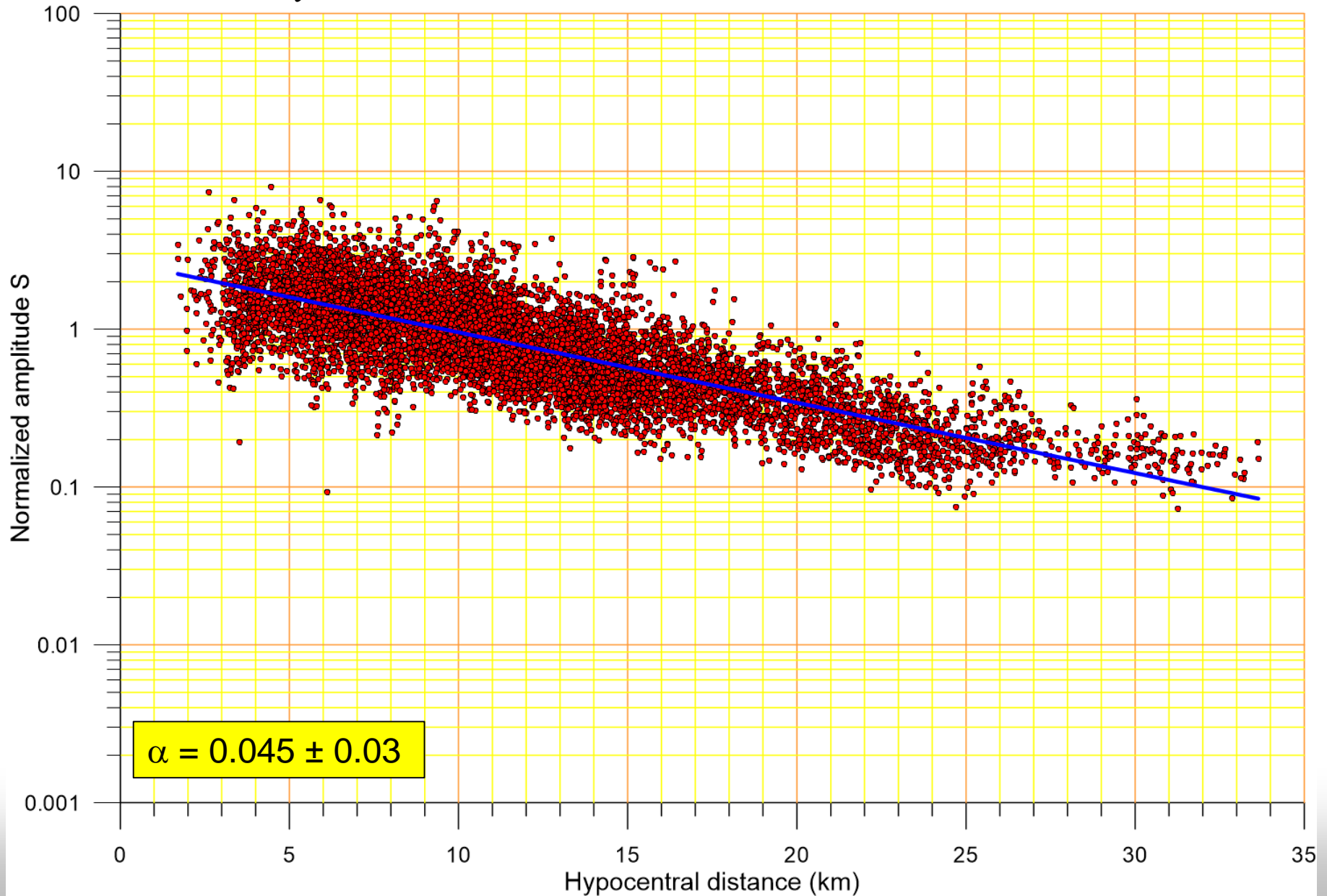
## S-Wave (ASZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) = -\alpha(r_H - r_0) + \log(G)$$



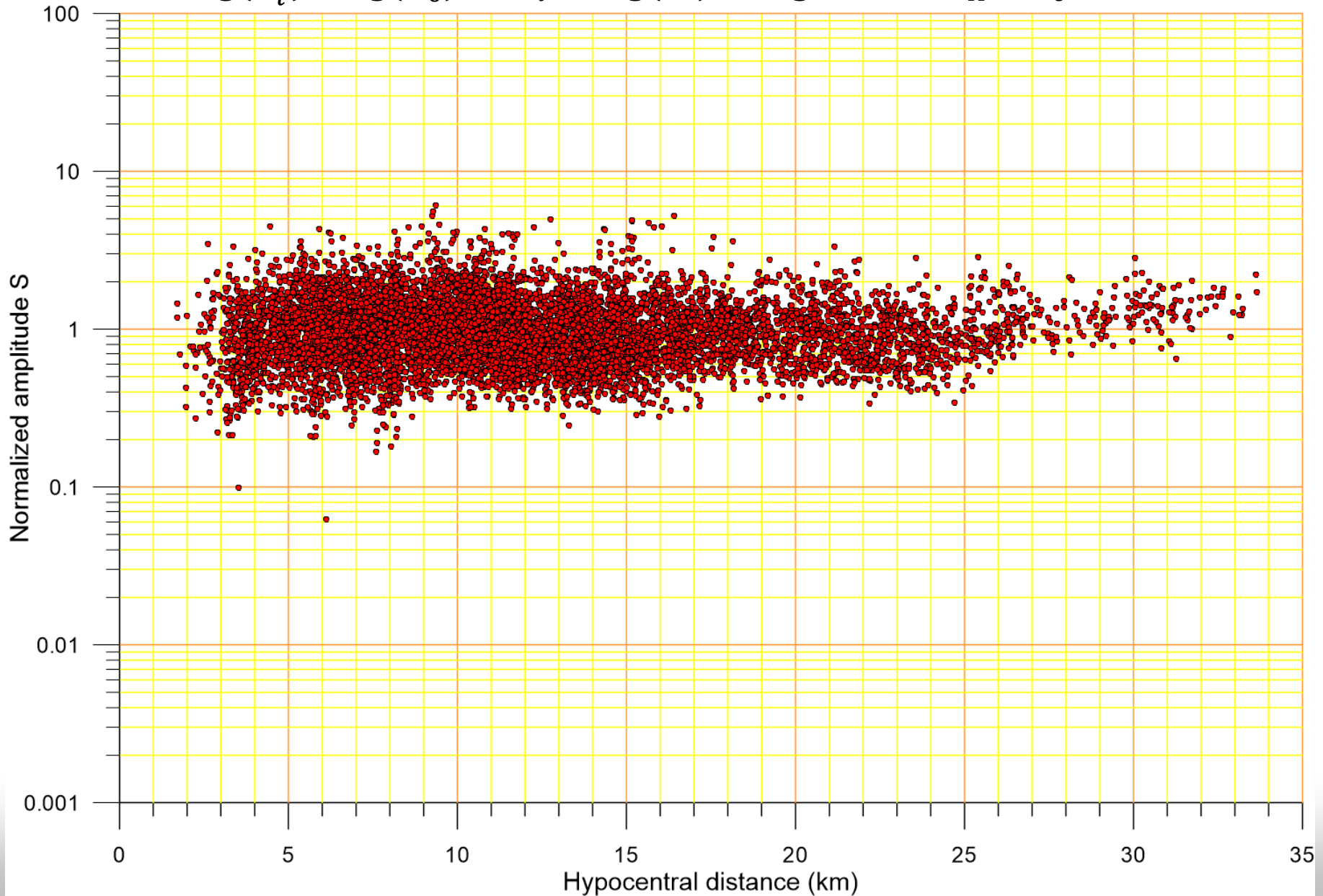
## S-Wave (ASZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) - \log(G) = -\alpha(r_H - r_0)$$

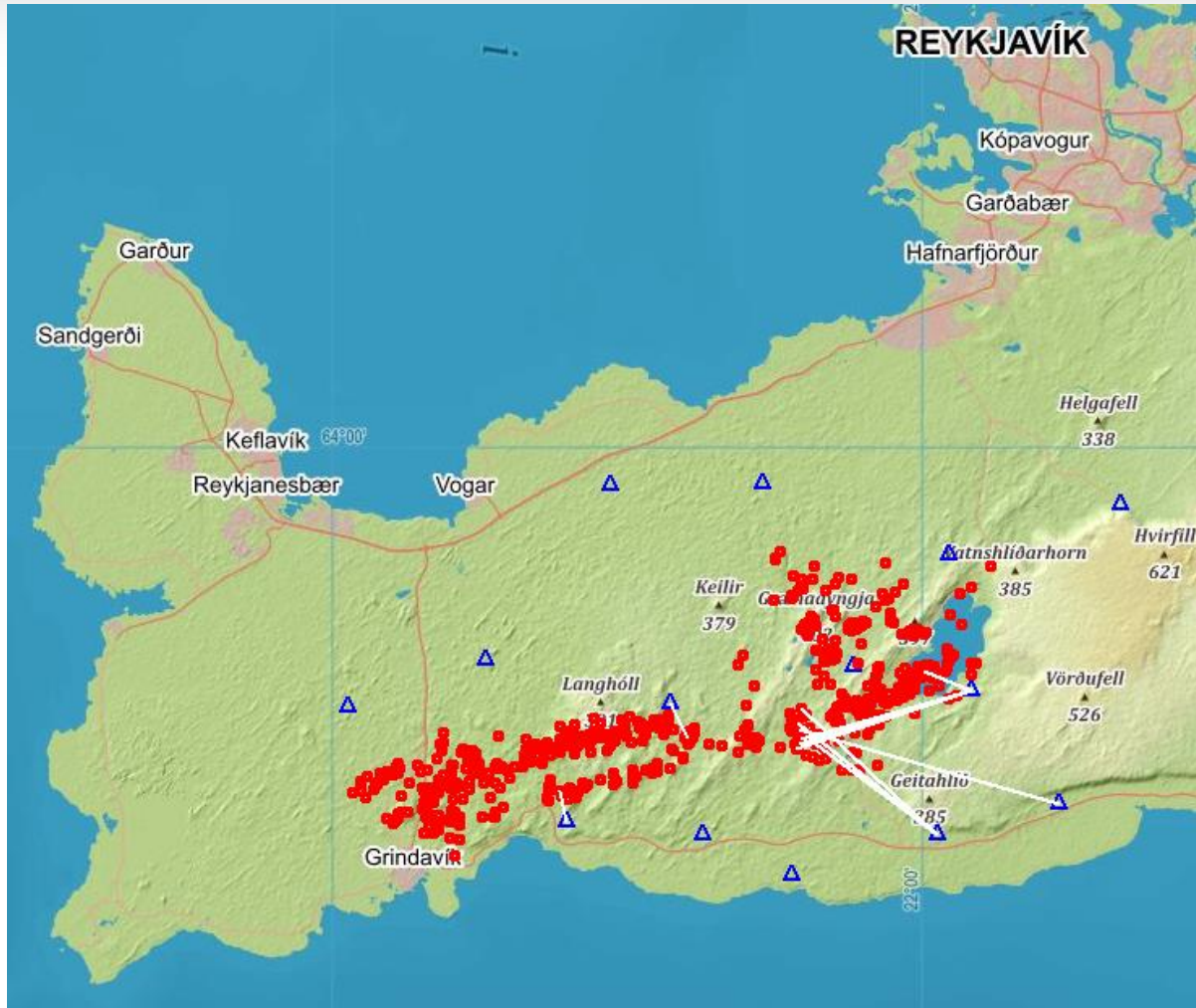


## S-Wave (ASZ) attenuation

$$\log(A_i^j) - \log(A_0) - M_i - \log(S^j) - \log(G) - \alpha(r_H - r_0) = 1$$



## S-Wave (ASZ) attenuation

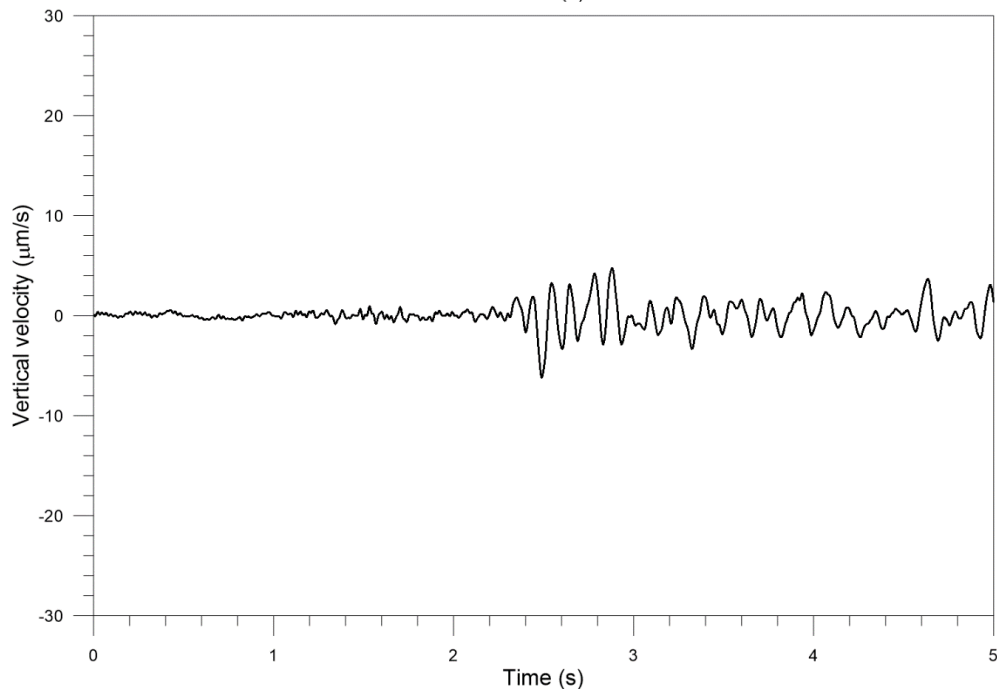
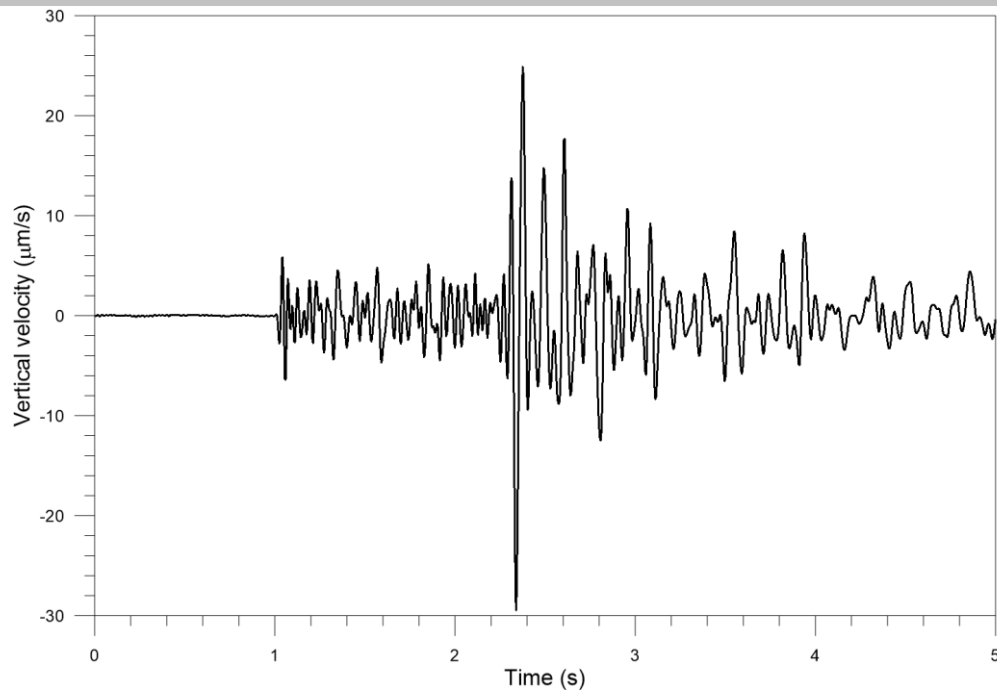


**Fig. 11** Anomalous rays (white lines) with high attenuation (small amplitudes)

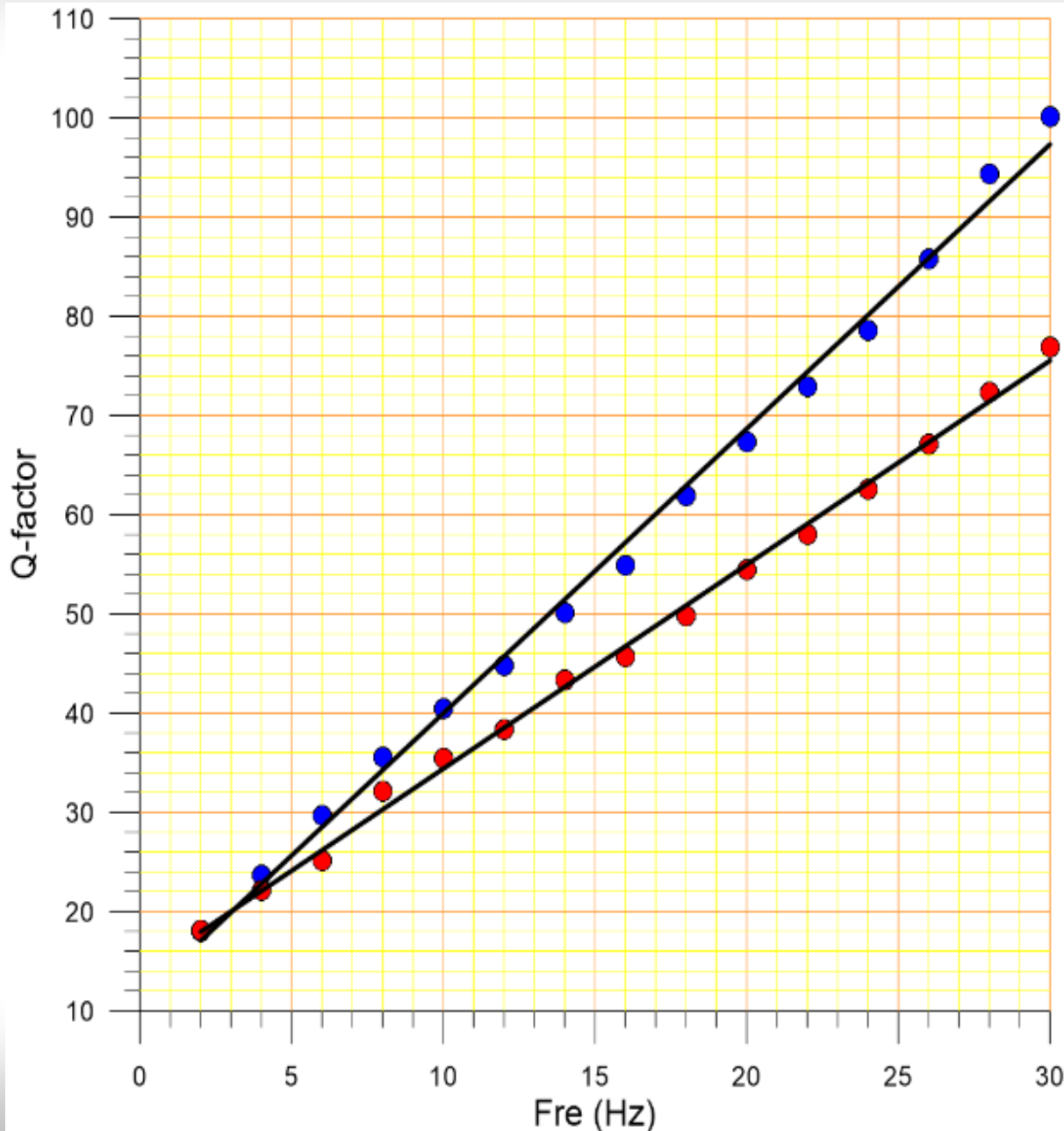
## S-Wave (ASZ) attenuation

**Fig. 12** Comparison of two vertical seismograms at KLV station.

- A) Magnitude 1.1 at the hypocentral distance 8.05 km
- B) Magnitude 1.0 at the hypocentral distance 8.04 km coming from Krýsuvík volcanic system with high attenuation.



## Frequency dependence



**Dependence of S-waves attenuation (Q-factor) on frequency.** Blue dots represent vertical component, red dots represent the component in the horizontal plane.

## 2 types of magnitude in IMO catalogue

### Local magnitude IMO 1 from seismic moment

$$(1) \quad m = \log_{10}(M_0) - 10$$

$M = m$	if	$M \leq 2.0$
$M = 2.0 + (m-a)*0.9$		$2.0 < M \leq 3.0$
$M = 3.0 + (m-a-b)*0.8$		$3.0 < M \leq 4.6$
$M = 4.6 + (m-a-b-c)*0.7$		$4.6 < M \leq 5.4$
$M = 5.4 + (m-a-b-c-d)*0.5$		$5.4 < M \leq 5.9$
$M = 5.9 + (m-a-b-c-d-e)*0.4$		$5.9 < M \leq 6.3$
$M = 6.3 + (m-a-b-c-d-e-f)*0.35$		$6.3 < M$

where  $M_0$  = seismic moment in Nm and

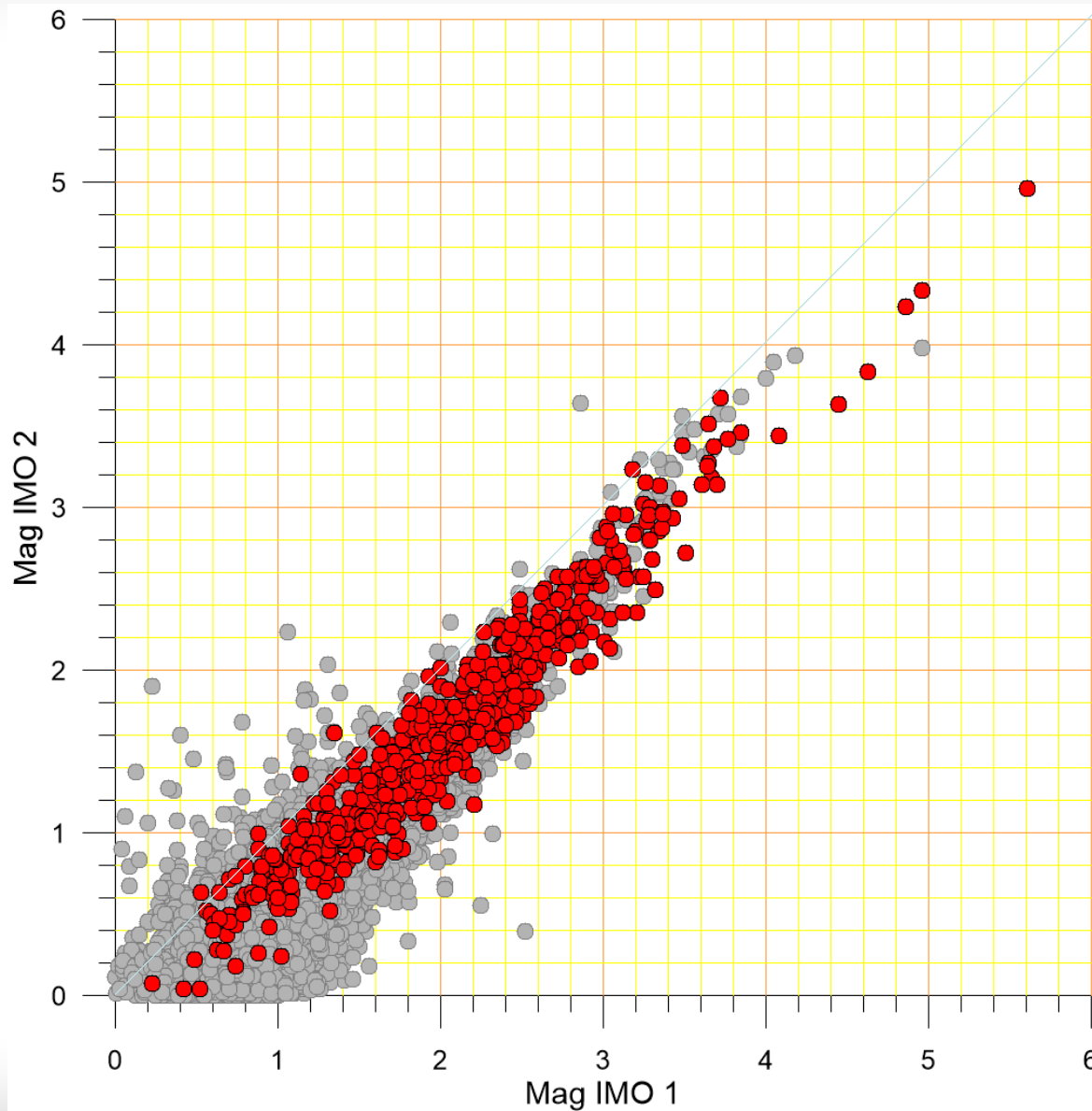
$$a = 2, \quad b = 1/0.9, \quad c = 1.6/0.8, \quad d = 0.8/0.7, \quad e = f = 1$$

### Local magnitude IMO 2 from maximum amplitude and distance

$$(2) \quad M = \log_{10}(\text{amp}) + 2.1 * \log_{10}(\text{dist.-in-km}) - 4.8,$$

where amplitude is estimated in a 10 second window around the S arrival.

## 2 types of magnitude in IMO catalogue



Attenuation of seismic waves in the area of REYKJANET



## New local magnitude from REYKJANET

$$M^j = \log(A_{ZS}^j) - \log(A_0) + \alpha(r_H^j - r_0) - \log(r_0/r_H^j) - \log(S^j)$$

$$M = \text{median}(M^j)$$

$A_{ZS}^j$  is maximum Z-velocity after S-wave onset at j-th station,

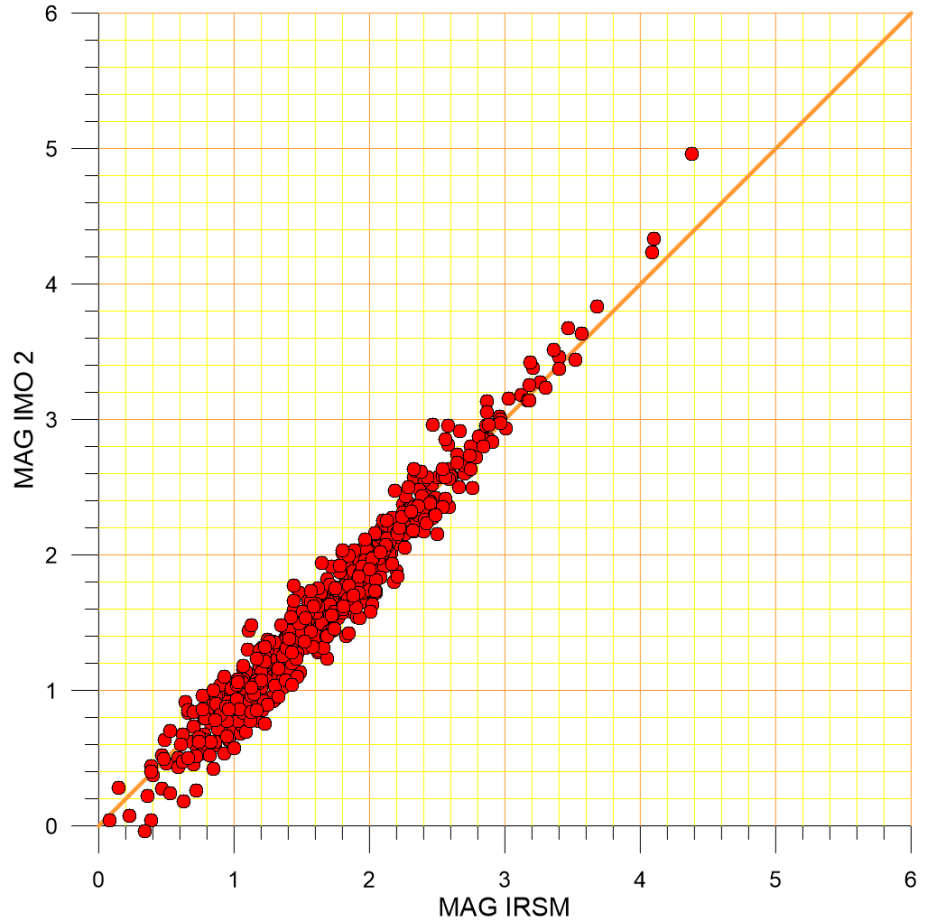
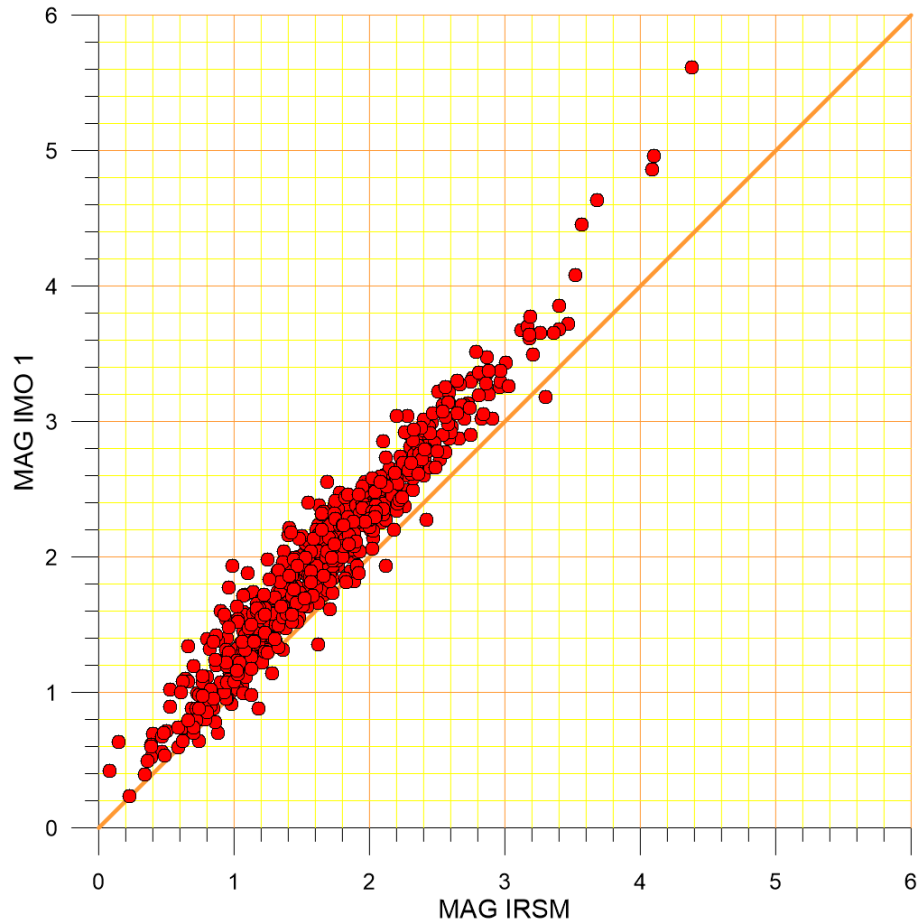
$r_H^j$  is hypocentral distance at j-th station

$S^j$  station constant at j-th station

$$A_0 = 0,6838 \text{ } \mu\text{m/s}, \quad \alpha = 0.0447, \quad r_0 = 10 \text{ km},$$

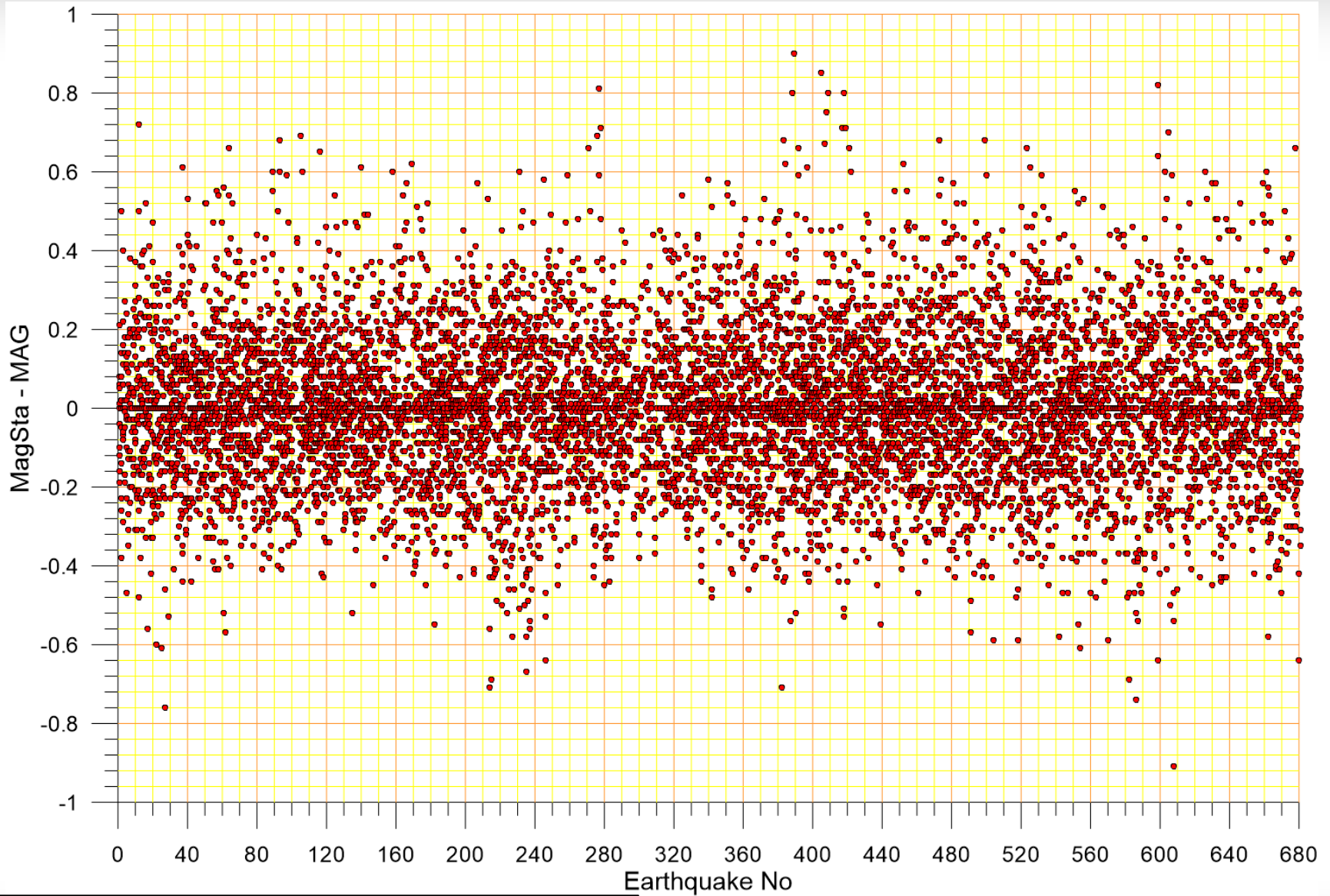
Station	$\log(S^j)$	Station	$\log(S^j)$
ASH	-0.288	LAG	-0.197
ELB	-0.169	LAT	-0.081
FAF	0.180	LHL	0.096
HDV	0.190	LSF	0.044
HRG	-0.120	MOH	0.568
ISS	0.075	SEA	-0.043
KLV	0.169	STH	-0.424

# New local magnitude from REYKJANET



Attenuation of seismic waves in the area of REYKJANET

# New local magnitude from REYKJANET



Average absolute value of difference is **0.148**

Attenuation of seismic waves in the area of REYKJANET

## Amplitude Tomography

$$\log(A_i^j) = \log(A_0^j) + M_i - \alpha(r_H - r_0) - \sum_{k=1}^n \Delta\alpha_k p_k + \log(G)$$

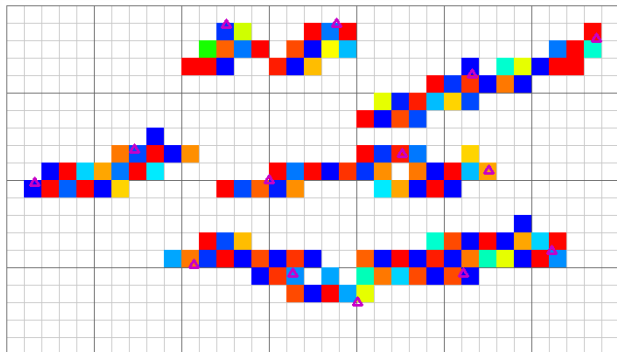
$\Delta\alpha_k$  difference of attenuation coefficient in k-th cell

$p_k$  length of the path of the ray in k-th cell

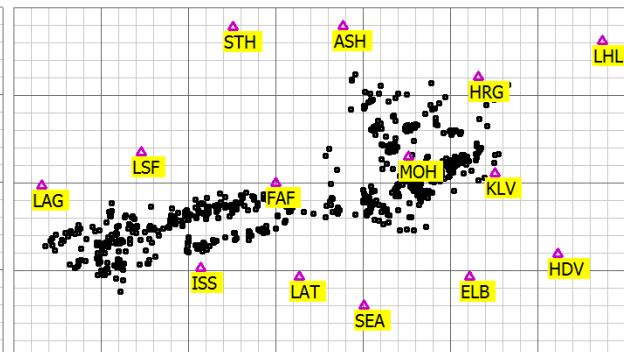
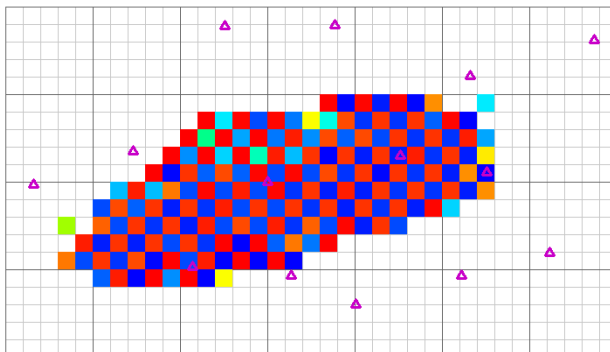
Overdetermined linear inverse problem – Newton's method

# Amplitude Tomography - chess-board test $\pm 10\%$ , more than 5 rays

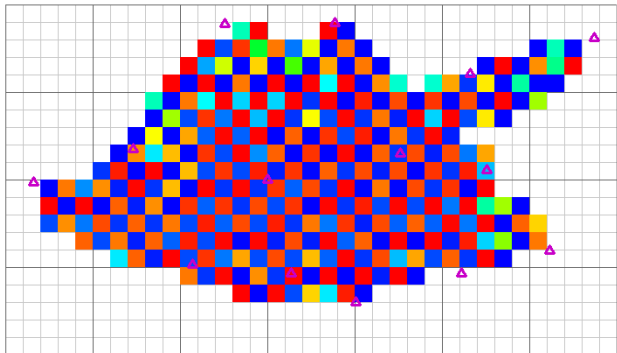
0-1 km



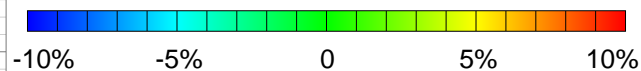
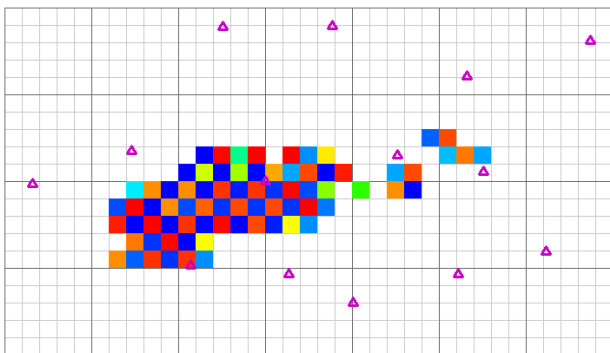
3-4 km



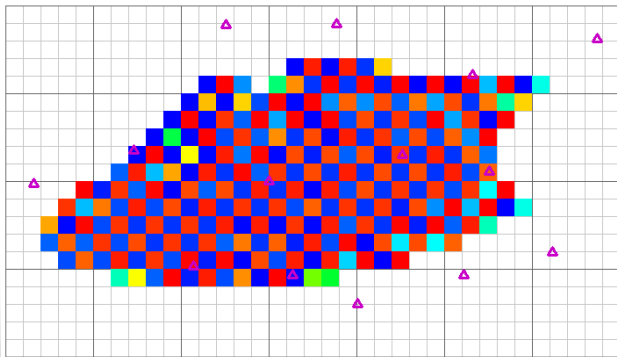
1-2 km



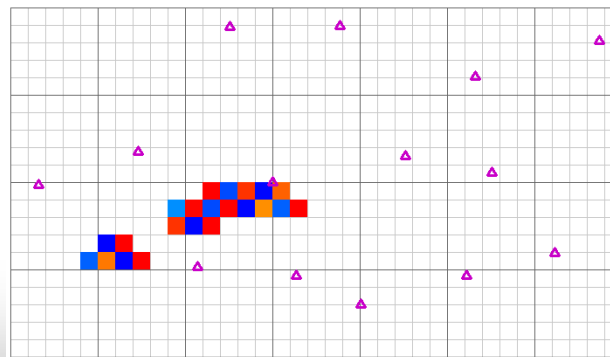
4-5 km



2-3 km



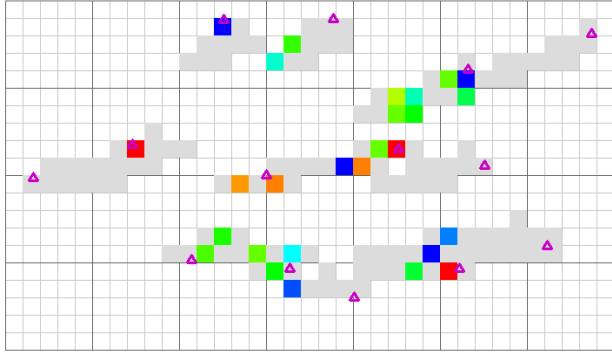
5-6 km



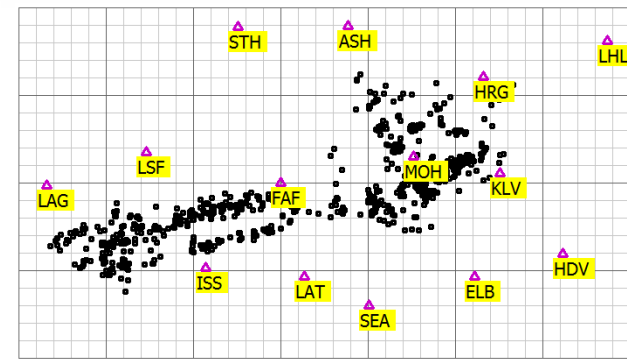
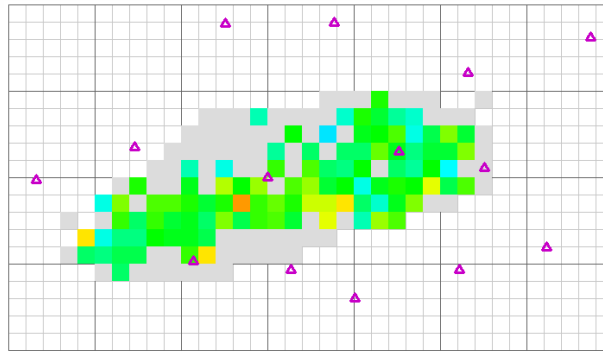
Attenuation of seismic waves in the area of REYKJANET

# Amplitude Tomography S-waves

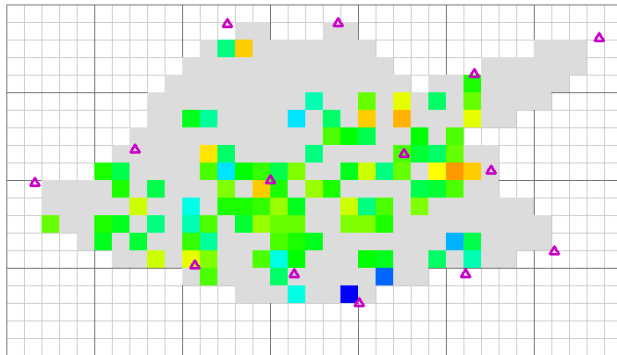
0-1 km



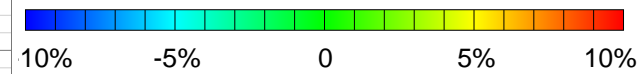
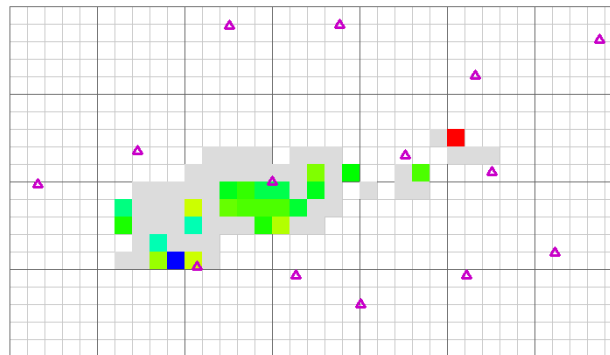
3-4 km



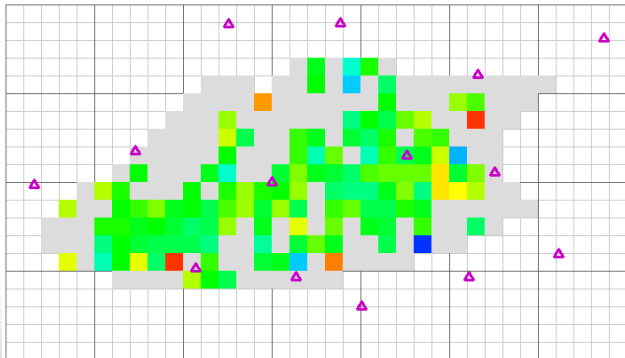
1-2 km



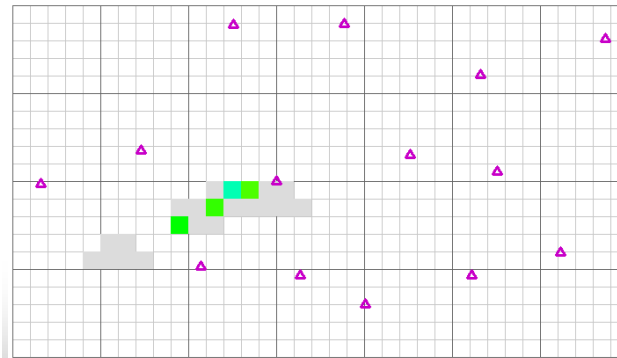
4-5 km



2-3 km



5-6 km



Attenuation of seismic waves in the area of REYKJANET

## CONCLUSIONS:

- Average attenuation at the area of REYKJANET was estimated. Attenuation of P-waves ( $\alpha = 0.056$ ) is higher than attenuation of S-waves ( $\alpha = 0.045$ ).
- New type of local magnitude from REYKJANET was proposed.
- Amplitude tomography revealed several localities with S-waves high-attenuation anomaly.

## PLANS FOR FUTURE:

- To add KRI and GRV stations
- To improve resolution (more earthquakes, more stations)
- To compute attenuation also from horizontal components  
- it is important for seismic hazard
- To investigate acceleration and displacement
- To find frequency dependence of attenuation
- To improve radiation patterns and geometrical spreading



**Thanks for your attention!**



*Picking of rock samples at Fagradalsfjall volcano, June 7, 2021*

SVH station, June 2023



BLF station, June 2023

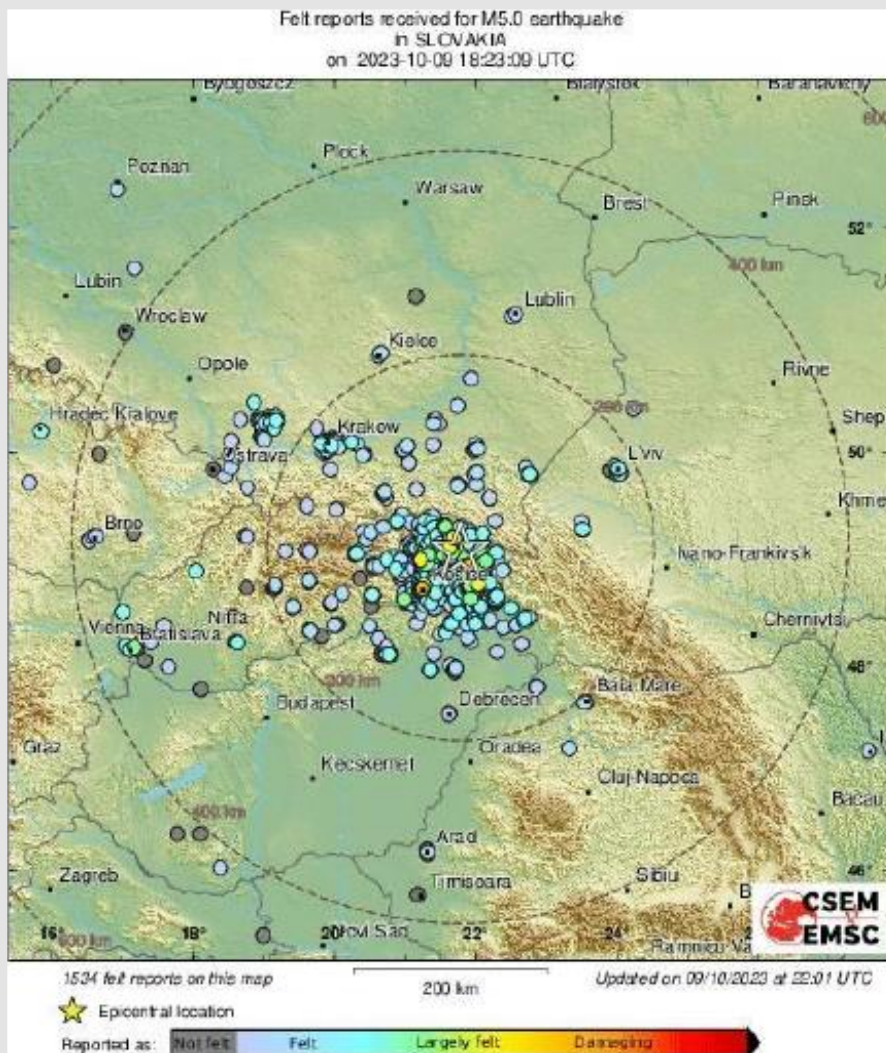




# Seismic Activity and the event Oct 9<sup>th</sup>, 2023, M5.0 in Slovakia

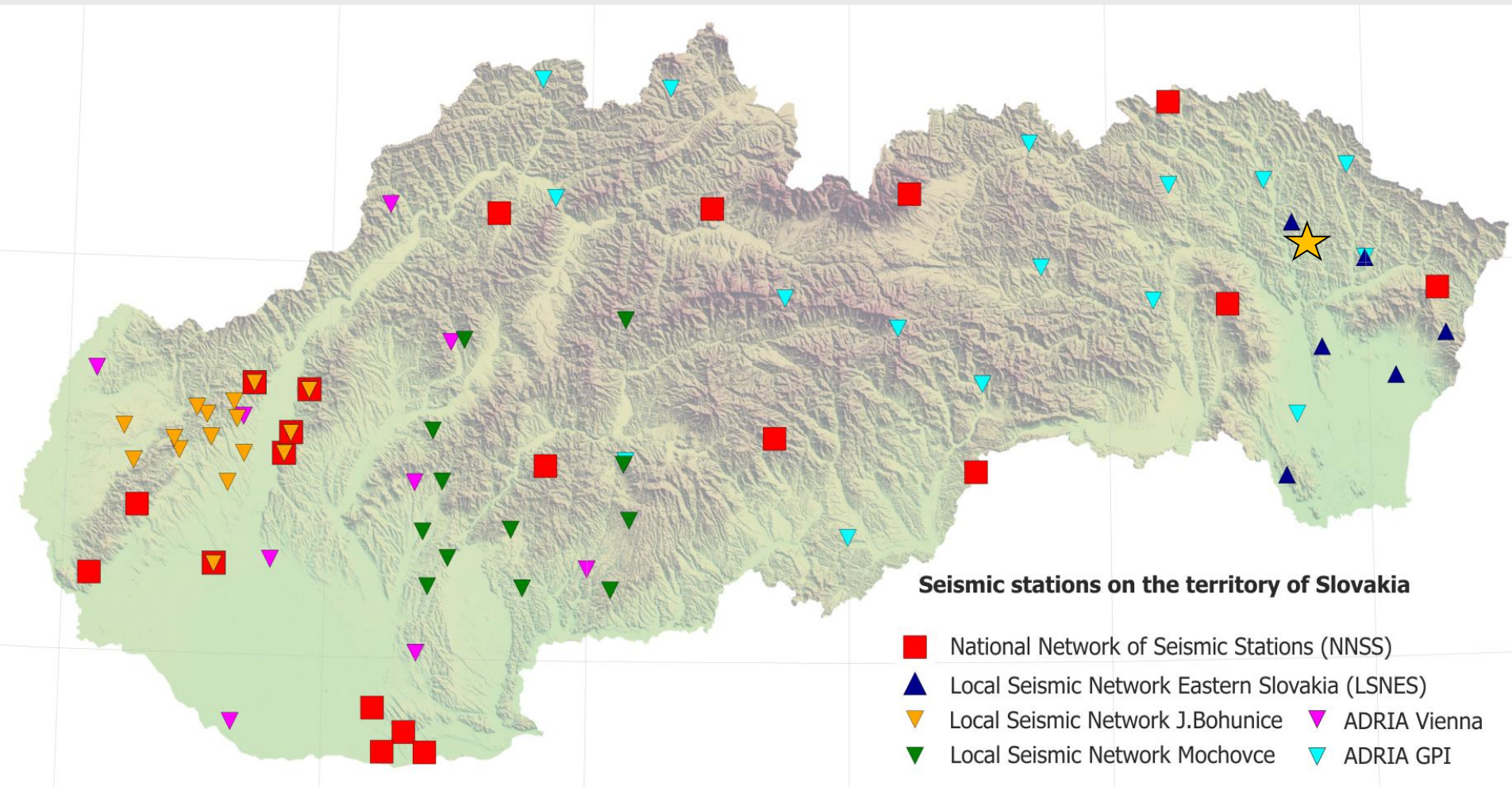
Lucia Fojtíková, Kristian Csicsay, Andrej Cipciar, Peter Pažák,  
Jozef Kristek, Miriam Kristeková, Róbert Kysel, Martin Gális,  
Renata Lukešová, Luděk Vecsey, Hana Kampfová Exnerová,  
Petr Jedlička, Dmytro Malytsky

# Earthquake Oct 9th, 2023, M5.0 in Slovakia



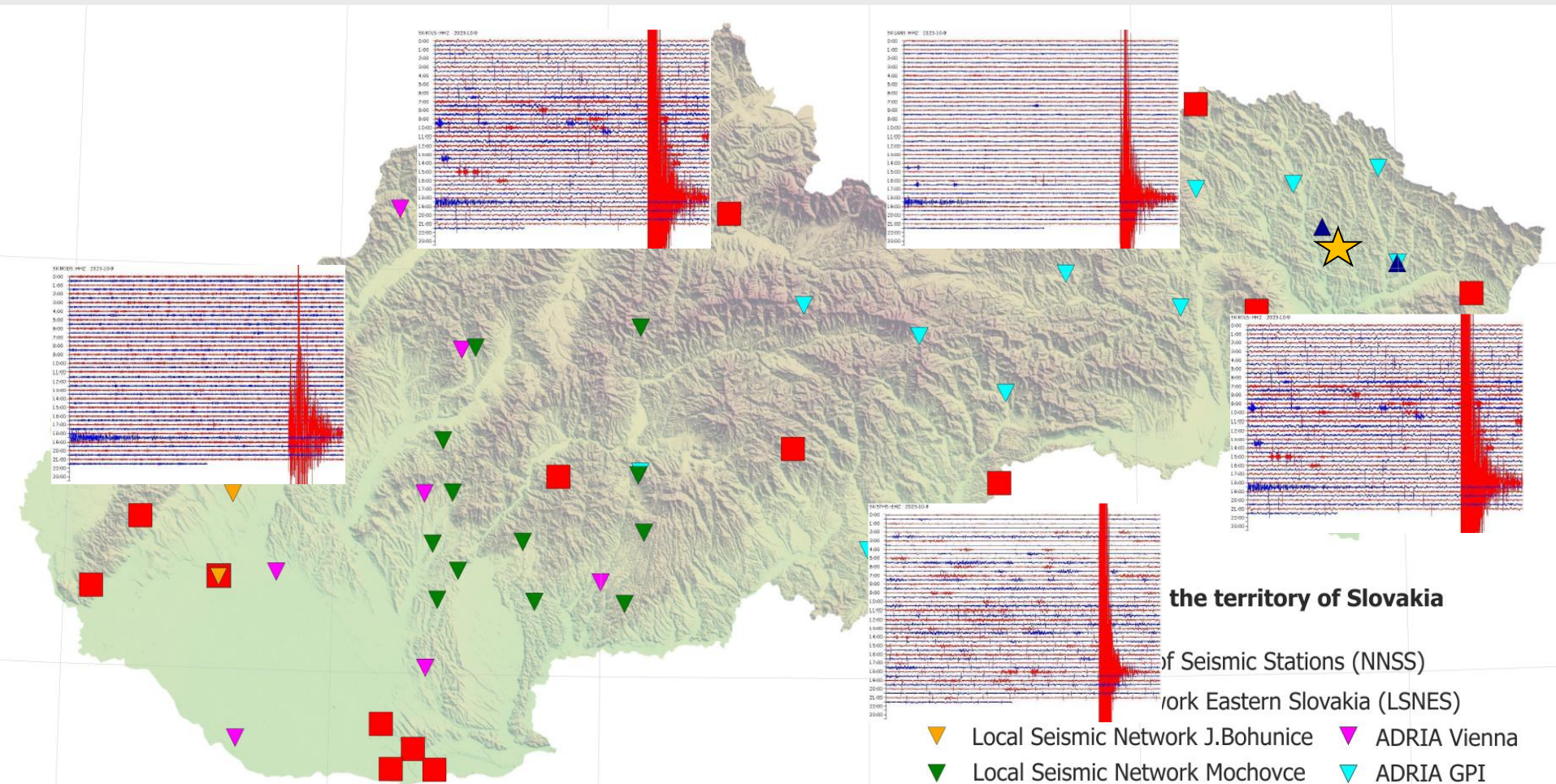
# Seismic stations on the territory of Slovakia

- ❑ 2 local seismic networks around the nuclear power plants Mochovce and Jaslovské Bohunice
- ❑ 5 seismic stations in cooperation between Progseis, ESI SAV and IRSM ASCR
- ❑ 2 seismic networks in international cooperation under the ADRIA Array initiative



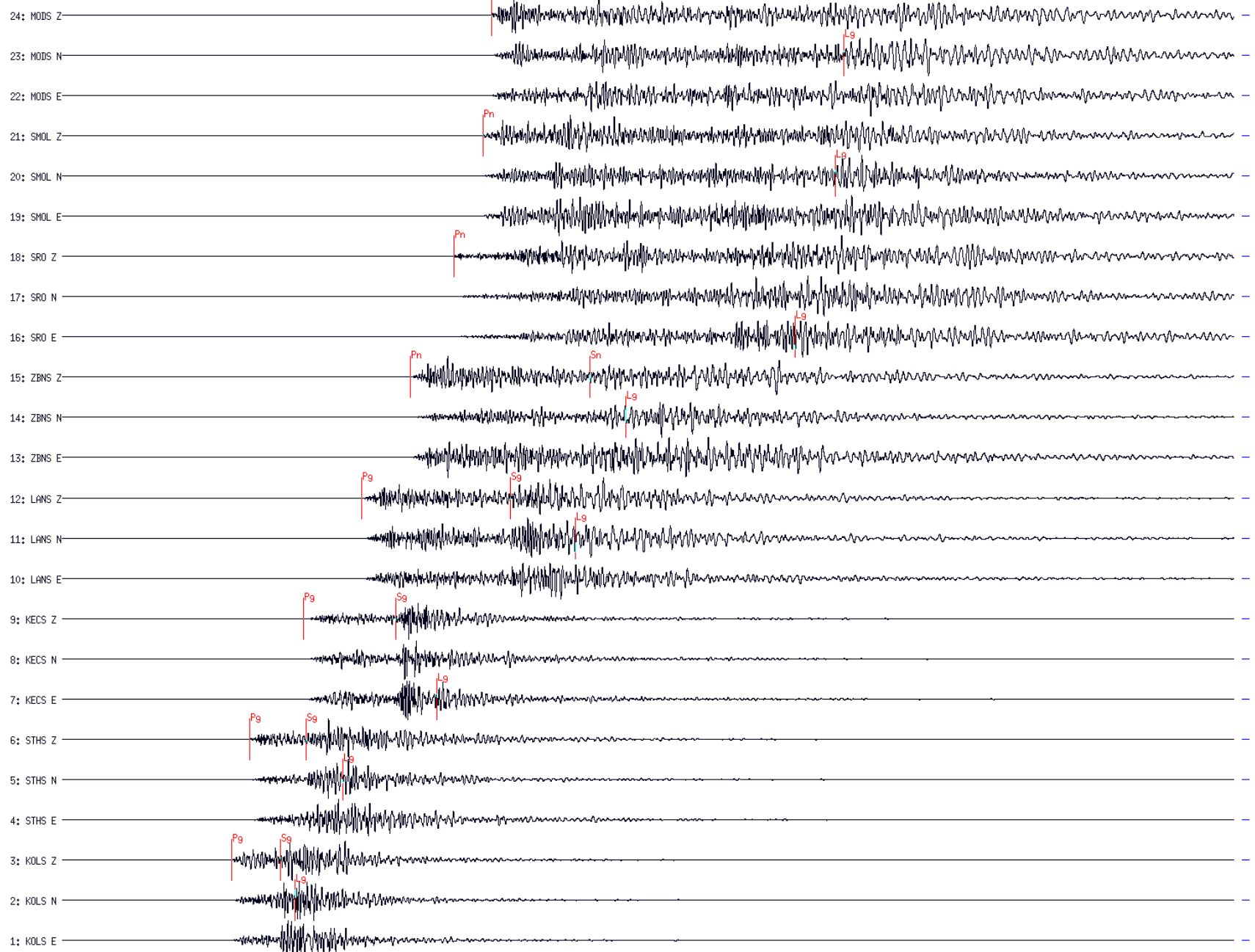
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9-OCT-2023\_18:24:41.677 >3.04< Filter: SHM\_BP\_1S\_10HZ\_3

LastCmd: Set Time Mdw



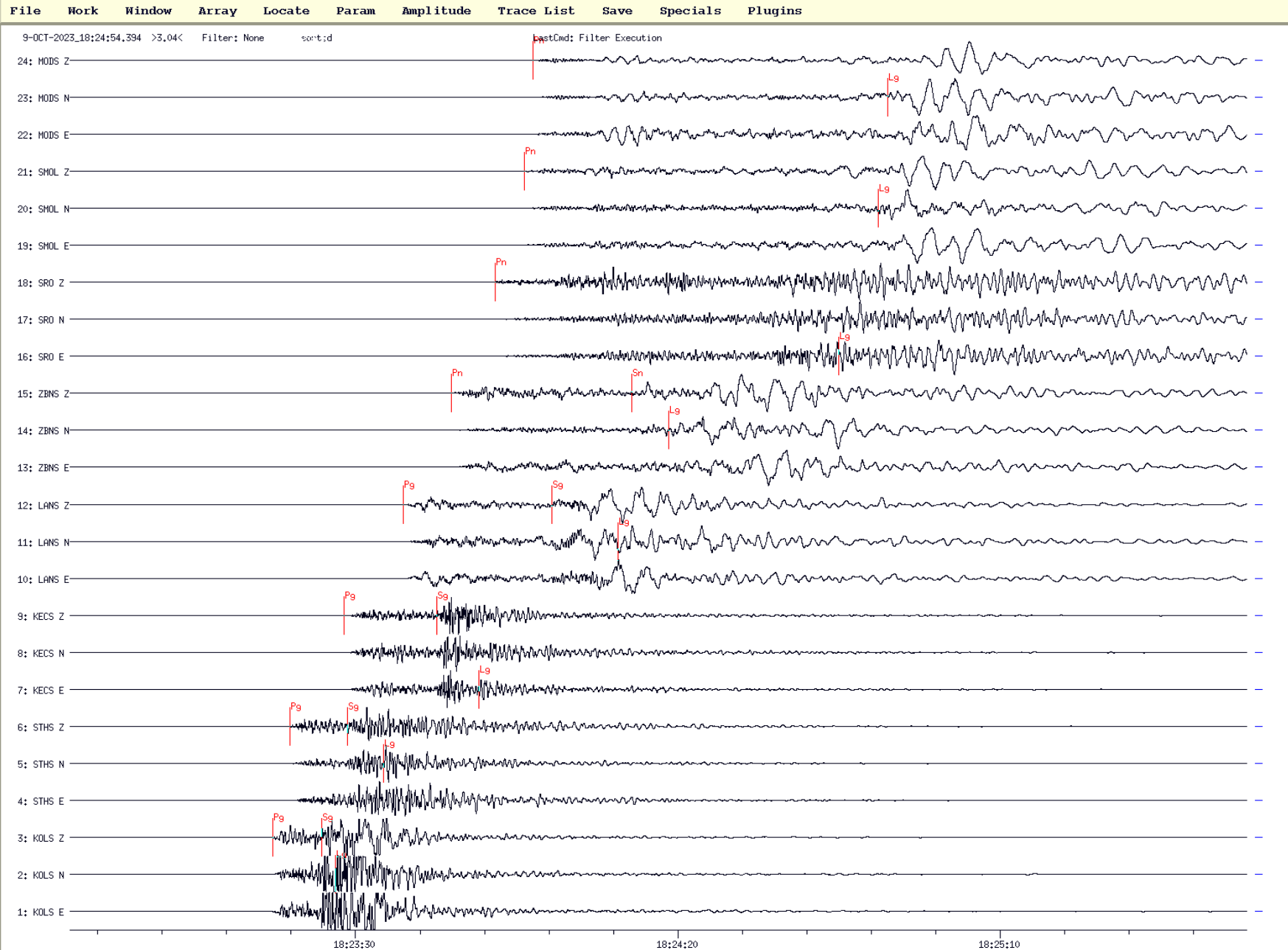
18:23:35

18:24:25

18:25:15



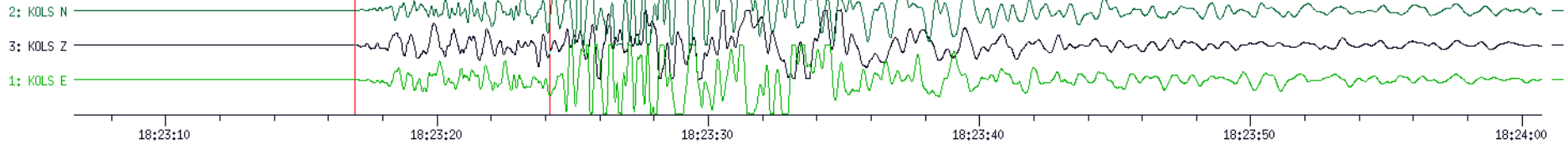
# Earthquake Oct 9th, 2023, M5.0 in Slovakia



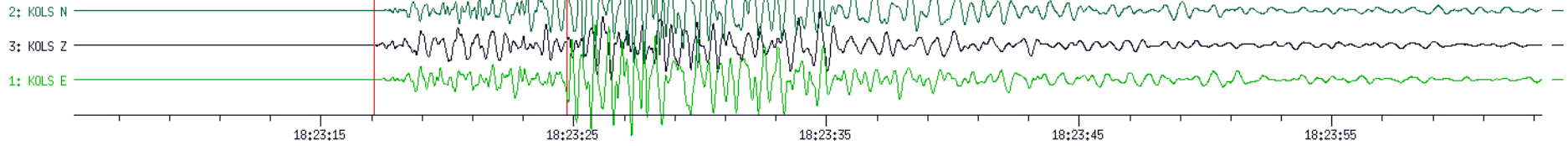
# Earthquake Oct 9th, 2023, M5.0 in Slovakia

## KOLS

width 54.09 sec min:-4269764.00 max:4381312.00



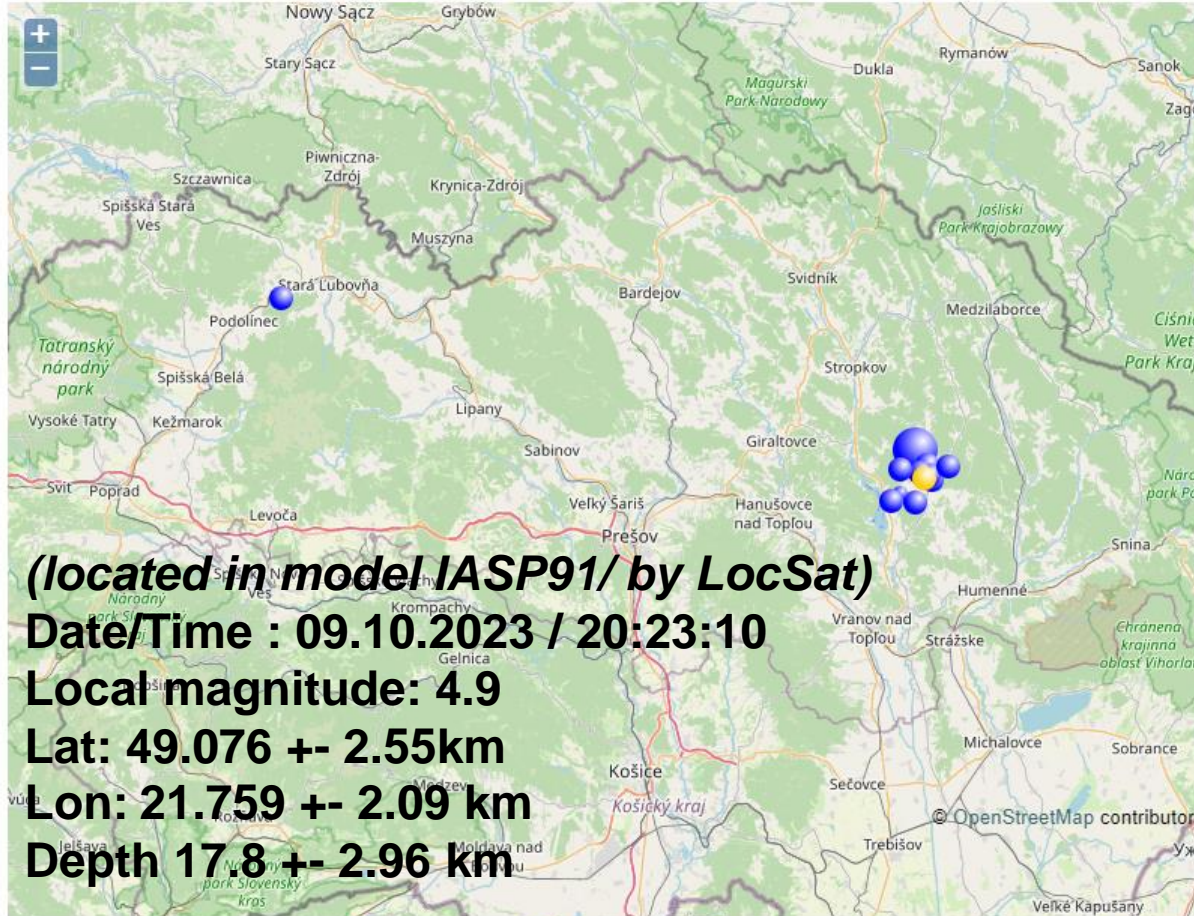
width 58.06 sec min:-4063239.75 max:3825200.50



# Earthquake Oct 9th, 2023, M5.0 in Slovakia

## LOKÁLNE ZEMETRASENIA

Lokalizácie z Národnej siete seizmických staníc



*(located in model IASP91/ by LocSat)*

**Date/Time : 09.10.2023 / 20:23:10**

**Local magnitude: 4.9**

**Lat: 49.076 +- 2.55km**

**Lon: 21.759 +- 2.09 km**

**Depth 17.8 +- 2.96 km**

### Zoznam lokálnych zemetrasení:

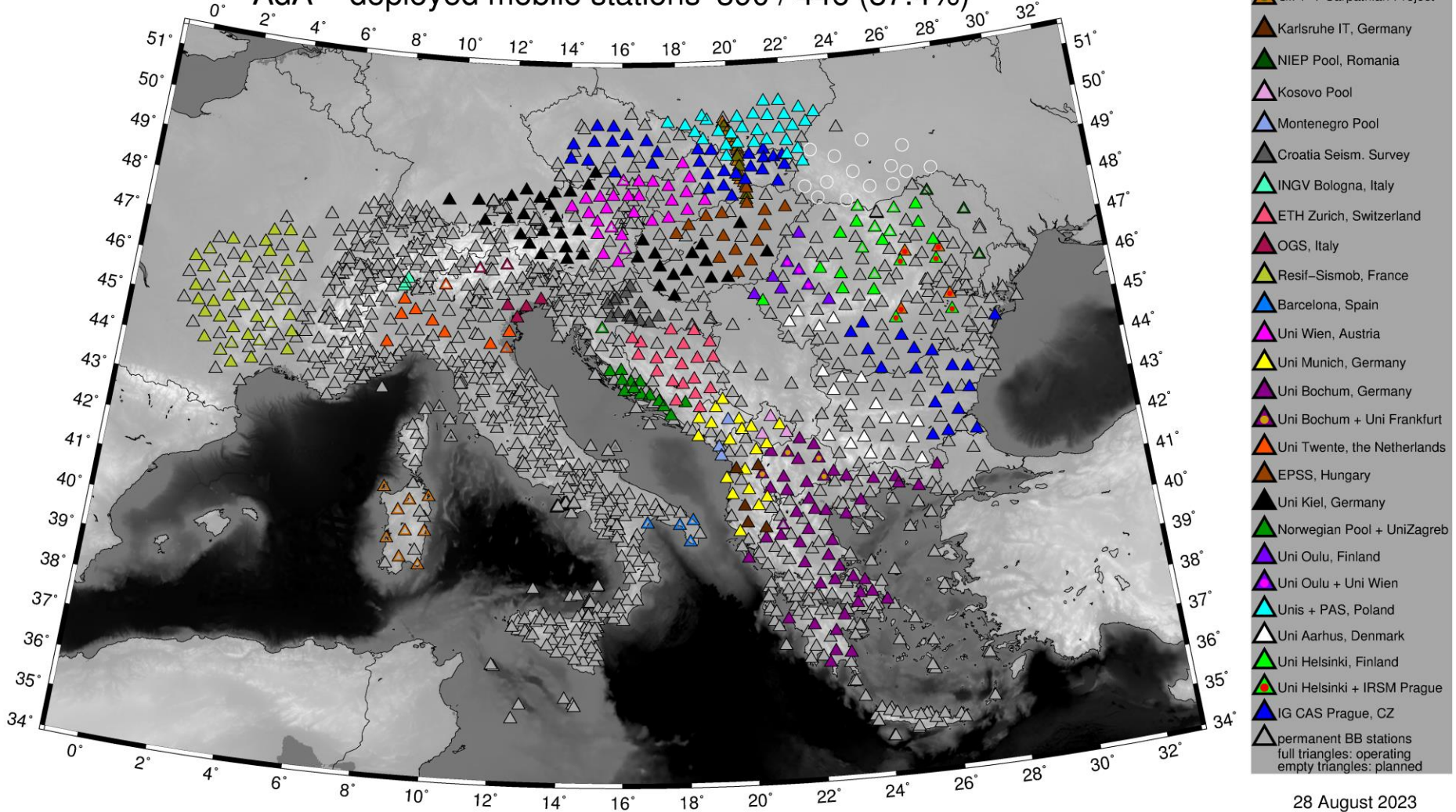
dátum, lokálny čas, magnitúda
09.11.2023, 12:44:04, MI = 2.1
08.11.2023, 16:24:45, MI = 1.3
06.11.2023, 00:37:37, MI = 1.2
30.10.2023, 14:59:14, MI = --
29.10.2023, 05:04:01, MI = 1.1
26.10.2023, 07:43:26, MI = 1.1
25.10.2023, 22:51:52, MI = -0.1
25.10.2023, 09:50:45, MI = 1.3
21.10.2023, 07:10:39, MI = 0.5
18.10.2023, 23:03:37, MI = 1.2
18.10.2023, 03:20:36, MI = 0.9
17.10.2023, 21:28:07, MI = 1.4
17.10.2023, 08:00:04, MI = 1.5
16.10.2023, 06:23:29, MI = 2.8
11.10.2023, 23:04:17, MI = 3.2
11.10.2023, 04:15:36, MI = 1.5
09.10.2023, 20:39:49, MI = 1.3
09.10.2023, 20:23:10, MI = 4.9
07.10.2023, 14:59:25, MI = 1.9
28.09.2023, 13:34:11, MI = 1.4
28.09.2023, 05:37:10, MI = 1.4
27.09.2023, 10:51:02, MI = 1.2
23.09.2023, 19:26:18, MI = 1.0
13.09.2023, 01:24:55, MI = 2.1

● last 2 days   ● last 2 weeks   ● last 2 months

local magnitude: ○ below 1   ○ 1 - 2   ○ 2 - 3   ○ 3 - 4   ○ more than 4

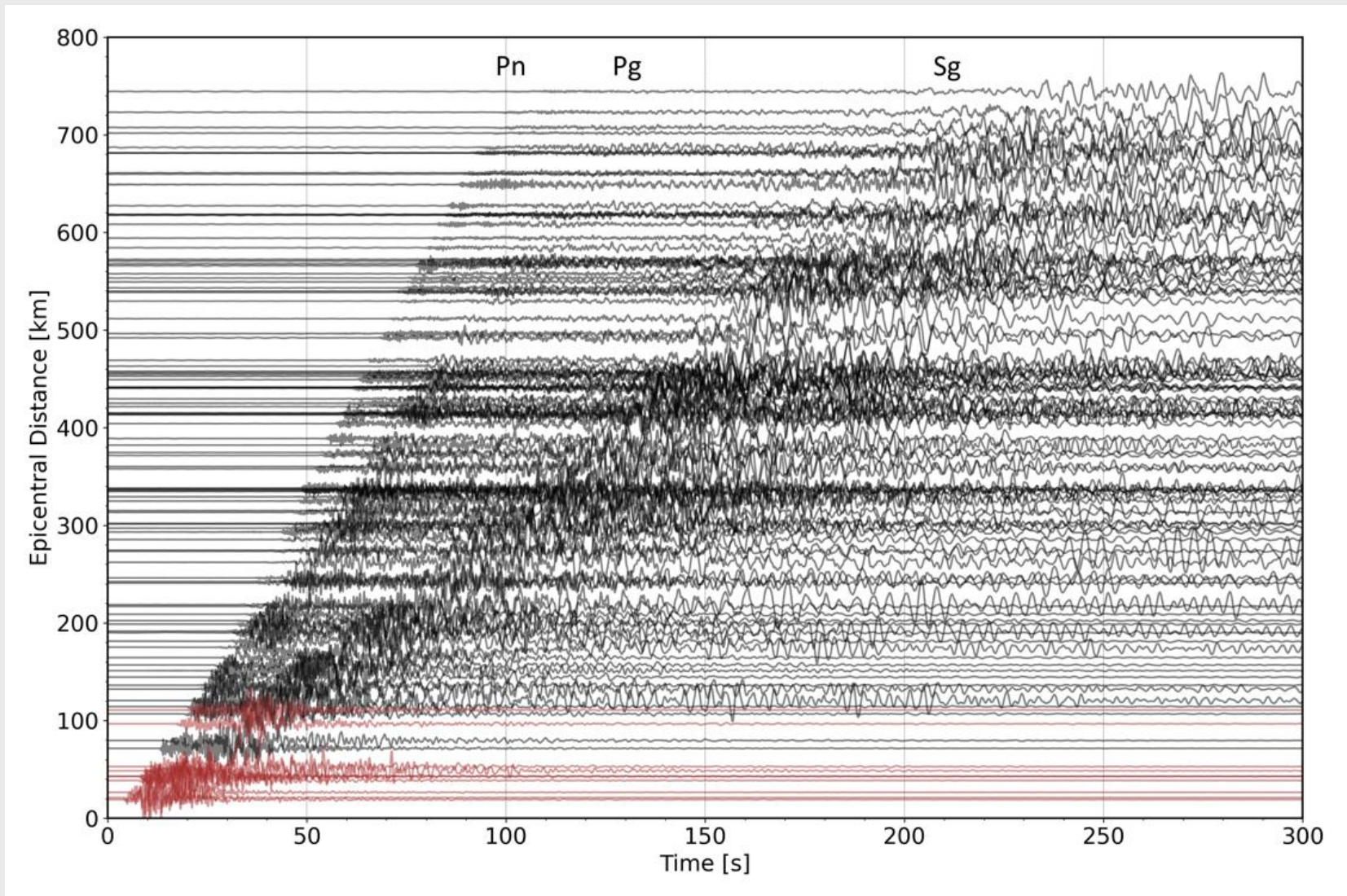
# AdriaArray

AdA – deployed mobile stations 390 / 446 (87.4%)

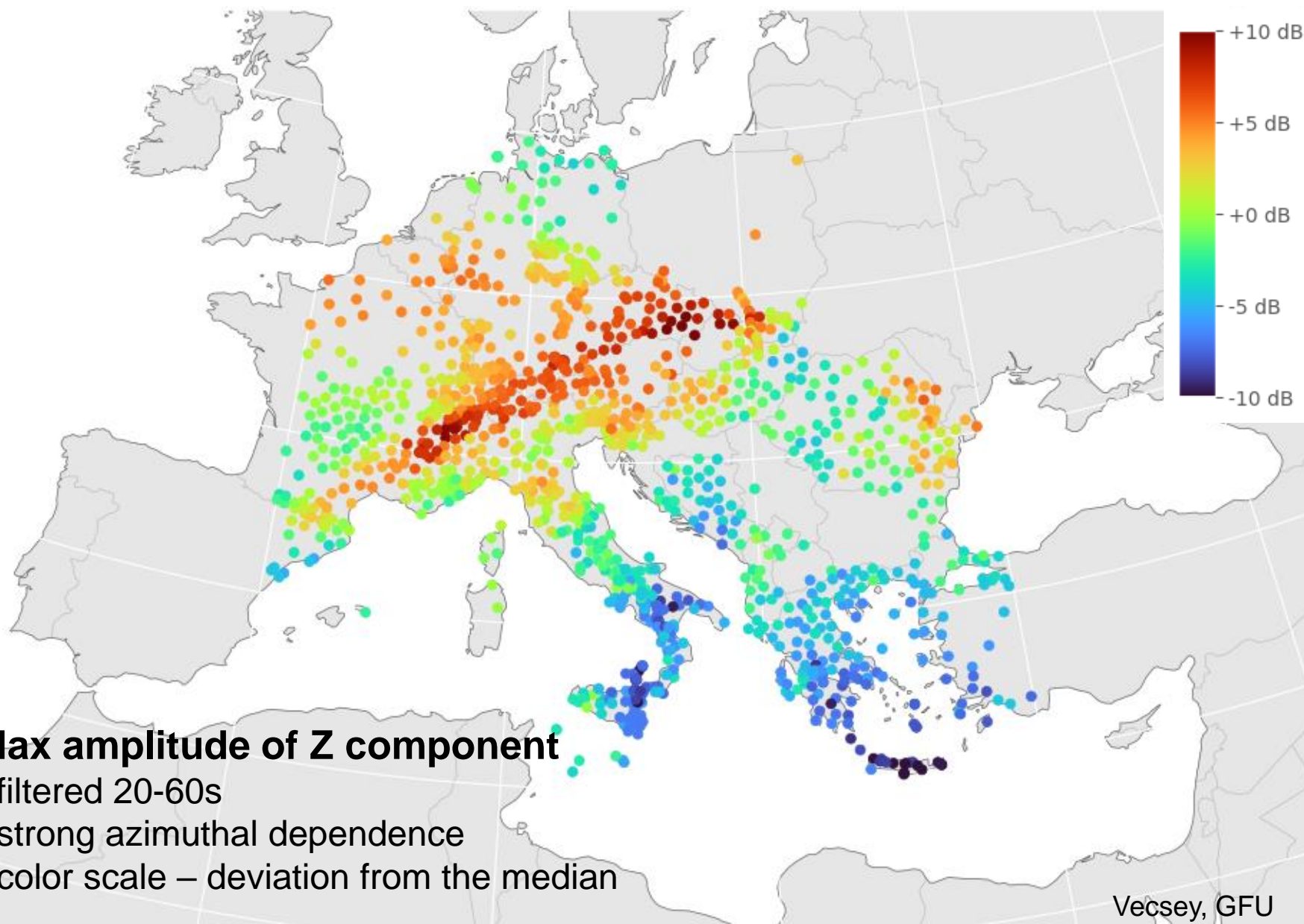


# Earthquake Oct 9th, 2023, M5.0 in Slovakia

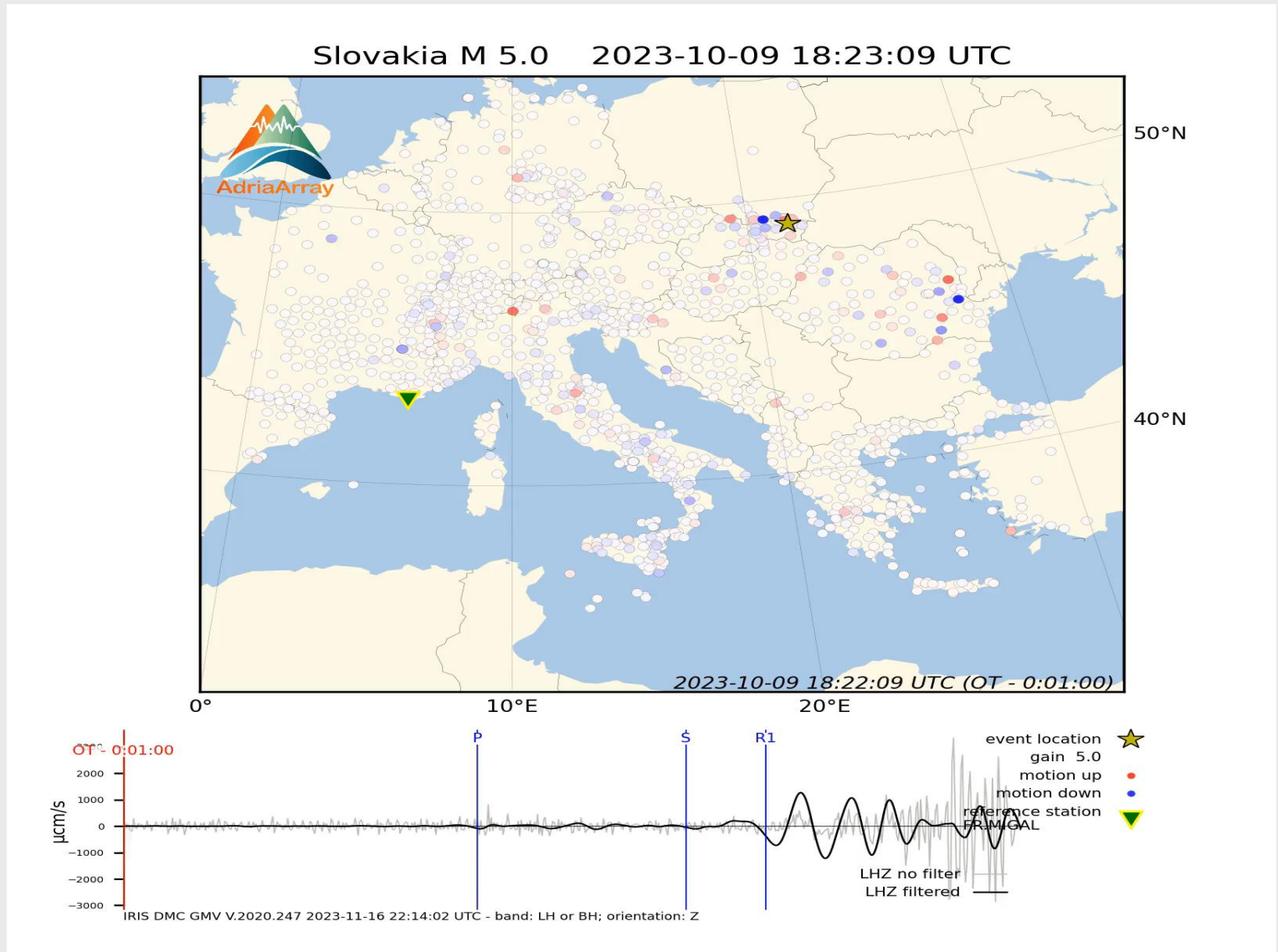
Z component



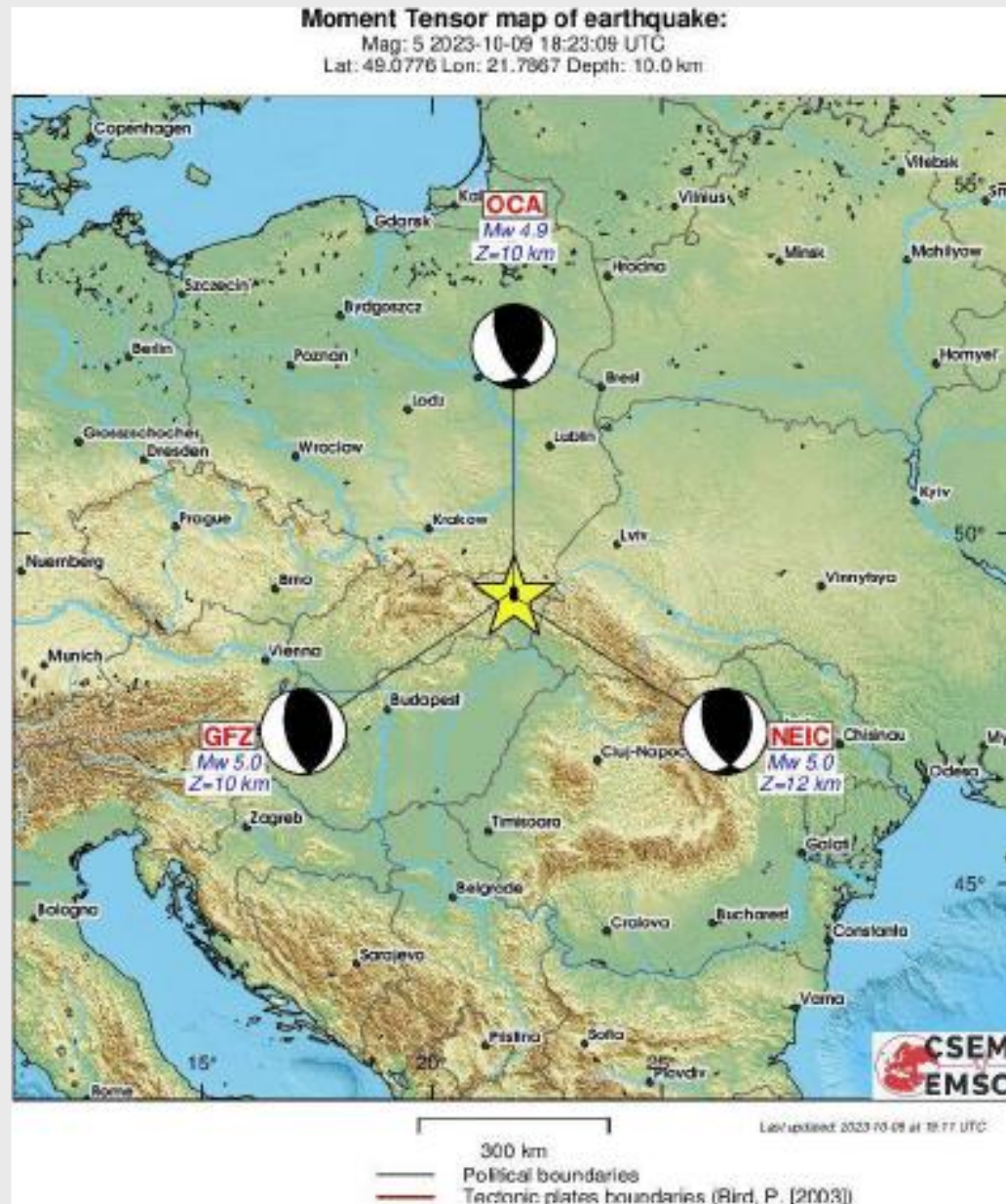
# Earthquake Oct 9th, 2023, M5.0 in Slovakia



# Earthquake Oct 9th, 2023, M5.0 in Slovakia



# Earthquake Oct 9th, 2023, M5.0 in Slovakia





# Earthquake Oct 9th, 2023, M5.0 in Slovakia

Location by PACASE – AdriaArray stations

(located in local model derived from CELL06 and CELL11 / by fastHYPO)

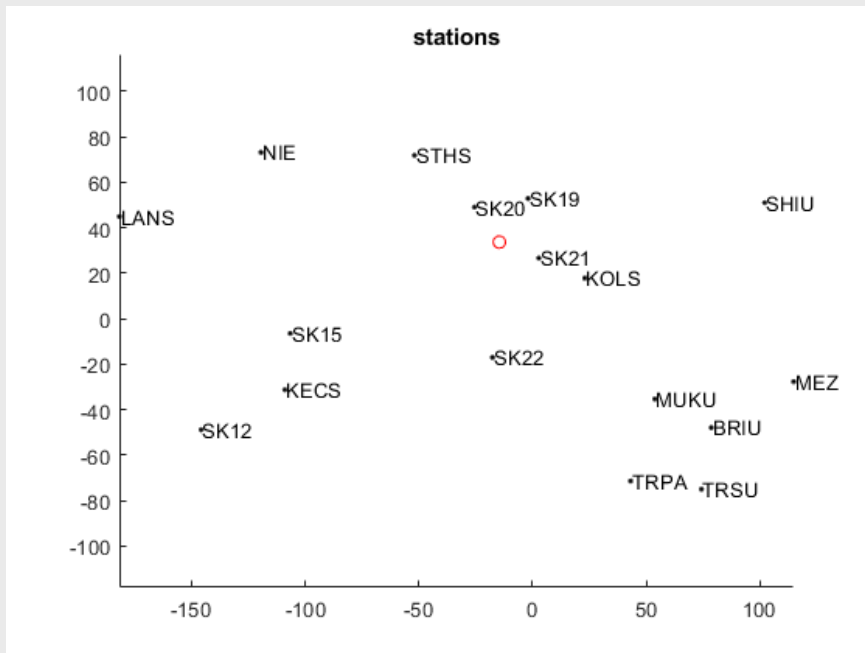
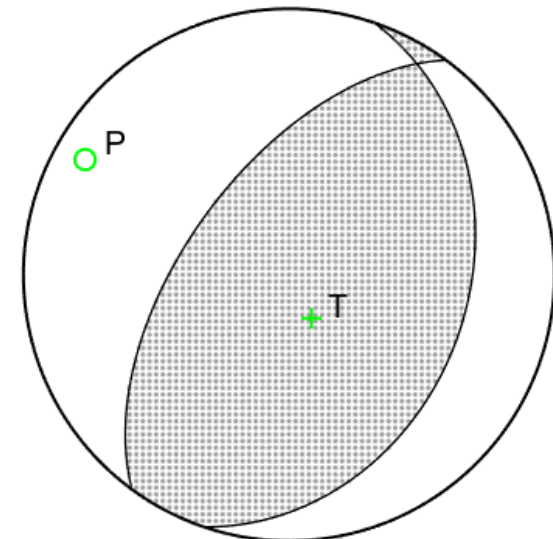
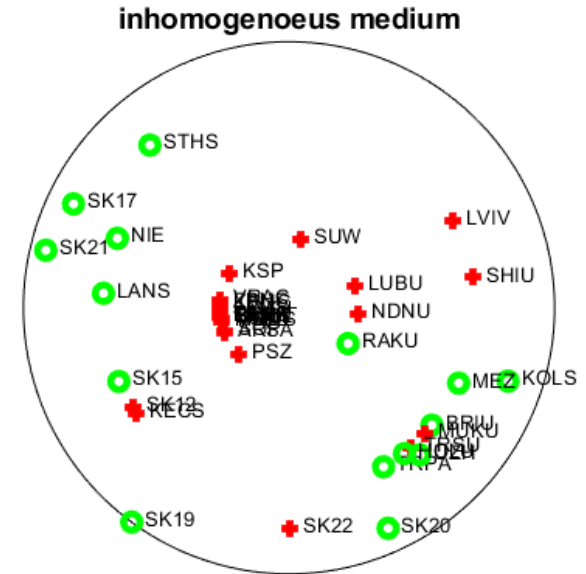
Date/Time : 09.10.2023 / 20:23:08.9

Local magnitude: 4.9

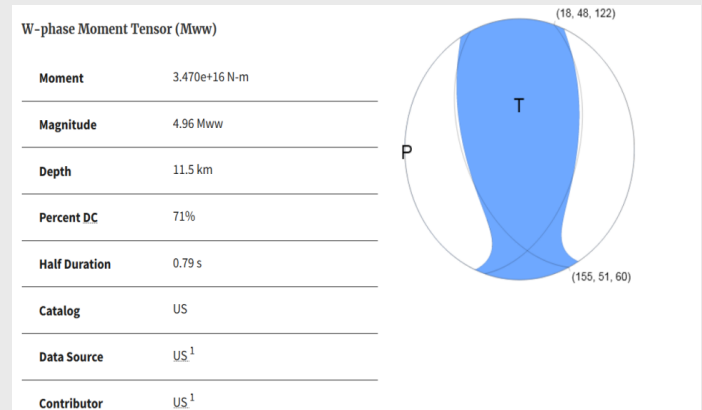
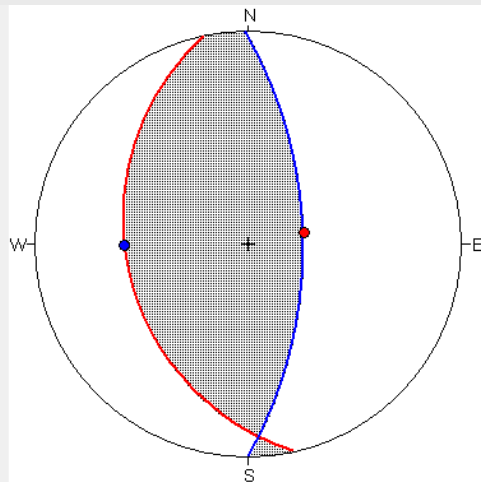
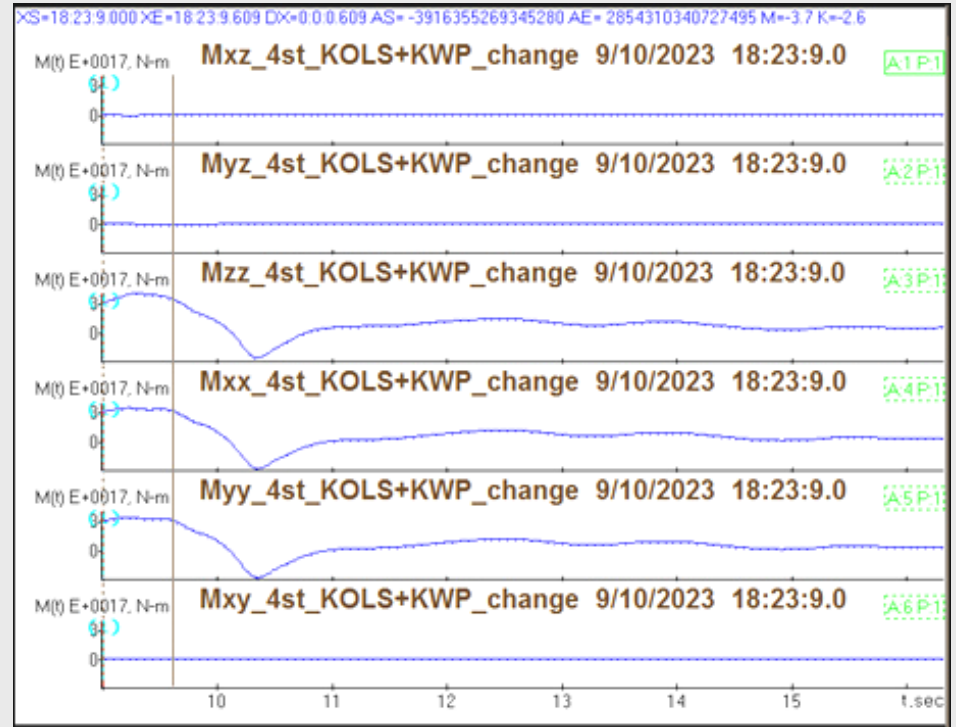
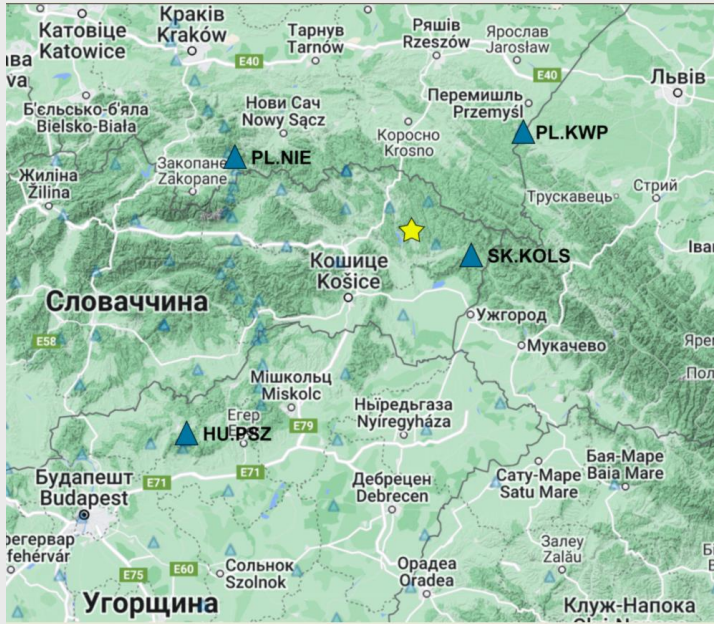
Lat: 49.0575+- 0.8556km

Lon:21.7173+- 0.7508km

Depth 7.32+- 2.02km



# Earthquake Oct 9th, 2023, M5.0 in Slovakia



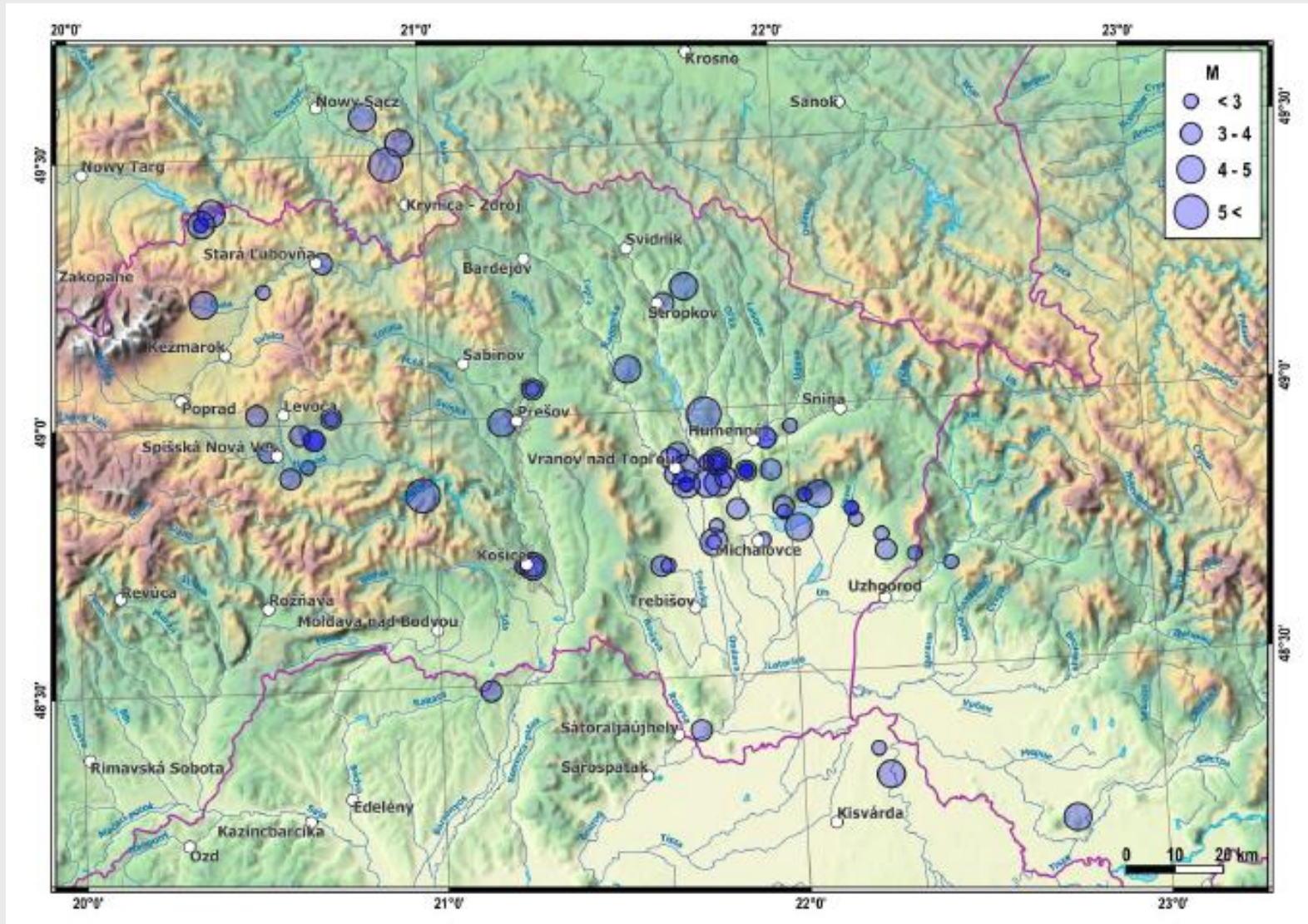
EventID:  Plane 1: (168, 30, 80)  
 Plane 2: (359, 60, 95)

**CSIR**

Malytsky – in preparation

# Earthquake Oct 9th, 2023, M5.0 in Slovakia

Slovak katalog – 1643-2020

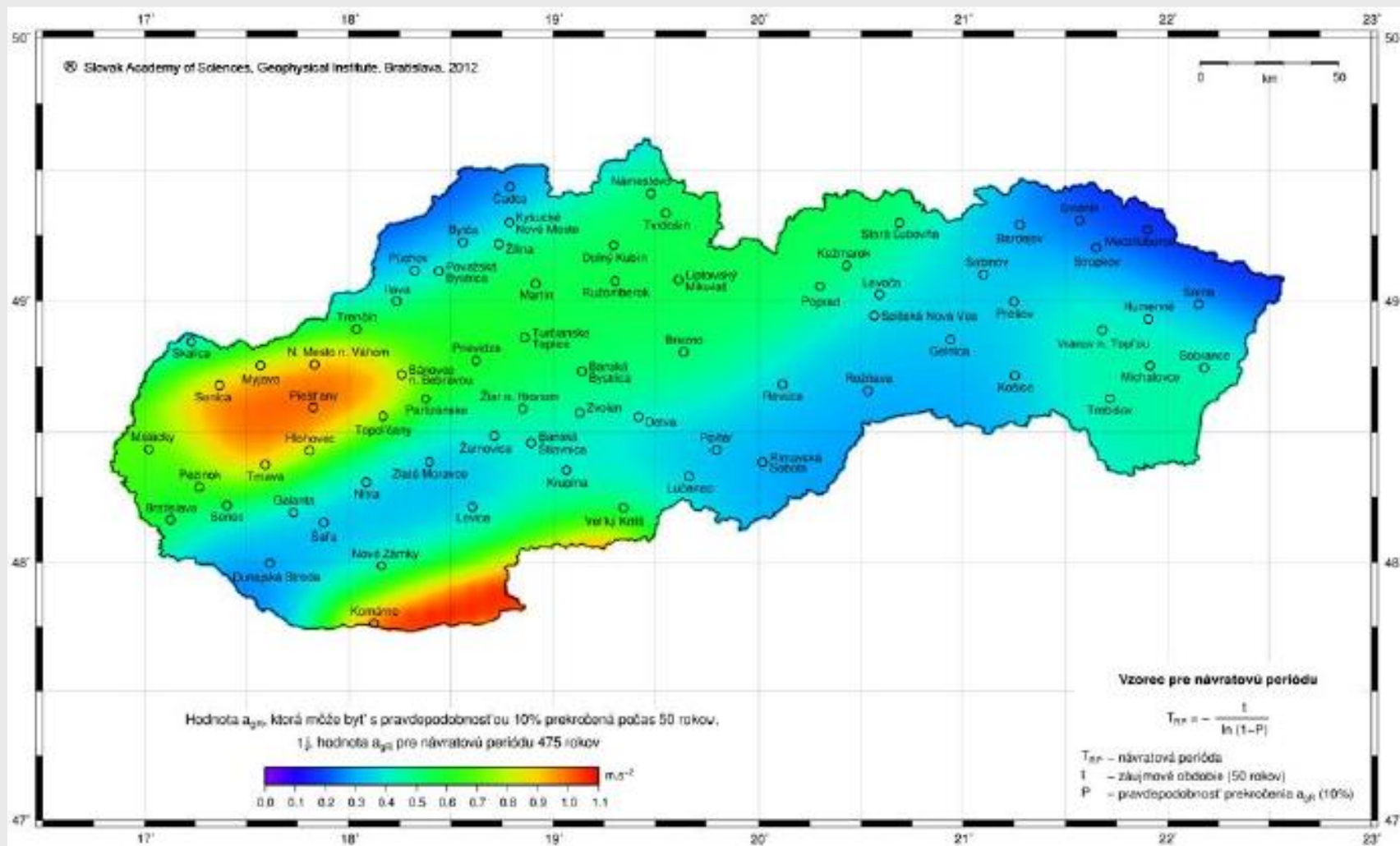


# Earthquake Oct 9th, 2023, M5.0 in Slovakia

## Slovak katalog – 1643-2020

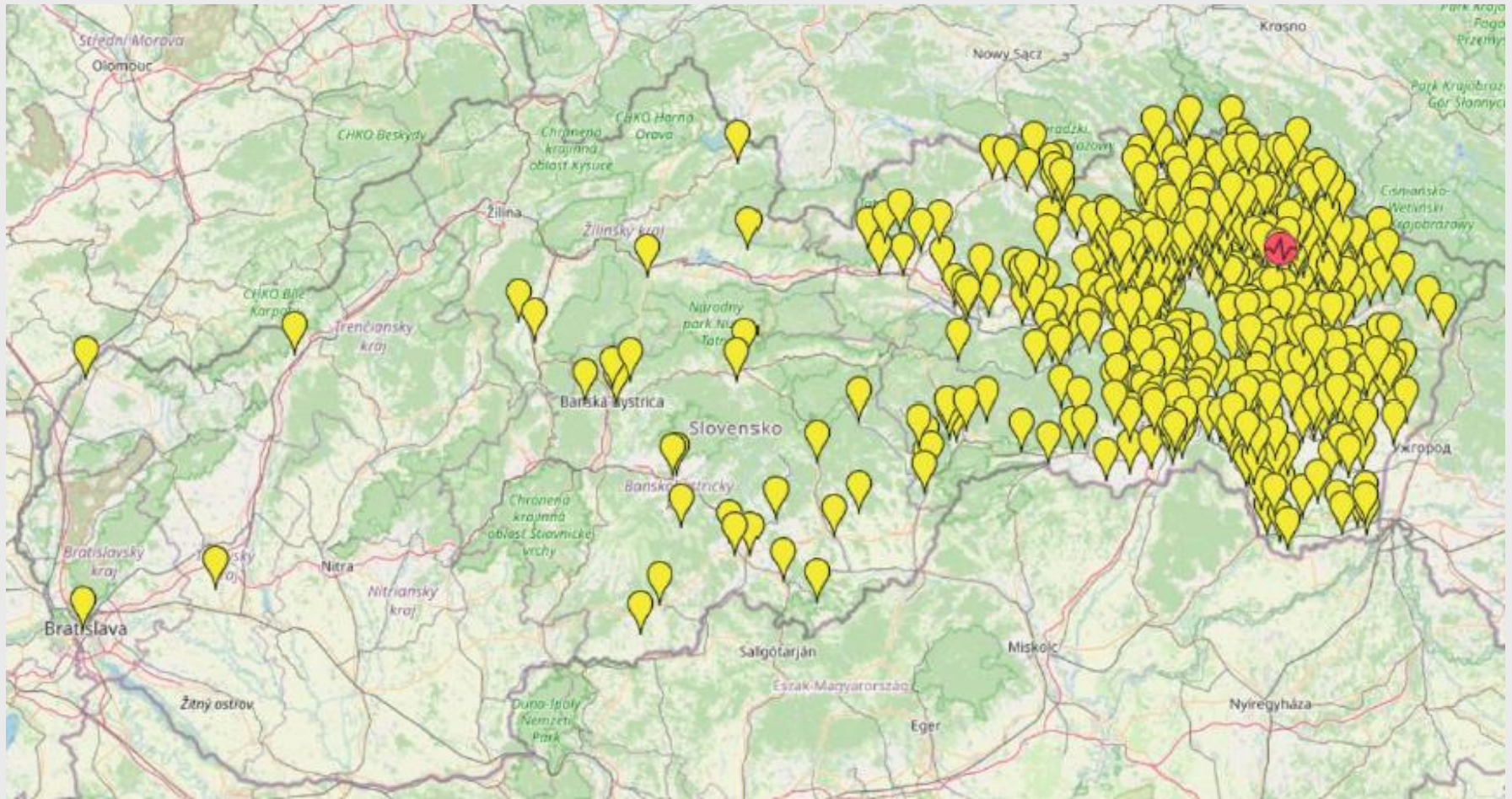
1	cislo zt	year	mounth	day	hour	min	sec	latitude	longitude	depth	IO	M(10)	ML	region
2	27	1643	6	5	11			49,23	20,37		6	4,1		Spis
3	29	1652	3	7				48,72	21,27		5	3,6		Kosice
4	32	1656						49,01	20,72		5	3,6		Spis
5	35	1669	2	27				48,95	20,54		5	3,6		Spis
6	36	1676	3	26				48,72	21,27		7	4,6		Kosice
7	41	1703	7	28				48,86	20,97		8	5,2		Gelnica
8	44	1724	3	10	21			48,97	20,67		5	3,6		Spis
9	45	1724	3	12				48,97	20,67		5	3,6		Spis
10	46	1724	3	13	7			48,97	20,67		5	3,6		Spis
11	47	1724	6	12				48,9	20,6		5	3,6		Spis
12	48	1724	6	13				48,98	20,63		5	3,6		Spis
13	118	1778	12	19	8			48,99	21,77	12	7	5		HUMENNE - VRANOV
14	122	1778	12	23	6			48,86	21,71		7	4,8		HUMENNE - VRANOV
15	123	1779	4	6	3	15		48,86	21,77		7	4,8		HUMENNE - VRANOV
16	128	1780	4	4	2			48,94	21,94		5	3,7		HUMENNE - VRANOV
17	162	1787	7	12				48,4	21,72		5	3,7		Slovenske Nove Mesto
18	176	1809	11	17	21	40		48,99	21,2		7	4,8		Saris
19	224	1840	4	23				49,38	20,37		7	4,8		Spis
20	349	1885	8	17	18	35		48,89	21,71		6	4,3		HUMENNE - VRANOV
21	360	1890	12	28	11	32		48,9	21,8	10	6,5	4,6		HUMENNE - VRANOV
22	367	1893	4	15	4	48		49,23	21,73	9	6,5	4,6		HUMENNE - VRANOV
23	377	1901	10	21	1	20		49,4	20,4		6,5	4,5		Spis
24	505	1914	5	26	20	29		49,08	21,56		7	4,8		HUMENNE - VRANOV
25	556	1932	2	18	23	15		48,75	21,76	9	5,5	4,1		HUMENNE - VRANOV
26	565	1941	6	5	2	49	57	48,86	21,8	3	7	4,4		HUMENNE - VRANOV
27	566	1941	6	5	5	15		48,87	21,82		5	3,7		HUMENNE - VRANOV
28	624	1978	3	22	19	20		48,91	21,67	6	5	3,3		HUMENNE - VRANOV
29	625	1978	7	19	0	57		48,88	21,88		5	3,7		Puste Cemerne - East Slovakia
30	630	1982	7	1	5	50	1	48,3	22,24	7	6	4		Ukraina
31	651	1992	6	28	23	19	30,6	49,57	20,84	24	5	4,2		Poland - Krynica
32	652	1992	6	29	0	29	47,6	49,52	20,94	14	5,5	4,2		Poland - Krynica
33	654	1992	9	22	8	24	42,5	48,88	21,95	11	5	3,9		HUMENNE - VRANOV
34	655	1993	3	1	7	42	39,2	49,48	20,9	12	7	5		Poland - Krynica
35	703	2003	5	20	20	13	39,8	48,83	22,08	4,5	6,5	4,3	3,7	EASTERN SLOVAKIA - Vihorlat
36	723	2006	11	23	7	15	20,4	48,2	22,75	21	6,5	4,9	4,3	UKRAJINA
37	787	2020	4	23	23	18	27,21	48,77	22,02	16	5,5	4,2	3,3	Vihorlatské vrchy

# Earthquake Oct 9th, 2023, M5.0 in Slovakia



# Earthquake Oct 9th, 2023, M5.0 in Slovakia – Macroseismic Intensity EMS-98

[www.seismology.sk](http://www.seismology.sk)

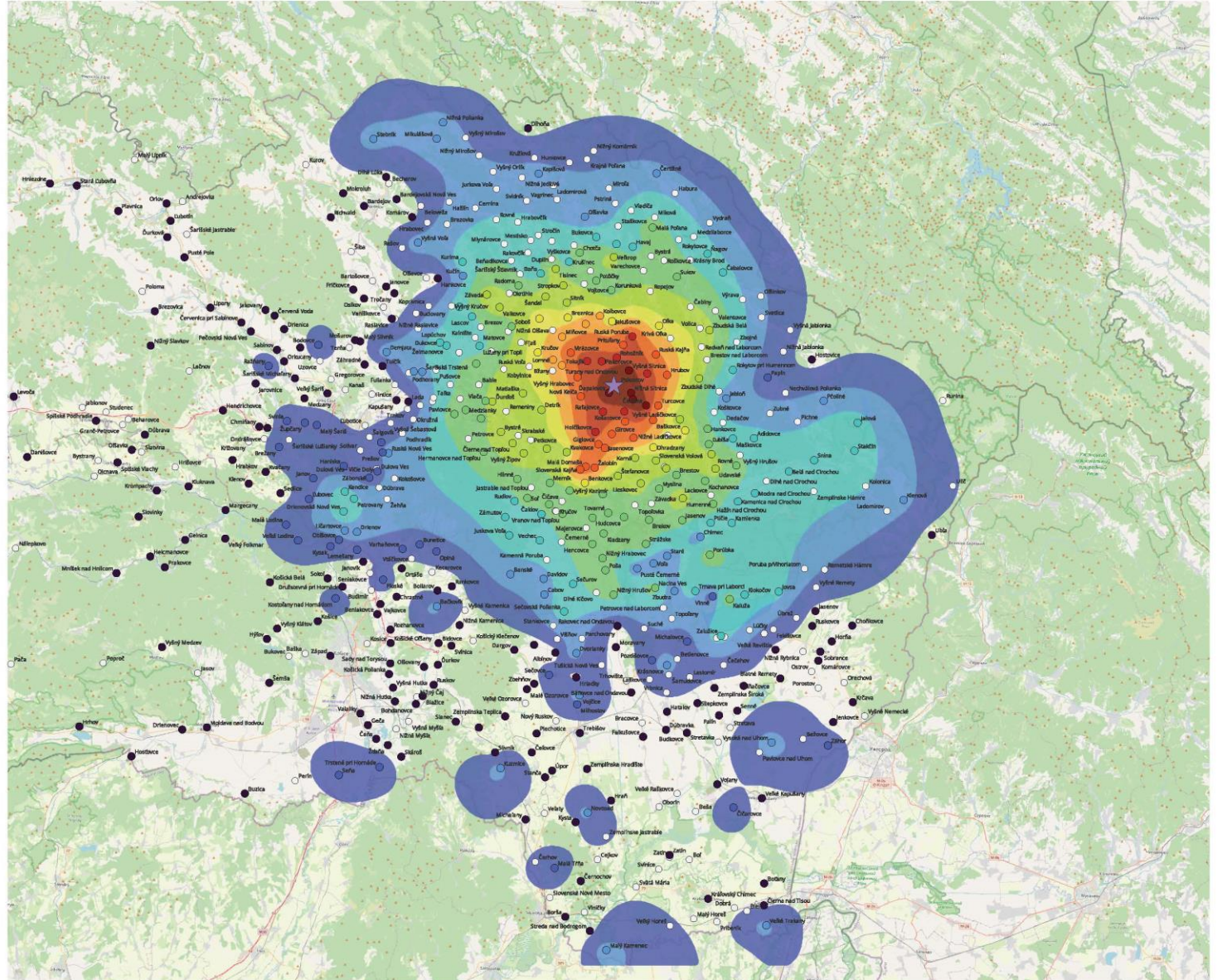


# Earthquake Oct 9th, 2023, M5.0 in Slovakia – Macroseismic Intensity EMS-98

★ epicentrum

Makroseizmická intenzita

- 3
- 3-4
- 4
- 4-5
- 5
- 5-6
- 6
- 6-7
- 7
- 7-8
- 8



# Earthquake Oct 9th, 2023, M5.0 in Slovakia





## Earthquake Oct 9th, 2023, M5.0 in Slovakia



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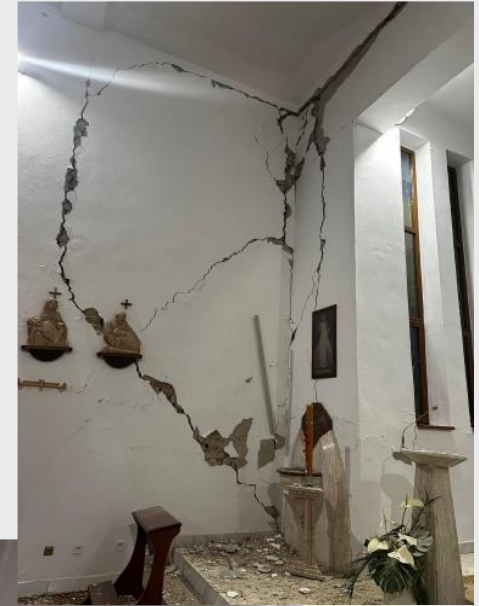


## Earthquake Oct 9th, 2023, M5.0 in Slovakia



Nižná Sitnca

# Earthquake Oct 9th, 2023, M5.0 in Slovakia



Nižná Sitnca

# Earthquake Oct 9th, 2023, M5.0 in Slovakia



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## CONCLUSION

- the earthquake verified the team work of (not only) Slovak seismologists
- AdriaArray stations played a key role in the determination of the earthquake parameters
- [www.seismology.sk](http://www.seismology.sk)

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- the earthquake verified the team work of (not only) Slovak seismologists
- AdriaArray stations played a key role in the determination of the earthquake parameters
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**Thank you for you attention**

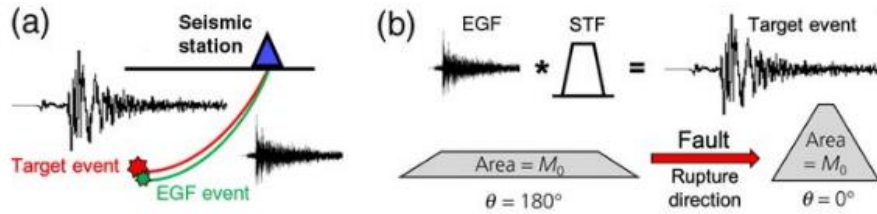
# Empirical Green's functions method to calculate Apparent Source Time functions (ASTFs)

Vladimir Plicka  
Charles University

# Overview

- History
- New method based on Non-Negative least square technique
- Software description
- Earthquake implementation
  - The 2020 Samos (Aegean Sea) M7 earthquake
  - The 2021 shallow earthquake in the Western Corinth Rift
  - Deep China earthquake
- Conclusion
- Future plans

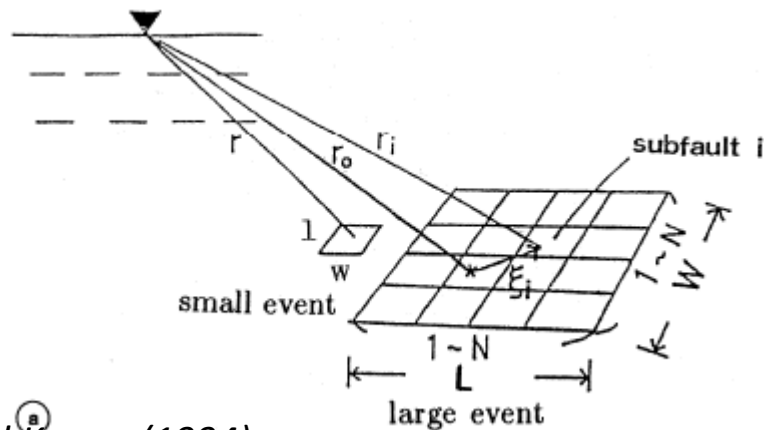
# Empirical Green function



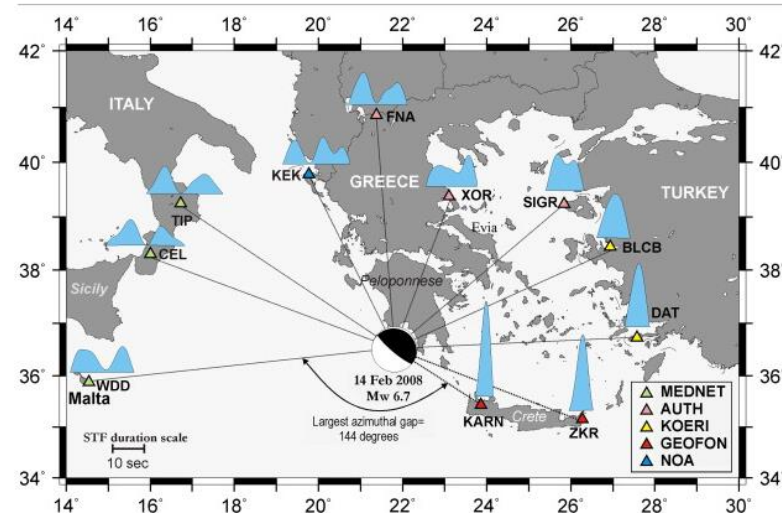
Lui and Huang (2019)

The first application by Hartzell (1978), and many followers: Mueller (1985), Fukuyama and Irikura (1986), Mori and Frankel (1990), Ammon et al. (1993), Courboux et al. (1997), Plicka and Zahradnik (1998), Vallee, 2004, Vallee and Douet (2014), ....

- Earthquake source inversions require a **good knowledge of the medium** along the source-station propagation path.
- **Weak earthquake** having similar focal mechanism and located close to the “**Target event**” can be considered as an **Empirical Green function**.



Irikura and Kamae(1994)

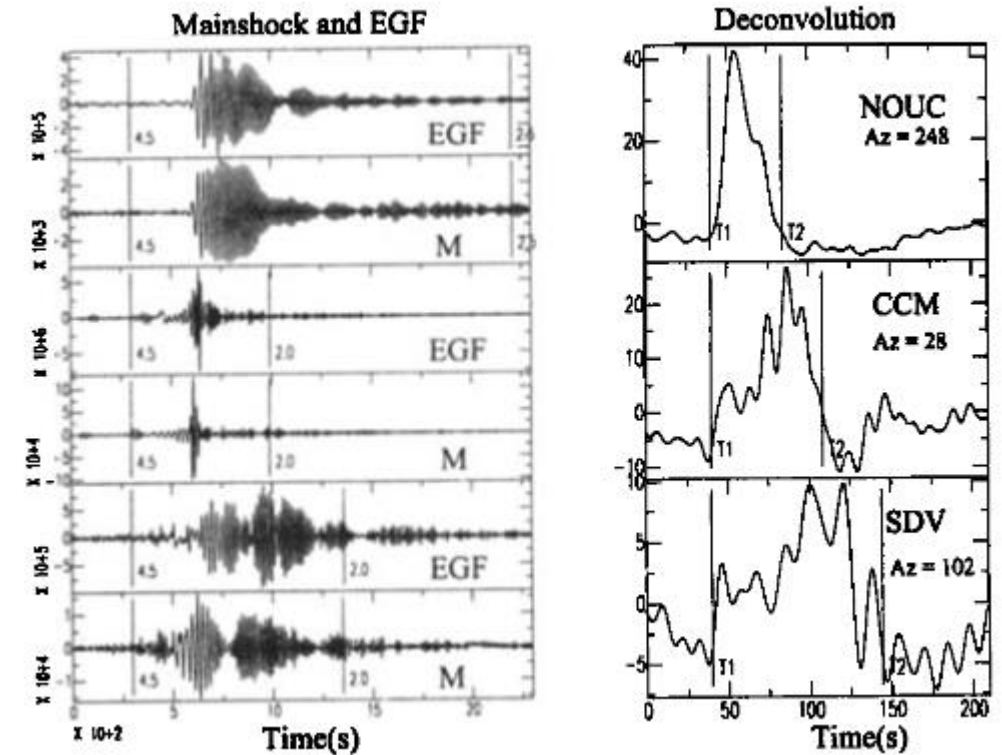


Roumelioti (2009)

# How to retrieve ASTF?

- The idea is to **deconvolve** the mainshock from the smaller event, called the empirical Green function (EGF), to obtain a relative (apparent) source time function at each considered station (RSTF, ASTF).
- Deconvolution process is not stable (dividing by small numbers in the frequency domain) => different techniques (Damping factor deconvolution, Water level deconvolution, ...)

$$\hat{r}(\omega) = \frac{d(\omega)w^*(\omega)}{w(\omega)w^*(\omega) + \delta}$$



*Courboux et al. (1997)*



- Deconvolution technique comes with some nonphysical features of RSTFs:
  1. There are some negative parts.
  2. There is some acausal signal, that is, some signal arrives before the assumed beginning of the source time
  3. There is some signal after the assumed duration of the source time functions.
  4. The area of the source time function, the relative moment between the mainshock and the EGF, is different from one station to another.

# New method based on Non-Negative least square technique

Plicka et al., 2022

$s(t) = m(t) * g(t)$ , EGF waveform

$S(t) = M(t) * g(t)$ , Mainshock waveform

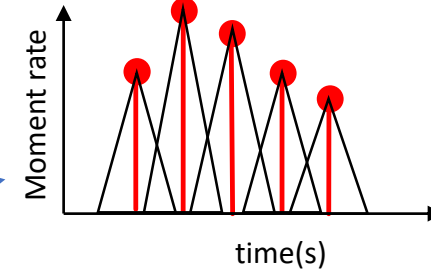
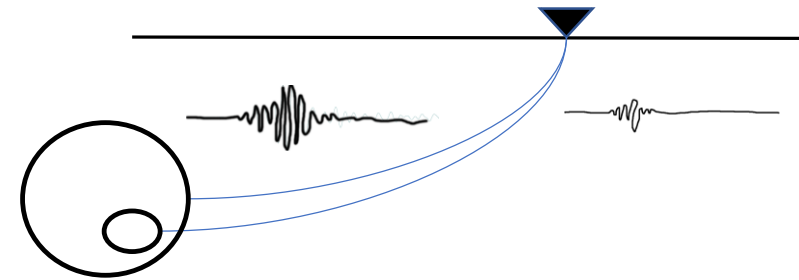
$M(t), m(t)$  moment rate functions

$m(t)$  can be expressed as an isosceles triangle, centered at time  $t=0$ , whose duration is shorter than the duration of  $M(t)$

$M(t)$  is expressed as a set of equidistantly shifted functions  $m(t)$ , where  $w_i$  are the unknown weights.

$$M(t) = \sum_{i=1}^N m(t - \tau_i) w_i$$

$$M_0 = \sum_{i=1}^N m_0 w_i, \quad \frac{M_0}{m_0} = \sum_{i=1}^N w_i$$



$$S(t) = \left[ \sum_{i=1}^N m(t - \tau_i) w_i \right] * g(t) = \sum_{i=1}^N s(t - \tau_i) w_i$$

Weighted sum of the EGF shifted records

The ratio of the scalar moments of the mainshock and the EGF event (the relative moment) provides a constraint for the weights.

$$\begin{pmatrix} s(t_1 - \tau_1) & s(t_1 - \tau_1) & \cdots & s(t_1 - \tau_N) \\ s(t_2 - \tau_1) & s(t_2 - \tau_2) & \cdots & s(t_2 - \tau_N) \\ \vdots & \vdots & \vdots & \vdots \\ s(t_M - \tau_1) & s(t_M - \tau_2) & \cdots & s(t_M - \tau_N) \\ 1 & 1 & \cdots & 1 \end{pmatrix} \begin{pmatrix} w_1 \\ w_2 \\ \vdots \\ w_N \end{pmatrix} = \begin{pmatrix} S_1 \\ S_2 \\ \vdots \\ S_M \\ \frac{M_0}{m_0} \end{pmatrix}$$

Nonnegative least-squares inversion (NNLS)  
*Lawson & Hanson (1974)*

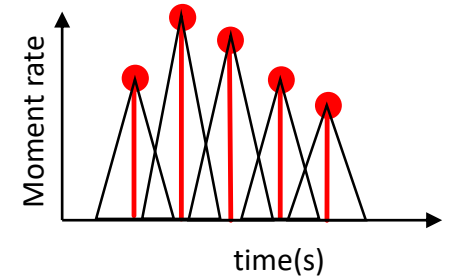
## Assumption

The elementary assumptions of the EGF method must be met

**Similar focal mechanism and similar location**

**The outputs: In case of quality data**, the software provides an ASTF, which is:

- Non-negative (by definition).
- Causal, i.e. starting generally at origin time ( $t=0$ ); we allow small signals before  $t=0$ .
- Stable, i.e. having generally only small artifacts beyond the major ASTF part.
- The area of ASTF is proportional to the relative moment ( $M_0/m_0$ ) at each station.



- The time shift value  $\tau_i = (i - 1)\Delta\tau$  and their number N are predefined.
- The waveforms are filtered with a band-pass filter (Harris, 1990) at a frequency band (Fmin, Fmax). The duration of the triangle  $m(t)$  is defined as  $1/F_{\max}$ .
- The quality of the inversion is measured by the fit between the real and synthetic record, quantified by a variance reduction.

## Running the program and data pre-processing

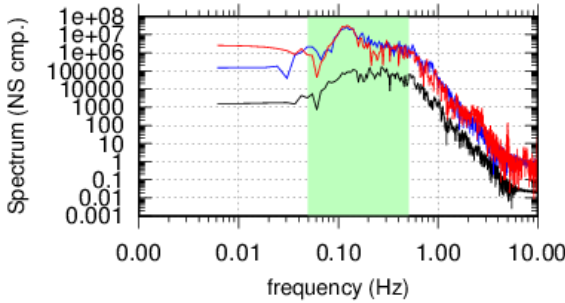
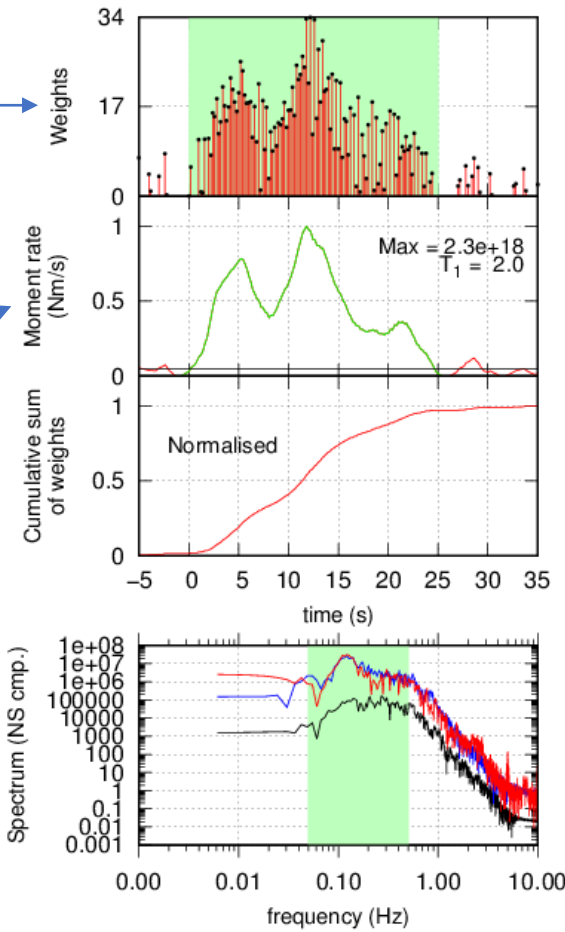
- A Fortran code and Gnuplot graphics scripts have been developed to perform the inversion and to automatically visualize the results.
- Pre-processing of the data
  - 3-components ASCII waveforms of the mainshock and EGF.
  - No instrumental correction is needed in case of the same instrument.
  - Before the first code run, both seismograms are aligned to have the same P-wave arrival times.
  - The S-wave alignment can be different because the locations of the mainshock and EGF are not exactly identical => Therefore, if inverting the whole record, or only S waves, we must allow for a possible start of the resulting ASTF before  $t=0$ , mentioned above as the small acausal effect.

# Graphical outputs

**The result of the inversion**  
The weights obtained by Non Negative least square method in predefined time interval.

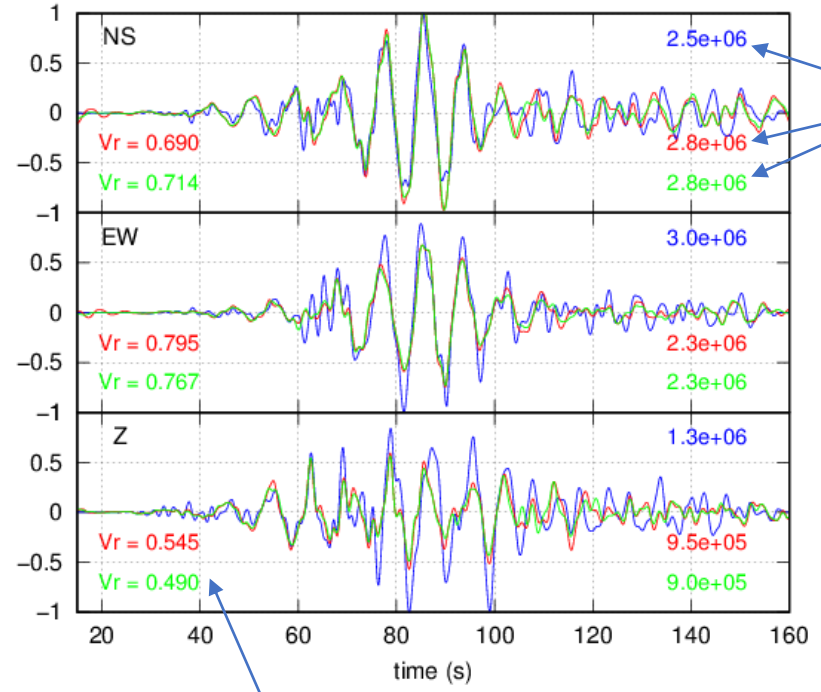
**Moment rate**  
obtained by summation of triangles with predefined duration ( $T_1 = 1/f_{max}$ )

**Amplitude spectrum of NS comp.**  
Black ... EGF  
Blue ... observed mainshock  
Red ... synthetic mainshock



Station: ARG  
Original record  
Band pass:  $<0.05 - 0.50 >$ Hz  
Pre-define the Mo/mo ratio: 1502.67  
Sum of weights, must be similar to Mo/mo: 1640.74

Blue – observed  
Red – synthetics  
Green – RSTF cut



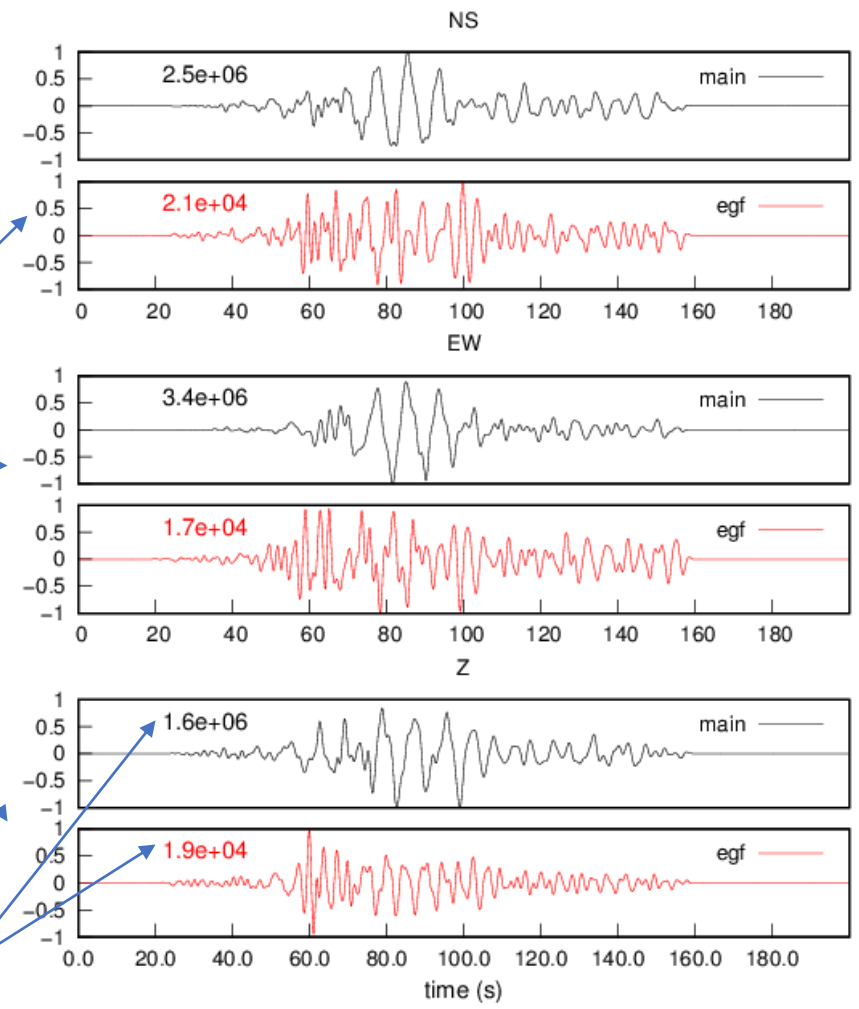
Maximum amplitudes, here in counts

Variance reduction

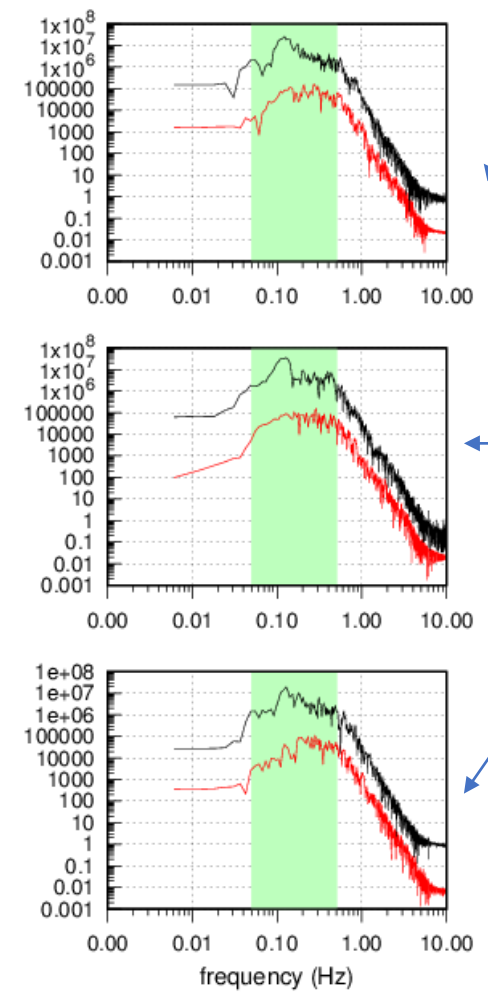


# Graphical outputs

The three-component normalized waveforms



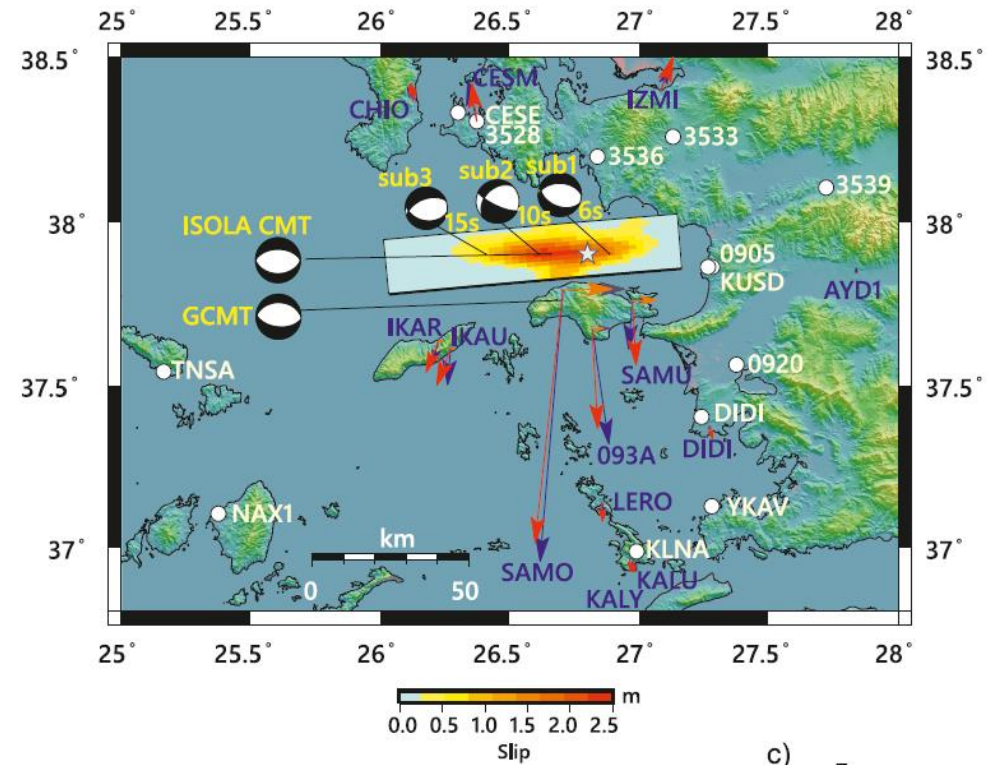
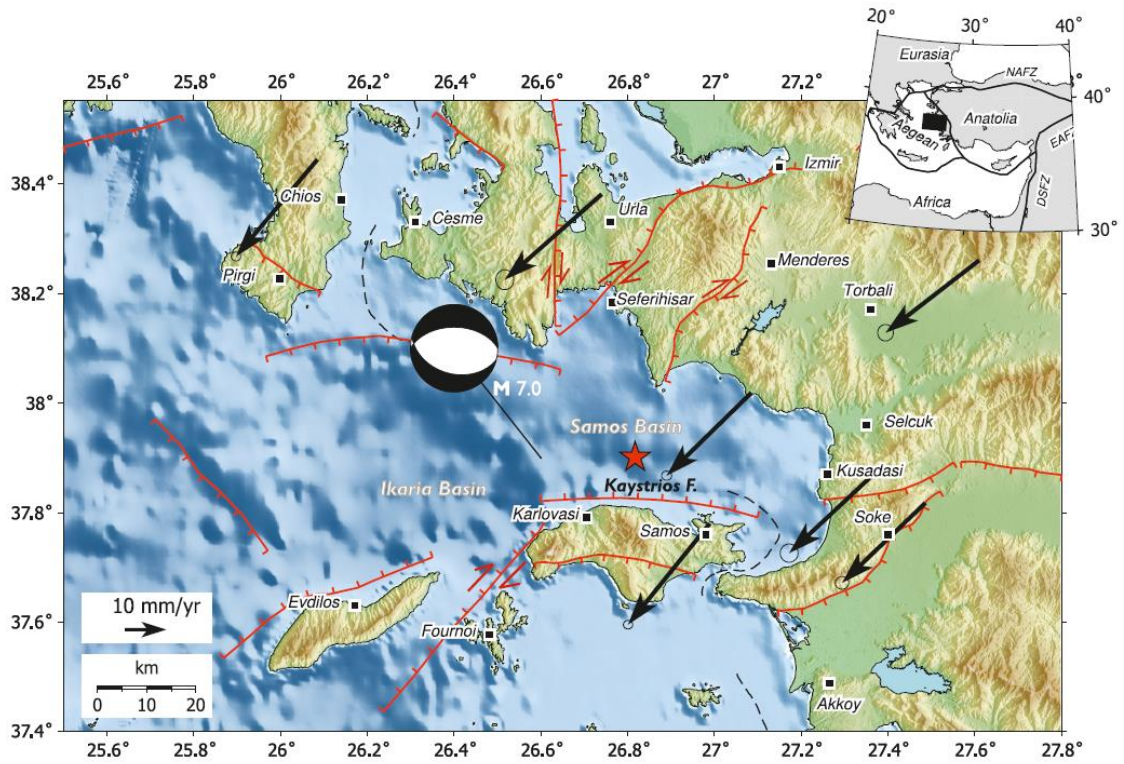
The true amplitudes



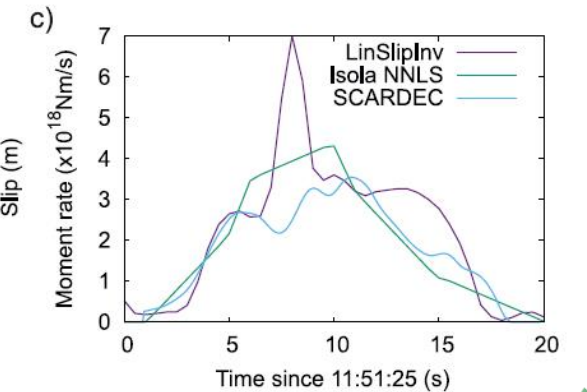
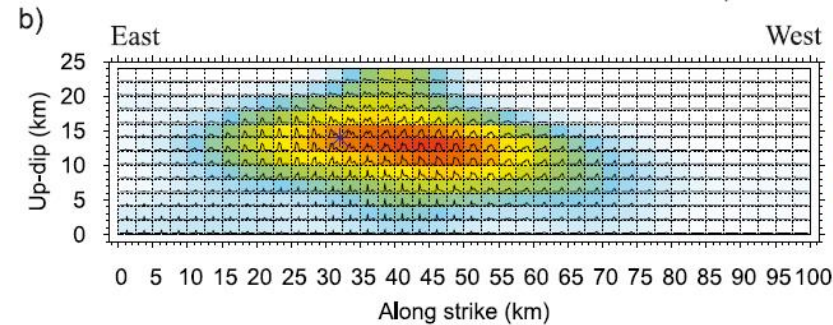
The amplitude spectra of the mainshock and EGF



# The 2020 Samos (Aegean Sea) M7 earthquake



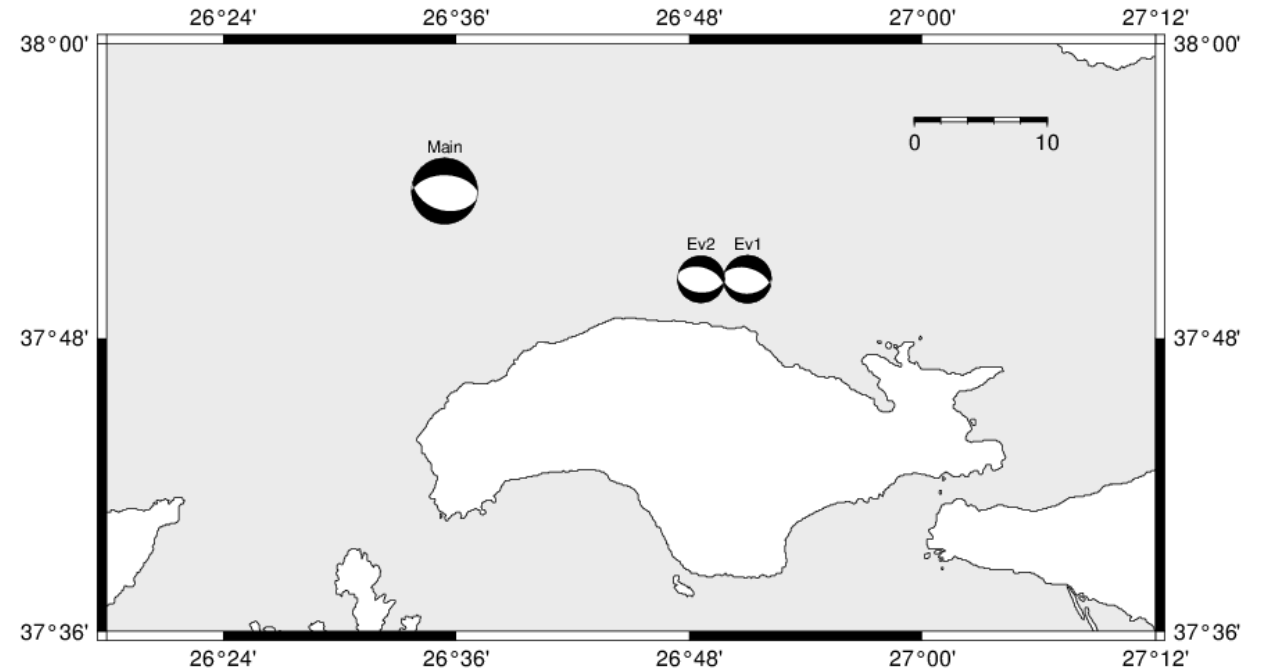
(Plicka et al., 2022)



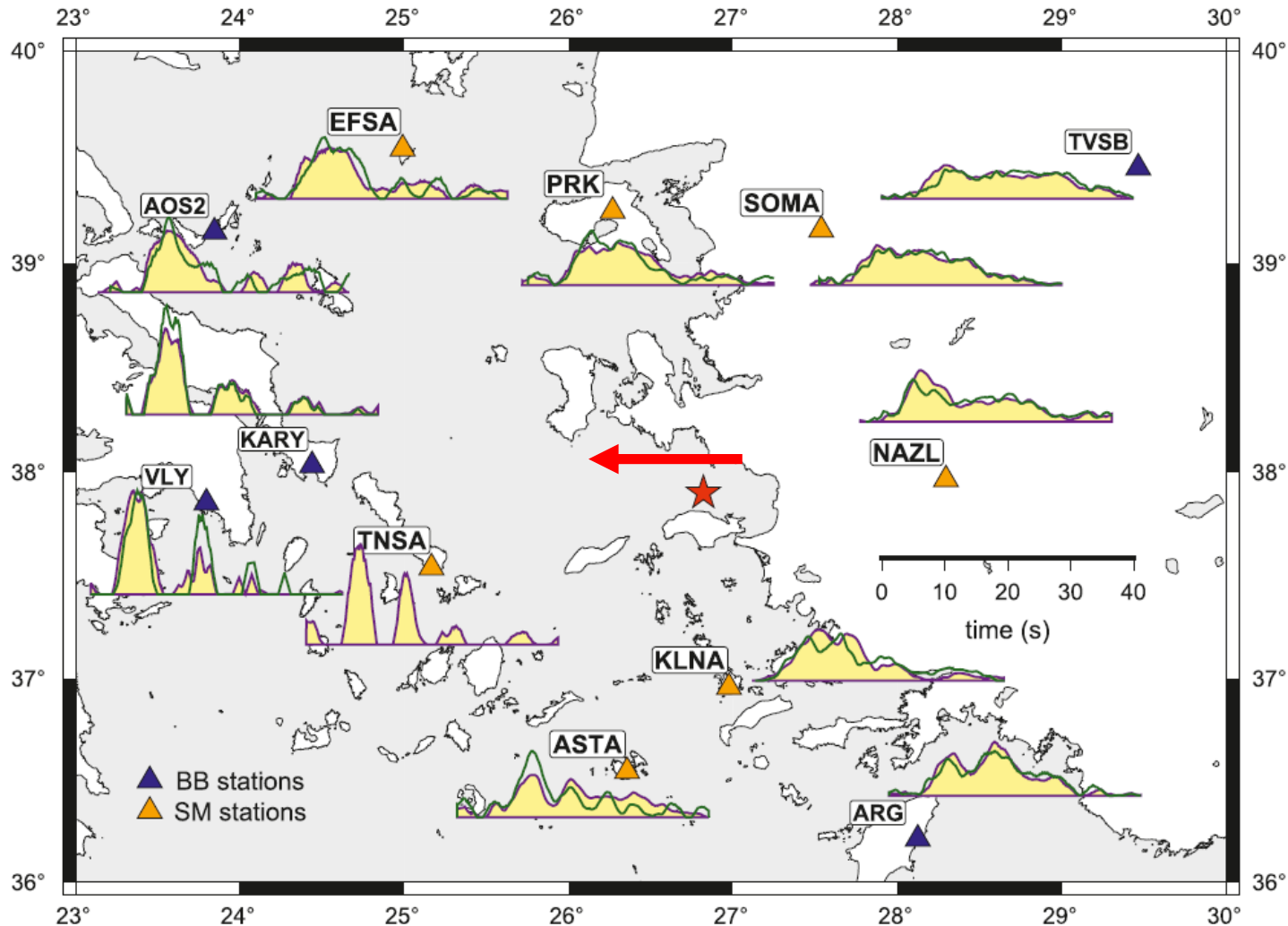
# The 2020 Samos (Aegean Sea) M7 earthquake

Two aftershocks selected as EGFs to see the inversion stability.

1. Mw5 aftershock (Oct. 31, 2020, 05:31 UTC).
  2. Mw5.1 aftershock (Ovt. 30, 2020, 13:00 UTC).
- BB and SM seismograms at **12 regional stations** (*NOA and ORFEUS EIDA nodes*)
  - The full seismogram, including P and S waves and all three components were inverted.
  - The frequency band of inversion: **20s - 0.5 Hz**
  - The ASTFs are searched in a time interval from -5s to 35s relative to origin time, i.e. **40s** in total.



# Rupture Directivity



The inferred ASTFs from stations located orthogonal to the fault strike (=270deg) (EFSA, PRK, SOMA, TVSB in the north and KLNA, ASTA, ARG in the south) depict longer pulse duration and lower amplitudes, compared to those located along strike (KARY, VLY, TNSA in Greece and NAZL in Turkey), which supports **westward rupture propagation**.

More specifically, NAZL lies in the backward direction of rupture propagation, whereas KARY, VLY and TNSA in the forward direction, exhibiting narrow, high-amplitude pulses.

Assuming a **horizontal rupture propagation** featuring a **unilateral rupture propagation** on a part of the fault, apparent duration  $\tau(f)$  as a function of station azimuth  $f$  can be described by

$$\tau(f) = T_1 + T_2 \left(1 - \frac{V_R}{V_{P,S}} \cos(f - \alpha)\right) = T_D - \frac{L_2}{V_{P,S}} \cos(f - \alpha)$$

$T_D = T_1 + T_2$ , ... total rupture duration

$T_1$ , ... rupture duration corresponding to nondirective part of the fault

$T_2 = \frac{L_2}{V_R}$ , ... rupture duration of the fault portion  $L_2$  with assumed

unilateral rupture propagation at speed  $V_R$

$V_{P,S}$  ...P or S wave velocity

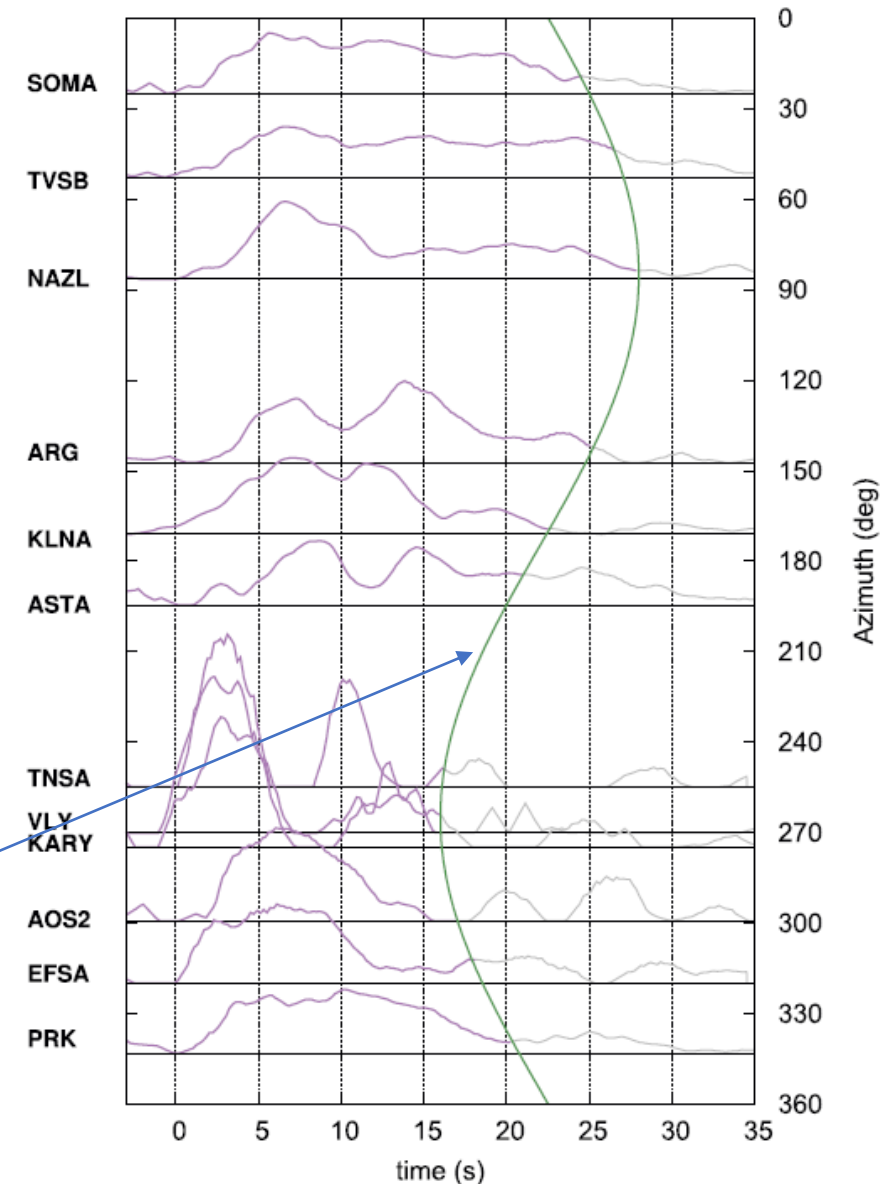
$\alpha$ ...the rupture directivity azimuth

**Green line:**

$\alpha = N265^\circ$  fixed from fault slip model

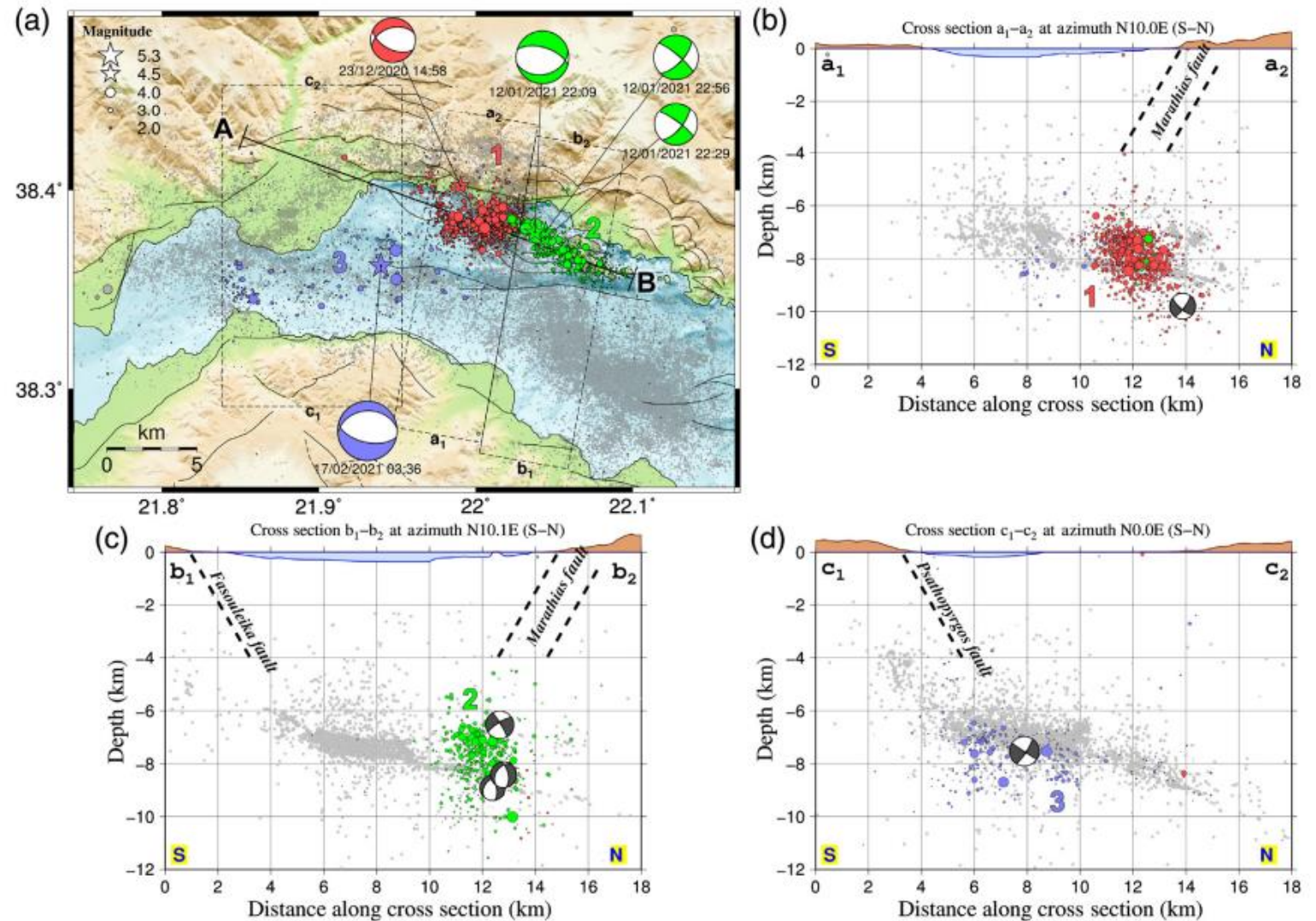
$T_D = 22s \pm 2s$

$\frac{L_2}{V_{P,S}} = 7s, L_2 = 24.5 \text{ km}$  for  $V_S = 3.5 \text{ km/s}$



# CRL crisis 2021

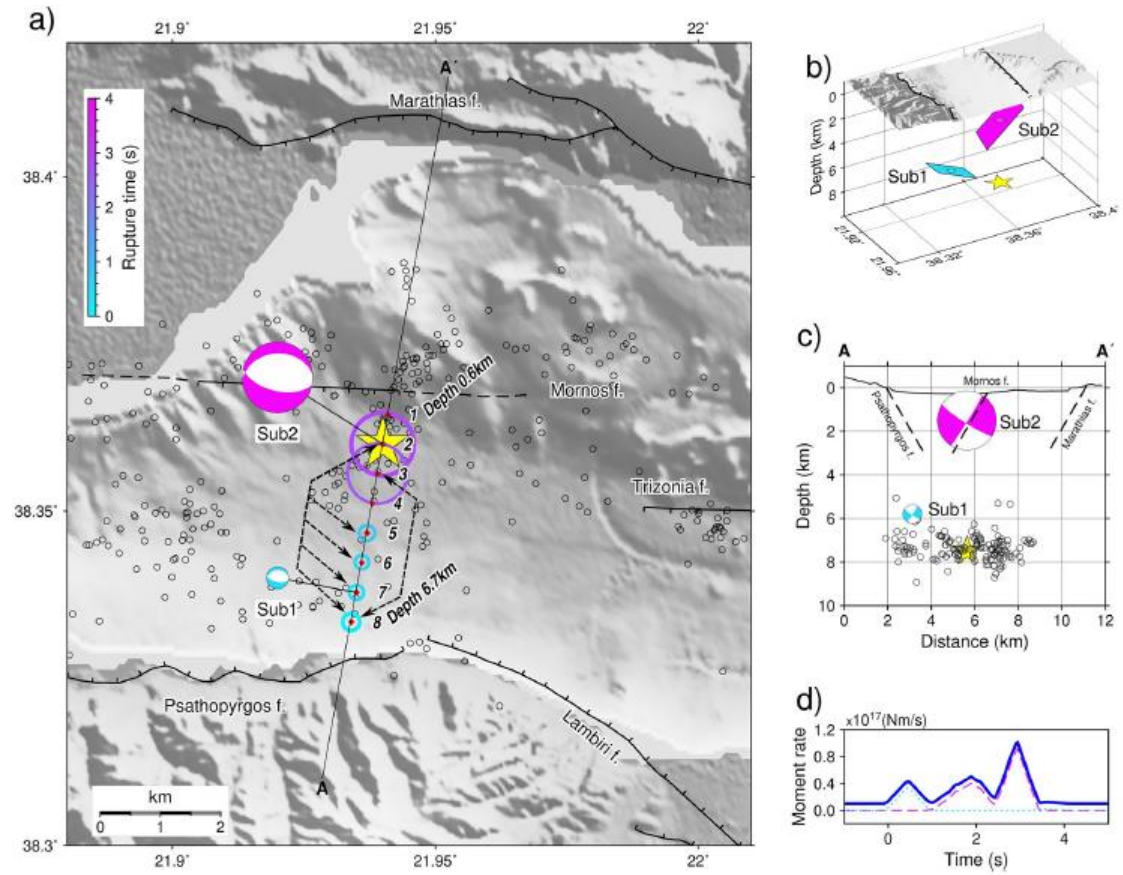
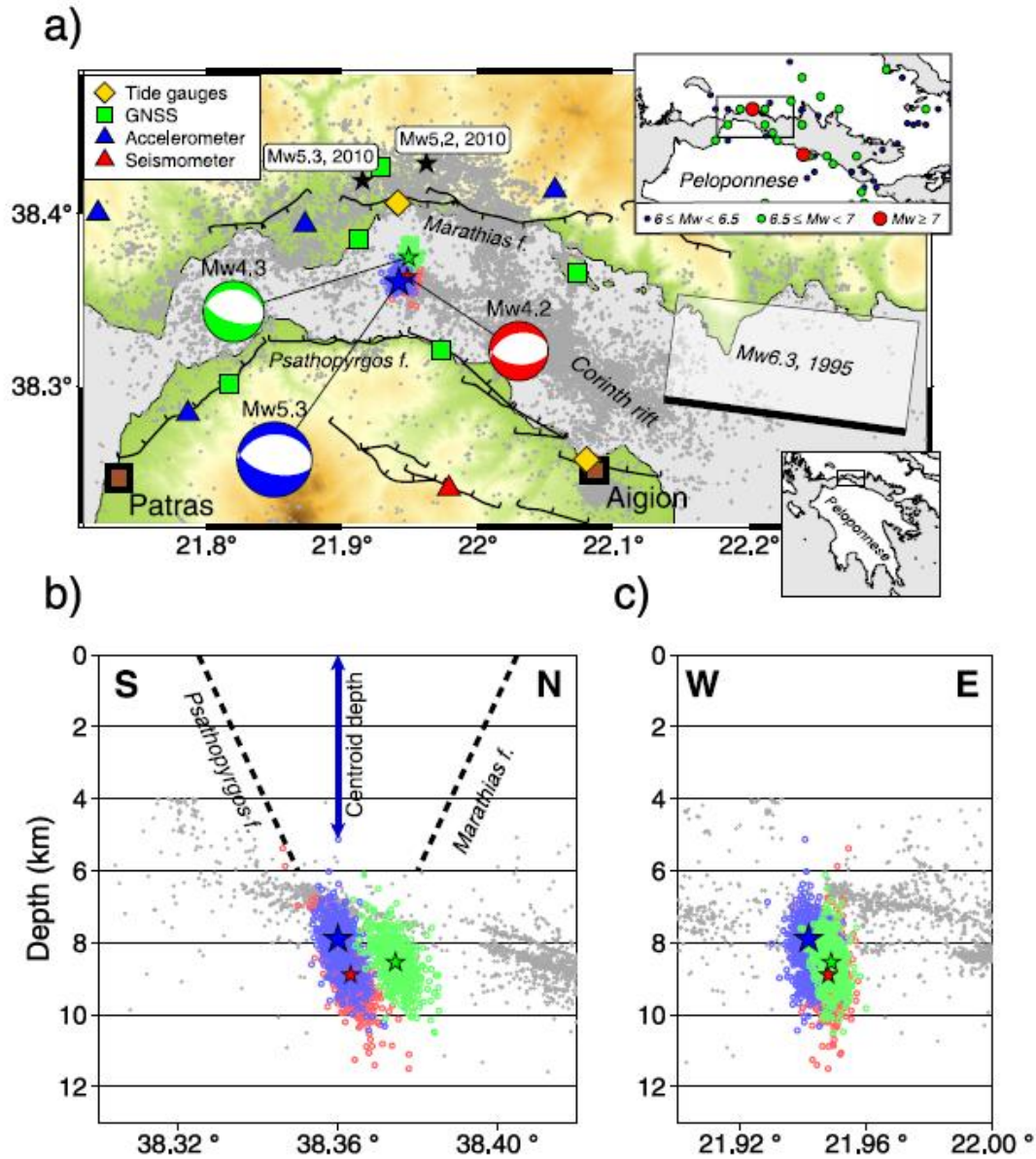
Kaviris, G., P. Elias, V. Kapetanidis, A. Serpetsidaki, A. Karakonstantis, V. Plicka, L. De Barros, E. Sokos, I. Kassaras, V. Sakkas, I. Spingos, S. Lambotte, C. Duverger, O. Lengliné, Ch. Evangelidis, I. Fountoulakis, O.-J. Ktenidou, F. Gallovič, S. Bufféral, E. Klein, El M. Aissaoui, O. Scotti, H. Lyon-Caen, A. Rigo, P. Papadimitriou, N. Voulgaris, J. Zahradnik, A. Deschamps, P. Briole and P. Bernard (2021). **The western Gulf of Corinth (Greece) 2020–2021 seismic crisis 1062 and cascading events: First results from the Corinth Rift Laboratory Network**, *The Seismic Record*, 1 (2), 85–95. doi: 10.1785/0320210021.



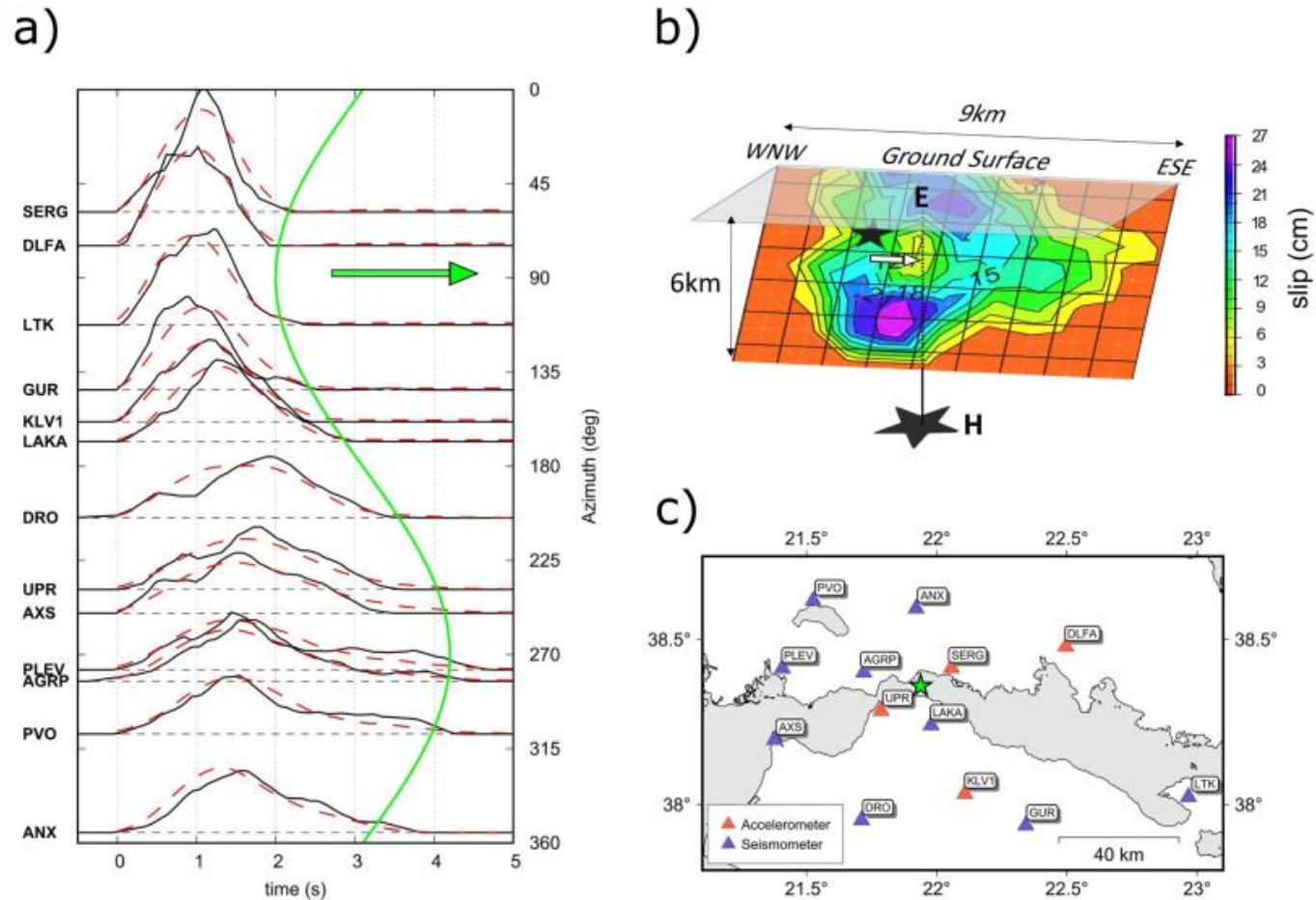
# CRL crisis 2021 - continue

Zahradnik et al, 2022

## Multiple-point source (MPS) modeling



# Finite-extent modeling of the shallow rupture.

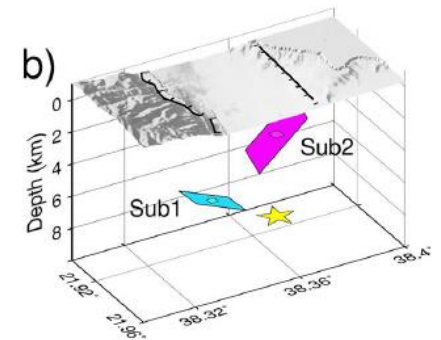


ASTF for frequencies up to 1 Hz (0.1–1.0 Hz)

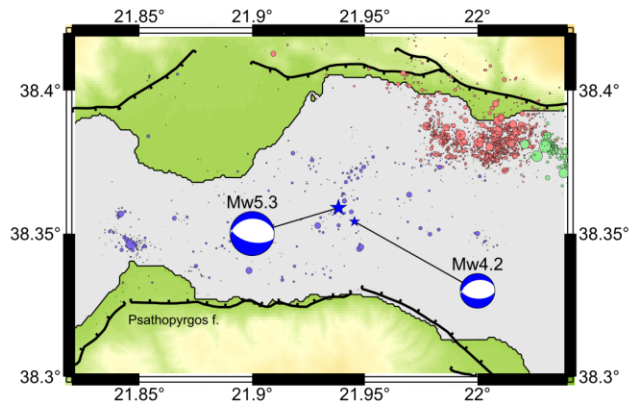
Azimuthal variation of the durations around a ~3-second - compatible with MPS

Eastward-directivity model, N80°E - N100°E

Slip patch derived by inverting the ASTFs on a south-dipping plane. The mean slip is ~15 cm

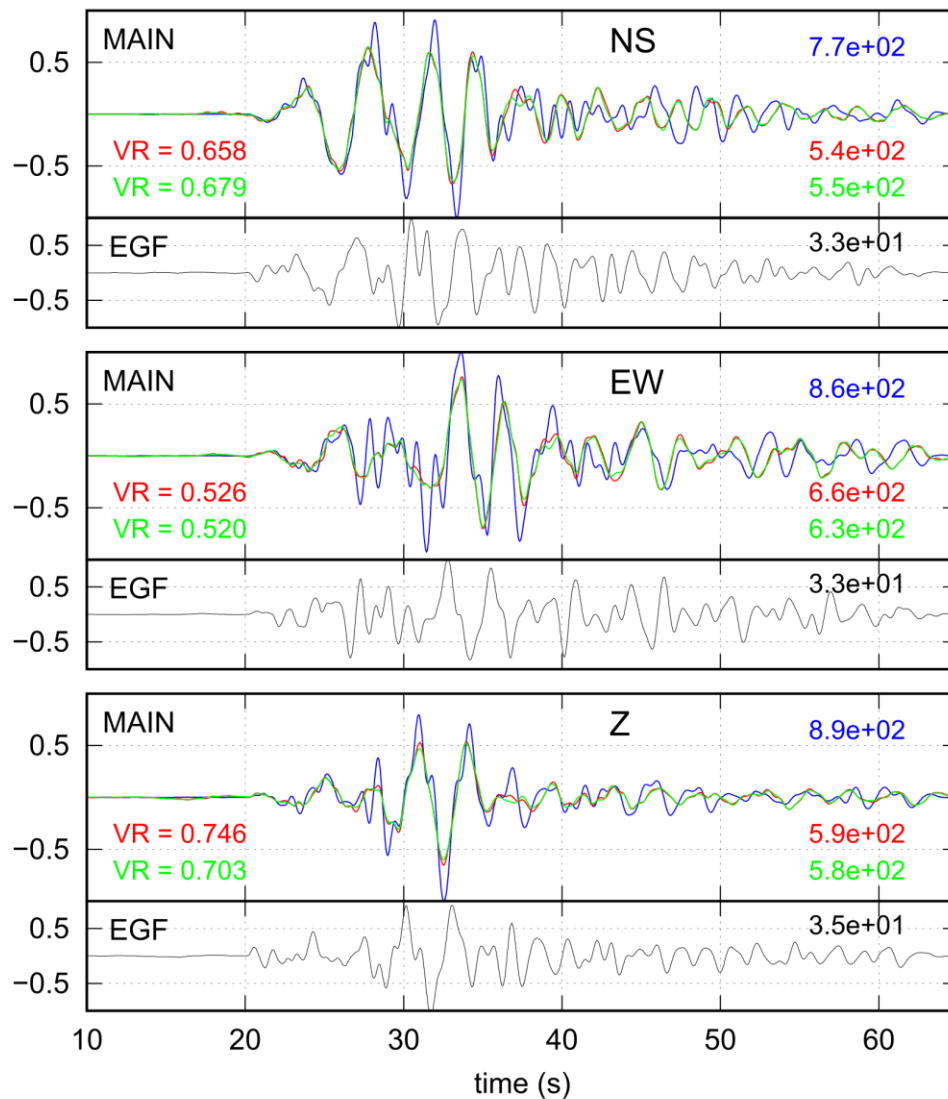


a)

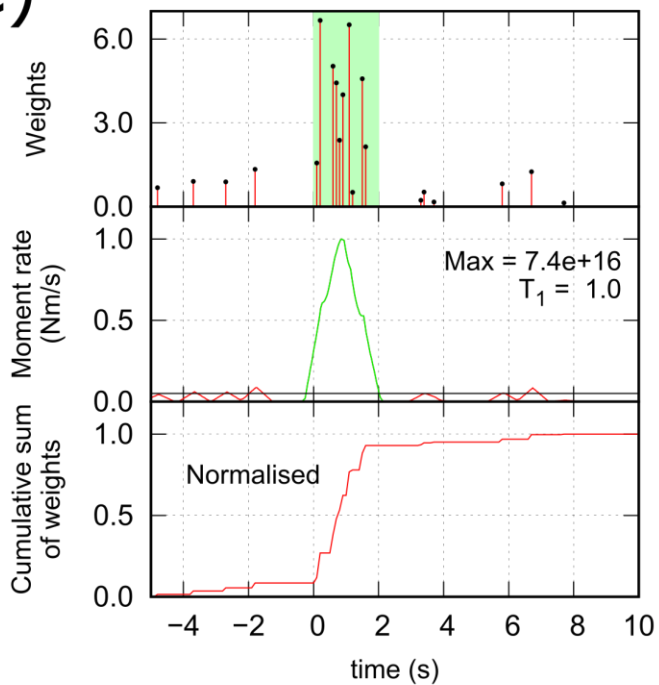


b)

Station: KLV1



c)





# Conclusions

- EGF method was developed a based on NNLS technique, fully operating in time domain.
- ASTF is implicitly positive (NNLS).
- ASTF is causal, small acausal signals are allowed.
- Seismic moment is constant across the stations.
- The software package contains the Fortran code and gnuplot scripts for visualization.
- The code is freely available at: <http://geo.mff.cuni.cz/~vp/ASTFs/>

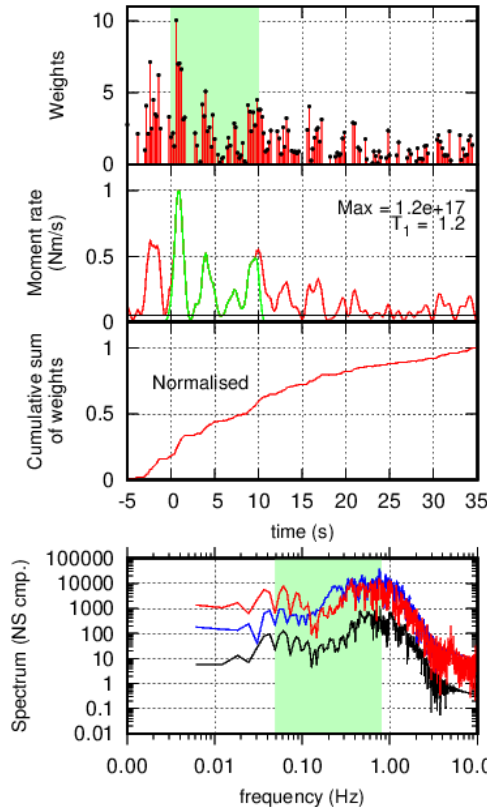
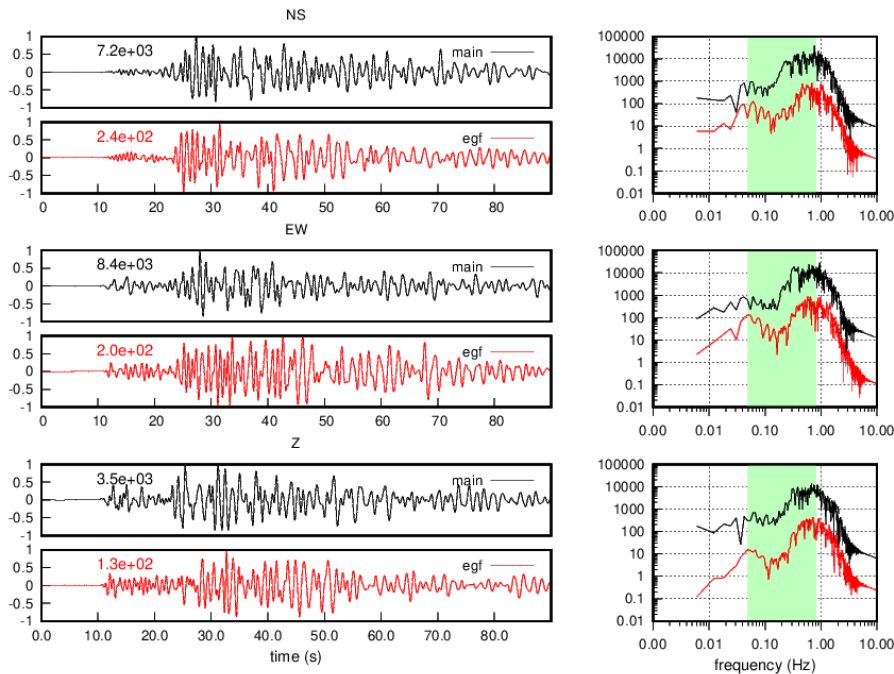
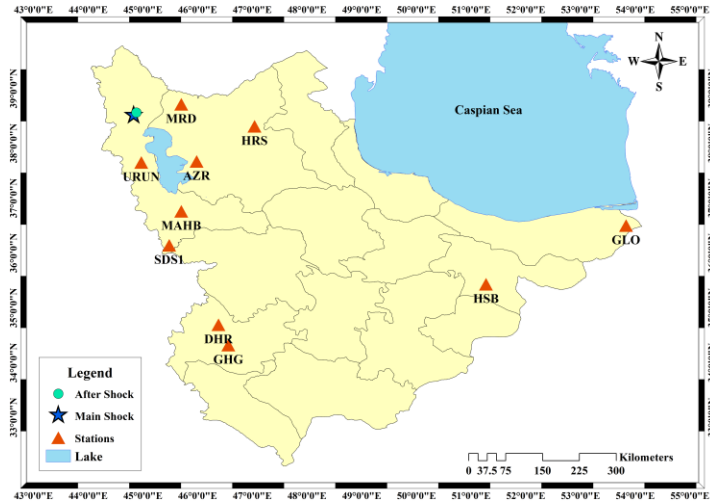
# Future plans

- Make Pre-processing of the data more simple
  - ObsPy
  - Direct Data access from EIDA
  - Including STA/LTA to automatic align to P waves
- Selecting EGF based on known FM or automatically check waveform similarity
- Make it fully automated????

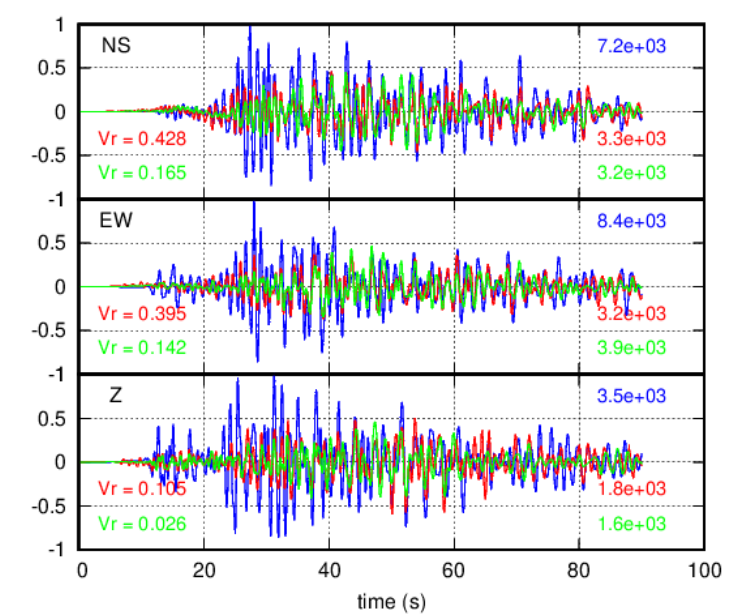
# TURKEY-IRAN BORDER REGION

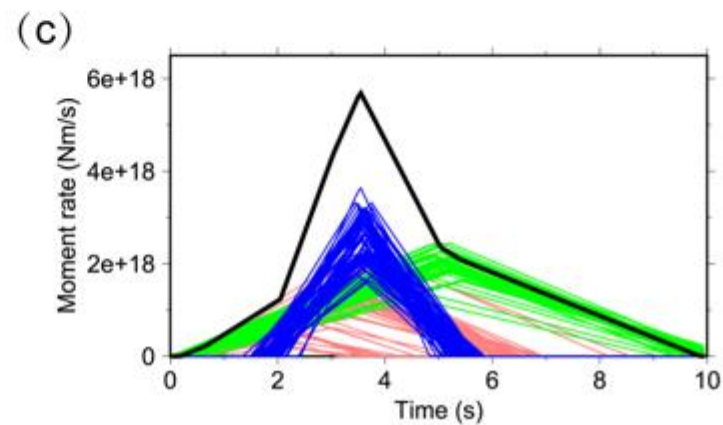
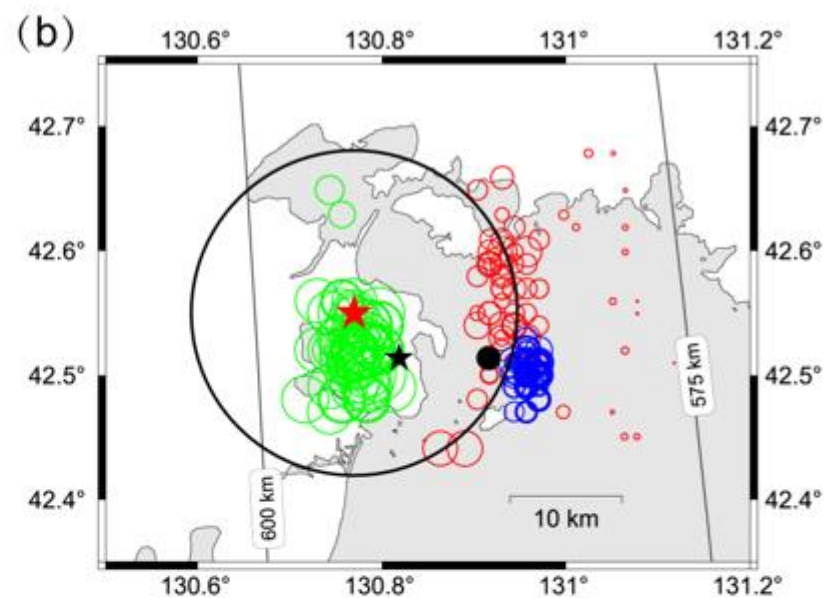
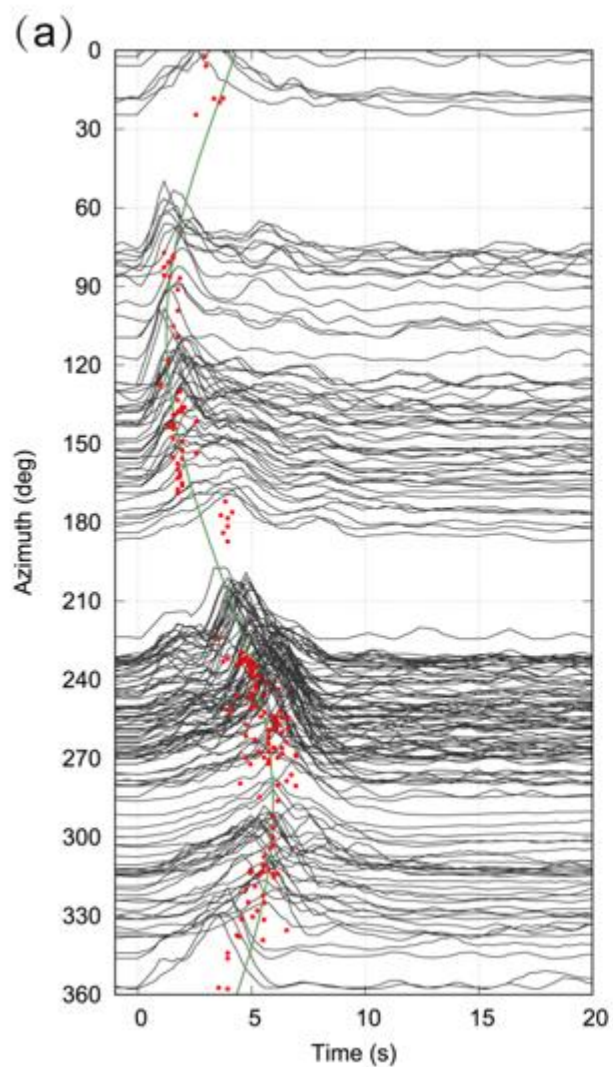
Mainshock: M5.9, 2023-01-28 18:14:47.2 UTC

Aftershock: M4.5, 2023-01-28 20:09:58.9 UTC



Station: HRS  
 Integrated record  
 Band pass: <math><0.05 - 0.80 ></math>Hz  
 Pre-define the Mo/mo ratio: 258.34  
 Sum of weights, must be similar to Mo/mo: 258.34





Thank you